

# ON A COMPACTIFICATION OF NONCOMPACT SYMMETRIC RIEMANNIAN SPACES

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**Abstract**

**Full Text**

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*MATHEMATICS*

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## ON A COMPACTIFICATION OF NONCOMPACT SYMMETRIC RIEMANNIAN SPACES

*(Presented by Academician I. G. Petrovskii on 10 VII 1969)*

1. Let  $\mathcal{E}$  be a noncompact symmetric Riemannian space of rank  $l$ , with maximal connected group of motions  $G$  and stationary subgroup  $U$  of some point  $x_0$  of it.

Denote the Lie algebras of the groups  $G$  and  $U$  by  $\mathfrak{G}$  and  $\mathfrak{U}$ ; denote by  $\mathcal{E}\langle \cdot, \cdot \rangle$  the invariant scalar product in the algebra  $\mathfrak{G}$  that gives rise to the Riemannian metric. The orthogonal complement  $\mathcal{L}$  of the subalgebra  $\mathfrak{U}$  in the algebra  $\mathfrak{G}$  is identified, as usual, with the tangent space of the space  $\mathcal{E}$  at the point  $x_0$ .

Let  $\mathfrak{h}$  be some Cartan subalgebra of the space  $\mathcal{E}$  contained in  $\mathcal{L}$ ,  $\Sigma = \Sigma(\mathfrak{h})$  its system of roots, and  $Z$  the set of integers. The set

$$I = I(\mathfrak{h}) = \left\{ \mu \in \mathfrak{h} \mid \frac{2\langle \mu, \alpha \rangle}{\langle \alpha, \alpha \rangle} \in Z \text{ for all } \alpha \in \Sigma \right\} \quad (1)$$

will be called the lattice of the space  $\mathcal{E}$ .

It is obvious that any two lattices of the space  $\mathcal{E}$  are conjugate with respect to the adjoint group  $\text{Ad}(G)$  of the group  $G$ .

Let  $(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$ , where  $1 \leq k \leq l$ , be an orthonormal root of pairwise commuting vectors of the space  $\mathcal{L}$ . Choose some Cartan subalgebra  $\mathfrak{h}$  of the space  $\mathcal{E}$  so that

$$\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1} \in \mathfrak{h}\mathcal{L}, \quad (2)$$

and select from the system of roots  $\Sigma = \Sigma(\mathfrak{h})$  and the lattice  $I = I(\mathfrak{h})$  the subsystem

$$\Sigma^{(k)} = \Sigma(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = \{ \alpha \in \Sigma \mid \langle \alpha, \mathfrak{h}_s \rangle = 0 \text{ for all } 0 \leq s \leq k-1 \} \quad (3)$$

and the sublattice

$$I^{(k)} = I(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = \{\mu \in I \mid \langle \mu, \mathfrak{h}_s \rangle = 0 \text{ for all } 0 \leq s \leq k-1\}. \quad (4)$$

We shall call the tuple  $(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$  admissible if, for every  $s$  ( $1 \leq s \leq k-1$ ),  $\mathfrak{h}_s \in ((I(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})))$  (the linear span of  $I$ ).

2. To the space  $\mathcal{E}$  with fixed point  $x_0$ , by means of its root system  $\Sigma$  and lattice  $I$ , one can associate a family of symmetric Riemannian spaces, which we shall call the family of boundary spaces, or  $\varepsilon$ -spaces, of the space  $\mathcal{E}$ .

An arbitrary  $\varepsilon$ -space of the space  $\mathcal{E}$  is constructed as follows. Let  $(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$ , where  $1 \leq k \leq l$ , be an arbitrary admissible tuple; let  $\mathfrak{h}$  be some Cartan subalgebra of the space  $\mathcal{E}$  satisfying (2); let  $G^{(k)} = G(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$  be the noncompact semisimple Lie group generated by the root subsystem (3);  $U^{(k)} = U(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = G^{(k)} \cap U$ , and  $H_{(k)} = H_{(k)}(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$  the connected noncompact commutative group corresponding to the orthogonal complement  $\mathfrak{h}_{(k)} = \mathfrak{h}(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$  of the space  $((\Sigma^{(k)}))$  in the space  $((I^{(k)}))$  (3,4).

