

# THE MULTIDIMENSIONAL PLATEAU PROBLEM AND SINGULAR POINTS OF MINIMAL COMPACTS

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**Abstract**

**Full Text**

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*MATHEMATICS*

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## THE MULTIDIMENSIONAL PLATEAU PROBLEM AND SINGULAR POINTS OF MINIMAL COMPACTS

*(Presented by Academician P. S. Aleksandrov on 21 X 1969)*

1. Let  $(\mathfrak{M}^n, A)$  be a compact pair, where  $\mathfrak{M}^n$  is a compact, closed Riemannian manifold. In note <sup>(4)</sup> the classes  $O^k(A, \mathfrak{L}, \mathfrak{L}')$ ,  $R^k(A)$ ,  $N^k(A)$  were introduced and the corresponding existence theorems for minimal compact were formulated. It was also noted there that a special case of the class  $O^k(A, \mathfrak{L}, \mathfrak{L}')$  is the class

$$O^k(A, \mathfrak{L}, 0) = \mathfrak{G}^k(A, \mathfrak{L}),$$

studied by Reifenberg <sup>(1)</sup> and Morrey <sup>(2)</sup>. In the case when the coefficient group  $\mathfrak{G} = U = \mathbf{R}^1(\text{mod } 1)$ , the class  $N^k(A)$  coincides with the class  $\mathfrak{G}^*$  considered by Reifenberg in <sup>(1)</sup>.

In the present note a new class  $P^k(A, \mathfrak{L}, \mathfrak{L}')$  is introduced, in which the multidimensional Plateau problem is posed and solved. In addition, the note indicates a connection between the homological structure of minimal compact in the classes  $O^k(A, \mathfrak{L}, \mathfrak{L}')$  and properties of the set  $Z$  of their singular points.

2. By  $H_*(X; \mathfrak{G})$  we shall denote the Čech-Aleksandrov homology of the space  $X$  with coefficients in the Abelian group  $\mathfrak{G}$ . In what follows, by  $\mathfrak{G}_C$  we denote the category of compact Abelian groups, and by  $\mathfrak{G}_F$  the category of linear vector spaces over some field  $F$ . Consider a compact closed Riemannian manifold  $\mathfrak{M}^n$ , and let

$$A \subset \mathfrak{M}^n$$

be an arbitrary but fixed compact set. Let

$$\mathfrak{L} \subset H_{k-1}(A; \mathfrak{G})$$

be an arbitrary subgroup,  $\mathfrak{G} \in \mathfrak{G}_C$  or  $\mathfrak{G} \in \mathfrak{G}_F$ . Consider the class  $O^k(A, \mathfrak{L}, 0)$  (for the definition see <sup>(4)</sup>) and suppose that it is nonempty if the subgroup  $\mathfrak{L}$  is nontrivial. Let  $\mathfrak{L}'$  be an arbitrary subgroup in the group of relative homologies  $H_k(\mathfrak{M}^n, A; \mathfrak{G})$ , and suppose that at least one

of the subgroups  $\mathfrak{L}$  and  $\mathfrak{L}'$  is nontrivial. If  $\mathfrak{L} = 0$ , then the class  $O^k(A, 0, 0)$ , obviously, coincides with the class of all compacts such that  $X \subset \mathfrak{M}^n$  and  $A \subset X$ .

**Definition 1.** Consider the triple  $(A, \mathfrak{L}, \mathfrak{L}')$ . We shall say that a compact  $X \subset \mathfrak{M}^n$  belongs to the class  $P^k(A, \mathfrak{L}, \mathfrak{L}')$  if

$$X \in O^k(A, \mathfrak{L}, 0)$$

and

$$\omega_*(H_k(X, A; \mathfrak{G})) \supset \mathfrak{L}',$$

where

$$\omega_* : H_k(X, A; \mathfrak{G}) \rightarrow H_k(\mathfrak{M}^n, A; \mathfrak{G})$$

is the homomorphism induced by the inclusion

$$\omega : (X, A) \rightarrow (\mathfrak{M}^n, A).$$

For  $\mathfrak{L} = 0$  we obtain compact pairs  $(X, A)$  realizing relative cycles in the group  $H_k(\mathfrak{M}^n, A; \mathfrak{G})$ . It is clear that

$$P^k(\emptyset, 0, \mathfrak{L}') = O^k(\emptyset, 0, \mathfrak{L}'); \quad P^k(A, \mathfrak{L}, 0) = O^k(A, \mathfrak{L}, 0).$$

