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Abstract

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ON BICOMPACTA WITH NONCOINCIDING DIMENSIONS

(Presented by Academician P. S. Aleksandrov, 18 XI 1969)

This note adjoins our joint note with I. K. Lifanov ⁽¹⁾ (see the beginning of ⁽¹⁾).

1. We generalize the construction described in Sections 3 and 4 of note ⁽¹⁾.

- (a) Suppose we are given: a bicom pactum X , a pair of its closed subsets F_1 and $F_2 \supset F_1$, bicom pacta Φ_1 and Φ_2 , a continuous mapping f of the bicom pactum Φ_1 onto the bicom pactum F_1 , and a continuous mapping g of the bicom pactum Φ_0 onto the bicom pactum Φ_1 .

Consider the product $X \times \Phi_1$. Denote by p the natural projection of $X \times \Phi_1$ onto X . The bicom pactum Φ_1 may be identified with the graph $\Psi \subset X \times \Phi_1$ of the mapping $f : \Phi_1 \rightarrow F_1 \subset X$. Denote by q the mapping of the product $X \times \Phi_0$ onto the product $X \times \Phi_1$ which assigns to the point (x, φ_0) , $x \in X$, $\varphi_0 \in \Phi_2$, the point $(x, g(\varphi_0))$. Consider the bicom pactum $q^{-1}p^{-1}F_2 = F_2 \times \Phi_0 \subset X \times \Phi_0$ and its decomposition v . The elements of v are: 1) the individual points of the set $F_2 \times \Phi_0 \setminus q^{-1}\Psi$, and 2) the sets $q^{-1}(x, \varphi_1)$ for $(x, \varphi_1) \in \Psi \equiv \Phi_1$. We denote the decomposition space v by $E = E(X, F_2, F_1, \Phi_1, \Phi_0, f, g)$. Denote by v the natural mapping of the bicom pactum $F_2 \times \Phi_0$ onto E . Denote by μ the mapping of the bicom pactum E into $X \times \Phi_1$ satisfying the condition $\mu \cdot v = q$. Obviously, on the set $\mu^{-1}\Psi$ the mapping μ is a homeomorphism, and therefore the set $\mu^{-1}\Psi$ may be identified with the bicom pactum $\Phi_1 \equiv \Psi$. The mapping $\mathfrak{P} = p \cdot \mu$ will be called the projection of the bicom pactum E onto the bicom pactum X .

- (b) Let a bicom pactum X and a system of pairs (F_2^θ, F_1^θ) of its closed subsets F_1^θ and $F_2^\theta \supset F_1^\theta$, $\theta \in \Theta$, be given. Let, in addition, a bicom pactum χ be given, and in it a disjoint system of open sets C_θ , $\theta \in \Theta$, each of which decomposes into the disjoint sum of open-closed bicom pacta Φ_0^α , $\alpha \in \mathfrak{A}_\theta$. Suppose that for each α a mapping g_α of the bicom pactum Φ_0^α onto some bicom pactum Φ_1^α , and a mapping f_α of the bicom pactum Φ_1^α onto the bicom pactum F_1^θ , $\alpha \in \mathfrak{A}_\theta$, $\theta \in \Theta$, are defined. Take the decomposition v of the bicom pactum $Y = X \times \chi \setminus \bigcup_\theta (X \setminus F_2^\theta \times C_\theta)$ into the individual points of the set $X \times (\chi \setminus \bigcup_\theta C_\theta)$ and the inverse images of the points of the bicom pactum $E_\alpha = E(X, F_2^\theta, F_1^\theta, \Phi_1^\alpha, \Phi_0^\alpha, f_\alpha, g_\alpha)$ under the mapping

$v_\alpha : F_2^\theta \times \Phi_0^\alpha \rightarrow E_\alpha$ (see (a)) for all $\alpha \in \mathfrak{A}_\theta$ and $\theta \in \Theta$. We denote the decomposition space v by

$$E = E(X, \chi, \{F_2^\theta, F_1^\theta, \Phi_1^\alpha, \Phi_0^\alpha, f_\alpha, g_\alpha; \alpha \in \mathfrak{A}_\theta\}, \theta \in \Theta). \quad (*)$$

Denote by v the natural mapping of the bicom pactum Y onto the bicom pactum E . Denote by p the natural projection of $X \times \chi$ onto X . The mapping $\mathfrak{P} : E \rightarrow X$, satisfying the relation $\mathfrak{P} \cdot v = p$, will be called the projection of E onto X . If the bicom pacts $v(F_2^\theta \times \Phi_0^\alpha)$ are identified with the bicom pacts E_α , then on E_α the projection v coincides with the projection $v_\alpha : E_\alpha \rightarrow X$.