The direct product  $\widehat{G}^{(k)}$  of the groups  $G^{(k)}$  and  $H_{(k)}$

$$\widehat{G}^{(k)} = \widehat{G}(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = G^{(k)} \cdot H_{(k)} \quad (5)$$

does not depend on the choice of the Cartan subalgebra  $\mathfrak{h}$  satisfying (2), and gives rise to the symmetric Riemannian space

$$S^{(k)} = S(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = \widehat{G}^{(k)} / U^{(k)} \quad (1 \leq k \leq l), \quad (6)$$

which is called the  $\varepsilon$ -space of the  $k$ -th degree of the space  $\mathcal{E}$ , corresponding to its admissible tuple  $(\mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$ .

The set of all  $\varepsilon$ -spaces (6), supplemented for generality of the discussion by the space

$$S^{(0)} = \mathcal{E}, \quad (7)$$

is the family of  $\varepsilon$ -spaces of the space  $\mathcal{E}$ .

Every  $\varepsilon$ -space  $S^{(k)}$  which does not degenerate into a point has non-positive curvature and is homeomorphic to Euclidean space. As a Riemannian space, it decomposes into the direct product

$$S^{(k)} = \mathcal{E}^{(k)} \times E^{(k)} \quad (8)$$

of the noncompact symmetric space

$$\mathcal{E}^{(k)} = \mathcal{E}(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = G^{(k)} / U^{(k)}$$

and the Euclidean space

$$E^{(k)} = E(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}) = H_{(k)}/\{e\}$$

( $e$  is the identity element of the group  $G$ ).

To each  $k$ -pencil  $F^{(k)}$  of geodesic  $\varepsilon$ -spaces of

$$S^{(k)} = S(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1})$$

(<sup>1</sup>) there corresponds, in a one-to-one manner, the  $\varepsilon$ -space

$$S^{(k)}(x_0^{(k)}, F^{(k)}) = S(x_0, \mathfrak{h}_0, \dots, \mathfrak{h}_{k-1}, \mathfrak{h}_k), \quad (9)$$

where  $\mathfrak{h}_k$  is the tangent vector with which the geodesic  $\gamma^{(k)} \in F^{(k)}$  passes through the point  $x_0^{(k)}$  with stationary subgroup  $U^{(k)}$ . The space (9) is called the  $\varepsilon$ -space of the  $k$ -pencil  $F^{(k)}$  of geodesics of the space  $S^{(k)}$ .

3. From the set of all projective representations of the motion group  $G$  of the space  $\mathcal{E}$ , one can single out a subset  $\theta$  of its so-called admissible representations, or  $\partial$ -representations. A real projective representation  $\varphi$  of the group  $G$  is called its  $\partial$ -representation if in its space  $P_\varphi$  there exists a point  $\varphi(x_0)$  satisfying the conditions:

$$U \subseteq G(\varphi, x_0), \quad P_\varphi = P(\varphi, x_0), \quad (10)$$

where  $G(\varphi, x_0)$  is the stationary subgroup of the point  $\varphi(x_0)$  in the group  $G$ , and  $P(\varphi, x_0)$  is the projective linear manifold of the space  $P_\varphi$  generated by the orbit  $\mathcal{E}_\varphi$  of the group  $G$  passing through the point  $\varphi(x_0)$ . We note that the space  $\mathcal{E}_\varphi$  is homeomorphic to the space  $\mathcal{E}$ . If the  $\partial$ -representation  $\varphi$  satisfies the equality  $U = G(\varphi, x_0)$ , then the space  $\mathcal{E}_\varphi$  is isomorphic to the space  $\mathcal{E}$  and is called a projective representation of the space  $\mathcal{E}$ , while its closure  $\overline{\mathcal{E}}_\varphi$  in the space  $P_\varphi$  is called a projective compactification (<sup>2,3</sup>).

4. Let

$$P_\theta = \prod_{\varphi \in \theta} P_\varphi$$

be the direct product of the spaces of all  $\partial$ -representations of the motion group  $G$  of the space  $\mathcal{E}$ , endowed with the Tikhonov topology. It is obvious that  $P_\theta$  is a compact metrizable space.

