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# CONTINUOUS LINEAR FORMS

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**Abstract**

**Full Text**

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*MATHEMATICS*

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## CONTINUOUS LINEAR FORMS

### ON INDUCTIVE LIMITS OF DERIVED STRAIGHTS

*(Presented by Academician S. N. Bernstein on May 6, 1966)*

Let  $(A_\iota, \varphi_{\iota\chi})$  be a projective system of sets with respect to a partially ordered set of indices  $I$ , filtered to the right. For each  $\iota \in I$  let  $\mathbf{R}^{A_\iota}$  be the vector space of all real-valued functions on the set  $A_\iota$ , endowed with the topology of pointwise convergence; for each pair of indices  $\iota \leq \chi$  let  $f_{\chi\iota} : \mathbf{R}^{A_\iota} \rightarrow \mathbf{R}^{A_\chi}$  be the mapping assigning to each function  $x_\iota$  on  $A_\iota$  the function  $x_\chi = x_\iota \circ \varphi_{\iota\chi}$  on  $A_\chi$ . Then the topological vector spaces  $\mathbf{R}^{A_\iota}$  ( $\iota \in I$ ) and the continuous linear mappings  $f_{\chi\iota}$  ( $\iota \leq \chi$ ) form an inductive system; the purpose of the present work is the study of continuous linear forms on the topological vector space

$$F = \varinjlim \mathbf{R}^{A_\iota},$$

which is the (locally convex) inductive limit of the system  $(\mathbf{R}^{A_\iota}, f_{\chi\iota})$ .

Let  $\mathfrak{A}_\iota = \mathfrak{P}(A_\iota)$  for each  $\iota \in I$  be the set of all parts of the set  $A_\iota$ , and let  $\psi_{\chi\iota}$ , for each pair of indices  $\iota \leq \chi$ , be the mapping  $\mathfrak{A}_\iota \rightarrow \mathfrak{A}_\chi$  assigning to each part of the set  $A_\iota$  its inverse image under the mapping  $\varphi_{\iota\chi}$ ; the sets  $\mathfrak{A}_\iota$  ( $\iota \in I$ ) and the mappings  $\psi_{\chi\iota}$  ( $\iota \leq \chi$ ) form an inductive system. A subset  $\mathfrak{M}$  of the set

$$\mathfrak{A} = \varinjlim \mathfrak{A}_\iota,$$

which is the inductive limit of the system  $(\mathfrak{A}_\iota, \psi_{\chi\iota})$ , will be called **final** if, for each  $\iota \in I$ , first, there exists only a finite number of elements in  $\mathfrak{M}$  having representatives in  $\mathfrak{A}_\iota$ , and, second, the representatives of these elements can be chosen pairwise disjoint in  $A_\iota$ .

Let  $m$  be an arbitrary element of the set  $\mathfrak{A}$  having a representative  $M_\iota \subset A_\iota$  in  $\mathfrak{A}_\iota$  for each  $\iota \geq \iota_0 = \iota_0(m)$ . Then the topological vector spaces  $\mathbf{R}^{M_\iota}$  ( $\iota \geq \iota_0$ ) and the continuous linear mappings  $\mathbf{R}^{M_\iota} \rightarrow \mathbf{R}^{M_\chi}$  (each of which is defined for  $\iota_0 \leq \iota \leq \chi$  and carries a function  $x_\iota$  on  $M_\iota$  to the function  $x_\chi = x_\iota \circ \varphi_{\iota\chi}$  on  $M_\chi$ ) form an inductive system. For each  $\iota \geq \iota_0$  define a continuous linear mapping

$$i_{m\iota} : \mathbf{R}^{M_\iota} \rightarrow \mathbf{R}^{A_\iota},$$

which assigns to each function  $x_\iota$  on  $M_\iota$  the function on  $A_\iota$  that coincides with  $x_\iota$  on  $M_\iota$  and is identically equal to zero outside  $M_\iota$ . Let

