

# SOLUTION OF SOME PROBLEMS ON DYADIC BICOMPACTA

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**Abstract**

**Full Text**

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*MATHEMATICS*

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## **SOLUTION OF SOME PROBLEMS ON DYADIC BICOMPACTA**

*(Presented by Academician P. S. Aleksandrov, 20 XII 1968)*

Here we shall establish a certain connection between dyadicity, dimension, and metrizable, solve a number of problems posed in the papers <sup>(1, 2)</sup>, and give answers to questions proposed to the author at P. S. Aleksandrov's seminar at Moscow University. From the paper <sup>(3)</sup> we take all notation and concepts without further comment.

§ 1. **Openly dyadic bicompecta.** We shall call a bicompectum  $X$  openly dyadic if it is an open continuous image of a Tikhonov product of metric compacta

$$\prod_{\alpha \in A} R_{\alpha}.$$

The class  $\mathfrak{R}$  of openly dyadic bicompecta is the smallest class closed with respect to Tikhonov products and open mappings and containing all metric compacta. Every finite-dimensional bicompect topological group is an openly dyadic bicompectum. Let us note a number of specific properties of openly dyadic bicompecta.

Let  $X \in \mathfrak{R}$ . Then:

( $\alpha$ ). The closure of any open set  $U$  in  $X$  is of type  $G_{\delta}$ , and the nonempty kernel of any closed set  $F \subset X$  is of type  $F_{\sigma}$ . Moreover, this property is hereditary with respect to closed subsets of  $X$  of type  $G_{\delta}$ .

( $\beta$ ). If  $X$  is zero-dimensional, then  $X$  is an open image of  $D^{\tau}$ , where  $\tau = wX$ .

( $\gamma$ ). For any open mapping

$$f : \prod_{\alpha \in A} R_{\alpha} \rightarrow X$$

there exists an open mapping

$$g : \prod_{\alpha \in B} R_\alpha \rightarrow X,$$

where  $|B| = wX$ , such that  $f = g\pi$ , if  $\pi$  is the projection of

$$\prod_{\alpha \in A} R_\alpha \quad \text{onto} \quad \prod_{\alpha \in B} R_\alpha.$$

( $\delta$ ). The set

$$M_n = \{x \in X, \chi(x, X) \leq n\}$$

is closed, and moreover  $\chi(M_n, X) \leq n$  and  $wM_n \leq n$ . The set  $\{x \in X, \chi(x, X) \geq n^+\}$  is open. The set  $\{x \in X, \chi(x, X) = n^+\}$  is the intersection of an open set with a closed one. The set  $\{x \in X, \chi(x, X) \geq n\}$ , if  $n$  is the sum of a countable number of smaller cardinals, is of type  $G_\delta$ .

**Lemma 1.** If a bicom pactum  $X$  has property ( $\alpha$ ), then the boundary of every canonical closed subset  $X$  is of type  $G_\delta$ . Moreover, this property is hereditary with respect to closed subsets of  $X$  of type  $G_\delta$ .

**Lemma 2.** Let  $X$  be a locally connected bicom pactum, and let  $F$  be a closed zero-dimensional subset of type  $G_\delta$  in  $X$ . Then  $wF \leq \aleph_0$ , and for every point  $x \in F$  we have  $\chi(x, X) \leq \aleph_0^*$ .

**Theorem 1.** Every finite-dimensional (in the sense of ind) locally connected openly dyadic bicom pactum  $X$  is metrizable.

**Proof.** We shall prove that in  $X$  there exists an everywhere dense subset  $M$  with the first axiom of countability. It will follow from this that,

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\* Compare with the proof of Lemma 4 in (<sup>4</sup>). By  $\chi(F, X)$  we denote the character of  $F \subset X$ , and by  $wX$  the weight of  $X$ .

