

# PALEY SINGULARITIES AND THE RUDIN- CARLESON INTERPOLATION THEOREMS FOR CERTAIN CLASSES OF ANALYTIC FUNCTIONS

MATHEMATICS

1968

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**Abstract**

**Full Text**

UDC 513.88 : 517.544

**MATHEMATICS**

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**PALEY SINGULARITIES AND THE RUDIN-CARLESON INTERPOLATION THEOREMS FOR CERTAIN CLASSES OF ANALYTIC FUNCTIONS**

*(Presented by Academician V. I. Smirnov on 17 III 1967)*

As is well known, the Fourier coefficients of a function continuous on the circle  $\{e^{it} : |t| \leq \pi\}$  may tend to zero very slowly even in the case when this function admits a continuous extension to a function holomorphic in the open unit disk

$$D = \{re^{it} : 0 \leq r < 1, |t| \leq \pi\}.$$

This circumstance manifests itself, in particular, in the so-called Paley singularities, which were the subject of investigation in papers <sup>(1,5-8)</sup>. In this connection let us also mention Banach's theorem <sup>(2)</sup>, which asserts, roughly speaking, that the Fourier coefficients of a continuous function, taken with indices forming a lacunary sequence, are indistinguishable from the Fourier coefficients of an arbitrary function of class  $L^2$ . On the other hand, Rudin <sup>(3)</sup> and Carleson <sup>(4)</sup> showed that from certain infinite subsets of the closed unit disk  $\bar{D}$ , every continuous function can be extended to a function holomorphic in  $D$  and continuous in  $\bar{D}$ .

In the present note we formulate assertions which generalize and refine some results of the papers of R. Paley <sup>(1)</sup>, S. B. Stechkin <sup>(5)</sup>, V. P. Khavin <sup>(6)</sup>, and A. S. Makhmudov <sup>(8)</sup> on singularities of Paley type (Theorem 1 and its corollaries). It turns out that the singularities studied by these authors already occur for functions analytic in the whole complex plane, except for the point  $z = 1$ , and continuous in the closed disk  $\bar{D}$ . In addition, a theorem is formulated which simultaneously generalizes the above-mentioned theorems of Banach and Rudin-Carleson (Theorem 3). In Theorems 4 and 5 we are concerned with certain singularities of power series uniformly convergent in  $\bar{D}$ .

Let us introduce some notation.

1°.  $\hat{C}$  is the extended complex plane,

$$D = \{z \in \hat{C} : |z| < 1\}, \quad \partial D = \{z \in C : |z| = 1\}, \quad \bar{D} = D \cup \partial D.$$

2°.  $G_\alpha$  is a domain in the extended complex plane such that:

a)  $D \subset G_\alpha$ , the point at infinity belongs to the domain  $G_\alpha$ ; b) the boundary  $\partial G_\alpha$  of the domain  $G_\alpha$  is a closed rectifiable Jordan curve containing the arc of the circle

$$\Gamma_\alpha = \{e^{i\theta} : |\theta| < \alpha\},$$

where  $0 < \alpha < \pi$ .

3°.  $A(G_\alpha)$  is the space of all functions  $f$  holomorphic in the domain  $G_\alpha$  and continuous in  $\overline{G_\alpha}$  ( $\overline{G_\alpha}$  is the closure of  $G_\alpha$ ), with norm

$$\|f\|_{A(G_\alpha)} = \sup_{z \in G_\alpha} |f(z)|.$$

4°.  $T$  is a closed set lying on the unit circle  $\partial D$  ( $T \neq \partial D$ ), and  $A_C(T)$  is the space of all functions holomorphic everywhere outside the set  $T$  (including the point at infinity) and continuous in the closed disk  $\overline{D}$ . In particular, if  $T = \{1\}$ , then  $A_0(\{1\})$  is the space of all functions holomorphic everywhere except  $z = 1$  and continuous in  $\overline{D}$ .