$$F_m = \varinjlim \mathbf{R}^{M_\iota}$$

be the inductive limit of the system of spaces  $\mathbf{R}^{M_\iota}$  ( $\iota \geq \iota_0$ ) and mappings  $\mathbf{R}^{M_\iota} \rightarrow \mathbf{R}^{M_\chi}$  ( $\iota \leq \chi$ ); since, for  $\iota \leq \chi$ , the composition  $f_{\chi\iota} \circ i_{m\iota}$  coincides with the composition of the mappings  $\mathbf{R}^{M_\iota} \rightarrow \mathbf{R}^{M_\chi}$  and  $i_{m\chi}$ , there is defined an (injective) continuous linear mapping

$$i_m : F_m \rightarrow F,$$

which assigns to each element  $x \in F_m$ , having representative  $x_\iota$  in  $\mathbf{R}^{M_\iota}$ , the element of  $F$  that is the canonical image of the element  $i_{m\iota}(x_\iota) \in \mathbf{R}^{A_\iota}$ .

Let

$$A = \varprojlim A_\iota$$

be the projective limit of the system  $(A_\iota, \varphi_{\iota\chi})$ , and let  $\varphi_\iota : A \rightarrow A_\iota$  ( $\iota \in I$ ) be the canonical mappings. For each  $\iota \in I$  define a continuous linear mapping  $h_\iota : \mathbf{R}^{A_\iota} \rightarrow \mathbf{R}^A$ , assigning to each function  $x_\iota$  on  $A_\iota$  the function  $x = x_\iota \circ \varphi_\iota$  on  $A$ . Since  $h_\iota(x_\iota) = h_\chi(x_\chi)$ , if the canonical images of the elements  $x_\iota \in \mathbf{R}^{A_\iota}$  and  $x_\chi \in \mathbf{R}^{A_\chi}$

coincide in  $F$ , and hence a continuous linear mapping has been defined,

$$h : F \rightarrow \mathbf{R}^A,$$

which assigns to each element  $x \in F$  the function  $x_\iota \circ \varphi_\iota$  on  $A$ , where  $x_\iota$  is a representative of the element  $x$  in  $\mathbf{R}^{A_\iota}$ . The main result of the paper is the following

**Theorem.** A continuous linear form  $s$  on  $F$  is representable in the form

$$s = t \circ h,$$

where  $t$  is some continuous linear form on  $\mathbf{R}^A$  (uniquely determined by the form  $s$ ), if and only if, whatever finite subset  $\mathfrak{M}$  of  $\mathfrak{A}$  is taken,

$$s \circ i_{\mathfrak{m}} = 0$$

for all but, possibly, finitely many elements  $\mathfrak{m} \in \mathfrak{M}$ .

**Proof.** Let  $s$  be an arbitrary continuous linear form on  $F$ , and let  $f_\iota$ , for each  $\iota \in I$ , be the canonical continuous linear mapping  $\mathbf{R}^{A_\iota} \rightarrow F$ . Then, for each  $\iota \in I$ , the composition  $s_\iota = s \circ f_\iota$  is uniquely representable in the form

$$x_\iota \rightarrow \sum_{\alpha \in A_\iota} x_\iota(\alpha) s_\iota(\alpha),$$

where  $\alpha \rightarrow s_\iota(\alpha)$  is a numerical function on  $A_\iota$ , for which the set  $\text{supp}(s_\iota)$  of those  $\alpha \in A_\iota$  such that  $s_\iota(\alpha) \neq 0$  is finite; moreover,

$$s_\iota(\alpha) = \sum_{\varphi_{\iota\chi}(\beta)=\alpha} s_\chi(\beta)$$

for any pair of indices  $\iota \leq \chi$  and every  $\alpha \in A_\iota$ . In particular,

$$\text{supp}(s_\iota) \subset \varphi_{\iota\chi}(\text{supp}(s_\chi)) \quad (\iota \leq \chi).$$

