

# ON AN ANALYTIC CONDITION ON THE RADICAL OF A COMMUTATIVE BANACH ALGEBRA AND RELATED QUESTIONS OF DECOMPOSABILITY

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**Abstract**

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*MATHEMATICS*

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## ON AN ANALYTIC CONDITION ON THE RADICAL OF A COMMUTATIVE BANACH ALGEBRA AND RELATED QUESTIONS OF DECOMPOSABILITY

*(Presented by Academician Yu. V. Linnik on 30 VI 1965)*

The purpose of the present work is to establish a condition on the radical, "analytic" in its character, which ensures the decomposability of certain classes of commutative Banach algebras (c.b.a.) with such a radical. Let  $\mathfrak{A}$  be a c.b.a.;  $\mathfrak{R}$  its radical;  $A$  the quotient algebra  $\mathfrak{A}/\mathfrak{R}$ ; and  $P_{\mathfrak{A}}$  and  $P_A$  the sets of idempotents of the algebras  $\mathfrak{A}$  and  $A$ . The natural epimorphism  $\pi : \mathfrak{A} \rightarrow A$  induces a mapping  $P_{\pi} : P_{\mathfrak{A}} \rightarrow P_A$ , which, as shown in <sup>(1)</sup>, establishes a one-to-one correspondence between these two sets.

Bade and Curtis showed <sup>(2,3)</sup> that questions of decomposability of a number of c.b.a.'s reduce to the following: will the preimage (under the mapping  $P_{\pi}$ ) of every bounded subset of  $P_A$  be bounded in  $\mathfrak{A}$ ? They also proved in <sup>(3)</sup> that the latter is always the case if the radical  $\mathfrak{R}$  is algebraically nilpotent, i.e.  $\mathfrak{R}^n = 0$  for some natural  $n$ .

**Definition.** By the **radical sequence** of a c.b.a.  $\mathfrak{A}$  with radical  $\mathfrak{R}$  we shall mean the sequence

$$f(\mathfrak{A}, n) = \sup_{\substack{r \in \mathfrak{R} \\ \|r\|=1}} \|r^n\|.$$

**Lemma 1.** Let  $\mathfrak{R}_1 \subseteq \mathfrak{R}$  be a closed ideal in  $\mathfrak{A}$ . Then

$$f(\mathfrak{A}/\mathfrak{R}_1, n) \leq f(\mathfrak{A}, n).$$

The proof follows immediately from the fact that the radical of the algebra  $\mathfrak{A}/\mathfrak{R}_1$  is the image of the radical  $\mathfrak{R}$  under the natural epimorphism of  $\mathfrak{A}$  onto  $\mathfrak{A}/\mathfrak{R}_1$ , and from the definition of the norm in the quotient algebra.

**Lemma 2.** Let  $f(n) > 0$ ,  $n = 1, 2, \dots$ , be a sequence such that

$$\lim_{n \rightarrow \infty} \sqrt[n^2]{f(n)} = 0;$$

let  $K$  be a constant. Then the set

$$\{M > 0 : M - M^n f(n) \leq K^n; n = 1, 2, \dots\}$$

is bounded.

Put  $F(n) = f(n)^{-1/n}$ ; since

$$\lim_{n \rightarrow \infty} \sqrt[n]{F(n)} = \infty,$$

there is, evidently, a natural number  $N_0$  such that  $F(n) > K^{n+1} + 1$  for all  $n \geq N_0$ . Take  $M > F(N_0)$ ; for some natural  $N \geq N_0 + 1$ ,

$$F(N-1) < M \leq F(N).$$

We have

$$M - M^N f(N) > F(N-1) - F(N)^N f(N).$$

But  $F(N)^N f(N) = 1$ , while  $F(N-1) > K^N + 1$  in view of  $N-1 \geq N_0$ . Therefore  $M - M^N f(N) > K^N$ . Consequently, the inequality  $M - M^n f(n) \leq K^n$  for  $M > F(N_0)$  is not satisfied for all  $n$ , which proves the lemma.

The main result of the paper is the following.

**Theorem 1.** Let  $\mathfrak{A}$  be a c.b.a.,  $\mathfrak{R}$  its radical, and suppose that the radical sequence satisfies the condition

$$\lim_{n \rightarrow \infty} \sqrt[n^2]{f(\mathfrak{A}, n)} = 0.$$

Let, further, a set  $Q \subseteq P_{\mathfrak{A}}$  be such that its image  $\pi Q$  is bounded in  $A = \mathfrak{A}/\mathfrak{R}$ . Then  $Q$  is bounded in  $\mathfrak{A}$ .

