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Abstract

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MATHEMATICS

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ON FUNCTORS DEFINED BY REFLEXIVE K -SPACES

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Functors on the category of locally convex spaces were studied by G. H. Berman^(1,2). He constructed a duality theory for functors on the category \mathcal{FN} of nuclear Fréchet spaces. In particular, he studied the functor $\Lambda_{\mathfrak{M}}$, defined by the perfect space of sequences λ , which, from the point of view of the theory of K -spaces, is a discrete reflexive K -space of countable type^(3,4). In the present note, for each reflexive K -space X , endowed with a certain locally convex topology, a functor $\Phi_X^{\mathfrak{M}}$ is constructed, of which $\Lambda_{\mathfrak{M}}$ is a special case. We shall also consider a certain functor $\Omega_A^{\mathfrak{S}}$, and it turns out that on certain categories (containing \mathcal{FN}) functors of this type are isomorphic to Ω_A , Σ_A , $\Phi_X^{\mathfrak{M}}$, and also to the ε_A introduced in⁽⁵⁾.

In what follows X denotes a reflexive K -space, \bar{X} its conjugate, \mathfrak{M} a certain system of bounded absolutely convex normal subsets of \bar{X} covering \bar{X} (and called a topologizing system for X); if all the sets in \mathfrak{M} are $\sigma(\bar{X}, X)$ -bicomcompact, then \mathfrak{M} is called bicomcompact. By $X_{\mathfrak{M}}$ we denote the space X , endowed with the locally convex topology generated by the polars of the sets in \mathfrak{M} . Using the results of⁽⁶⁾, it is not difficult to show that among the spaces obtained in this way there is X_{τ} —the space X endowed with the Mackey topology $\tau(X, \bar{X})$; we also note that a subset of $X_{\mathfrak{M}}$ is bounded if and only if it belongs to the system \mathfrak{B} of $\sigma(X, \bar{X})$ -bounded subsets of X . Using the results of A. G. Pinsker⁽⁴⁾, Chap. IV, Theorems 1.31, 4.13), we realize X as the base of a K -space $L_{\text{loc}}^1(T; \mu)$, where T is a locally bicomcompact space which is a sum of extremally disconnected bicompacts; μ is a positive measure on T such that the families of locally μ -negligible⁽⁷⁾ and nowhere dense subsets of T coincide, and $L_{\text{loc}}^1(T; \mu)$ is the quotient space of the space $\mathcal{L}_{\text{loc}}^1(T; \mu)$ of locally summable functions by the subspace of locally μ -negligible functions. By θ we shall denote the canonical mapping of $\mathcal{L}_{\text{loc}}^1(T; \mu)$ onto $L_{\text{loc}}^1(T; \mu)$.

Let E denote a separable locally convex space, and \mathfrak{U} the family of its absolutely convex neighborhoods of zero. By $\mathcal{X}_{\mathfrak{M}}(E)$ (respectively $\mathcal{X}_{\mathfrak{M}}[E]$) we denote the space of μ -measurable functions from T into E such that for every continuous seminorm p on E , $\theta(pf) \in X$ (for every $a' \in E'$, $\theta(a'f) \in X$), endowed with the topology generated by the seminorms

$$f \mapsto p_{M^0}(\theta(p_U f))$$

(respectively

$$f \mapsto \sup_{a' \in U^0} p_{M^0}(\theta(a' f)),$$

where $M \in \mathfrak{M}$, $U \in \mathfrak{U}$. These spaces do not depend on the choice of the realization of X . By $X_{\mathfrak{M}}(E)$ (respectively $X_{\mathfrak{M}}[E]$) we denote the separable locally convex space associated with $\mathcal{X}_{\mathfrak{M}}(E)$ ($\mathcal{X}_{\mathfrak{M}}[E]$).

Theorem 1. If E is a nuclear space, then $X_{\mathfrak{M}}(E)$ is isomorphic to $X_{\mathfrak{M}}[E]$.

If E, F are locally convex spaces and \mathfrak{S} is a system of bounded subsets of E , then $L^{\mathfrak{S}}(E, F)$ is the space of continuous li-

linear maps from E to F , endowed with the \mathfrak{S} -topology; by \mathfrak{B} we shall denote the system of all bounded subsets of E , and by \mathfrak{E} that of all equicontinuous subsets of E' .

Theorem 2. Let E be a complete nuclear space, and let \mathfrak{M} be a bicomcompact topologizing system for \bar{X} .

Each of the following conditions is sufficient for $X_{\mathfrak{M}}(E)$ to be isomorphic to $L_{\mathfrak{M}}(X_{\mathfrak{M}}, E)$: a) E is dually nuclear ⁽⁸⁾; b) let \mathfrak{a} be the character of E ; if \mathfrak{F} is a collection of nowhere dense subsets T and $\text{card}(\mathfrak{F}) \leq \mathfrak{a}$, then $\bigcup \mathfrak{F}$ is nowhere dense.