by virtue of one theorem of the author, the metrizability of  $X$  (see (2), p. 245, or (5), pp. 162-163). Let  $\text{ind } X = n$ . Without loss of generality we shall assume that  $X$  is connected. Otherwise  $X$  splits into a finite number of components. For every connected locally connected neighborhood  $V \subset X$  there exists a neighborhood  $U$  such that  $\overline{U} \subset V$  and  $\text{ind}(\text{Fr } U) \leq n - 1$ . Put  $L_1 = \overline{U} \setminus \text{int } \overline{U}$ . Since  $L_1 \subset \text{Fr } U$ , and by the monotonicity of the dimension ind for regular spaces ((5), p. 264), we have  $\text{ind } L_1 \leq n - 1$ . Since  $X$  is connected,  $L_1 \neq \emptyset$ . Applying Lemma 1, we obtain that  $\chi(L_1, X) \leq \aleph_0$ . Let  $\text{ind } L_1 = k_1$ . Clearly  $k_1 \leq n - 1$ . If  $k_1 = 0$ , then  $L_1$  is a closed zero-dimensional subset of type  $G_\delta$  lying in a locally connected bicom pactum. Hence by Lemma 2 for each point  $x \in L_1$  we have  $\chi(x, X) \leq \aleph_0$ , as required. Let  $k_1 \geq 1$ . We shall continue the reasoning by induction. Suppose that a nonempty closed  $L_i \subset V$  of type  $G_\delta$  in  $X$  has been

found, with  $0 \leq \text{ind } L_i \leq n-i$ . Let  $\text{ind } L_i = k_i$ . Clearly  $0 \leq k_i \leq n-i$ . If  $k_i = 0$ , then, as we showed above, our goal has been attained. If  $k_i \geq 1$ , then there exists an open  $W \subset L_i$  such that  $\text{ind}(\text{Fr } W) \leq k_i - 1$ . Put  $L_{i+1} = \overline{W} \setminus \text{int } \overline{W}$ . Clearly  $L_{i+1} \subset \text{Fr } W \subset L_i \subset V$  and  $\text{ind } L_{i+1} \leq n-i-1$ . Since, by assumption,  $\chi(L_i, X) \leq \aleph_0$ , by Lemma 1  $L_{i+1}$  is of type  $G_\delta$  in  $X$ . The induction is complete. Thus, in no more than  $n$  steps we shall find a nonempty  $L_n$  of type  $G_\delta$  in  $X$ , with  $\text{ind } L_n = 0$  and  $L_n \subset V$ . Hence by Lemma 2 there follows the existence of a point  $x \in V$  such that  $\chi(x, X) \leq \aleph_0$ . In view of the arbitrary choice of  $V$ , we obtain the required set  $M$ . The theorem is proved.

**Theorem 2.** *Every connected openly dyadic peripherally metrizable bicom pactum  $X$  is metrizable.\**

**Proof.** Again we prove that in  $X$  there exists an everywhere dense subset  $M$  satisfying the first axiom of countability. Let  $\mathfrak{U} = \{U\}$  be a base of open sets in  $X$  such that for every  $U \in \mathfrak{U}$  we have  $w(\text{Fr } U) \leq \aleph_0$ . Let  $V$  be an arbitrary open set in  $X$ , and let  $U \in \mathfrak{U}$  be such that  $\overline{U} \subset V$ . Put  $L = \overline{U} \setminus \text{int } \overline{U}$ . Then, by Lemma 1,  $\chi(L, X) \leq \aleph_0$ . On the other hand,  $L \subseteq \text{Fr } U$ , and consequently  $wL \leq \aleph_0$ . Since  $X$  is connected,  $L \neq \emptyset$ . Hence for every point  $x \in L$  we have

$$\chi(x, X) \leq \chi(x, L) \cdot \chi(L, X) \leq wL \cdot \chi(L, X) \leq \aleph_0 \cdot \aleph_0 = \aleph_0.$$

Since  $L \subset V$ , we have  $x \in V$ . Since  $V$  is an arbitrary open set, the set  $M$  of all points of countable character is dense in  $X$ . The theorem is proved.

Let us note that in proving Theorems 1 and 2 we used only dyadicity and property  $(\alpha)$ . Therefore the following problem naturally arises:

Is every dyadic bicom pactum satisfying condition  $(\alpha)$  openly dyadic?

Let us further note that Theorem 2 admits the following natural generalization.

