

# ON THE REFLEXIVITY OF SOME COMBINATIONS OF PARTIALLY ORDERED SPACES

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**Abstract**

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*MATHEMATICS*

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## ON THE REFLEXIVITY OF SOME COMBINATIONS OF PARTIALLY ORDERED SPACES

*(Presented by Academician L. V. Kantorovich on 25 I 1967)*

1°. In the theory of  $K$ -spaces, an essential role is played by an operation, introduced by A. G. Pinsker, which makes it possible to construct, from a given family of  $K$ -spaces  $X_\xi$  ( $\xi \in \Xi$ ), numerous new  $K$ -spaces. This is the operation of **combination**, generalizing the direct-sum operation known in algebra. It is multivalued. The combination uniquely defined in <sup>(1)</sup> we call **complete**, in accordance with the terminology of <sup>(2)\*</sup>. It is the largest of all possible combinations; the others are normal subspaces of the complete one containing all  $X_\xi$  ( $\xi \in \Xi$ ). Notation:  $S_{\xi \in \Xi} X_\xi$ .

The smallest of the possible combinations we call **finite**—this is the direct sum of the spaces with coordinatewise order. In other words, the combination  $X = SX_\xi$  is called **finite** if, for any  $x \in X$ ,  $x = Sx_\xi$  ( $x_\xi \in X_\xi$ ),  $x_\xi \neq 0$  only for a finite number of indices  $\xi$ .

In this note the following problem is posed: given a family of reflexive (with respect to order)  $K$ -spaces  $X_\xi$  ( $\xi \in \Xi$ ); which combinations of these spaces are reflexive, and which are not? A particular case of combinations is that of discrete  $K$ -spaces, considered as combinations of one-dimensional spaces; therefore the question posed also contains another: what are the conditions for reflexivity of a discrete  $K$ -space? This question intersects with an analogous question from the theory of Köthe sequence spaces: which spaces are perfect (see <sup>(3)</sup>)? The concept of a reflexive  $K$ -space is close to the concept of a Köthe space introduced in <sup>(4)</sup>.

Reflexivity (with respect to order) of a  $K$ -space  $X$  was first considered by H. Nakano (see <sup>(5)</sup>). He showed that if in  $X$  there exists a sufficient set of fully linear functionals, then under the canonical embedding of  $X$  into  $\overline{X}^{**}$  by means of the correspondence  $x \rightarrow F_x$ ,  $F_x(f) = f(x)$ ,  $x \in X$ ,  $f \in \overline{X}$ ,  $X$  turns out to be a normal subspace in  $\overline{X}$ . If all of  $\overline{X}$  is exhausted by functionals of the form  $F_x$ , then the  $K$ -space  $X$  is called **reflexive\*\*\***. H. Nakano also established a certain general criterion of reflexivity (see <sup>(1)</sup>, p. 290).

Examples of reflexive combinations are the  $K$ -spaces  $l^p$  ( $p \geq 1$ ),  $m$ , and  $l[\Xi]$ —the space of functions nonzero at no more than a countable set of points of  $\Xi$  and such that

$$\sum_{\xi \in \Xi} |x(\xi)| < +\infty.$$

Examples of nonreflexive combinations are the space  $c_0$  of sequences converging to zero, and  $m[\Xi]$  ( $\Xi$  uncountable)—the  $K$ -space—

\* The elements of the complete combination are all possible families  $\{x_\xi\}_{\xi \in \Xi}$ ,  $x_\xi \in X_\xi$ . For example, the space of all real sequences  $s$  is the complete combination of one-dimensional spaces. In what follows we use, without special explanation, the terminology from (1).

\*\*  $\bar{X}$  is the  $K$ -space of fully linear functionals on  $X$ .

\*\*\* Reflexivity with respect to order differs from reflexivity with respect to the norm. Thus, for the  $KN$ -space  $m$ , the order dual is  $l$ , and it does not coincide with the norm dual.

of functions bounded with respect to  $\Xi$  and different from zero at no more than a countable set of points.

2°. It is quite easy to show that complete and finite sums of reflexive spaces are reflexive. With the aid of Theorems IX.4.6 and IX.4.7 from [1] one can prove that the  $KN$ -space of bounded elements with a sufficient set of completely linear functionals is reflexive. It is interesting that such a space is not norm-reflexive, except in the finite-dimensional case.

