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Abstract

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MATHEMATICS

D. L. BERMAN

A GENERALIZED M. RIESZ FORMULA AND EXTENSION OF CONVOLUTION

(Presented by Academician S. N. Bernstein on 31 VIII 1966)

1°. Let Π_n denote the set of all trigonometric polynomials of order $\leq n$. Let

$$\Phi(t) = \sum_{k=0}^n r_k \sin(kt + \alpha_k). \tag{1}$$

The polynomial

$$\tilde{\Phi}(t) = r_n + 2 \sum_{k=0}^{n-1} r_k \cos[(n-k)t + \alpha_n - \alpha_k] \tag{2}$$

will be called the one associated with the polynomial $\Phi(t)$. By \tilde{C} and \tilde{L}_r we shall denote, respectively, the space of all 2π -periodic continuous functions and the space of all 2π -periodic functions summable with the r -th power. Any of the spaces mentioned will be denoted by the letter E^* . Clearly, Π_n may be regarded as a subspace of the space E . Put

$$\sigma(f, x) = \int_0^{2\pi} f(x + \theta) \Phi(\theta) d\theta; \tag{3}$$

$$\bar{U}(f, x) = \frac{\pi}{2n} \sum_{r=1}^{2n} f\left(x + \varphi_r - \frac{\alpha_n}{n}\right) (-1)^{r-1} \tilde{\Phi}\left(\varphi_r - \frac{\alpha_n}{n}\right), \quad \varphi_r = \frac{2r-1}{2n}\pi. \tag{4}$$

In (2) it was established that, for $t \in \Pi_n$,

$$\sigma(t, x) = \bar{U}(t, x), \quad -\infty < x < \infty. \tag{5}$$

Identity (5) will be called the generalized M. Riesz identity, since the M. Riesz formula (3, 4) follows from it; with the aid of this formula one obtains a very simple proof of the classical theorem of S. N. Bernstein, according to which

$$\|t'\| \leq n\|t\|.$$

The present note is devoted mainly to the application and generalization of identity (5).

2°. We shall consider the convolution (3) as a linear operation from Π_n into Π_n , where Π_n is considered as a subspace of the space E . Denote the norm of this operation by τ_n . Thus:

$$\tau_n = \sup_{\|t\| < 1, t \in \Pi_n} \|\sigma(t)\|.$$

We pose the following problem. Under what conditions does there exist a linear operation U from E into E , satisfying the conditions:

- 1) $U(t) = \sigma(t), \quad t \in \Pi_n$;
 - 2) $\|U\|_E^E = \tau_n$, where $\|U\|_E^E$ is the norm of the operation U from E into E .
- (6)

* Some theorems of this note are valid for the spaces E from (1).

Such an operation U we call an **extension of the convolution σ from Π_n to E** . It is well known that in the case of linear functionals this problem always has a positive solution.

Denote by Ω_n^Φ the set of all linear operations U from E into E satisfying condition (6). Introduce the quantity

$$\rho_n = \rho_n^\Phi(E) = \inf_{U \in \Omega_n^\Phi} \|U\|.$$

Theorem 1. Let the linear operation U_0 be an extension of the convolution (3) from Π_n to E . Then

$$\|U_0\| = \rho_n = \tau_n. \tag{7}$$

Proof. Since $U_0 \in \Omega_n^\Phi$, we have $\|U_0\| \geq \rho_n$. By assumption, $\|U_0\|_E^E = \tau_n$; hence

$$\tau_n \geq \rho_n. \tag{8}$$

On the other hand, for any $U \in \Omega_n^\Phi$,

$$\|U\| \geq \tau_n, \quad (9)$$

because U satisfies equality (6). In view of (9), we have

$$\tau_n \leq \rho_n. \quad (10)$$

From inequalities (8), (10) we conclude that (7) holds.