Remark 1. a) If the bicom pactum Y is the image under a mapping f of the bicom pactum X , then below a point $y \in Y$ will often be denoted by one (or several) points of its inverse image $f^{-1}y$. b) Let the bicom pactum $Y = \{y\}$ be the image under a finite-to-one mapping f of the bicom pactum X , which, in turn, is the product $X_1 \times X_2$ of ordered bicom pacts $X_1 = \{x_1\}$ and $X_2 = \{x_2\}$. Then by $+^{1/2}(y_0, Y, j)$, respectively $-^{1/2}(y_0, j) = -^{1/2}(y_0, Y, j)$, $j = 1, 2$, we denote the set

$$\{y : y \in f\{(x_1, x_2); x_j \geq \max x_j^0, \text{ respectively } \leq \min x_j^0, \text{ for } (x_1^0, x_2^0) \in f^{-1}y_0\}\}.$$

2. Bicom pacts T_1 and S_1 . Consider ordered bicom pacts

$L_k = \{l_k\}$ with such points $a_k \in L_k$ that the first axiom of countability holds at a_k , $k = 0, 1$. Consider the products $L_k \times C_k$, where $C_k = \{c_k\}$ denotes the Cantor perfect set, $k = 0, 1$. By ω_k we denote the decomposition of $L_k \times C_k$ whose elements are pairs of endpoints of intervals adjacent to the Cantor set $a_k \times C_k$, and the individual points not belonging to these pairs. The decomposition space ω_k will be denoted by Γ'_k , and the natural mapping of $L_k \times C_k$ onto Γ'_k by ω_k (as also the corresponding decomposition). By M'_k we denote the set of those points of the bicom pactum Γ'_k whose inverse image under the mapping ω_k consists of two points. The set $Q_k^1 = \omega_k(a_k \times C_k)$ is a segment, on which the countable set M'_k is everywhere dense. There exists a homeomorphism h of the segment Q_0^1 onto the segment Q_1^1 , for which $hM'_0 = Q_1^1 \setminus M'_1$. The decomposition space of the discrete sum of the bicom pacts Γ'_0 and Γ'_1 into the individual points of the set $(\Gamma'_0 \cup \Gamma'_1) \setminus (Q_0^1 \cup Q_1^1)$ and the pairs of points $(x, h(x))$, $x \in Q_0^1$, will be denoted by $\Gamma = \Gamma(L_0, L_1, a_0, a_1)$. The natural mapping of $\Gamma'_0 \cup \Gamma'_1$ onto Γ will be denoted by ω . Let $Q_\Gamma^1 = \omega(Q_0^1 \cup Q_1^1)$, $\Gamma_k = \omega\Gamma'_k$, $M_k = \omega M'_k$, $k = 0, 1$, $N = Q_\Gamma^1 \setminus (M_0 \cup M_1)$.

Mark the following pairs (x, F) of points $x \in \Gamma$ and closed subsets $F \supset x$ in Γ : a) $x \in N$, $F = \Gamma$; b) $x \in M_0 \cup M_1$, $F = +^{1/2}(x, \Gamma_0, 2) \cup +^{1/2}(x, \Gamma_1, 2)$; $x \in M_0 \cup M_1$, $F = -^{1/2}(x, \Gamma_0, 2) \cup -^{1/2}(x, \Gamma_1, 2)$; c) $x = (a_k, c_k) \in M_k^*$, the set F satisfies the following conditions: F is the closure of an open subset of Γ , $F \cap \Gamma_{\bar{k}} = x$, and for some points $l'_k < a_k$ and $l''_k > a_k$ the set $\{(l_k, c_k) : l'_k \leq l_k \leq l''_k\}$ is contained in the interior of F_k , $k = 0, 1$. The set of marked pairs will be denoted by B' .