**Definition 1.** Let  $X = S_{\xi \in \Xi} X_\xi$  and  $\Xi' \subset \Xi$ . The set  $X'$  of all elements  $x \in X$  of the form  $x = Sx_\xi$ , where  $x_\xi = 0$  for  $\xi \in \bar{\Xi}'$ , forms a component in  $X$ . We shall call it a **subsum** of the sum  $X$ . Notation:  $X' = S_{\xi \in \Xi'} X_\xi$ .

**Definition 2.** We shall say that the sum  $X = S_{\xi \in \Xi} X_\xi$  has **property**  $(R_0)$  if in it one cannot choose a system of elements  $\{y_\xi\}_{\xi \in \Xi}$  such that: 1)  $y_\xi \in X_\xi^+$ ; 2) the system is unbounded, and 3) in every reflexive subsum  $X' = S_{\xi \in \Xi'} X_\xi$  there exists  $y' = S_{\xi \in \Xi'} y_\xi$ .

The space  $l[0, 1]$  has this property, while  $m[0, 1]$  does not.

**Theorem 1.** *In order that the sum  $X = SX_\xi$ , where all  $X_\xi$  are reflexive, be reflexive, it is necessary and sufficient that it have property  $(R_0)$ .*

This theorem helps to distinguish two types of sums for which the question of reflexivity is solved more simply.

**Definition 3.** Let  $X = S_{\xi \in \Xi} X_\xi$ , and let  $\{\Xi_\beta\}_{\beta \in B}$  be the collection of all countable subsets of  $\Xi$ . If every subsum  $X^{(\beta)} = S_{\xi \in \Xi_\beta} X_\xi$  ( $\beta \in B$ ) is reflexive, we shall call  $X$  a **countably reflexive** sum.

**Definition 4.** We shall say that the sum  $X = S_{\xi \in \Xi} X_\xi$  has **property**  $(R_1)$  if in it one cannot choose a system  $\{y_\xi\}_{\xi \in \Xi}$  such that: 1)  $y_\xi \in X_\xi^+$ ; 2) the system is unbounded, and 3) in every subsum  $X' = S_{\xi \in \Xi'} X_\xi$ , where  $\Xi'$  is countable, there is an element  $y' = S_{\xi \in \Xi'} y_\xi$ .

Let us note that if  $X$  is a countably reflexive sum, then formally property  $(R_1)$  is stronger for it than property  $(R_0)$ . The space  $l[0, 1]$  has property  $(R_1)$ , while  $m[0, 1]$  does not.

**Theorem 2.** *In order that a countably reflexive sum  $X = S X_\xi$  be reflexive, it is necessary and sufficient that it have property  $(R_1)$ .*

Thus, if  $X = |S X_\xi|$  is not reflexive, then either it is countably reflexive, and then Theorem 2 indicates the reason for the failure of its reflexivity, or it is not countably reflexive, and then some subsum  $X' = S_{\xi \in \Xi'} X_\xi$ ,  $\Xi'$  countable, is not reflexive. Hence one may restrict oneself to the investigation of sums of a countable set of reflexive spaces. We shall formulate this theorem in other terms.

**Theorem 2'.** *In order that a countably reflexive sum  $X = S_{\xi \in \Xi} X_\xi$  be reflexive, it is necessary and sufficient that it contain no linear substructure, isomorphic (linearly and structurally) to the space  $m[\Xi']$  ( $\Xi'$  uncountable) and situated in  $X$  so that, if  $y_\xi$  ( $\xi \in \Xi'$ ) are the elements of  $X$  corresponding under this isomorphism to the unit elements  $e_\xi \in m[\Xi']$ , then: 1)  $y_\xi \in X_\xi^+$  and 2)  $\{y_\xi\}_{\xi \in \Xi'}$  is unbounded.*

In the necessity part, the proofs of both theorems go through for arbitrary sums.

3°. **Definition 5.** Let  $Y$  be a  $K$ -space, and let  $\{X_\alpha\}_{\alpha \in A}$  be some system of its normal subspaces. The collection  $X$  of all such

elements  $x \in Y$  which are representable in the form

$$x = \sum_{i=1}^k x_{\alpha_i}, \quad x_{\alpha_i} \in X_{\alpha_i} *$$

we shall call the **sum** of the spaces  $X_\alpha$  ( $\alpha \in A$ ). Notation:

$$X = \sum_{\alpha \in A} X_\alpha.$$

The sum is also a  $K$ -space.