If one puts  $\mathfrak{L} = 0$  and  $\mathfrak{L}' = \{\sigma\}$ , a subgroup in  $H_k(\mathfrak{M}^n, A; \mathfrak{G})$  generated by the element  $\sigma$ , then one obtains the class  $P^k(A, 0, \{\sigma\})$ , studied by Almgren in <sup>(3)</sup> for the case of  $k$ -spanning compacts and finitely generated coefficient groups. Let us note that the relation

$$\mathfrak{L}' \subset \text{Im } \omega_*$$

is equivalent to the condition that

$$\mathfrak{L}' \subset \text{Ker } \varphi_*,$$

where

$$\varphi_* : H_k(\mathfrak{M}^n, A; \mathfrak{G}) \rightarrow H_k(\mathfrak{M}^n, X; \mathfrak{G}).$$

The technique used in the proof of the existence theorems in the classes  $O^k(A, \mathfrak{L}, \mathfrak{L}')$ ,  $N^k(A)$ ,  $R^k(A)$  (see <sup>(4)</sup>) makes it possible to obtain an existence theorem also in the class  $P^k(A, \mathfrak{L}, \mathfrak{L}')$ .

3. **Theorem 1.** Let  $\mathfrak{M}^n$  be a compact, closed Riemannian manifold of class  $C^p$ , where  $p \geq 4$ ; let  $A \subset \mathfrak{M}^n$  be an arbitrary compact set; let  $\mathfrak{G} \in \mathfrak{G}_C$  or  $\mathfrak{G}_F$ ; let  $k$  be an integer and  $k \geq 3$ ; let  $\mathfrak{L}$  and  $\mathfrak{L}'$  be subgroups in the groups  $H_{k-1}(A; \mathfrak{G})$  and  $H_k(\mathfrak{M}^n, A; \mathfrak{G})$ , respectively, where at least one of them is nontrivial.

Suppose that  $P^k(A, \mathfrak{L}, \mathfrak{L}') \neq \emptyset$  and  $\mu(A, \mathfrak{L}, \mathfrak{L}') < \infty$ , where

$$\mu(A, \mathfrak{L}, \mathfrak{L}') = \inf \Lambda^k(X \setminus A), \quad X \in P^k(A, \mathfrak{L}, \mathfrak{L}').$$

Then there exists a compact set  $X_0 \in P^k(A, \mathfrak{L}, \mathfrak{L}')$  such that  $\Lambda^k(X_0 \setminus A) = \mu(A, \mathfrak{L}, \mathfrak{L}')$ , and every point  $x \in (X_0 \setminus A) \setminus Z$ , where  $\Lambda^k(Z) = 0$ , possesses in  $X_0$  a neighborhood homeomorphic to the  $k$ -dimensional disk  $D^k$ . Moreover, if  $\mathfrak{M}^n \in C^4$ , then these  $k$ -disks may be assumed to belong to the class  $C_\mu^3$  for any  $0 < \mu < 1$ ; if  $\mathfrak{M}^n \in C_\mu^p$  for some  $p \geq 4$  and some  $\mu, 0 < \mu < 1$ , then the  $k$ -disks may be assumed to belong to the class  $C_\mu^p$ ; finally, if  $\mathfrak{M}^n \in C^\infty$  or is analytic, then the  $k$ -disks may be assumed to belong to the class  $C^\infty$  or to be analytic, respectively. In addition,  $(X_0 \setminus A) \setminus Z$  is a submanifold in  $\mathfrak{M}^n$  of the corresponding smoothness.