Consider the bicompecta χ_1 and χ_2 from (1) and establish a one-to-one correspondence: 1) between the set B' and the set Θ of item 5 of remark (1); 2) between the set B' and the set Θ' of item 51 of remark (1). Fix some mapping g of the Cantor perfect set C onto the segment Q^1 . Put the bicompectum $T_1 = T_1(L_0, L_1, a_0, a_1)$, respectively $S_1 = S_1(L_0, L_1, a_0, a_1)$, equal to the bicompectum E from formula (*), where $X = \Gamma$; $\chi = \chi_1$, respectively $\chi = \chi_2$; $F_2^\theta = F^\theta$, $F_1^\theta = x_\theta$, where (x_θ, F^θ) is the element of the set B' corresponding to the element θ of the set Θ , respectively Θ' ; $\Phi_1^\alpha = Q^1$; $\Phi_0^\alpha = C_\alpha$; $g_\alpha = g$; f_α is a mapping of Φ_1^α to the point x_θ . The projections of the bicompecta T_1 and S_1 onto Γ (see item 1) will be denoted respectively by $\tilde{\omega}_T$ and $\tilde{\omega}_S$.

Proposition 1. If the set of points $x \in L_k$ for which $\text{ind}_x L_k = 1$ is everywhere dense in L_k , $k = 0, 1$, then $\dim T_1 = \dim S_1 = 1$, $\text{ind} T_1 = \text{ind} S_1 = 2$. The set $\tilde{\omega}_S^{-1} Q_\Gamma^1 = \tilde{\omega}_S^{-1} T_0 \cap \tilde{\omega}_S^{-1} T_1$ has type G_δ in S_1 , and $\text{ind} \tilde{\omega}_S^{-1} \Gamma_k = 1$, $k = 0, 1$. If the bicompecta L_0 and L_1 possess the first axiom of countability, then the bicompectum S_1 will also possess the first axiom of countability.

Corollary. The bicompectum $S_1 = S_1(Q^1, Q^1, 1, 1)$ with the first axiom of countability can be represented as the sum of two subbicompecta S' and S'' of dimension $\text{ind} S' = \text{ind} S'' = 1$, whose intersection has type G_δ in S_1 , but $\text{ind} S_1 = 2$.

Remark 2. If the bicompecta L_0 and L_1 possess the first axiom of countability, then the bicompectum $S_1(L_0, L_1, a_0, a_1)$ is an irreducible image of a zero-dimensional bicompectum with the first axiom of countability under some mapping $\lambda = \lambda(L_0, L_1, a_0, a_1)$.

3. Bicompecta T^2 and S^2 . By $R = \{r\}$ and $I = \{i\}$ we denote respectively the sets of rational and irrational points of the segment $Q^1 = \{t, 0 \leq t \leq 1\}$. Represent the set R as the disjoint sum of two sets $R_0 = \{r_0\}$ and $R_1 = \{r_1\}$ everywhere dense in Q^1 . Consider the square $Q^2 = Q^1 \times Q^1$. We shall call marked the following pairs (x, F) of points $x \in Q^2$ and closed subsets $F \supset x$ in Q^{2**} : a) $x = (i', i'')$, $F = Q^2$; b) $x = (k, t)$, $t \in R_k \cup I$, $F = Q^2$, $k = 0, 1$; c) $x = (t^1, t^2)$, $0 < t^1 < 1$, $t^j \in$

* Everywhere in the note k is equal either to 0 or to 1, and if $k = 0$, then $\bar{k} = 1$, while if $k = 1$, then $\bar{k} = 0$.

** If the pair 1) $(x, +^{1/2}(x, j))$ or 2) $(x, +^{1/2}(x, 1) \cap +^{1/2}(x, 2))$ is marked, then in each of the cases 1) and 2) the pairs obtained from the indicated pair by replacing, in its second element, some (or all) signs $+$ by the sign $-$, are also considered marked.