**Theorem 3.** *If in a connected openly dyadic bicom pactum  $X$  there exists a  $\pi$ -base  $\mathfrak{U}$  such that for every  $U \in \mathfrak{U}$  it follows that  $w(\text{Fr } U) \leq \tau$ , then  $wX \leq \tau$ .*

## § 2. Wild dyadic bicom pacta

A dyadic bicom pactum  $X$  of weight  $\tau$  will be called **wild** if: a) for every open  $U \subset X$  we have  $wU = wX = \tau$ ; b) for every open  $U \subset X$  there exists a point  $x \in U$  such that  $\chi(x, X) < \tau$ .

Let us note that, as follows from one theorem of the author ((2), p. 231), the weight of any wild dyadic bicom pactum is a limit cardinal number. On the other hand, in (2), p. 234, it is shown that under the assumption (GCH)\*\* there does not exist a wild dyadic bicom pactum whose weight is an inaccessible number. However, the following is true

\* This theorem gives a partial answer to a question of V. V. Proizvolov.

\*\* (GCH) –generalized continuum hypothesis, (CH) –continuum hypothesis.

**Theorem 4.** For every singular cardinal number  $\tau$  there exists a wild dyadic bicom pactum  $S(\tau)$  of weight  $\tau$  which, first, is an open image of  $D^\tau$  and, second, an irreducible image of  $D^\tau$ .\*

We shall indicate only the construction of the bicom pactum  $S(\tau)$ . Denote by  $\mathfrak{D}_\tau = D^\tau \oplus (x)$  the topological sum <sup>(5)</sup> of  $D^\tau$  and an isolated point  $(x)$ ; by  $\mathfrak{F}_\tau$  the Aleksandrov compactification of the topological sum of a countable number of copies of  $D^\tau$ ; by  $\mathfrak{L}_\tau$  the space obtained from  $D^\tau$  by contracting to a point some closed nowhere dense subset of type  $G_\delta$ .

**Lemma 3.** If  $\tau \geq \aleph_1$ , then the spaces  $(\mathfrak{D}_\tau)^{\aleph_0}$ ,  $\mathfrak{F}_\tau$ , and  $\mathfrak{L}_\tau$  are homeomorphic to one another.

**Lemma 4.** The space  $\mathfrak{D}_\tau$  is an open image of  $D^\tau$ , and the space  $\mathfrak{L}_\tau$  is an irreducible image of  $D^\tau$ .

Let  $\tau = \sum_{\alpha \in A} \mathfrak{n}_\alpha$ ,  $\mathfrak{n}_\alpha < \tau$ ,  $|A| < \tau$  be a singular number. Then

$$S(\tau) = \prod_{\alpha \in A} \mathfrak{F}_{\mathfrak{n}_\alpha}$$

is the required wild dyadic bicom pactum of weight  $\tau$ .

**§ 3. Dyadicity and hypotheses of set theory.** Let  $X$  be a topological space,  $M \subset X$ , and  $x \in X$ . We shall call the local power  $\mathfrak{t}(x, M)$  of the set  $M$  relative to the point  $x \in X$  the number  $\mathfrak{t}(x, M) = \min |Ox \cap M|^{**}$ , if  $Ox \in \mathfrak{F}$ , where  $\mathfrak{F}$  is the filter of all neighborhoods of the point  $x$  in  $X$ . A point  $x \in X$  is called a point of extremal accumulation <sup>(1)</sup> for the set  $M$  if  $\mathfrak{t}(x, M) > \mathfrak{t}(y, M)$  for all  $y \in X$  and  $y \neq x$ . In other words, a point  $x \in X$  is a point of extremal accumulation for the set  $M$  if the function  $\mathfrak{t}(x, M)$ , defined on  $X$ , has an absolute maximum at the point  $x$ . The space  $X$  is called an  $\chi$ -space <sup>(1)</sup> if for every  $M \subset X$  and every point  $x \in \overline{M}$  there exists  $M' \subset M$  for which  $x$  is a point of extremal accumulation in  $X$ .