Theorem 2. If the kernel (1) is nonnegative, $\Phi(t) \geq 0$, $-\infty < t < \infty$, then the convolution (3) itself is its own extension from Π_n to E . Moreover,

$$\tau_n = \int_0^{2\pi} \Phi(t) dt.$$

Proof. It is obvious that

$$\tau_n \geq \|\sigma(1)\| = \int_0^{2\pi} \Phi(t) dt. \quad (11)$$

On the other hand, by virtue of the nonnegativity $\Phi(t) \geq 0$, $-\infty < t < \infty$,

$$\|\sigma(f)\| = \left\| \int_0^{2\pi} f(x+t)\Phi(t) dt \right\| \leq \int_0^{2\pi} \Phi(t) dt \|f\|.$$

Therefore

$$\tau_n \leq \|\sigma\|_E^E \leq \int_0^{2\pi} \Phi(t) dt. \quad (12)$$

From (11) and (12) it follows

Theorem 3. In the space \tilde{L}_2 , for a kernel $\Phi(t)$ of arbitrary sign, the convolution (3) itself is its own extension from Π_n to L_2 . Moreover,

$$\tau_n = \pi r_{j_0}, \quad r_{j_0} = \max \left\{ \max_{j=1,2,\dots,n} r_j, 2r_0 |\sin \alpha_0| \right\}.$$

Proof. It is easy to see that

$$\left\| \sigma \left(\frac{\cos kx}{\|\cos kx\|_{\tilde{L}_2}} \right) \right\| = \begin{cases} |2\pi r_0 \sin \alpha_0|, & k = 0, \\ \pi r_k, & k = 1, 2, \dots, n. \end{cases}$$

Therefore

$$\|\sigma\|_{\tilde{L}_2} \geq \tau_n \geq \pi r_{j_0}. \quad (13)$$

On the other hand, from Parseval's equality it follows that

$$\tau_n \leq \|\sigma\|_{\tilde{L}_2} \leq \pi r_{j_0}. \quad (14)$$

(13) and (14) imply Theorem 3.

* We assume that $\|1\| = 1$. The legitimacy of passing to the norm under the integral sign is easy to justify.

By virtue of Theorems 2 and 3 one might think that in any space E the convolution (3) is always its extension from Π_n to E . In fact this is not so. In the case of the spaces \tilde{C} and \tilde{L}_1 the situation changes substantially. This is seen from the following theorem.

Theorem 4. Let $E = \tilde{C}$ or $E = \tilde{L}_1$, and let the kernel $\Phi(t)$ be such that

$$\tilde{\Phi}\left(\varphi_r - \frac{\alpha_n}{n}\right) \geq 0, \quad r = 1, 2, \dots, 2n. \quad (15)$$

Then the extension of the convolution (3) from Π_n to \tilde{C} , or from Π_n to \tilde{L}_1^* , is the operator $\bar{U}(f, x)$, which is given by formula (4). Moreover, $\tau_n = \pi r_n$.

Proof. For definiteness we shall consider the case $E = \tilde{C}$. By identity (5) it is necessary only to show that $\|U\|_{\tilde{C}} = \tau_n$. Let $t_n^*(x) = \sin(nx + \alpha_n)$. One can verify that

$$\bar{U}(t_n^*, 0) = \frac{\pi}{2n} \sum_{r=1}^{2n} \tilde{\Phi}\left(\varphi_r - \frac{\alpha_n}{n}\right) = \pi r_n.$$

Consequently,

$$\|\bar{U}\|_{\tilde{C}} \geq \tau_n \geq \pi r_n. \quad (16)$$

On the other hand, from (4) it follows that for any $f \in \tilde{C}$

$$\|U(f)\| \leq \frac{\pi}{2n} \sum_{r=1}^{2n} \left| \tilde{\Phi}\left(\varphi_r - \frac{\alpha_n}{n}\right) \right|.$$

Hence, by inequalities (15), we obtain that

$$\|U\|_{\tilde{C}} \leq \pi r_n. \quad (17)$$

From (16) and (17) we conclude that $\|\bar{U}\|_{\tilde{C}} = \tau_n = \pi r_n$.

Theorem 5. If the kernel $\Phi(t)$ is the derivative of order k of the Dirichlet kernel

$$\Phi(t) = D_n^{(k)}(t), \quad k = 1, 2, \dots, \quad (18)$$

then the associated kernel $\tilde{\Phi}(t)$ is nonnegative on the whole real axis.

We indicate the proof. Since

$$D_n^{(k)}(t) = \sum_{\nu=1}^n \nu^k \sin\left(\nu t + \frac{k+1}{2}\pi\right), \quad k = 1, 2, \dots,$$

then, according to (2),

$$\tilde{D}_n^{(k)}(t) = n^k + 2 \sum_{\nu=1}^{n-1} \nu^k \cos(n-\nu)t, \quad k = 1, 2, \dots$$

Applying L. Fejér' s theorem (5), we see that

$$\tilde{D}_n^{(k)}(t) \geq 0, \quad -\infty < t < \infty, \quad k = 1, 2, \dots$$

From Theorems 4 and 5 there follows

Corollary 1. If $\Phi(t)$ is defined according to equality (18), then the extension of the convolution (3) from Π_n to \tilde{C} , or from Π_n to \tilde{L}_1 , is the operator (4), where $\tau_n = \pi n^k$.