Corollary. If, under the hypotheses of Theorem 2, the system \mathfrak{M} is bicomcompact, then

$$X_{\mathfrak{M}}(E) \simeq X_{\mathfrak{M}} \hat{\otimes} E.$$

In what follows, \mathcal{L} denotes the category of separated locally convex spaces, \mathcal{EL} the full subcategory of complete spaces in \mathcal{L} , and \mathcal{IT} the category of infrabarrelled spaces; we shall also consider the following full subcategories of \mathcal{EL} : \mathcal{BLT} , of barrelled spaces; \mathcal{N} , of nuclear spaces; \mathcal{DN} , of nuclear dually nuclear spaces; \mathcal{RN} , of reflexive nuclear spaces; and \mathcal{RDN} , of reflexive spaces in \mathcal{DN} .

For each space $X_{\mathfrak{M}}$ we define a functor $\Phi_X^{\mathfrak{M}}$ on \mathcal{L} as follows: $\Phi_X^{\mathfrak{M}} E = X_{\mathfrak{M}}(E)$, and for $\alpha \in L(E, F)$, $\Phi_X^{\mathfrak{M}} \alpha$ is the mapping induced by passage to quotient spaces from the mapping $f \mapsto \alpha f$ from $\mathcal{X}_{\mathfrak{M}}(E)$ to $\mathcal{X}_{\mathfrak{M}}(F)$. The functors Ω_A and Σ_A are the same as in (1). Let $A \in \mathcal{L}$; \mathfrak{S} is a system of bounded subsets of A covering A ; define the functor $\Omega_A^{\mathfrak{S}}$ on the category \mathcal{X} by

$$\Omega_A^{\mathfrak{S}} E = L_{\mathfrak{S}}(A, E), \quad \Omega_A^{\mathfrak{S}} \alpha = \Omega_A \alpha \quad (\alpha \in L(E, F)).$$

The functor $\Omega_A^{\mathfrak{E}}$ will also be denoted by Ψ_A .

The following assertions are valid:

- a) if $A \in \mathcal{BL}$, then Σ_A is isomorphic to Ψ_A on \mathcal{N} ; if $A \in \mathcal{N}$, then Σ_A is isomorphic to Ψ_A on \mathcal{BL} ;
- b) for every $A \in \mathcal{L}$, ε_A is isomorphic to $\Omega_{A_c}^{\mathfrak{E}}$ on \mathcal{L} ;
- c) on \mathcal{DN} , $\Phi_X^{\mathfrak{M}}$ is isomorphic to $\Omega_{X_\tau}^{\mathfrak{M}}$.

Proof. Assertion a) follows from Grothendieck's theorem (⁹, Ch. II, Theorem 6); b) from Corollary 2 to Proposition 4 (¹⁰); c) from Theorem 2.

If R and S are such functors that the class of mappings from R to S is a set, then by $H_b(R, S)$ we shall denote the space of mappings from R to S , endowed with the initial topology with respect to the family of mappings

$$P_E : H(R, S) \rightarrow L_{\mathfrak{B}}(RE, SE). \quad (1)$$

A functor R is called continuous on the category \mathcal{K} if, for every pair of spaces E, F from \mathcal{K} , the mapping

$$R : L_{\mathfrak{B}}(E, F) \rightarrow L_{\mathfrak{B}}(RE, RF)$$

is continuous; R is called regular on \mathcal{K} if $H_b(\Omega_A, R)$ is canonically isomorphic to the space RA for every A in \mathcal{K} (¹).

Theorem 3. For every $A \in \mathcal{L}$, the functor $\Omega_A^{\mathfrak{S}}$ is continuous on \mathcal{L} and regular on $F\mathcal{T}$.

Theorem 4. Let $A, B \in \mathcal{L}$; let \mathfrak{S} (respectively \mathfrak{T}) be a system of absolutely convex weakly bicomact subsets of A (respectively of B).

If for every $u \in L(A'_{\mathfrak{S}}, B'_{\mathfrak{T}})$ the adjoint mapping $u' \in L(B, A)$ (in particular, if B is a Mackey space), then

$$H_b(\Omega_A^{\mathfrak{S}}, \Omega_B^{\mathfrak{T}})$$

is isomorphic to

$$L_{\mathfrak{B}}(A'_{\mathfrak{S}}, B'_{\mathfrak{T}}).$$

By $\mathcal{D}S$ is denoted the functor dual (¹) to S .

Theorem 5. Let $A \in \mathcal{BL}$, and let the sets in \mathfrak{S} be relatively weakly bicomact. On the category \mathcal{N} we have: a) $\mathcal{D}\Omega_A^{\mathfrak{S}} = \Omega_{A'_c}$; b) if A_τ is barrelled, then

$$\mathcal{D}^2\Omega_A^{\mathfrak{S}} = \Omega_{A_\tau};$$

c) if A is reflexive, then Ω_A is reflexive.

Let us consider some consequences of these theorems.

