

# UPPER BOUNDS OF BEST APPROXIMATIONS ON CLASSES OF DIFFERENTIABLE PERIODIC FUNCTIONS IN THE METRICS $(C)$ AND $(L)$

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**Abstract**

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*MATHEMATICS*

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## UPPER BOUNDS OF BEST APPROXIMATIONS ON CLASSES OF DIFFERENTIABLE PERIODIC FUNCTIONS IN THE METRICS $C$ AND $L$

*(Presented by Academician I. M. Vinogradov on 27 X 1969)*

Let  $C_{2\pi}$  be the set of functions continuous on the whole axis with period  $2\pi$ , let  $X$  be the metric  $C$  or  $L$ , and

$$E_n(f)_X = \inf_{T_n} \|f - T_n\|_X \quad (n = 0, 1, 2, \dots)$$

be the best approximation of a function  $f \in C_{2\pi}$  by trigonometric polynomials  $T_n(x)$  of order  $\leq n$ . We consider the problem of finding the exact upper bound

$$E_n(\mathfrak{M})_X = \sup_{f \in \mathfrak{M}} E_n(f)_X,$$

where  $\mathfrak{M}$  is a certain class of functions.

Denote by  $W^r H_\omega$  ( $r = 0, 1, 2, \dots$ ;  $W^0 H_\omega = H_\omega$ ) the class of  $r$ -times continuously differentiable functions  $f(x) \in C_{2\pi}$  for which

$$|f^{(r)}(x') - f^{(r)}(x'')| \leq \omega(|x' - x''|) \quad (f^{(0)} = f),$$

where  $\omega(t)$  is a given modulus of continuity. For  $\omega(t) = Kt^\alpha$  ( $0 \leq t \leq \pi$ ,  $0 < \alpha \leq 1$ ) we shall write  $W^r K H^\alpha$ . Note that the class  $W^{r-1} K H^1$  for  $r = 1, 2, \dots$  coincides with the class  $W^{rK}$  of functions  $f \in C_{2\pi}$  for which the  $(r-1)$ -st derivative is absolutely continuous and  $|f^{(r)}(x)| \leq K$  almost everywhere.

For a given modulus of continuity  $\omega(t)$  and all  $n = 1, 2, \dots$  and  $r = 0, 1, 2, \dots$ , consider the functions  $f_{nr}(\omega, x)$  of period  $2\pi/n$  with mean value over a period equal to zero, whose derivative of order  $r$  is odd and is defined by the equalities

$$f_{nr}^{(r)}(\omega, x) = \begin{cases} \frac{1}{2} \omega(2x), & 0 \leq x \leq \pi/2n, \\ \frac{1}{2} \omega(2\pi/n - 2x), & \pi/2n \leq x \leq \pi/n. \end{cases}$$

It is easy to verify that if  $\omega(t)$  is a convex upward modulus of continuity, then  $f_{nr}(\omega, x) \in W^r H_\omega$ .

The principal content of the present note is the following.

**Theorem 1.** *Whatever convex upward modulus of continuity  $\omega(t)$  may be, for all  $r = 0, 1, 2, \dots$  the equalities*

$$E_{n-1}(W^r H_\omega)_X = E_{n-1}(f_{nr}(\omega, x))_X = \|f_{nr}(\omega, x)\|_X \quad (n = 1, 2, \dots), \quad (1)$$

hold, where  $X$  is the metric  $C$  or  $L$ .

We note that, in a number of special cases, the values of the upper bounds  $E_n(W^r H_\omega)_X$  were obtained earlier. It was proved by J. Favard <sup>(1)</sup>, and also by N. I. Akhiezer and M. G. Krein <sup>(2)</sup>, that

$$E_{n-1}(W^r K H^1)_C = E_{n-1}(W^{r+1} K)_C = \frac{4K}{\pi n^{r+1}} \sum_{\nu=0}^{\infty} \frac{(-1)^{\nu r}}{(2\nu + 1)^{r+2}} \quad (2)$$

$$(r = 0, 1, 2, \dots; n = 1, 2, \dots).$$

Best approximation in the mean on classes of functions was first considered in a work of S. M. Nikol'skii <sup>(3)</sup>, where, by means of general theo-

...concerning approximation in a Banach space, a number of definitive results in the metric  $L$  have been obtained. Exact estimates of approximation in the mean are contained in the papers <sup>(4,5)</sup> and <sup>(6)</sup>, where the values of  $E_n(W^r K)_L$  were computed ( $r = 1, 2, \dots$ ).