3°. Equality (5) has so far been considered only for the case when $f \in \Pi_n$. If it is considered for arbitrary $f \in \tilde{C}$, then the following holds.

Theorem 6. For any $f \in \tilde{C}$ there exists a set M , consisting of at least $(2n+1)$ distinct points of $[0, 2\pi)$, such that

$$\bar{U}(f, x) = \sigma(f, x), \quad x \in M.$$

If $f \in \Pi_n$, then $M = (-\infty, \infty)$.

* We assume that \tilde{L}_1 consists of everywhere finite functions.

We outline the proof. By virtue of identity (5) and the linearity of the operators (3) and (4), we have

$$\bar{U}(f) - \sigma(f) = \bar{U}(f - s_n(f)) + \sigma(f - s_n(f)), \quad (19)$$

where $s_n(f)$ is the partial sum of order n of the Fourier series of f . Since Φ is a polynomial of order n , $\sigma(f - s_n(f)) = 0$. Therefore, by (19),

$$\bar{U}(f) - \sigma(f) = \bar{U}(f - s_n(f)). \quad (20)$$

Let us note that

$$\int_0^{2\pi} \bar{U}(f - s_n(f), x) e^{ikx} dx = 0, \quad k = 1, 2, \dots, n.$$

Consequently⁶, $\bar{U}(f - s_n(f), x)$ has in the interval $[0, 2\pi)$ no fewer than $(2n + 1)$ distinct zeros. We now apply equality (20). It is obvious that identity (5) is a consequence of Theorem 6.

Theorem 7. *If inequalities (15) are satisfied, then for every $f \in \tilde{C}$ there exists a set M , consisting of at least $(2n + 1)$ distinct points of $[0, 2\pi)$, such that*

$$|\sigma(f, x)| \leq \pi r_n \sup_{\varphi_r} \left| f \left(x + \varphi_r - \frac{\alpha_n}{n} \right) \right|, \quad x \in M.$$

If $f \in \Pi_n$, then $M = (-\infty, \infty)$.

We outline the proof. According to Theorem 6,

$$|\sigma(f, x)| \leq \sup_{\varphi_r} \left| f \left(x + \varphi_r - \frac{\alpha_n}{n} \right) \right| \frac{\pi}{2n} \sum_{r=1}^{2n} \left| \tilde{\Phi} \left(\varphi_r - \frac{\alpha_n}{n} \right) \right|, \quad x \in M. \quad (21)$$

Since inequalities (15) are satisfied, the sum on the right-hand side of (21) is equal to $2nr_n$.

Corollary 2. *For any $f \in \tilde{C}$ there exists a set M , consisting of at least $(2n + 1)$ distinct points of $[0, 2\pi)$, such that*

$$|s_n^{(k)}(f, x)| \leq n^k \sup_{r=1, 2, \dots, 2n} \left| f \left(x + \frac{2r - n - 2}{2n} \pi \right) \right|, \quad x \in M, \quad k = 1, 2, \dots.$$

If $f \in \Pi_n$, then $M = (-\infty, \infty)$.

This assertion follows from Theorems 7 and 5, when $\Phi(t) = D_n^{(k)}(t)$.

Theorem 8. Let $f(x)$ be continuous on $[-1, 1]$. Then there exists a set M , consisting of at least n points of $(-1, 1)$, such that

$$\left| \frac{d}{dx} s_n[f(\cos \theta), \arccos x] \right| \leq \frac{n}{\sqrt{1-x^2}} \sup_{\varphi_r} |f[\cos(\arccos x + \varphi_r)]|, \quad x \in M. \quad (22)$$

If f is a polynomial of degree n , then $M = (-1, 1)$.

It is clear that the most interesting case of (22) is the known inequality of S. N. Bernstein⁷, according to which

$$|P'(x)| \leq \frac{n}{\sqrt{1-x^2}} \max_{-1 \leq x \leq 1} |P(x)|, \quad -1 < x < 1,$$

if $P(x)$ is an algebraic polynomial of degree n .

Leningrad Institute of Soviet Trade
named after F. Engels

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Note: Figure translations are in progress. See original paper for figures.

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