Let  $\mathfrak{M}$  be an arbitrary finite subset of  $\mathfrak{A}$ ; suppose that  $\mathfrak{M}$  is infinite and that  $s \circ i_m \neq 0$  for every  $m \in \mathfrak{M}$ . Then one can choose indices  $\iota_1 < \iota_2 < \dots$  so that, for each integer  $n > 0$ , the set  $\mathfrak{M}$  contains at least  $n$  elements having pairwise disjoint representatives  $M_{n,1}, \dots, M_{n,n}$  in  $A_{\iota_n}$ , for which

$$\text{supp}(s_{\iota_n}) \cap M_{n,k} \neq \emptyset \quad (n = 1, 2, \dots; k = 1, \dots, n).$$

Let  $n(s_\iota)$ , for each  $\iota \in I$ , be the number of elements of the set  $\text{supp}(s_\iota)$ ; then  $n(s_{\iota_k}) \geq k$  for every  $k = 1, 2, \dots$ , and, consequently, the family of numbers  $n(s_\iota)$  ( $\iota \in I$ ) is unbounded. On the other hand, if  $s = t \circ h$ , where  $t$  is some continuous linear form on  $\mathbf{R}^A$ , then, for each  $x \in F$ ,

$$s(x) = \sum_{\alpha \in A} y(\alpha) t(\alpha),$$

where  $y = h(x)$ , and  $\alpha \rightarrow t(\alpha)$  is a numerical function on  $A$ , nonzero only on some finite set  $\text{supp}(t)$ . In this case

$$\text{supp}(s_\iota) \subset \varphi_\iota(\text{supp}(t))$$

for every  $\iota \in I$ ; consequently, the numbers  $n(s_\iota)$  ( $\iota \in I$ ) are bounded above by the number of elements of the set  $\text{supp}(t)$ .

Conversely, suppose that  $s$  is a continuous linear form on  $F$  not representable in the form  $s = t \circ h$ . Then the numbers  $n(s_\iota)$  ( $\iota \in I$ ) cannot be bounded in the aggregate. Indeed, in the contrary case the family of these numbers stabilizes, since  $n(s_\iota) \leq n(s_\chi)$  for  $\iota \leq \chi$ . Replacing, if necessary, the set  $I$  by a cofinal subset, one may assume that  $\varphi_{\iota\chi}$  maps  $\text{supp}(s_\chi)$  bijectively onto  $\text{supp}(s_\iota)$ , whatever  $\iota \leq \chi$ . Then there exists in  $A$  a finite set  $M$  for which

$$\varphi_\iota(M) = \text{supp}(s_\iota)$$

for every  $\iota \in I$ ; since, moreover,  $s_\iota(\alpha) = s_\chi(\beta)$  if  $\alpha = \varphi_{\iota\chi}(\beta)$  and  $\beta \in \text{supp}(s_\chi)$ , the continuous linear form on  $\mathbf{R}^A$

$$x \rightarrow t(x) = \sum_{\alpha \in A} x(\alpha)t(\alpha),$$

where  $t(\alpha) = s_\iota(\varphi_\iota(\alpha))$  for  $\alpha \in M$  and  $t(\alpha) = 0$  for  $\alpha \notin M$ , is such that  $s = t \circ h$ , and the latter representation is unique, since the image of the mapping  $h$  is everywhere dense in  $\mathbf{R}^A$ .

Thus, one may suppose that the numbers  $n(s_\iota)$  ( $\iota \in I$ ) are not bounded in the aggregate. We construct by induction a sequence of indices  $\iota_1 < \iota_2 < \dots$  and a sequence of elements  $\alpha_n \in A_{\iota_n}$  ( $n = 1, 2, \dots$ ) in the following way. Choose the index  $\iota_1$  and the element  $\alpha_1 \in \text{supp}(s_{\iota_1})$  so that  $n(s_{\iota_1}) \geq 2$  and the number of elements of the set  $\text{supp}(s_\iota) \setminus \varphi_{\iota_1}^{-1}(\alpha_1)$  is not bounded for  $\iota > \iota_1$ . If the indices  $\iota_1 < \dots < \iota_{n-1}$  and the elements  $\alpha_1, \dots, \alpha_{n-1}$  have already been chosen, then we determine the index  $\iota_n$  and the element  $\alpha_n \in \text{supp}(s_{\iota_n})$  so that the number of elements of the set