5°.  $E$  is an arbitrary infinite set consisting of nonnegative integers,

$$E_n = \{k \in E : k \leq n\},$$

where  $n$  is a natural number.

6°.  $d = \{d_k\}_{k \in E}$  is a family consisting of positive numbers.

7°.

$$M_n(p, d) = \sup_{\|f\|_{A(G_\alpha)} \leq 1} \left( \sum_{k \in E_n} |\hat{f}(k)|^p d_k^{2-p} \right)^{1/p}, \quad 0 < p < 2, \quad n = 1, 2, \dots,$$

where

$$\hat{f}(k) = \frac{1}{2\pi i} \int_{|z|=1} f(z) z^{-(k+1)} dz, \quad k = 0, 1, 2, \dots$$

8°.  $l^2(E)$  is the space of all families of complex numbers  $x = \{x_k\}_{k \in E}$  such that the norm

$$\|x\|_2 = \left( \sum_{k \in E} |x_k|^2 \right)^{1/2}$$

is finite.

9°.  $R$  is the linear operator acting from  $A_C(T)$  into  $l^2(E)$  as follows:  $Rf = \{\hat{f}(k)\}_{k \in E}$  ( $\hat{f}(k)$  is defined in 7°).

10°.  $S$  is the restriction operator, defined on the space of functions  $A_C(T)$  on the set  $Q$  ( $Q \subset \overline{D}$ ), i.e.

$$(Sf)(z) = f(z), \quad z \in Q, \quad f \in A_C(T).$$

**Theorem 1.** Let  $G_\alpha$  be the domain defined in 2°. Then there exists a finite positive constant  $B(\alpha, p)$  such that

$$B(\alpha, p) \left( \sum_{k \in E_n} d_k^2 \right)^{1/p-1/2} \leq M_n(p, d) \leq \left( \sum_{k \in E_n} d_k^2 \right)^{1/p-1/2}, \quad p \in (0, 2), \quad n = 1, 2, \dots$$

This theorem is a generalization of the well-known Paley theorem (see (1)).

**Corollary 1.** Let the family  $d = \{d_k\}_{k \in E}$  satisfy the condition

$$\sum_{k \in E} d_k^2 = +\infty.$$

Then there exists a function  $f$ , holomorphic everywhere except at the point  $z = 1$ , continuous in  $\bar{D}$  (i.e.  $f \in A_C(\{1\})$ ) and such that

$$\sum_{k \in E} |\hat{f}(k)|^p d_k^{2-p} = +\infty$$

for all  $p \in (0, 2)$ .

**Corollary 2.** Let  $\{t_k\}_{k=0}^\infty$  be a sequence of nonnegative numbers such that

$$\sum_{k=0}^\infty t_k^r = +\infty$$

for every  $r > 0$ . Then there exists a function  $f$  such that  $f \in A_C(\{1\})$  and

$$\sum_{k=0}^\infty t_k |\hat{f}(k)|^{2-\varepsilon} = +\infty \quad \text{for all } \varepsilon \in (0, 2).$$

Corollaries 1 and 2 are a generalization of certain results of V. P. Khavin contained in (6).

**Remark.** All results from (7,8) concerning the space of all functions holomorphic outside the ray  $[1, +\infty)$  and continuous in  $\bar{D}$  remain valid if this space is replaced by the space  $A_C(\{1\})$ .

**Definition.** We shall say that the set  $E$  satisfies the Hadamard condition (or is lacunary) if

$$\gamma = \inf_{\substack{0 < k < m \\ k, m \in E}} m/k > 1.$$

**Theorem 2.** Let the set  $E$  satisfy the Hadamard condition. Then

$$R(A_C(\{1\})) = l^2(E).$$

This theorem is a strengthening of the well-known theorem of Banach (2).

