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# ON CONSTRUCTIVE SETS WITH EQUALITY

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**Abstract**

**Full Text**

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*MATHEMATICS*

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## **ON CONSTRUCTIVE SETS WITH EQUALITY AND THEIR MAPPINGS**

*(Presented by Academician P. S. Novikov on May 3, 1966)*

The present note belongs to the constructive trend in mathematics ( $\hat{1}$ ).

The term **set** is used here as a synonym for the term “condition with one parameter” ( $\hat{2}$ , § 7). If  $a$  is a condition with one parameter and  $x$  is a constructive object satisfying the condition  $a$ , then  $x$  is called an **element of the set**  $a$ . We shall consider only such sets whose elements are words.

Let  $a$  be a set. Suppose that, for the elements of this set, a reflexive, symmetric, and transitive relation has been introduced (by means of a two-place predicate). In this case we shall say that an equality relation has been introduced in the set  $a$ , and any two elements of this set that stand in the equality relation will be called **equal**. The pair of objects consisting of the set  $a$  and the predicate defining equality of the elements of the set  $a$  will be called a **set with equality**, or, briefly, a  **$p$ -set**; the set  $a$  itself will be called the **base** of this  $p$ -set.

Let  $\mathcal{A}$  be a  $p$ -set. By **elements** of this  $p$ -set we shall mean the elements of the base of this  $p$ -set. If  $x$  and  $y$  are elements of the  $p$ -set  $\mathcal{A}$ , then the notation  $x = y$  will mean that  $x$  and  $y$  are equal elements.

The concepts of a set and a  $p$ -set are made precise with the aid of some definite logical-mathematical language. The questions considered in this note do not require a compulsory fixation of the language. In those cases where we shall assert the possibility of constructing  $p$ -sets satisfying certain conditions, we shall have in mind  $p$ -sets for which the base and the condition defining equality of elements are expressible by means of the languages described in §§ 3 and 8 of work ( $\hat{2}$ ).

Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets and let  $\mathfrak{A}$  be a normal algorithm ( $\hat{3}$ ). We shall call  $\mathfrak{A}$  a **partial mapping** of  $\mathcal{A}$  into  $\mathcal{B}$  if, for every element  $x$  of the  $p$ -set  $\mathcal{A}$ , from  $\mathfrak{A}(x)$  it follows that  $\mathfrak{A}(x) \in \mathcal{B}$ . Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets and let  $\mathfrak{A}$  be a partial mapping of  $\mathcal{A}$  into  $\mathcal{B}$ . We shall call  $\mathfrak{A}$  a **total mapping** if the algorithm  $\mathfrak{A}$

is applicable to every element of the  $p$ -set  $\mathcal{A}$ . We shall call  $\mathfrak{A}$  an **equality-preserving mapping** if, for all elements  $x$  and  $y$  of the  $p$ -set  $\mathcal{A}$ , from  $!\mathfrak{A}(x)$ ,  $!\mathfrak{A}(y)$ , and  $x = y$ , it follows that

$$\mathfrak{A}(x) = \mathfrak{A}(y).$$

We shall call  $\mathfrak{A}$  a **univalent mapping** if, for any elements  $x$  and  $y$  of the  $p$ -set  $\mathcal{A}$  that are not equal, from  $!\mathfrak{A}(x)$  and  $!\mathfrak{A}(y)$  it follows that the elements  $\mathfrak{A}(x)$  and  $\mathfrak{A}(y)$  of the  $p$ -set  $\mathcal{B}$  are likewise not equal. We shall call  $\mathfrak{A}$  a **mapping of the  $p$ -set  $\mathcal{A}$  onto the  $p$ -set  $\mathcal{B}$**  if, for every element  $x$  of the  $p$ -set  $\mathcal{B}$ , there cannot fail to exist an element  $y$  of the  $p$ -set  $\mathcal{A}$  such that  $!\mathfrak{A}(y)$  and

$$\mathfrak{A}(y) = x.$$

Mappings preserving equality are considered quite often in constructive mathematics. Examples of such mappings are constructive functions of a real variable (in the sense of A. A. Markov (<sup>4</sup>)); mappings preserving equality and satisfying certain additional conditions are homomorphisms and isomorphisms of associative calculi (<sup>3</sup>) (with the equivalence relation of words in the corresponding associative calculi taken as the equality relation of words), etc.

In the present note a classification of  $p$ -sets is described according to certain properties of their bases and of the conditions determining equality of elements, and some results are given concerning mappings of  $p$ -sets that preserve equality. These results are formulated in terms of the classification of  $p$ -sets described here.

