

# ON GROUPS OF PRINCIPAL UNITS OF $\mathbb{Z}_p$ -EXTENSIONS OF A LOCAL FIELD

MATHEMATICS

1967

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**Abstract**

**Full Text**

UDC 519.49

*MATHEMATICS*

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## ON GROUPS OF PRINCIPAL UNITS OF $p$ -EXTENSIONS OF A LOCAL FIELD

*(Presented by Academician Yu. V. Linnik on 14 V 1966)*

Let  $k$  be a finite extension of the field of  $p$ -adic numbers of degree  $n$  (a local field), and let  $K/k$  be a normal  $p$ -extension with Galois  $p$ -group  $G$  of order  $m$ . The group of principal units  $E$  of the field  $K$  is a multiplicatively written module over the group ring  $O = Z[G]$  of the group  $G$  with coefficients in the ring of  $p$ -adic integers  $Z$ . It is of known interest to determine the structure of the  $O$ -module  $E$ .

We shall call two finitely generated  $O$ -modules similar if they differ from each other by a direct  $O$ -summand that is a free  $O$ -module. Since the Krull-Schmidt theorem is valid for finitely generated  $O$ -modules (see <sup>(1)</sup>, § 8), in studying the  $O$ -module  $E$  we may consider any  $O$ -module similar to it.

A prime element  $\Pi$  of the field  $K$  can be chosen so that  $\sigma(\Pi)/\Pi = \theta_\sigma \in E$  for all  $\sigma \in G$ . We pass in the group  $\{\Pi\} \times E$ , invariant with respect to the operators from  $G$ , to additive notation and embed it in an  $O$ -module  $A$ , allowing as coefficients at  $\Pi$  arbitrary integral  $p$ -adic numbers (and not only rational integers). The structure of the  $O$ -module  $A$  may, in a certain sense, be considered known; see <sup>(2,3)</sup>.

By  $I$  we denote the submodule of the ring  $O$  generated by the elements  $\sigma - 1$  ( $\sigma \in G$ ).

**Theorem 1.** *The  $O$ -module  $E$  is similar to a certain  $Z$ -splitting extension of the  $O$ -module  $A$  by means of  $I$ .*

Indeed, for the direct sum  $X = E \oplus Ox$  define  $O$ -homomorphisms  $i : I \rightarrow X$  and  $j : X \rightarrow A$ , putting

$$i(\sigma - 1) = -\theta_\sigma + (\sigma - 1)x; \quad j(\varepsilon) = \varepsilon \ (\varepsilon \in E), \quad j(x) = \Pi.$$

Then we shall have an exact sequence

$$0 \rightarrow I \xrightarrow{i} X \xrightarrow{j} A \rightarrow 0. \quad (1)$$

Let  $A$  and  $C$  be arbitrary  $O$ -modules and let  $0 \rightarrow C \xrightarrow{i} X \xrightarrow{j} A \rightarrow 0$  be some  $Z$ -splitting extension. Define a  $Z$ -homomorphism  $l: A \rightarrow X$  so that  $jl = 1$ . For  $\sigma \in G$  and  $a \in A$  put  $f(\sigma)(a) = i^{-1}(\sigma l(\sigma^{-1}a) - l(a))$ . This defines a 1-cocycle  $f$  on  $G$  with values in the group  $\text{Hom}_Z(A, C)$ . It is easily verified that the correspondence  $X \rightarrow f$  defines a natural isomorphism of the group  $\text{Ext}^*(A, C)$  of classes of equivalent  $Z$ -splitting extensions of the  $O$ -module  $A$  by means of  $C$  onto the group  $H^1(G, \text{Hom}_Z(A, C))$ .

In view of Theorem 1, to determine the  $O$ -module  $E$  one must find, in the group  $\text{Ext}^*(A, I)$ , which we identify with  $H^1(G, \text{Hom}_Z(A, I))$ , the element corresponding to the extension (1).