**Definition 6.** Let

$$X = \sum_{\xi \in \Xi} X_{\xi}.$$

If there exists a system of subsets  $\{\Xi_{\beta}\}_{\beta \in B}$  of the set  $\Xi$  such that each subconnection

$$X^{(\beta)} = \sum_{\xi \in \Xi_{\beta}} X_{\xi}$$

is reflexive and

$$X = \sum_{\beta \in B} X^{(\beta)},$$

we shall call  $X$  a **quasi-reflexive connection** of the spaces  $X_{\xi}$  ( $\xi \in \Xi$ ), and the system  $\{\Xi_{\beta}\}_{\beta \in B}$  the **carrier** of this connection.

Let us note that a single quasi-reflexive connection may have many different carriers, but we assume that some carrier has been chosen and fixed. Any subconnection

$$X' = \sum_{\xi \in \Xi'} X_{\xi}$$

of the quasi-reflexive connection

$$X = \sum_{\xi \in \Xi} X_{\xi}$$

with carrier  $\{\Xi_{\beta}\}_{\beta \in B}$  may be considered as an independent quasi-reflexive connection with carrier  $\{\Xi_{\beta} \cap \Xi'\}_{\beta \in B}$ . The question of the reflexivity of these connections is completely settled by Theorems 3, 4, and 5.

**Definition 7.** We shall call the carrier  $\{\Xi_{\beta}\}_{\beta \in B}$  of the quasi-reflexive connection

$$X = \sum_{\xi \in \Xi} X_{\xi}$$

**saturated** if: 1) among the  $\Xi_{\beta}$  ( $\beta \in B$ ) there is no finite number covering  $\Xi$ , and 2) whatever infinite  $\Xi' \subset \Xi$  is taken, there exists  $\Xi_{\beta}$  such that  $\Xi' \cap \Xi_{\beta}$  is infinite.

**Theorem 3.** *If, in the connection*

$$X = \sum_{\xi \in \Xi} X_{\xi}$$

with carrier  $\{\Xi_\beta\}_{\beta \in B}$ , every subconnection

$$X' = \underset{\xi \in \Xi'}{S} X_\xi,$$

whose carrier is saturated, is reflexive, then  $X$  itself is reflexive.

**Corollary.** For the reflexivity of the connection

$$X = \underset{\xi \in \Xi}{S} X_\xi$$

with carrier  $\{\Xi_\beta\}_{\beta \in B}$ , it is sufficient that it contain no subconnection

$$X' = \underset{\xi \in \Xi'}{S} X_\xi,$$

whose carrier  $\{\Xi_\beta \cap \Xi'\}_{\beta \in B}$  is saturated.

Let us note that the condition of the corollary concerns only the carrier. A trivial example of a carrier possessing the indicated property is furnished by the system of all finite subsets of an infinite set.

**Definition 8.** Let

$$X = \underset{\xi \in \Xi}{S} X_\xi$$

be a quasi-reflexive connection with carrier  $\{\Xi_\beta\}_{\beta \in B}$ . We shall say that it has **property**  $(R_2)$ , if in it one cannot choose a system  $\{y\}_{\xi \in \Xi}$  such that: 1)  $y_\xi \in X_\xi^+$ ; 2) the system is unbounded, and 3) in each subconnection

$$X^{(\beta)} = \underset{\xi \in \Xi_\beta}{S} X_\xi$$

there is an element

$$y^{(\beta)} = \underset{\xi \in \Xi_\beta}{S} y_\xi.$$

**Theorem 4.** In order that a quasi-reflexive connection

$$X = \underset{n \in N}{S} X_n$$

( $N$  is the natural series), whose carrier  $\{N_\beta\}_{\beta \in B}$  is saturated, be reflexive, it is necessary and sufficient that it have property  $(R_2)$ .

**Examples.** Consider all possible systems of almost disjoint\*\*\* subsets of  $N$ . Introduce in this collection the ordering by inclusion. Zorn's lemma guarantees the existence of maximal systems—

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\* This representation is unique for each  $x \in X$  only in the case when the  $X_\alpha$  ( $\alpha \in A$ ) are pairwise disjoint. In this case the sum becomes a finite connection.