$$\text{supp}(s_{\iota_n}) \setminus \bigcup_{m < n} \varphi_{\iota_m \iota_n}^{-1}(\alpha_m)$$

is  $\geq 2$ ,  $\varphi_{\iota_m \iota_n}(\alpha_n) \neq \alpha_m$  for  $m < n$ , and so that the number of elements of the set

$$\text{supp}(s_\iota) \setminus \bigcup_{m \leq n} \varphi_{\iota_m \iota}^{-1}(\alpha_m)$$

is not bounded for  $\iota > \iota_n$ . Let  $\mathfrak{m}_n$ , for each  $n = 1, 2, \dots$ , be the canonical image in  $\mathfrak{A}$  of the one-point set  $\{\alpha_n\} \in \mathfrak{A}_{\iota_n}$ ; since  $\alpha_n \in \text{supp}(s_{\iota_n})$ , the form  $s$  is such that  $s \circ i_{\mathfrak{m}_n} \neq 0$  for every  $n = 1, 2, \dots$ . According to the assumptions made, the set  $\mathfrak{M} = \{\mathfrak{m}_1, \dots\}$  cannot be final; therefore there exists  $\iota \in I$  such that all elements of some infinite part of the set  $\mathfrak{M}$  have representatives in  $\mathfrak{A}_\iota$ . We shall suppose that, for every  $n = 1, 2, \dots$ , the element  $\mathfrak{m}_n \in \mathfrak{A}$  has a representative  $M_n$  in  $\mathfrak{A}_\iota$ . Since  $\varphi_{\iota_m \iota_n}(\alpha_n) \neq \alpha_m$  for  $m < n$ , one may suppose that the sets  $M_n$  ( $n = 1, 2, \dots$ ) are pairwise disjoint. For every  $n = 1, 2, \dots$  define a function  $x_n$  on  $A_{\iota_n}$  by putting  $x_n(\alpha) = 0$  (for  $\alpha \in A_{\iota_n} \setminus \alpha_n$ ) and  $x_n(\alpha_n) = n/s_{\iota_n}(\alpha_n)$ . Then  $s(f_{\iota_n}(x_n)) = n$ , for every  $n = 1, 2, \dots$ ; consequently, the form  $s$  is not bounded on the sequence of elements  $f_{\iota_n}(x_n) \in F$  ( $n = 1, 2, \dots$ ). On the other hand,  $f_{\iota_n}(x_n) = f_\iota(y_n)$ , where  $y_n$  is a function on  $A_\iota$  which takes the value  $n/s_{\iota_n}(\alpha_n)$  on  $M_n$  and is equal to zero on  $A_\iota \setminus M_n$ . Since the sets  $M_n$  ( $n = 1, 2, \dots$ ) are pairwise disjoint, the functions  $y_n$  ( $n = 1, 2, \dots$ ) form a bounded sequence in  $R^{A_\iota}$ ; hence the sequence of elements  $f_\iota(y_n)$  is bounded in  $F$ . Since the form  $s$  is not bounded on the elements  $f_\iota(y_n)$  ( $n = 1, 2, \dots$ ), it cannot be continuous on  $F$ ; thus the theorem is proved.

**Corollary.** *Every continuous linear form  $s$  on  $F$  is uniquely representable in the form  $s = t \circ h$ , where  $t$  is some continuous linear form on  $\mathbf{R}^A$ , if every final subset in  $\mathfrak{A}$  is finite.*

Let  $\mathbf{R}^{(A_\iota)}$ , for every  $\iota \in I$ , be the vector space of all real numerical functions  $s_\iota$ , each of which is defined on  $A_\iota$  and is equal to zero everywhere outside some (depending on  $s_\iota$ ) finite set  $\text{supp}(s_\iota)$ . For each pair of indices  $\iota \leq \kappa$  let  $g_{\iota\kappa} : \mathbf{R}^{(A_\kappa)} \rightarrow \mathbf{R}^{(A_\iota)}$  be the mapping which assigns to each function  $s_\kappa \in \mathbf{R}^{(A_\kappa)}$  the function