$\in R$, $t_k \in I$, $j = 1, 2$, $j' = 2, 1$, $F = +^{1/2}(x, j)$; d) $x = (k, r_k)$, $F = +^{1/2}(x, 2)$, $k = 0, 1$; e) $x = (r^1, r^2)$, $0 < r^1 < 1$, $F = +^{1/2}(x, 1) \cap +^{1/2}(x, 2)$.

Denote the set of all marked pairs by B_1 . Denote by B_2 the set of points x of the form (r^1, r^2) , $0 < r^1 < 1$, $r^j \in R_0$, $r^{j'} \in R_1$, $j = 1, 2$, $j' = 2, 1$. Denote the sum $B_1 \cup B_2$ by B .

We construct the bicomcompact T^2 . Represent the set of all ordinal numbers $\alpha < \omega(\mathfrak{c})$ as the disjoint sum of sets \mathfrak{A}_θ of cardinality \mathfrak{c} , $\theta \in \Theta$, where the cardinality of Θ is also equal to \mathfrak{c} . Establish a one-to-one correspondence between the sets B and Θ . Here the subset of Θ corresponding to the set B_j will be denoted by Θ_j , $j = 1, 2$. Let

$$\mathfrak{A}_j = \bigcup_{\theta \in \Theta_j} \mathfrak{A}_\theta,$$

$j = 1, 2$. To each $\alpha \in \mathfrak{A}_\theta$, $\theta \in \Theta_1$, assign a Cantor perfect set C_α . To each $\alpha \in \mathfrak{A}_\theta$, $\theta \in \Theta_2$, there corresponds a point $x_\theta = (r^1, r^2) \in B_2$. Put $a_0 = a_1 = x_\theta$ and $L_0^\theta = \{(r^1, t) : 0 \leq t \leq 1\}$, $L_1^\theta = \{(t, r^2) : 0 \leq t \leq 1\}$. Denote by T_1^α the bicomcompact $T_1(L_0^\theta, L_1^\theta, x_\theta, x_\theta)$, by $\tilde{\omega}_{T_\alpha}$ the projection of T_1^α onto $L_0^\theta \cup L_1^\theta$, and by λ_{T_α} an irreducible mapping of some zero-dimensional bicomcompact T_0^α onto T_1^α . Put

$$\chi_3 = \bigcup_{\alpha \leq \omega(\mathfrak{c})} \alpha \cup \bigcup_{\alpha \in \mathfrak{A}_\theta, \theta \in \Theta_1} C_\alpha \cup \bigcup_{\alpha \in \mathfrak{A}_2} T_0^\alpha.$$

The open sets in χ_3 are taken to be: the open subsets of the bicompacta C_α , $\alpha \in \mathfrak{A}_1$, and T_0^α , $\alpha \in \mathfrak{A}_2$; isolated numbers α ; sets of the form

$$\bigcup_{\alpha' < \alpha \leq \alpha''} \alpha \cup \bigcup_{\alpha' < \alpha < \alpha''} (C_\alpha \cup T_0^\alpha)$$

for limit numbers α'' .

The bicomcompact χ_3 , obviously, is zero-dimensional. Finally, set T^2 equal to the bicomcompact E from formula (*), where $X = Q^2$; $\chi = \chi_3 \otimes$, and where: 1) for $\theta \in \Theta_1$ the set F_2^θ denotes the closed set $F = F_\theta$, while the set F_1^θ is the point x_θ from the marked pair (i.e., from the element of the set B_1) corresponding to the index θ ; the bicomcompact Φ_1^α is the square Q^2 ; $\Phi_0^\alpha = C_\alpha$; g_α coincides with some fixed mapping g of the Cantor perfect set C onto the square Q^2 ; f_α denotes the mapping of Q^2 to the point x_θ ; 2) for $\theta \in \Theta_2$ we take $F_2^\theta = Q^2$, $F_1^\theta = L_0^\theta \cup L_1^\theta$, $\Phi_1^\alpha = T_1^\alpha$, $\Phi_0^\alpha = T_0^\alpha$, $f_\alpha = \tilde{\omega}_{T_\alpha}$, $g_\alpha = \lambda_{T_\alpha}$.