4. We proceed to the study of singular points of minimal compact sets  $X_0 \in O^k(A, \mathfrak{L}, \mathfrak{L}')$ . Consider in the manifold  $\mathfrak{M}^n$  the open submanifold  $(X_0 \setminus A) \setminus Z$  (see the main theorem in <sup>(4)</sup>), which, generally speaking, is not connected. Let

$$(X_0 \setminus A) \setminus Z = \bigcup_i \Pi_i,$$

where  $\Pi_i$  denotes the components of linear connectedness, which are differentiable submanifolds in  $\mathfrak{M}^n$ . If the submanifold  $(X_0 \setminus A) \setminus Z$  consists of a finite number of linearly connected components, we shall denote their number by  $N$ .

**Definition 2.** A component  $\Pi_i$  is called  $\mathfrak{G}$ -orientable if

$$H_k(\overline{\Pi}_i, \partial\Pi_i; \mathfrak{G}) \neq 0,$$

where the closure  $\overline{\Pi}_i$  is taken in  $\mathfrak{M}^n$ ,  $\partial\Pi_i = \overline{\Pi}_i \setminus \Pi_i$ ,  $k = \dim X_0$ . Let us note that here the group  $\mathfrak{G}$  is precisely the group which characterizes the class  $O^k(A, \mathfrak{L}, \mathfrak{L}')$ .

Since

$$H_k(\overline{\Pi}_i, \partial\Pi_i; \mathfrak{G}) \cong H_k(\overline{\Pi}_i/\partial\Pi_i; \mathfrak{G}),$$

we are in fact speaking of the  $\mathfrak{G}$ -orientability of the compactification of the manifold  $\Pi_i$  by means of the point  $\omega$ . The number of  $\mathfrak{G}$ -orientable components of the manifold  $(X_0 \setminus A) \setminus Z$  will be denoted by  $N_0$ .

**Theorem 2.** Let  $\mathfrak{M}^n$  be a compact, closed Riemannian manifold,  $\mathfrak{M}^n \in C^4$ ,  $\mathfrak{G} = Z_p$ ,  $p \neq 0$ ,  $p$  prime,  $A \subset \mathfrak{M}^n$ ,  $\Lambda^k(A) = 0$ , and let  $X_0 \in O^k(A, \mathfrak{L}, \mathfrak{L}')$  be a minimal compact set, and suppose  $N < \infty$ . Then:

- I. If  $p = 2$ , then

$$\dim \mathfrak{L} + \dim \mathfrak{L}' \leq N \leq \dim H_k(\mathfrak{M}^n; Z_2) + \dim H_{k-1}(A \cup Z; Z_2).$$

- II. If  $p \neq 2$ , then

$$\dim \mathfrak{L} + \dim \mathfrak{L}' \leq N_0 \leq \dim H_k(\mathfrak{M}^n; Z_p) + \dim H_{k-1}(A \cup Z; Z_p).$$

**Corollary 1.** Suppose that all the assumptions of Theorem 2 are fulfilled, and suppose additionally that  $\mathfrak{L}' = 0$  and  $\Lambda^{k-1}(Z) = 0$ . Then for  $p = 2$

$$N = \dim H_{k-1}(A; Z_2);$$

for  $p \neq 2$

$$N_0 = \dim H_{k-1}(A; Z_p).$$

We emphasize that the numbers  $N$  and  $N_0$  refer to different minimal compact sets corresponding to different coefficient groups.

5. We shall now consider the class  $O^k(\emptyset, 0, \mathfrak{L}')$ ,  $\mathfrak{L}' \neq 0$ ; a minimal compact set from this class will be denoted by  $Y_0^m$  (the letter  $m$  indicates minimality). Of all minimal compact sets  $X_0 \in O^k(A, \mathfrak{L}, \mathfrak{L}')$ , the compact sets  $Y_0^m$  are apparently arranged most simply, since they are not obliged to span any boundary  $A \subset \mathfrak{M}^n$ . By  $Z$ , as usual, we denote the set of singular points of the compact set  $Y_0^m$ ; it is known (see (4)) that always  $\Lambda^k(Z) = 0$ . It turns out that the presence in the compact set  $Y_0^m$  of certain homological properties entails the appearance of a set  $Z$  of singular points of the greatest possible (in the sense of dimension) positive measure.