For an arbitrary convex modulus of continuity  $\omega(t)$ , the values of the upper bounds  $E_n(W^r H_\omega)_C$  for  $0 \leq r \leq 3$  are given in <sup>(7-10)</sup>, and the proof was based on exact estimates, found by the author, of intermediate approximation of functions of the class  $W^r H_\omega$  by functions from  $W^r K H^1$ , on the optimal choice of the constant  $K$ , and on equalities (2).

It was natural, however, to try to solve the problem of computing the upper bounds  $E_n(W^r H_\omega)_C$  and  $E_n(W^r H_\omega)_L$  by a "direct" method, i.e., using only properties of best approximations in the metrics  $C$  and  $L$ . The author found a proof of the equalities (1) for  $X = C$  and  $L$  and for all  $r = 0, 1, 2, \dots$ , based on the relations obtained by S. M. Nikol'skii <sup>(3)</sup>:

$$E_{n-1}(f)_C = \sup_{h \in H_L^n} \int_0^{2\pi} f(t)h(t) dt, \quad E_{n-1}(f)_L = \sup_{h \in H_M^n} \int_0^{2\pi} f(t)h(t) dt, \quad (3)$$

where  $H_L^n$  ( $H_M^n$ ) are the sets of functions  $h(t)$  of period  $2\pi$ , orthogonal to all trigonometric polynomials of degree  $n - 1$  and such that  $\|h\|_L \leq 1$  ( $\|h\|_M = \sup_x |h(x)| \leq 1$ ). An essential role here was played by the investigation—of independent interest—of the properties of functions that are periodic integrals of  $h(t)$ , i.e., of the form

$$g(x) = \frac{1}{\pi} \int_0^{2\pi} \sum_{k=1}^{\infty} \frac{\cos[k(t-x) + r\pi/2]}{k^r} h(t) dt \quad (r = 1, 2, \dots), \quad (4)$$

where  $h \in H_L^n$  or  $h \in H_M^n$ . (The sets of such functions will be denoted respectively by  $W^r H_L^n$  and  $W^r H_M^n$ .) In order to formulate the results obtained in this direction, we introduce the following definition.

We shall call a function  $\varphi(x)$ , continuous on the whole axis, **simple** if it is equal to zero outside some interval  $(\alpha, \beta)$ ,  $|\varphi(x)| > 0$  for  $x \in (\alpha, \beta)$ , and for every  $y$ ,  $0 < y < \max_x |\varphi(x)|$ , the equation  $|\varphi(x)| - y = 0$  has exactly two roots on  $(\alpha, \beta)$ . If  $g \in C_{2\pi}^1$  (i.e.,  $g \in C_{2\pi}$  and has a continuous first derivative), and  $g(x_0) = 0$ , but  $g \neq 0$ , then  $g(x)$  can be represented on  $[x_0, x_0 + 2\pi]$  in the form of a finite or countable sum

$$g(x) = \sum_k \varphi_k(x) \quad (x_0 \leq x \leq x_0 + 2\pi),$$

where  $\varphi_k$  are simple functions such that  $|\varphi_k(x)| > 0$  on the interval  $(\alpha_k, \beta_k) \subset (x_0, x_0 + 2\pi)$ ,  $\varphi_k = 0$  outside  $(\alpha_k, \beta_k)$ , and

$$\sum_k \|\varphi_k\|_C = \frac{1}{2} \bigvee_0^{2\pi}(g), \quad \sum_k \|\varphi_k\|_L = \|g\|_L.$$