Corollary 1. *Let X, Y be reflexive K -spaces, and let \mathfrak{M} (co-

respectively \mathfrak{N}) is a topologizing system for X (respectively Y); the functors $\Phi_X^{\mathfrak{M}}$ and $\Phi_Y^{\mathfrak{N}}$ are defined on \mathcal{DN} .

Then: a) $\Phi_X^{\mathfrak{M}}$ is continuous on \mathcal{DN} and regular on \mathcal{FDM} ; b) if the systems \mathfrak{M} and \mathfrak{N} are bicomact, then $H_b(\Phi_X^{\mathfrak{M}}, \Phi_Y^{\mathfrak{N}})$ is isomorphic to $L_{\mathfrak{B}}(X_{\mathfrak{M}}, Y_{\mathfrak{N}})$; c) if \mathfrak{M} is bicomact, then $\mathcal{D}\Phi_X^{\mathfrak{M}} = \Phi_X^{\mathfrak{B}}$; if $X_{\mathfrak{M}}$ is semireflexive, then $\mathcal{D}^2\Phi_X^{\mathfrak{M}} = \Phi_X^{\mathfrak{B}}$; if $X_{\mathfrak{M}}$ is a reflexive locally convex space, then $\Phi_X^{\mathfrak{M}}$ is reflexive.

Corollary 2. Let $A, B \in \mathcal{CL}$ (respectively \mathcal{N}); the functors $\Sigma_A, \Sigma_B, \Omega_A$ are defined on \mathcal{N} (respectively \mathcal{CL}).

Then: a) Σ_A is continuous on \mathcal{N} (respectively \mathcal{CL}) and regular on \mathcal{RN} (respectively \mathcal{LT}); Ω_A is regular on \mathcal{LT} ; b) $H_b(\Sigma_A, \Sigma_B)$ is isomorphic to $L_{\mathfrak{B}}(A, B)$; c) $\mathcal{D}\Omega_A = \Sigma_A$ on \mathcal{N} (respectively \mathcal{CL}); $\mathcal{D}\Sigma_A = \Omega_A$ on \mathcal{RN} (respectively \mathcal{LT}); d) Σ_A and Ω_A are reflexive on \mathcal{RN} (respectively \mathcal{LT}); if A is reflexive, then Σ_A is reflexive on \mathcal{N} .

Using Theorem 2.5 of paper ⁽²⁾, we obtain

Corollary 3. For every functor defined on \mathcal{N} , there exists a continuous dual functor defined on the category of complete spaces satisfying the approximation condition.

Remark 1. Along with the definition of a dual functor given in (1), it is natural also to consider the following definition. Let S be a functor from \mathcal{K} to \mathcal{L} ; by ∂S denote the functor acting as follows: for $E \in \mathcal{L}$,

$$\partial SE = H_b(S, \Psi_E);$$

if $u \in L(E, F)$, then

$$((\partial Su)\alpha)_X = (\Psi_{Xu})\alpha_X,$$

where $\alpha \in H_b(S, \Psi_E)$,

$$\alpha_X \in L_{\mathfrak{B}}(SX, L_{\varepsilon}(E'_c, X)) \simeq L_{\mathfrak{B}}(SX, L_{\varepsilon}(X'_c, E')),$$

$$(\Psi_{Xu})\alpha_X \in L_{\mathfrak{B}}(SX, L_{\varepsilon}(X'_c, F')) \simeq L_{\mathfrak{B}}(SX, L_{\varepsilon}(F'_c, X)) = L_{\mathfrak{B}}(SX, \Psi_{FX}).$$

With such a definition of duality, the notion of reflexivity of functors naturally arises, and it turns out that the functors Ω_A and Ψ_A are dual to one another and are reflexive on the category \mathcal{LT} in this sense.

Remark 2. We indicate one more generalization of the results of ^(1,2,5). We shall call a functor t from a category $\mathcal{K} \subset \mathcal{L}$ to the category of partially ordered sets O topologizing if tE , for every E in \mathcal{K} , is a saturated system of absolutely convex bounded subsets of E , and if for $\alpha \in L(E, F)$ there is a canonical distribution α on tE . Examples of topologizing functors on \mathcal{L} : b , bE is the collection of all bounded subsets of E ; p , pE is the collection of all precompact subsets of E ; c , cE is the saturated system generated by the absolutely convex bicomact subsets of E ; s , sE is the collection of finite-dimensional absolutely convex subsets of E . The pair $\langle \mathcal{K}, t \rangle$ will be called a topologized category. A functor $S : \mathcal{K}_1 \rightarrow \mathcal{K}_2$ will be called continuous from $\langle \mathcal{K}_1, t \rangle$ to $\langle \mathcal{K}_2, u \rangle$ if all the induced mappings $L_{tE}(E, F) \rightarrow L_{uSE}(SE, SF)$ are continuous. To functors in topologized categories one can extend the notions of regularity, duality, etc.

In conclusion I consider it my pleasant duty to express my deep gratitude to A. G. Pinsker and D. A. Raikov for their great attention to this work.

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