$$\alpha \rightarrow s_\iota(\alpha) = \sum_{\varphi_{\iota\kappa}(\beta)=\alpha} s_\kappa(\beta),$$

belonging to the space  $\mathbf{R}^{(A_\iota)}$ . The vector spaces  $\mathbf{R}^{(A_\iota)}$  ( $\iota \in I$ ) and the linear mappings  $g_{\iota\kappa}$  ( $\iota \leq \kappa$ ) form a projective system. The projective limit

$$G = \varprojlim \mathbf{R}^{(A_\iota)}$$

of the system  $(\mathbf{R}^{(A_\iota)}, g_{\iota\kappa})$  will be identified with the vector space  $F'$ , conjugate to  $F$ .

For every  $\iota \in I$  a linear mapping  $k_\iota : \mathbf{R}^{(A)} \rightarrow \mathbf{R}^{(A_\iota)}$  is defined, assigning to each element  $s \in \mathbf{R}^{(A)}$  the function

$$\alpha \rightarrow s_\iota(\alpha) = \sum_{\varphi_\iota(\beta)=\alpha} s(\beta),$$

belonging to the space  $\mathbf{R}^{(A_\iota)}$ . Since  $k_\iota = g_{\iota\kappa} \circ k_\kappa$  for all  $\iota \leq \kappa$ , a linear mapping is defined,

$$k : \mathbf{R}^{(A)} \rightarrow G,$$

which assigns to each element  $s \in \mathbf{R}^{(A)}$  the element of  $G$  whose canonical projection in  $\mathbf{R}^{(A_\iota)}$  is equal to  $k_\iota(s)$ , for each  $\iota \in I$ . Since the image of the mapping  $h$  is everywhere dense in  $\mathbf{R}^A$ , the mapping  $k$  is injective.

Let an element  $\mathfrak{m} \in \mathfrak{A}$  have, for each  $\iota \geq \iota_0$ , a representative  $M_\iota$  in  $\mathfrak{A}_\iota$ . Then the vector spaces  $\mathbf{R}^{(M_\iota)}$  ( $\iota \geq \iota_0$ ) and the naturally defined linear mappings  $\mathbf{R}^{(M_\kappa)} \rightarrow \mathbf{R}^{(M_\iota)}$  ( $\iota \leq \kappa$ ) form a projective system (a projective spectrum), whose limit we denote by  $G_\mathfrak{m}$ . For each  $\iota \geq \iota_0$  define the restriction mapping  $j_{m_\iota} : \mathbf{R}^{(A_\iota)} \rightarrow \mathbf{R}^{(M_\iota)}$ ; since for every pair of indices  $\iota \leq \kappa$  the composition  $j_{m_\iota} \circ g_{\iota\kappa}$  coincides with the composition of the mappings  $j_{m_\kappa}$  and  $\mathbf{R}^{(M_\kappa)} \rightarrow \mathbf{R}^{(M_\iota)}$ , a (contractive) linear mapping is thereby defined,

$$j_\mathfrak{m} : G \rightarrow G_\mathfrak{m},$$

which assigns to each element  $s \in G$  the element  $j_\mathfrak{m}(s) \in G_\mathfrak{m}$  whose canonical image in  $\mathbf{R}^{(M_\iota)}$  is the restriction to  $M_\iota$  of the canonical image of the element  $s$  in  $\mathbf{R}^{(A_\iota)}$ , for each  $\iota \geq \iota_0$ .

The theorem proved in the present paper is equivalent to the following assertion:

*The image of the mapping  $k$  consists of those and only those elements  $s \in G$  for which, whatever final set  $\mathfrak{M} \subset \mathfrak{A}$  may be,  $j_{\mathfrak{m}}(s) = 0$  for every  $\mathfrak{m} \in \mathfrak{M}$ , except possibly for a finite number of them. In particular, the mapping  $k$  is an isomorphism of the space  $\mathbf{R}^{(A)}$  onto  $G$ , if every final subset in  $\mathfrak{A}$  is finite.*

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### CITED LITERATURE

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*Note: Figure translations are in progress. See original paper for figures.*

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