Let  $p = (p_\varphi)_{\varphi \in \theta}$  be an arbitrary point of the space  $P_\theta$ , and let

$$\theta(x_0) = (\varphi(x_0))_{\varphi \in \theta}$$

(see 3). It is not hard to show that the formula

$$\theta(g)p = (\varphi(g)p_{\varphi})_{\varphi \in \theta} \quad (p \in P_{\theta}, g \in G) \quad (11)$$

turns the group  $G$  into a topological group of transformations of the space  $P_{\theta}$ , in which the stationary subgroup  $G(\theta, x_0)$  of the point  $\theta(x_0)$  coincides

with stationary subgroup  $U$  of the point  $x_0$ . It follows from this that the orbit  $\mathcal{E}_{\theta}$  of the group  $G$ , passing through the point  $\theta(x_0)$ , is a representation of the space  $\mathcal{E}$ , and its closure  $\bar{\mathcal{E}}_{\theta}$  in the space  $P_{\theta}$  is a compactification of this space.

For any  $e$ -space (6) and its factors (8) one can also construct representations and compactifications in the space  $P_{\theta}$ . This is done as follows. In the space  $P_{\theta}$ , by means of a chain of limiting transitions,

$$\theta(x'_0) = \lim_{t \rightarrow +\infty} \theta(\exp \mathfrak{h}_0 t) \theta(x_0), \dots, \theta(x_0^{(k)}) = \lim_{t \rightarrow +\infty} \theta(\exp \mathfrak{h}_{k-1} t) \theta(x_0^{(k-1)})$$

one constructs the point  $\theta(x_0^{(k)})$ . The orbits  $S_{\theta}^{(k)}, \mathcal{E}_{\theta}^{(k)}, E_{\theta}^{(k)}$  passing through it of the groups  $\bar{G}^{(k)}, G^{(k)}, H_{(k)}$  are isomorphic to the spaces  $S^{(k)}, \mathcal{E}^{(k)}, E^{(k)}$  and are called their representations generated by the compactification  $\bar{\mathcal{E}}_{\theta}$ ; and the closures  $\bar{S}_{\theta}^{(k)}, \bar{\mathcal{E}}_{\theta}^{(k)}, \bar{E}_{\theta}^{(k)}$  of these orbits in the space  $P_{\theta}$  are compactifications of these spaces, also generated by the compactification  $\bar{\mathcal{E}}_{\theta}$ .

Let us describe the structure of the boundary  $\mathcal{J}_{\theta} = \bar{\mathcal{E}}_{\theta} \setminus \mathcal{E}_{\theta}$  of the compactification  $\bar{\mathcal{E}}_{\theta}$  and some of its connections with the geometry of the space  $\mathcal{E}$ . Let  $S^{(k)}$  ( $k \geq 0$ ) be an arbitrary  $e$ -space of the space  $\mathcal{E}$  not degenerating to a point (6,7); let  $\gamma^{(k)}$  be a geodesic of the space  $S^{(k)}$ ; let  $\mathfrak{h}_k$  be the tangent vector of the geodesic  $\gamma^{(k)}$  at some point  $x_0^{(k)}$ , and let  $\exp \mathfrak{h}_k t$  ( $-\infty < t < +\infty$ ) be the group of translations of the space  $S^{(k)}$  along the geodesic  $\gamma^{(k)}$ . The point

$$\theta(x_{\gamma^{(k)}}) = \lim_{t \rightarrow +\infty} \theta(\exp \mathfrak{h}_k t) \theta(x_0^{(k)}) \quad (12)$$

is naturally called the improper point of the geodesic  $\gamma^{(k)}$  in the compactification  $\bar{S}_{\theta}^{(k)}$ .

