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**Abstract**

**Full Text**

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## CYBERNETICS AND THE THEORY OF REGULATION

G. M. ADELSON-VELSKII, P. E. KUNIN, A. A. LEMAN

### ON ONE CLASS OF LEARNING RECOGNITION ALGORITHMS

*(Presented by Academician M. V. Keldysh on 29 VII 1966)*

Let  $A$  and  $B$  be disjoint subsets of a set  $C$ . An algorithm  $f$  is called a **recognition algorithm** for the sets  $A$  and  $B$  if, for every element  $X \in A \cup B$ , it either gives the answer that  $X \in A$  (or  $f(X) = A$ ), or that  $X \in B$  (or  $f(X) = B$ ), or refuses to answer ( $f(X) = 0$ ).

In this paper a class of learning algorithms is described which, from given finite subsets  $\bar{A} \subset A$  and  $\bar{B} \subset B$ , construct a recognition algorithm for the sets  $A$  and  $B$ .

In what follows it is assumed that  $C$  is the set of vertices  $X(x_1, x_2, \dots, x_n)$  of the  $n$ -dimensional unit cube; the coordinates  $x_1, x_2, \dots, x_n$  are called **features**.

M. M. Bongard <sup>(1)</sup> proposed finding combinations of features and their values that are characteristic of  $\bar{A}$  and  $\bar{B}$ . The proposed iterative algorithms make it possible, in finding such combinations, to avoid complete enumeration and, consequently, to find combinations of a large number of features.

**Definition 1.** The **distance** between points  $X \in C$  and  $Y \in C$  in the system of features  $(i_1, i_2, \dots, i_k)$  is called

$$\rho_{i_1, i_2, \dots, i_k}(X, Y) = \sum_{l=1}^k |x_{i_l} - y_{i_l}|. \quad (1)$$

**Definition 2.** A **tube**  $T\{(i_1, i_2, \dots, i_k); X^0; r\}$  is the set of points  $X \in C$  for which

$$\rho_{i_1, i_2, \dots, i_k}(X, X^0) \leq r. \quad (2)$$

The features  $x_{i_1}, x_{i_2}, \dots, x_{i_k}$  are called **essential** for the tube  $T$ ,  $X^0$  is called the **center**, and  $r$  the **radius** of the tube. Obviously, the center of the tube  $T$  is any point of the hyperplane  $\{x_{i_l} = x_{i_l}^0\}$ , ( $l = 1, 2, \dots, k$ ).

**Definition 3.** A tube  $T$  is called  $q$ -**distinguishing** for the sets  $M$  and  $N$  and the function  $\varphi(x)$ , if

$$\frac{\nu[T \cap M \cap \Phi_-] + \nu[T \cap N \cap \Phi_+] + \nu[T \cap (M \cup N) \cap \Phi_0]}{\nu[T \cap (M \cup N)]} < q, \quad (3)$$

where  $\nu[\Delta]$  is the number of elements of the set  $\Delta$ ;  $\Phi_0, \Phi_-$ , and  $\Phi_+$  are, respectively, the sets of points  $X \in C$  for which  $\varphi(X) = 0$ ,  $\varphi(X) < 0$ ,  $\varphi(X) > 0$ . A special case of  $q$ -distinguishing tubes are  $q$ -distinguishing pure  $M$ -tubes  ${}^M T$ , for which  $\varphi(X) = 1$ , and pure  $N$ -tubes  ${}^N T$ , for which  $\varphi(X) = -1$ .

**Definition 4.** A system of tubes  $\{T_1, T_2, \dots, T_s\}$  is called **complete** for a set  $D$ , if  $D \subset \bigcup_i T_i$ .

Let there exist for the set  $A \cup B$  a complete system of  $q$ -distinguishing tubes  $\{T_1, T_2, \dots, T_s\}$ , where  $s \ll \nu[A \cup B]$ .

Obviously, for any subsets  $\bar{A} \subset A$  and  $\bar{B} \subset B$  there also exists a complete system of  $q$ -distinguishing tubes.

The algorithm for constructing  $q$ -distinguishing pure  $\bar{A}$ -tubes is as follows.

Let  $T\{(i_1, i_2, \dots, i_k); X^0; R\}$  be some tube,  $0 \leq \delta_0 \leq \delta_1 \leq 1$ ,

$$\sigma_{\bar{A}, l} = \sum_{X \in \bar{A} \cap T} x_l / \nu[\bar{A} \cap T], \quad l = 1, 2, \dots, n. \quad (4)$$

The feature  $x_l$  is declared essential for the tube  $\tilde{T}_r\{(\tilde{i}_1, \tilde{i}_2, \dots, \tilde{i}_k); \tilde{X}^0; r\}$  if  $\sigma_{\bar{A}, l} \leq \delta_0$  or  $\sigma_{\bar{A}, l} \geq \delta_1$ ; in the first case  $\tilde{x}_l^0 = 0$ , in the second  $\tilde{x}_l^0 = 1$ .