**Remark.** The Hadamard condition can be considerably weakened.

**Theorem 3.** Let the sets  $T$  and  $Q$ , appearing in 4° and 10°, coincide ( $Q = T$ ), and let  $\text{mes}T = 0$  (mes denotes Lebesgue measure on

circle  $\partial D$ , and the set  $E$  satisfies Adamyan's condition. Then for every function  $\psi$ , continuous on the set  $T$ , and for every family  $x = \{x_k\}_{k \in E} \in l^2(E)$ , there exists a function  $f \in A_C(T)$  such that  $Sf = \psi$  and  $Rf = x$ .

In particular, this theorem is a strengthening of the well-known theorem of Rudin-Carleson (see (3, 4)).

Denote by  $AU(\{1\})$  the space of all functions  $f$ , holomorphic everywhere except at the point  $z = 1$  and having a Maclaurin series uniformly convergent on the unit circle  $\partial D$ .

**Theorem 4.** Let  $\{d_k\}_{k=0}^\infty$  be a sequence of nonnegative numbers and  $p \in (0, 2)$ . Then, if

$$\frac{1}{\ln(n+2)} \left( \sum_{k=0}^n d_k^2 \right)^{1/p-1/2} \rightarrow +\infty, \quad n \rightarrow \infty,$$

there exists a function  $f$  such that:

1.  $f \in AU(\{1\})$ .
- 2.

$$\sum_{k=0}^{\infty} |\hat{f}(k)|^p d_k^{2-p} = +\infty.$$

**Corollary.** There exists a function  $f$  such that:

1.  $f \in AU(\{1\})$ .
- 2.

$$\sum_{k=0}^{\infty} |\hat{f}(k)|^{2-\varepsilon} = +\infty \quad \text{for all } \varepsilon \in (0, 2).$$

Denote by the symbol  $AU_0(\{1\})$  the space of all functions  $f$  such that  $f \in AU(\{1\})$  and

$$\hat{f}(n) = o\left(\frac{1}{n}\right), \quad n \rightarrow \infty$$

( $\hat{f}(n)$  is defined in 7°), and by the symbol  $C(Q)$  the space of all functions uniformly continuous on the set  $Q$  ( $Q \subset D$ ).

**Theorem 5.** Let the set  $Q$  ( $Q \subset D$ ) satisfy the condition: there exists a number  $\lambda \in [1, +\infty)$  such that

$$|1 - \eta| \leq \lambda(1 - |\eta|) \quad (\text{I})$$

for all  $\eta \in Q$ .

Then the following assertions are equivalent:

1.  $S(AU_0(\{1\})) = C(Q)$ .
2. The set  $Q$  satisfies Carleson's condition (see <sup>(10)</sup>), i.e.

$$\delta = \inf_{\xi \in Q} \prod_{\eta \in Q_\xi} \left| \frac{\xi - \eta}{1 - \xi\bar{\eta}} \right| > 0,$$

where  $Q_\xi = \{\eta \in Q : \eta \neq \xi\}$ .

**Remark.** It is interesting to compare the assertion of Theorem 5 with Theorem 1 from <sup>(9)</sup>, which is formulated as follows:

Let  $l_A^1$  denote the space of all functions  $f$  such that

$$f(z) = \sum_{k=0}^{\infty} \hat{f}(k)z^k, \quad z \in D, \quad \sum_{k=0}^{\infty} |\hat{f}(k)| < +\infty;$$

$S$  is the restriction operator:

$$(Sf)(z) = f(z), \quad z \in Q, \quad f \in l_A^1.$$

Then  $S(l_A^1) = C(Q)$  if and only if  $Q$  is a finite set.

At the same time, there exist infinite sets satisfying both condition (I) and Carleson's condition (see <sup>(10)</sup>). For example,  $Q = \{1 - 2^{-k} : k = 1, 2, \dots\}$ .

In conclusion, the author expresses his sincere gratitude to V. P. Havin for posing the problem and for his attention to the work.

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Received  
15 III 1957

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