**Theorem 1.** *All geodesics belonging to one and the same  $\mathfrak{h}$ -bundle of the  $e$ -space  $S^{(k)}$  (1) have, in the compactification  $\bar{S}_{\theta}^{(k)}$ , a common improper point.*

Let  $F^{(k)}$  be an arbitrary  $k$ -bundle of geodesics of the space  $S^{(k)}$  (1), and let  $S^{(k)}(x_0^{(k)}, F^{(k)})$  be the corresponding  $e$ -space (9). We shall call the representation  $S_{\theta}^{(k)}(x_0^{(k)}, F^{(k)})$  of the space  $S^{(k)}(x_0^{(k)}, F^{(k)})$ , generated by the compactification  $\bar{\mathcal{E}}_{\theta}$ , the component of the boundary corresponding to the  $k$ -bundle  $F^{(k)}$ .

**Theorem 2.** *The boundary component*

$$S_{\theta}^{(k)}(F^{(k)}) = S_{\theta}^{(k)}(x_0^{(k)}, F^{(k)})$$

of any  $k$ -bundle  $F^{(k)}$  of geodesics of the space  $S^{(k)}$  does not depend on the choice of the initial point  $x_0^{(k)}$  and coincides with the set of improper points of all geodesics of this  $k$ -bundle in the compactification  $\overline{S}_\theta^{(k)}$ . It decomposes into the direct product

$$S_\theta^{(k)}(F^{(k)}) = \mathcal{E}_\theta^{(k)}(x_0^{(k)}, F^{(k)}) \times E_\theta^{(k)}(x_0^{(k)}, F^{(k)}) \quad (13)$$

of representations of the noncompact and Euclidean factors of the  $e$ -space  $S^{(k)}(x_0^{(k)}, F^{(k)})$ , and its foliations, generated by this decomposition, do not depend on the choice of the initial point  $x_0^{(k)}$ .

Let  $\Phi^{(k)}$  be the set of all  $k$ -bundles of the  $e$ -space  $S^{(k)}$  ( $k \geq 0$ ), and let  $\mathcal{T}_\theta^{(k)} = \overline{S}_\theta^{(k)} / S_\theta^{(k)}$ .

**Theorem 3.** For any  $e$ -space  $S^{(k)}$  ( $k \geq 0$ ) not degenerating to a point,

$$\mathcal{T}_0^{(k)} = \bigcup_{F^{(k)} \in \Phi^{(k)}} \overline{S}_\theta^{(k)}(F^{(k)}), \quad (14)$$

where

$$\overline{S}_\theta^{(k)}(F_1^{(k)}) \cap \overline{S}_\theta^{(k)}(F_2^{(k)}) = \emptyset \quad \text{for } F_1^{(k)} \neq F_2^{(k)}. \quad (15)$$

It follows directly from Theorem 3 that

**Theorem 4.** The family of representations of all  $k$ -spaces of the space  $\mathcal{E}$  of nonzero degrees ( $\theta$ ), generated by the compactification  $\mathcal{E}_\theta$ , is a decomposition of its boundary  $\mathcal{T}_\theta$ .

**5.** Let us compare the compactification  $\mathcal{E}_\theta$  with the compactification  $\overline{\mathcal{E}}$  of F. I. Karpelevich <sup>1</sup>.

**Theorem 5.** For any noncompact symmetric Riemannian space  $\mathcal{E}$ , the natural mapping  $\theta^{-1} : \mathcal{E}_\theta \rightarrow \mathcal{E}$  can be extended to a continuous surjection  $\overline{\theta}^{-1} : \overline{\mathcal{E}}_\theta \rightarrow \overline{\mathcal{E}}$ .

**Theorem 6.** If the rank of the space  $\mathcal{E}$  is greater than 1, then it is impossible to extend the natural mapping  $\theta : \mathcal{E} \rightarrow \mathcal{E}_\theta$  to a continuous mapping  $\overline{\theta} : \overline{\mathcal{E}} \rightarrow \overline{\mathcal{E}}_\theta$ .

**Remark 1.** For spaces  $\mathcal{E}$  of rank 0 or 1, the compactifications  $\mathcal{E}_\theta$  and  $\overline{\mathcal{E}}$  are equivalent.

**Remark 2.** From Theorems 5 and 6 it is clear that the compactification  $\overline{\mathcal{E}}_\theta$  has a more developed boundary than the compactification  $\overline{\mathcal{E}}$ .

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*Note: Figure translations are in progress. See original paper for figures.*

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