Let  $Q$  be the periodic part of the  $O$ -module  $A$ ;  $A_0 = A^G$  the subgroup of  $G$ -invariant elements in  $A$ ;  $\Delta$  the group of norms  $N(A)$  of elements of  $A$ , and  $D$  the set of those elements of  $A$  some multiple of which falls into  $A_0$ . For a finite  $p$ -group  $\mathfrak{A}$  we denote the group  $\text{Hom}(\mathfrak{A}, R^+/Z)$ , where  $R^+$  is the additive group of all  $p$ -adic numbers, by  $\text{Char } \mathfrak{A}$ , and its

elements characters of the group  $\mathfrak{A}$ . Let  $v$  be the valuation of the field  $K$ , considered as an element of the group  $\text{Hom}(A, Z)$ .

**Theorem 2.** There is a natural isomorphism

$$\text{Ext}^*(A, I) \approx \text{Char}(D/(\Delta + Q)).$$

Under this isomorphism the extension (1) corresponds to the character  $\chi$  for which

$$\chi(u \bmod (\Delta + Q)) = \frac{v(u)}{m} \bmod Z \quad (u \in D).$$

Denote by  $f$  the degree of inertia of the extension  $K/k$ .

**Theorem 3.** There is an exact sequence

$$0 \rightarrow \text{Char } H^1(G, Q) \xrightarrow{\lambda} \text{Ext}^*(A, I) \xrightarrow{\mu} \text{Char } G \quad (2)$$

with natural homomorphisms  $\lambda$  and  $\mu$ . If an element  $c \in \text{Ext}^*(A, I)$  corresponds to the extension (1), then the kernel of the character  $\mu(c) \in \text{Char } G$  coincides with the inertia subgroup  $G_0$  of the extension  $K/k$ , and

$$\mu(c)(\sigma) = \frac{1}{f} \bmod Z$$

for an automorphism  $\sigma \in G$  inducing on the inertia subfield the Frobenius automorphism. Furthermore,  $\mu(c)$  coincides with the composite homomorphism of the canonical sequence

$$G \rightarrow H^0(G, A) \xrightarrow{\delta} H^1(G, I) \rightarrow R^+/Z.$$

Suppose that  $K/k$  is a totally ramified extension. Since in this case  $\mu(c) = 0$ , there exists a uniquely determined element

$$\varphi \in \text{Char } H^1(G, Q)$$

such that  $\lambda(\varphi) = c$ . The character  $\varphi$  is determined in terms of the extension  $K/k$  as follows. Let

$$[g] \in H^1(G, Q)$$

be a cohomology class determined by a cocycle  $g \in Z^1(G, Q)$ . For some element  $u_g \in D$  we have

$$g(\sigma) = (\sigma - 1)u_g.$$

Put

$$\varphi([g]) = \frac{v(u_g)}{m} \bmod Z.$$

**Theorem 4.** If  $K/k$  is a totally ramified  $p$ -extension, then for the just-defined character  $\varphi$  from the group  $\text{Char } H^1(G, Q)$  we have  $\lambda(\varphi) = c$ .

The extension (1) will be  $O$ -split if and only if  $c = 0$ . This gives us the following result.

**Theorem 5.** If  $K/k$  is a totally ramified  $p$ -extension and if the maximal subfield of  $K$  radical over  $k$  is generated by adjoining roots of principal units of the field  $k$ , then the group  $E$ , as an  $O$ -module, is similar to the direct sum  $I \oplus A$ .

Let us now consider the case of a regular local field  $k$  (not containing a primitive root of degree  $p$  from 1). For regular  $k$ , the exact sequence (2) reduces to the isomorphism

$$\text{Ext}^*(A, I) = \text{Ext}(A, I) \approx \text{Char } G.$$

**Theorem 6.** If  $k$  is a regular local field, then the  $O$ -module  $E$  is similar to the tensor product  $I \otimes Y$  (over  $Z$ ), where the  $O$ -module  $Y$  is determined as the extension

$$0 \rightarrow Z \rightarrow Y \rightarrow I \rightarrow 0,$$

corresponding to a character

$$\chi \in \text{Char } G \approx \text{Ext}(I, Z),$$

whose kernel coincides with the inertia subgroup  $G_0$  of the extension  $K/k$ , and for which

$$\chi(\sigma) = \frac{1}{f} \bmod Z$$

for an automorphism  $\sigma \in G$  inducing on the inertia subfield the Frobenius automorphism.