We construct the bicomcompact S^2 . The product $P = Q_u^1 \times Q_\theta^1 \times D$ of the interval $Q_u^1 = \{u : 0 \leq u \leq 1\}$, the interval $Q_\theta^1 = \{\theta : 0 \leq \theta \leq 1\}$, and the pair of points $D = \{0, 1\}$ will be considered in the natural lexicographic ordering. Establish a one-to-one correspondence between the set Q_0^1 and the set B . Denote by Θ_j the subset of the set Q_θ^1 corresponding to the set B_j , $j = 1, 2$. Let further

$$\mathfrak{A}_\theta = \{\alpha = (u, \theta) : 0 \leq u \leq 1\}, \quad \theta \in Q_\theta^1; \quad \mathfrak{A}_j = \bigcup_{\theta \in \Theta_j} \mathfrak{A}_{\theta,j} = 1, 2.$$

To each $\alpha \in \mathfrak{A}_1$ assign a Cantor perfect set C_α . Fix some mapping $g : C \rightarrow Q^2$.

To each $\alpha \in \mathfrak{A}_2$ there corresponds a point $x_\theta = (r^1, r^2) \in B_2$. Denote by S_1^α the bicomcompact $S_1(L_0^\theta, L_1^\theta, x_\theta, x_\theta)$ (see the construction of T^2), by $\tilde{\omega}_{S_\alpha}$ the projection of S_1^α onto $L_0^\theta \cup L_1^\theta$, and by λ_{S_α} an irreducible mapping of some

zero-dimensional bicomactum with the 1st axiom of countability S_0^α onto S_1^α \boxtimes . Put

$$\chi_4 = P \cup \bigcup_{\alpha \in \mathfrak{A}_1} C_\alpha \cup \bigcup_{\alpha \in \mathfrak{A}_2} S_0^\alpha.$$

The open sets in χ_4 are taken to be: the open subsets of the bicomacta C_α , $\alpha \in \mathfrak{A}_1$, and S_0^α , $\alpha \in \mathfrak{A}_2$; the points $(0, 0, 0)$ and $(1, 1, 1)$, sets of the form

$$((u_1, \theta_1, 0), (u_2, \theta_2, 1)) \cup \bigcup C_\alpha \cup \bigcup S_0^\alpha,$$

where the first term is an interval, and the summation in the second and third terms is taken over those $\alpha = (u, \theta)$ for which

$$(u_1, \theta_1, 0) < (u, \theta, 0) < (u, \theta, 1) < (u_2, \theta_2, 1).$$

Set S^2 equal to the bicomactum E from formula (*), where $X = Q^2$; $\chi = \chi_4$ (further one must again read the part of the construction of the bicomactum T^2 from the sign \otimes to the sign $\otimes \otimes$). $\Phi_1^\alpha = S_1^\alpha$, $\Phi_0^\alpha = S_0^\alpha$, $f_\alpha = \tilde{\omega}_{S_\alpha}$, $g_\alpha = \lambda_{S_\alpha}$.

Theorem 1. The bicomactum S^2 has the 1st axiom of countability and

$$\dim S^2 = \dim T^2 = \text{ind } S^2 = \text{ind } T^2 = 2 < \text{Ind } S^2 = \text{Ind } T^2 = 3.$$

4. Bicomacta of PH. Denote by ζ the mapping of the Cantor perfect set $C = \{c\}$ onto the interval $Q^1 = \{v : 0 \leq v \leq 1\}$, identifying

corresponding to pairs of endpoints of intervals adjacent to C . The point c from C will be denoted, if necessary, by the corresponding point $\xi c = v$ from Q^1 ; moreover, if the point v corresponds to a pair of endpoints of some interval adjacent to C , then (when this is needed) the left endpoint of this interval will be denoted by v_l , and the right endpoint by v_r .