Let

$$\Psi(\tilde{T}_r) = \Psi(\nu[\bar{A} \cap \tilde{T}_r], \nu[\bar{B} \cap \tilde{T}_r]), \quad (5)$$

where  $\Psi(n_1, n_2)$  is a given function, monotonically increasing in the first variable and monotonically decreasing in the second. The radius  $\tilde{R}$  of the tube  $\tilde{T}\{(\tilde{i}_1, \tilde{i}_2, \dots, \tilde{i}_k); \tilde{X}^0; \tilde{R}\}$  is chosen so that the value  $\Psi(\tilde{T}_R)$  is maximal among all  $\Psi(\tilde{T}_r)$  for  $\nu[\bar{A} \cap \tilde{T}_r] > \gamma$ . Thus, the algorithm is iterative.

As the initial center one may choose an arbitrary point  $X \in \bar{A}$ , and as the essential features of the initial tube, all features  $x_1, x_2, \dots, x_n$ . The number of iterations may be specified in advance; one may also continue the iterations as long as the quality of the tubes obtained does not deteriorate. For the last iteration, in addition, it is required that  $\tilde{T}$  be a  $q$ -distinguishing pure  $\bar{A}$ -tube, i.e.  $\Psi(\tilde{T}) < q$ .

The process described above may also fail to lead to the construction of a  $q$ -distinguishing pure tube; in that case, a new point  $X \in \bar{A}$  must be chosen as the initial one.

The bounds  $\delta_0$  and  $\delta_1$  may depend on the feature number  $l$ . In one variant of the algorithm these bounds are determined by the formulas

$$\delta_{0,l} = \sum_{X \in \bar{B}} x_l / \nu[\bar{B}] - \Delta_0, \quad (6)$$

$$\delta_{1,l} = \sum_{X \in \bar{B}} x_l / \nu[\bar{B}] + \Delta_1, \quad (6')$$

where the standards  $\Delta_0, \Delta_1$  are given.

Suppose that a system of  $q$ -distinguishing pure  $\bar{A}$ -tubes  $T_1, \bar{A}T_2, \dots, \bar{A}T_\alpha$  has already been constructed. If it is not complete for the set  $\bar{A}$  and not all points  $X \in \bar{A}$  have been tried as initial centers, then the process of constructing new  $q$ -distinguishing pure  $\bar{A}$ -tubes can be continued. As the initial center one chooses, for example, a point  $X \in \bar{A}$  that is farthest from all already constructed centers (in the metrics of the corresponding tubes). A system of  $q$ -distinguishing pure  $\bar{B}$ -tubes is constructed analogously.

After a system of  $q$ -distinguishing pure  $A$ - and  $B$ -tubes has been obtained, the algorithm  $f$  is constructed so that

$$f(X) = A, \quad \text{if } X \in \bigcup_i \bar{A}T_i \setminus \bigcup_j \bar{B}T_j,$$

$$f(X) = B, \quad \text{if } X \in \bigcup_j \bar{B}T_j \setminus \bigcup_i \bar{A}T_i,$$

$$f(X) = 0 \quad \text{in all other cases.}$$

The variant of the algorithms of this class described above was implemented as a computer program. Testing of the algorithm showed that it successfully finds pure  $A$ - and  $B$ -tubes for which the probability of is sufficiently large ( $c > 1/\sqrt{m}$ ). At the same time, when the number of elements is small

The  $\bar{A} \cup \bar{B}$  algorithm has a tendency to create “prejudices,” i.e., to seek pure  $\bar{A}$ - and  $\bar{B}$ -tubes that are not  $A$ - and  $B$ -tubes.

The process of constructing impure  $q$ -distinguishing tubes is also iterative. The function  $\varphi(X)$  is defined as follows. Let  $T\{(i_1, i_2, \dots, i); X^0; r\}$  be a tube; then

$$\varphi(X) = \omega_{\bar{A}}(X) / \{\omega_{\bar{A}}(X) + \omega_{\bar{B}}(X) - 1/2\},$$

where

$$\omega_{\Delta}(X) = \nu[\Delta \cap T] \prod_i \frac{\nu\{X' \in \Delta \cap T : x'_i = x_i\}}{\nu[\Delta \cap T]},$$

the product being taken over all features that are inessential for the tube  $T$ . These formulas follow from the assumption that the features inessential for the tube  $T$  are uncorrelated for the elements of the tube, and that the mean values of these features are close to the probabilities  $P\{x_i = 1\}$ .

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## CITED LITERATURE

1. M. M. Bongard, *Biofizika*, **6**, No. 2 (1961).

*Note: Figure translations are in progress. See original paper for figures.*

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