**Theorem 3.** Let  $\mathfrak{M}^n$  be a compact, closed Riemannian manifold,  $\mathfrak{M}^n \in C^4$ ,  $\mathfrak{G} = Z_p$ ,  $p \neq 0, 2$ ,  $p$  prime;  $Y_0^m \in O^k(\emptyset, 0, \mathfrak{L}')$ ,  $\mathfrak{L}' \neq 0$ . Then, if  $N < \infty$  and

$$\dim H_k(Y_0^m; Z_2) \neq \dim H_k(Y_0^m; Z_p),$$

then  $\Lambda^{k-1}(Z) > 0$ , and at least one of the groups

$$H_{k-1}(Z; Z_2), \quad H_{k-1}(Z; Z_p)$$

is nontrivial. Moreover, all components  $\Pi_i$  are  $Z_p$ -orientable.

**Definition 3.** We shall say that a subgroup  $\mathfrak{L}' \subset H_k(\mathfrak{M}^n; \mathfrak{G})$  admits an exact minimal realization if

$$\mathfrak{L}' \cong H_k(Y_0^m; \mathfrak{G}),$$

where  $Y_0^m \in O^k(\emptyset, 0, \mathfrak{L}')$ .

In the group  $H_k(\mathfrak{M}^n; Z_p)$  one can always choose a basis consisting of elements  $e_1, e_2, \dots, e_r$ ,  $r = \dim H_k(\mathfrak{M}^n; Z_p)$ , admitting an exact minimal realization. Let  $Y_{0j}^m \in O^k(\emptyset, 0, \{e_j\})$  be the minimal carriers of the one-dimensional subgroups  $\{e_j\}$ ,  $1 \leq j \leq r$ .

**Corollary 2.** Let  $\mathfrak{G} = Z_p$ ,  $p \neq 0, 2$ ,  $p$  prime, and let  $N < \infty$ . Then, if  $\dim H_k(Y_{0j}^m; Z_p) \neq 1$ , then  $\Lambda^{k-1}(Z) > 0$  and at least one of the groups  $H_{k-1}(Z; Z_2)$ ,  $H_{k-1}(Z; Z_p)$  is nontrivial.

Theorem 3 and Corollary 2 admit a transparent geometric interpretation. Roughly speaking, if an integral cycle is realized by means of a closed orientable manifold  $V^k$ , then  $\dim H_k(V^k; Z_p) = \dim H_k(V^k; Z_2)$  and the set of singular points is empty. On the other hand, cycles of order  $p$  are arranged as CW-complexes  $V^{k-1} \cup_f W^k$ , where  $\partial W^k = V^{k-1}$ , and  $f$  is a mapping  $V^{k-1} \rightarrow V^{k-1}$  of degree  $p$  (the manifold  $W^k$  is attached to  $V^{k-1}$  by this mapping), which leads to the inequality  $1 = \dim H_k(Y_0^m; Z_p) \neq \dim H_k(Y_0^m; Z_2) = 0$  and to the appearance of a set  $Z$  of singular points ( $Z = V^{k-1}$ ) for  $p \neq 2$ , and moreover

$\Lambda^{k-1}(Z) > 0$ . It is also clear that for  $p = 2$  the set  $Z$  may be empty; for example,  $Z = \emptyset$  for the projective space  $RP^k$ , where  $V^{k-1} = RP^{k-1}$ ,  $W^k = D^k$ .