\*\* A finite connection

$$X = \sum_{n \in N} X_n$$

with carrier  $\{n\}_{n \in N}$  does not have this property, whereas with carrier  $\{N\}$  it does.

\*\*\*  $N'$  is almost disjoint from  $N''$  if  $N' \cap N''$  is finite or empty.

system, while among them there are saturated ones. Let  $T = \{N_\beta\}_{\beta \in B}$  be such. Consider  $m$  as a union of one-dimensional  $X_n$  ( $n \in N$ ) and single out the system of subunions

$$m^{(\beta)} = \sum_{n \in N_\beta} X_n \quad (\beta \in B).$$

The quasireflexive union

$$m[\dot{T}] = \sum_{\beta \in B} m^{(\beta)}$$

does not possess property  $(R_2)$ , and therefore is not reflexive.

Similarly one constructs  $s[\dot{T}]$ ,  $l[\dot{T}]$ , etc. An example of a carrier not satisfying the condition in the corollary to Theorem 3 is provided by the system  $\{N_x\}_{x \in (0,1)}$  of almost disjoint sets, defined by Sierpiński in <sup>(6)</sup>, supplemented by the set

$$N_0 = N \setminus \bigcup_{x \in (0,1)} N_x.$$

Denote it by  $T^{(S)}$  and, as above, construct the reflexive spaces  $s[T^{(S)}]$ ,  $m[T^{(S)}]$ , and  $l[T^{(S)}]$ . These spaces will also be perfect sequence spaces of Köthe. The space  $\delta$ , introduced in <sup>(7)</sup>, is a quasireflexive union with a saturated carrier, not possessing property  $(R_2)$  (see also <sup>(3)</sup>).

The presence of property  $(R_2)$  in the quasireflexive union  $X = \sum X_n$  can be connected with the space  $m[\dot{T}]$ .

**Theorem 4.** *In order that the quasireflexive union*

$$X = \sum_{n \in N} X_n$$

be reflexive, it is necessary and sufficient that it contain no linear substructure isomorphic to the space  $m[\dot{T}]$  and situated in  $X$  in such a way that, if  $y_{n_k}$  ( $k = 1, 2, \dots$ ) are the elements of  $X$  corresponding under this isomorphism to the vectors  $e_k \in m[\dot{T}]$ , then: 1)  $y_{n_k} \in X_{n_k}$  ( $n_k$  are distinct for  $k = 1, 2, \dots$ ); 2) the system  $\{\lambda_k y_{n_k}\}_{k=1,2,\dots}$  is unbounded for arbitrary numbers  $\lambda_k \neq 0$ .

This theorem also contains one more necessary criterion for the reflexivity of an arbitrary union, since in proving the necessity of the condition of the theorem the quasireflexivity of  $X$  is not used. From Theorems 2, 3, and 4 it follows easily that

**Theorem 5.** *In order that the quasireflexive union*

$$X = \sum_{\xi \in \Xi} X_\xi$$

with carrier  $\{\Xi_\beta\}_{\beta \in B}$  be reflexive, it is necessary and sufficient that: 1)  $X$  possess property  $(R_1)$ , and 2) every subunion

$$X' = \sum_{\xi \in \Xi'} X_\xi,$$

for which  $\Xi'$  is countable and the carrier is saturated, possess property  $(R_2)$ .

4°. Let us formulate a third necessary criterion for the reflexivity of an arbitrary union.

**Definition 9.** We shall say that the union

$$X = \sum_{\xi \in \Xi} X_\xi$$

possesses **property**  $(R_3)$  if in it one cannot choose a system  $\{y_n\}_{n \in N}$  such that: 1)  $y_n \in X_{\xi_n}$ , the  $\xi_n$  being pairwise distinct; 2) the system is unbounded, and 3) for every sequence of numbers  $\lambda_n \rightarrow 0$ , the element

$$\sum_{n \in N} \lambda_n y_n$$

is contained in  $X$ .

Analogously to the preceding, this property is connected with the absence in  $X$  of a substructure linearly and structurally isomorphic to the space  $c_0$  and situated in  $X$  in a certain way.

**Theorem 6.** *In order that the union  $X = SX_\xi$  be reflexive, it is necessary that it possess property  $(R_3)$ .*

From the three criteria noted above one easily obtains three necessary criteria for the reflexivity of an arbitrary  $K$ -space.

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