An easy consequence of Theorem 9 from the author' s paper <sup>(3)</sup> is the following

**Theorem 5 (CH).** Every nonmetrizable dyadic bicom pactum topologically contains the Stone-Ćech compactification  $\beta N$  of the natural numbers.\*\*\*

Since  $\beta N$  is not an  $\chi$ -space, while every closed subspace of an  $\chi$ -space is an  $\chi$ -space, we immediately obtain the following theorem, which is an answer to a question of A. V. Arhangel' skii and V. I. Ponomarev <sup>(1)</sup>.

**Theorem 6 (CH).** Every dyadic bicom pactum which is an  $\chi$ -space is metrizable.

One says that a space  $X$  satisfies Shanin's condition if every decreasing sequence, well ordered by the inclusion relation, of nonempty open subsets of  $X$  whose intersection is empty contains a countable cofinal subsequence. The following theorem is of interest in connection with the problem posed in <sup>(1)</sup>, p. 995. Let us note that we do not require Shanin's condition to hold hereditarily.

**Theorem 7 (CH).** Every Hausdorff space  $X$  with the first axiom of countability, satisfying Shanin's condition, is separable.

**Proof.** Since Shanin's condition implies Suslin's condition,  $X$  satisfies the first axiom of countability and Suslin's condition. Hence, by a theorem of I. Juhász and A. Hajnal <sup>(6)</sup>, it follows that  $|X| \leq \exp \aleph_0$ . If  $|X| \leq \aleph_0$ , then all is proved. If  $|X| = \aleph_1$ , let us enumerate the points of  $X$  by all ordinals  $\leq \omega_1$ . Thus, let

$$X = (x_1, x_2, \dots, x_\alpha, \dots, \alpha < \omega_1).$$

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\* This theorem is a solution of the problem posed in <sup>(2)</sup>, p. 235.

\*\*  $|A| = \text{card } A$ .

\*\*\* Let us note that in fact Theorem 5 is equivalent to the continuum hypothesis.

Put  $F_\alpha = \{x_\beta, \beta < \alpha\}$ ,  $\varphi_\alpha = \overline{F_\alpha}$ . Suppose that  $X$  is not separable. Then for all  $\alpha < \omega_1$  we have  $U_\alpha = X \setminus \Phi_\alpha \neq \emptyset$ . Hence the sequence  $U_1 \supset U_2 \supset \dots \supset U_\alpha \supset \dots$ ,  $\alpha < \omega_1$ , is a decreasing well-ordered sequence of nonempty open sets, and

$$\bigcap_{\alpha < \omega_1} U_\alpha = \bigcap_{\alpha < \omega_1} (X \setminus \Phi_\alpha) = X \setminus \bigcup_{\alpha < \omega_1} \Phi_\alpha = X \setminus X = \emptyset.$$

It is easy to show that this sequence contains no countable cofinal subsequence, which contradicts Shanin's condition. The theorem is proved.

**Corollary (CH).** *The Suslin continuum does not satisfy Shanin's condition.*

The following theorem is a solution of the problem posed in <sup>(2)</sup>, p. 237.

**Theorem 8 (GCH).** *The cardinality of a dyadic bicomactum is either a power of two or is the sum of a countable number of smaller cardinals.*

**Theorem 9.** *The following two conditions are equivalent:*

- a)  $(X \text{ is dyadic and } |X| \leq \exp \aleph_0) \Rightarrow (X \text{ is metrizable});$
- b)  $\exp \aleph_0 < \exp \aleph_1.$

The following theorem is a strengthening of a theorem of A. S. Esenin-Vol' pin <sup>(7)</sup>.

**Theorem 10.** *If the weight of a dyadic bicomactum  $X$  has uncountable cofinal character, then there exists in  $X$  a subset  $M$  such that  $\text{int } \overline{M} \neq \emptyset$  and for every point  $x \in M$  we have  $\chi(x, X) = wX$ .*

The following theorem gives an answer to a question posed to the author by M. Choban in connection with the work <sup>(8)</sup>.

**Theorem 11.** *Every generalized metrizable dyadic bicomactum is metrizable.*

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*Note: Figure translations are in progress. See original paper for figures.*

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