Let  $\mathcal{A}$  be a  $p$ -set. We shall say that  $\mathcal{A}$  is **decidable** if the basis of  $\mathcal{A}$  is a decidable set. We shall say that  $\mathcal{A}$  is **enumerable** if the basis of  $\mathcal{A}$  is an enumerable set. We shall call  $\mathcal{A}$  **conditionally enumerable** if there exists an enumerable set  $\beta$  such that every element of the set  $\beta$  is an element of the  $p$ -set  $\mathcal{A}$ , and for every element  $x$  of the  $p$ -set  $\mathcal{A}$  there cannot fail to exist an element  $y$  of this  $p$ -set such that  $y \in \beta$  and  $x = y$ . We shall say that  $\mathcal{A}$  has a **decidable equality condition** if there exists a normal algorithm applicable to every pair of elements of the  $p$ -set  $\mathcal{A}$  and recognizing pairs consisting of equal elements. If  $x$  is an element of the  $p$ -set  $\mathcal{A}$ , then by  $R(x)$  we shall denote a set such that: a) every element of the set  $R(x)$  is an element of the  $p$ -set  $\mathcal{A}$  equal to the element  $x$ ; b) every element of the  $p$ -set  $\mathcal{A}$  equal to the element  $x$  is an element of the set  $R(x)$ . We shall say that the  $p$ -set  $\mathcal{A}$  has a **completely enumerable equality condition** if for every element  $x$  of this  $p$ -set the set  $R(x)$  is enumerable. We shall say that the  $p$ -set  $\mathcal{A}$  has a **normal equality condition** if, for all elements  $x$  and  $y$  of this  $p$ -set, the judgment “ $x$  and  $y$  are equal” is equivalent to its double negation.

We shall say that the  $p$ -set  $\mathcal{A}$  is **infinite** if there does not exist a finite collection of elements of this  $p$ -set that would satisfy the condition: for every element  $x$

of the  $p$ -set  $\mathcal{A}$  there cannot fail to exist an element equal to  $x$  and belonging to this collection. Here we shall confine ourselves to considering only infinite  $p$ -sets.

By **types** of  $p$ -sets we shall mean words of the form  $[a, b]$ , where as  $a$  one takes the letter P,  $\Pi$ , H, or the word  $\neq$ , and as  $b$  one takes one of the symbols  $=$ ,  $\neq$ ,  $\neq$ ,  $\neq$ , or  $H =$ .

Let  $\mathcal{A}$  be a  $p$ -set. We shall say that  $\mathcal{A}$  **has type**  $[a, b]$  if  $\mathcal{A}$  is decidable and  $a$  is P, or  $\mathcal{A}$  is enumerable and  $a$  is  $\Pi$ , or  $\mathcal{A}$  is conditionally enumerable and  $a$  is  $\neq$ , or  $a$  is H and it is false that  $\mathcal{A}$  is conditionally enumerable, and if  $\mathcal{A}$  has a decidable equality condition and  $b$  is  $P =$ , or  $\mathcal{A}$  has an enumerable equality condition <sup>(5)</sup> and  $b$  is  $\Pi =$ , or  $\mathcal{A}$  has an enumerable inequality condition <sup>(5)</sup> and  $b$  is  $\Pi \neq$ , or  $\mathcal{A}$  has a completely enumerable equality condition and  $b$  is  $\neq$ , or  $b$  is  $H =$  and it is false that  $\mathcal{A}$  has at least one of the just-mentioned equality or inequality conditions.

The same  $p$ -set may have several different types. If  $T$  and  $U$  are types of  $p$ -sets, then by  $T \rightarrow U$  we shall denote the judgment: "every infinite  $p$ -set having type  $T$  also has type  $U$ ," and by  $T \sim U$  we shall denote the judgment: "every infinite  $p$ -set having one of the types  $T, U$  also has the other of these types." The following relations between types of infinite  $p$ -sets hold (here, in place of  $a$  and  $b$ , one may substitute any symbols allowed for them according to the definition of types of  $p$ -sets):

$$[P, b] \rightarrow [\Pi, b], \quad [\Pi, b] \rightarrow [\neq, b], \quad [a, P =] \rightarrow [a, \Pi =],$$

$$[a, P =] \rightarrow [a, \Pi \neq], \quad [a, \neq =] \rightarrow [a, \Pi =],$$

$$[P, \Pi =] \sim [P, \neq =], \quad [\Pi, \Pi =] \sim [\Pi, \neq =] \sim [\neq, \neq =].$$

Considering concrete examples of  $p$ -sets, one can show that no other relations of the indicated kind between the types of infinite  $p$ -sets exist.

**Theorem 1.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets, and let  $\mathcal{A}$  be conditionally enumerable. If there exists a total mapping of  $\mathcal{A}$  onto  $\mathcal{B}$  that preserves equality, then  $\mathcal{B}$  is also conditionally enumerable.

**Theorem 2.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be infinite  $p$ -sets, and let  $\mathcal{A}$  have type  $[\neq, P =]$ , while  $\mathcal{B}$  is conditionally enumerable. Then one can construct a total mapping of  $\mathcal{A}$  onto  $\mathcal{B}$  that preserves equality. If the  $p$ -set  $\mathcal{B}$  also has type  $[\neq, P =]$ , then such a mapping can be constructed one-to-one.

Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets and let  $\mathfrak{A}$  be a partial mapping of  $\mathcal{A}$  into  $\mathcal{B}$  preserving equality. We shall call  $\mathfrak{A}$  a **pretotal mapping** if, for every element  $x$  of the  $p$ -set  $\mathcal{A}$ , there cannot fail to exist an element of this  $p$ -set equal to it to which

the algorithm  $\mathfrak{A}$  is applicable. We shall say that  $\mathfrak{A}$  is **extendable to a total mapping preserving equality** if one can construct a total mapping  $\mathfrak{B}$  of the  $p$ -set  $\mathcal{A}$  into the  $p$ -set  $\mathcal{B}$ , preserving equality, such that for every element  $x$  of the  $p$ -set  $\mathcal{A}$ , if  $\mathfrak{A}(x)$ , then  $\mathfrak{A}(x) = \mathfrak{B}(x)$ .

**Theorem 3.** Let  $\mathcal{A}$  be a  $p$ -set having a completely enumerable equality condition. Then every pretotal mapping of the  $p$ -set  $\mathcal{A}$  into any  $p$ -set  $\mathcal{B}$ , preserving equality, is extendable to a total mapping preserving equality.

Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets and let  $\mathfrak{A}$  be a partial mapping of  $\mathcal{A}$  into  $\mathcal{B}$ . By a mapping **inverse to  $\mathfrak{A}$**  we shall mean any partial mapping  $\mathfrak{B}$  of the  $p$ -set  $\mathcal{B}$  into the  $p$ -set  $\mathcal{A}$  such that, for every element  $x$  of the  $p$ -set  $\mathcal{B}$ : a) if  $\mathfrak{B}(x)$ , then  $\mathfrak{A}(\mathfrak{B}(x))$  and  $\mathfrak{A}(\mathfrak{B}(x)) =_B x$ ; b) if there exists an element  $y$  of the  $p$ -set  $\mathcal{A}$  such that  $\mathfrak{A}(y)$  and  $\mathfrak{A}(y) =_B x$ , then  $\mathfrak{B}(x)$ . Let us note that an algorithm inverse to the algorithm  $\mathfrak{A}$  in the sense defined in (6) is not always a mapping inverse to  $\mathfrak{A}$ .

**Theorem 4.** Let  $\mathcal{A}$  be a conditionally enumerable  $p$ -set having a normal equality condition, and let  $\mathcal{B}$  be a  $p$ -set having an enumerable equality condition. Then, for every total one-to-one mapping  $\mathfrak{A}$  of the  $p$ -set  $\mathcal{A}$  into the  $p$ -set  $\mathcal{B}$ , preserving equality, one can construct a mapping inverse to  $\mathfrak{A}$  and preserving equality.

**Theorem 5.** Let  $\mathcal{A}$  be a conditionally enumerable  $p$ -set and let  $\mathcal{B}$  be a  $p$ -set having a decidable equality condition. Then, for every total mapping  $\mathfrak{A}$  of the  $p$ -set  $\mathcal{A}$  into the  $p$ -set  $\mathcal{B}$ , preserving equality, one can construct a mapping inverse to  $\mathfrak{A}$  and preserving equality.

Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets. We shall say that  $\mathcal{A}$  is **superposable on  $\mathcal{B}$**  if there exists a total one-to-one mapping of  $\mathcal{A}$  onto  $\mathcal{B}$  preserving equality. We shall say that  $\mathcal{A}$  and  $\mathcal{B}$  are **equivalent** if there exists a total mapping  $\mathfrak{A}$  of the  $p$ -set  $\mathcal{A}$  onto the  $p$ -set  $\mathcal{B}$ , and there exists a total mapping  $\mathfrak{B}$  of the  $p$ -set  $\mathcal{B}$  onto the  $p$ -set  $\mathcal{A}$ , both one-to-one and preserving equality, such that  $\mathfrak{A}$  is a mapping inverse to  $\mathfrak{B}$ , and  $\mathfrak{B}$  is a mapping inverse to  $\mathfrak{A}$ .

One can construct an example of  $p$ -sets  $\mathcal{A}$  and  $\mathcal{B}$  such that  $\mathcal{A}$  is superposable on  $\mathcal{B}$ ,  $\mathcal{B}$  is superposable on  $\mathcal{A}$ , but  $\mathcal{A}$  and  $\mathcal{B}$  are not equivalent.

By the **principal types** of  $p$ -sets we shall mean types  $[a, b]$ , where  $a$  is taken to be  $\neq$  or  $=$ , and  $b$  is taken to be  $P =$ ,  $=$ ,  $\neq$ , or  $=$ . One and the same  $p$ -set may have several different principal types.

**Theorem 6.** Let  $\mathcal{A}$  and  $\mathcal{B}$  be  $p$ -sets and let  $T$  be a principal type. If  $\mathcal{A}$  and  $\mathcal{B}$  are equivalent and  $\mathcal{A}$  has type  $T$ , then  $\mathcal{B}$  has type  $T$ .

**Theorem 7.** Any two infinite  $p$ -sets having type  $[UP, P =]$  are equivalent.

For every principal type  $T$  of  $p$ -sets distinct from the type  $[UP, P =]$ , one can construct  $p$ -sets having type  $T$  and not equivalent.

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*Note: Figure translations are in progress. See original paper for figures.*

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