6. Consider the special case when the compact set  $A$  is a  $(k-1)$ -dimensional sphere  $S^{k-1}$  embedded in  $\mathfrak{M}^n$ , and assume that the embedding is such that the class  $N^k(A)$  is nonempty (see the definition in <sup>(4)</sup>). As the coefficient group  $\mathfrak{G}$  take the group  $U = R^1(\text{mod } 1)$ . Such a fixed topological structure of the boundary allows one to obtain certain information of purely metric character concerning the structure of the minimal compact set  $X_0 \in O^k(A, \mathfrak{L}, \mathfrak{L}')$ . We note that with each embedding  $S^{k-1} \rightarrow \mathfrak{M}^n$  two numbers are associated:  $d'$  and  $\tilde{d}$ , where  $d' = \inf \Lambda^k(X \setminus A)$ ,  $X \in R^k(A)$ ;  $\tilde{d} = \inf \Lambda^k(X \setminus A)$ ,  $X \in N^k(A)$  (see <sup>(4)</sup>); assume that  $\tilde{d} < \infty$ . Since in our case  $\Lambda^k(A) = 0$ , instead of the class  $R^k(A)$  one may consider the broader class  $R^k(\emptyset) \supset R^k(A)$ , with  $\inf \Lambda^k(X \setminus A) = d'$ , where  $X \in R^k(\emptyset)$ . This means that the number  $d'$  is in fact determined only by the manifold  $\mathfrak{M}^n$ , namely: it is the Hausdorff measure of the "least"  $k$ -dimensional cycle in  $\mathfrak{M}^n$ . The number  $\tilde{d}$  is the Hausdorff measure of the "least" film spanning a nontrivial subgroup in the group  $H_{k-1}(S^{k-1}; U)$ . Consider the decomposition of the submanifold  $(X_0 \setminus A) \setminus Z$  into connected differentiable submanifolds  $\Pi_i$  (the number of components may also be infinite). The question arises: how "massive," in the sense of the measure  $\Lambda^k$ , can the individual components  $\Pi_i$  be.

**Theorem 4.** Let  $\mathfrak{M}^n$  contain the compact set  $A = S^{k-1}$ ,  $X_0 \in O^k(A, \mathfrak{L}, \mathfrak{L}')$ , where  $\mathfrak{L} \neq 0$ ,  $\mathfrak{L}' = U$ ;  $d = \Lambda^k(X_0 \setminus A)$ ;  $\Delta = \max(d - d', d - \tilde{d})$ . Then  $\Delta > 0$  and

$$\sup_i \Lambda^k(\Pi_i) \leq \Delta.$$

**Remark.** In the general case the estimate obtained in Theorem 4 cannot be improved: it is easy to indicate an example in which the value is attained for some  $i = i_0$ .

7. In Reifenberg's paper <sup>(1)</sup> an existence theorem was proved for a minimal compact set in the class  $\mathfrak{G}^* = N^k(S^{k-1})$ , and, what is especially interesting, the compact sets  $X \in \mathfrak{G}^*$  were described in terms of retraction mappings. Consider a compact pair  $(X, A)$ , where  $A = S^{k-1}$ , coefficient group  $\mathfrak{G} = U$ . Reifenberg introduced two definitions of a boundary (see <sup>(1)</sup>). We shall say that  $A = \partial^R(X)$  if there does not exist a  $(k-1)$ -dimensional set  $A^* \subset X$ ,  $A^* \supset A$ , such that  $A^* = \text{retr } X$  ( $A^* = \text{retr } X$  if there exists a continuous mapping  $f : X \rightarrow A^*$  such that  $f(A^*) \equiv A^*$ ). We shall say that  $A = \partial^{\mathfrak{L}A}(X)$  if  $\mathfrak{L} = b(X, A) \neq 0$  (see the definition in <sup>(1)</sup>). From Hopf's extension theorem it follows that these two definitions are equivalent. In <sup>(1)</sup> Reifenberg put forward the hypothesis that this equivalence also holds in the case when  $A = \mathfrak{M}^{k-1}$  is an arbitrary compact closed orientable manifold. The following example shows that this hypothesis is false. Put  $A = S^1 \times S^{k-2}$ ;

then  $\pi_{k-1}(A) = Z_2$  for  $k \geq 5$ . Let  $a \in [a] \in Z_2$  be a continuous mapping  $S^{k-1} \rightarrow A$  corresponding to the generator  $[a]$ . Put  $X = A \cup_a D^k$ , attaching to  $A$  a  $k$ -dimensional disk  $D^k$  by the mapping  $a$ . It is clear that  $A = \partial^R(X)$ , but  $A \neq \partial^{\mathfrak{L}A}(A)$  for any nontrivial subgroup  $\mathfrak{L}$ .

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