In the paper [4], Theorem 6 was established (by another method) for the case when the minimal number of generators of the group  $G$  does not exceed  $n$ .

Keeping the regularity condition on  $k$ , suppose that the minimal number of generators of the group  $G$  is equal to  $d + 1$ , and that its generators  $\sigma_0, \sigma_1, \dots, \sigma_d$  are chosen so that

$$G/[G, G] = \{\bar{\sigma}_0\} \times \{\bar{\sigma}_1\} \times \dots \times \{\bar{\sigma}_d\}$$

(here  $\bar{\sigma}_i$  denotes the coset modulo the commutator subgroup  $[G, G]$  with representative  $\sigma_i$ ). Let

$a_i$  is the order of the element  $\bar{\sigma}_i$  ( $0 \leq i \leq d$ ). Consider the free  $O$ -module  $W$  of rank  $d + 1$  with free  $O$ -generators  $x_0, x_1, \dots, x_d$ . The mapping  $x_i \mapsto \sigma_i - 1$  defines an operator epimorphism  $W \rightarrow I$ . Denote its kernel by  $\Omega$ . The module  $\Omega$  is indecomposable<sup>4</sup> into a direct sum of  $O$ -modules. The elements

$$l_i = \sum_{\tau \in G} \tau(x_i) \quad (0 \leq i \leq d)$$

form a basis of the  $Z$ -lattice  $\Omega^G = W_G$ .

Denote by  $\Theta$  the kernel of the mapping

$$N : x \mapsto N(x) = \sum_{\tau \in G} \tau(x), \quad x \in \Omega.$$

The  $O$ -module  $\Theta$  is also indecomposable. Since the elements  $a_i l_i$  ( $0 \leq i \leq d$ ) form a basis of the  $Z$ -lattice  $N(\Omega)$ , we have  $a_i l_i = N(v_i)$  for some  $v_i \in \Omega$ . It is obvious that the module  $\Omega$  is generated by the submodule  $\Theta$  and the elements  $v_i$ , i.e.

$$\Omega = \{\Theta, v_0, v_1, \dots, v_d\}.$$

We may assume that  $\sigma_0$  induces the Frobenius automorphism on the inertia subfield. Let the rational integers  $r_i$  ( $1 \leq i \leq d$ ) be chosen so that  $\sigma_i \sigma_0^{-r_i} \in G_0$ . Then

$$G_0 = \{\sigma_0^f, \sigma_1 \sigma_0^{-r_1}, \dots, \sigma_d \sigma_0^{-r_d}\}.$$

Put

$$c_0 = a_0/f, \quad c_i = a_i r_i / f \quad (1 \leq i \leq d).$$

In the direct sum  $\Omega \oplus Ow$ , consider the submodule

$$M = \{\Theta, Iw, v_0 + c_0 w, v_1 + c_1 w, \dots, v_d + c_d w\}.$$

**Theorem 7.** *For regular  $k$ , the group of principal units  $E$  of the field  $K$ , as an  $O$ -module, is similar to the  $O$ -module  $M$  constructed above. If  $f > 1$  and  $c_i \equiv 0 \pmod{p}$  for all  $i = 0, 1, \dots, d$ , then the  $O$ -module  $M$  is indecomposable. If, however, at least one of the numbers  $c_i$  is not divisible by  $p$ , then  $M$  decomposes into a direct sum of an indecomposable  $O$ -module and a free  $O$ -module with one generator.*

Thus, if  $f > 1$ , the group  $E$  decomposes into a direct sum of a free  $O$ -module and a certain indecomposable  $O$ -module  $E_0$ . The  $Z$ -rank of the module  $E_0$  is determined by the following theorem.

**Theorem 8.** *If  $K/k$  is not a totally ramified extension of a regular local field  $k$ , then the group of principal units  $E$  of the field  $K$ , as an  $O$ -module, is similar to an indecomposable  $O$ -module  $E_0$ , which is a  $Z$ -lattice of rank  $(d + 1)m$ , if the inertia subfield inside  $K$  is embeddable in a cyclic ramified extension over  $k$ , and of rank  $dm$  in the opposite case.*

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Received  
28 IV 1966

## CITED LITERATURE

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*Note: Figure translations are in progress. See original paper for figures.*

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