By χ_5 we denote the lexicographically ordered (with the interval topology) product of a countable collection of Cantor perfect sets $C_j = \{c\}$, $j = 1, 2, \dots$. Choose on Q^1 a continuum of pairwise disjoint everywhere dense sets Q_α , $\alpha \in \mathfrak{A}$. For a given bicomactum $X = \{t\}$ of cardinality c we construct the bicomactum ΠX as follows. Let $h : X \rightarrow \mathfrak{A}$ denote a one-to-one correspondence between X and \mathfrak{A} . The elements of the partition ω of the product $\chi_5 \times X$ will be taken to be: (a) pairs of points

$$((c^1, \dots, c^{n-1}, v_l^n, 1, 1, 1, \dots), t)$$

and

$$((c^1, \dots, c^{n-1}, v_r^n, 0, 0, 0, \dots), t),$$

if there exists an index k , $1 \leq k < n$, such that $\xi c^k \in Q_{h(t)}$, $t \in X$; (b) individual points not entering, for any t , into the pairs indicated in item (a). We denote the quotient space ω by ΠX .

If the natural projection of $\chi_5 \times X$ onto X is denoted by p , and the natural mapping of $\chi_5 \times X$ onto ΠX by ω , then there exists a mapping $\pi : \Pi X \rightarrow X$

satisfying the condition $p = \pi \cdot \omega$. We shall call the mapping π the projection of ΠX onto X .

Theorem 2. If X is a compactum and $\text{ind } X = n$, then $\dim \Pi X = n$, $\text{ind } \Pi X = n + 1$, $n = 1, 2, \dots$. If, in addition, the compactum X is connected, then in ΠX there are two such nowhere dense disjoint closed sets F_1 and F_2 that, for any open set $O \subseteq [O] \subseteq X \setminus F_1$ or $\subseteq X \setminus F_2$, one always has $\text{ind fr } O \geq n - 1$. The bicomcompactum ΠX satisfies the first axiom of countability.*

5. The bicomcompactum T_1^2 . Let $\Pi = \Pi Q^1$, where $Q^1 = \{t : 0 \leq t \leq 1\}$. By $R = \{r\}$ denote the set of points of χ_5 not having the form $(c^1, \dots, c^n, 0, 0, 0, \dots)$ or $(c^1, \dots, c^n, 1, 1, 1, \dots)$. Obviously, R is everywhere dense in χ_5 . Represent R as the disjoint sum of the sets $R_0 = \{r_0\}$ and $R_1 = \{r_1\}$ dense in χ_5 . By $0, 1$, and $I = \{i\}$ denote respectively the points $(0, 0, 0, \dots)$ and $(1, 1, 1, \dots)$ of χ_5 , and the set $\chi_5 \setminus R$.

We note that on the segment Q^1 there also are points $0, 1$ and the sets R, R_0, R_1 , and I (see item 3). Mark pairs (x, F) —points $x \in \Pi$ and closed sets $F \ni x$ in Π —the same as in subitems a)–e) of item 3, with Q^2 replaced by Π in subitems a) and b) (see Remark 1). The set of all marked pairs will be denoted by B_1 . The set of points $x \in \Pi$ of the form (r^1, r^2) , $0 < r^1 < 1$, $r^j \in R_0$, $r^{j'} \in R_1$, $j = 1, 2$; $j' = 2, 1$, will be denoted by B_2 . The sum $B_1 \cup B_2$ will be denoted by B .

The further construction of T_1^2 is analogous to the construction of T_2 , but instead of the bicomcompactum χ_3 one uses the “longer” bicomcompactum χ_6 , constructed in the same way as χ_3 , but with the use of ordinals $\alpha \leq \omega(2^c)$.**

Theorem 3. The inequalities

$$\dim T_1^2 = 1 < \text{ind } T_1^2 = 2 < \text{Ind } T_1^2$$

hold.

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* The existence of bicompacta satisfying the first axiom of countability with $\dim X = 1$, $\text{ind } X > 1$ was established earlier, by another method, by V. Filippov (2).

** Initially, in the construction of T_1^2 , instead of Π a bicomactum from (3) was used, to which I. K. Lifanov drew my attention.

Note: Figure translations are in progress. See original paper for figures.

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