Let  $\bar{\varphi}_k(x)$  be the rearrangement of the functions  $|\varphi_k(x)|$  in decreasing order, i.e., the function inverse to  $x = M(y)$ , where  $M(y)$  is the measure of the set on which  $|\varphi_k(x)| \geq y$  (see, for example, <sup>(1)</sup>, p. 332). We shall put  $\bar{\varphi}_k(x) = 0$  for  $x > \beta_k - \alpha_k$ . Denoting

$$\Phi(g, x) = \sum_k \bar{\varphi}_k(x) \quad (0 \leq x \leq 2\pi),$$

to each function  $g(x)$  we uniquely assign a function  $\Phi(g, x)$ , moreover

$$\Phi(g(t+a), x) = \Phi(g(t), x)$$

for any  $a$ .

Of importance in the study of the properties of functions of the form (4) is

**Theorem 2.** If  $g \in C_{2\pi}^3$ ,  $g(0) = 0$ , then

$$|\Phi'(g, x)| \leq \frac{1}{4} \int_0^x \Phi(g'', t) dt \quad (5)$$

everywhere on  $(0, 2\pi)$ , where  $\Phi'(g, x)$  exists. The inequality is sharp on the set  $C_{2\pi}^3$ .

Let, for each  $n = 1, 2, \dots$ , for  $0 \leq x \leq \pi/n$ ,

$$\Phi_{n1}(x) = \frac{1}{2}, \quad \Phi_{nr}(x) = \frac{1}{2} \int_0^{\pi/n-x} \Phi_{n,r-1}(t) dt \quad (r = 2, 3, \dots).$$

It is easy to verify that for  $r \geq 2$

$$\Phi_{nr}(x) = \Phi(g_{nr}, x) \quad (0 \leq x \leq \pi/n),$$

where  $g_{nr}(x)$  is the  $(r-1)$ -st periodic integral of the function

$$g_{n1}(x) = \frac{1}{4n} \operatorname{sign} \sin nx.$$

With the aid of inequality (5) one proves

**Theorem 3.** If  $g \in W^r H_L^n$  ( $g \in W^r H_M^n$ ) ( $n = 1, 2, \dots$ ;  $r = 2, 3, \dots$ ), then  $\Phi'(g, x) \geq \Phi'_{nr}(x)$  (respectively  $\Phi'(g, x) \geq 4n\Phi_{n,r+1}(x)$ ) at all points of the interval  $(0, \pi/n)$  at which  $\Phi'(g, x)$  exists.

Theorem 2 makes it possible to obtain an exact upper estimate for the integral

$$\int_0^{2\pi} f(t)g(t) dt,$$

where  $f \in H_\omega$ , and  $g \in W^r H_L^n$  or  $g \in W^r H_M^n$ , for  $r = 1, 2, \dots$

In the case  $r = 0$ , an analogous result is obtained by passing to Steklov functions. Taking into account relations (3), this gives Theorem 1. We note that the values of the upper bounds  $E_{n-1}(W^r H_\omega)_X$  can be written with the aid of the functions  $\Phi_{nr}$ :

$$E_{n-1}(H_\omega)_C = \Phi_{n1}\left(\frac{\pi}{n}\right) \omega\left(\frac{\pi}{n}\right),$$

$$E_{n-1}(W^r H_\omega)_C = \frac{1}{2} \int_0^{\pi/n} \omega(t) \Phi_{nr}\left(\frac{\pi}{n} - t\right) dt \quad (r = 1, 2, \dots),$$

$$E_{n-1}(W^r H_\omega)_L = 2n \int_0^{\pi/n} \omega(t) \Phi_{n,r+1}\left(\frac{\pi}{n} - t\right) dt \quad (r = 0, 1, \dots).$$

In conclusion we note that equalities (1) give upper estimates for the widths of the classes  $W^r H_\omega$  in the spaces of continuous and summable functions. (In the case  $\omega(t) = Kt$ ,  $r = 0, 1, 2, \dots$ , and for convex  $\omega(t)$  with  $r \leq 3$ , the widths of these classes in the space of continuous functions with the uniform metric were computed in (<sup>12</sup>, <sup>10</sup>).

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*Note: Figure translations are in progress. See original paper for figures.*

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