For each  $p \in P_{\mathfrak{A}}$  put  $\mathfrak{R}_p^0 = \{r \in \mathfrak{R} : pr = 0\}$  and  $\mathfrak{R}_p^1 = \{r \in \mathfrak{R} : pr = r\}$ . The  $\mathfrak{R}_p^0$  and  $\mathfrak{R}_p^1$  are closed ideals in  $\mathfrak{A}$ ,  $\mathfrak{R}_p^0 \mathfrak{R}_p^1 = 0$  and  $\mathfrak{R} = \mathfrak{R}_p^0 \oplus \mathfrak{R}_p^1$ .

We introduce the following notation:  $\hat{\mathfrak{A}}_p^0 = \mathfrak{A}/\mathfrak{R}_p^0$ ;  $\hat{\mathfrak{A}}_p^1 = \mathfrak{A}/\mathfrak{R}_p^1$ ;  $\hat{\mathfrak{R}}_p^0$  and  $\hat{\mathfrak{R}}_p^1$  are the radicals in  $\hat{\mathfrak{A}}_p^0$  and  $\hat{\mathfrak{A}}_p^1$ ;  $\|\cdot\|_p^0$  and  $\|\cdot\|_p^1$  are the norms in  $\hat{\mathfrak{A}}_p^0$  and  $\hat{\mathfrak{A}}_p^1$ ;  $\pi_p^0 : \mathfrak{A} \rightarrow \mathfrak{A}/\mathfrak{R}_p^0$ ;  $\pi_p^1 : \mathfrak{A} \rightarrow \mathfrak{A}/\mathfrak{R}_p^1$ ;  $\hat{\pi}_p^0 : \hat{\mathfrak{A}}_p^0 \rightarrow \hat{\mathfrak{A}}_p^0/\hat{\mathfrak{R}}_p^0$  and  $\hat{\pi}_p^1 : \hat{\mathfrak{A}}_p^1 \rightarrow \hat{\mathfrak{A}}_p^1/\hat{\mathfrak{R}}_p^1$  are the corresponding natural epimorphisms; finally, for convenience put  $p_0 = \pi_p^0 p$ ,  $p_1 = \pi_p^1 p$ .

The following relations are obvious:  $\widehat{\mathfrak{A}}_p^0 = \mathfrak{A}/\mathfrak{A}_p^0$ ;  $\widehat{\mathfrak{A}}_p^1 = \mathfrak{A}/\mathfrak{A}_p^1$ ;  $A = \mathfrak{A}/\mathfrak{A} = \widehat{\mathfrak{A}}_p^0/\widehat{\mathfrak{A}}_p^0 = \widehat{\mathfrak{A}}_p^1/\widehat{\mathfrak{A}}_p^1$  and  $\pi = \widehat{\pi}_p^0\pi_p^0 = \widehat{\pi}_p^1\pi_p^1$ . In addition, note that  $p_1\widehat{\mathfrak{A}}_p^1 = 0$  (since  $p\mathfrak{A} \subset \mathfrak{A}_p^1$ ).

We shall carry out the proof of the theorem in three stages.

1<sup>0</sup>. The norms  $\|p_1\|_p^1$ ,  $p \in Q$ , are bounded in the aggregate.

The set  $\pi Q$ , by the condition of the theorem, is bounded in  $A$ , i.e., for some constant  $K_1$ ,  $\|\pi p\| < K_1$  for  $p \in Q$ . But  $\pi = \widehat{\pi}_p^1\pi_p^1$ ; therefore from the definition of the norm in a quotient algebra it follows that for each  $p \in Q$  there exist  $\widehat{p}_1 \in \widehat{\mathfrak{A}}_p^1$  and  $r_p^1 \in \widehat{\mathfrak{A}}_p^1$  such that  $\widehat{p}_1 = p_1 + r_p^1$ , with  $\|\widehat{p}_1\|_p^1 < K_1$ . Clearly, in order to prove assertion 1<sup>0</sup>, it suffices, in view of the triangle inequality, to establish the boundedness of the set  $\{\|r_p^1\|_p^1; p \in Q\}$ .

According to the multiplicative condition,  $\|\widehat{p}_1^n\|_p^1 \leq (\|\widehat{p}_1\|_p^1)^n < K_1^n$ . On the other hand, in view of  $r_p^1 \in \widehat{\mathfrak{A}}_p^1$  and  $p_1\widehat{\mathfrak{A}}_p^1 = 0$ , and also  $p_1^n = p_1$ ,  $\widehat{p}_1^n = (p_1 + r_p^1)^n = p_1 + (r_p^1)^n = p_1 + r_p^1 + (r_p^1)^n - r_p^1 = \widehat{p}_1 + (r_p^1)^n - r_p^1$ . Applying the triangle inequality, we obtain  $\|\widehat{p}_1^n\|_p^1 = \|r_p^1 - (r_p^1)^n - \widehat{p}_1\|_p^1 \geq \|r_p^1\|_p^1 - \|(r_p^1)^n\|_p^1 - \|\widehat{p}_1\|_p^1$ , whence  $\|r_p^1\|_p^1 - \|(r_p^1)^n\|_p^1 \leq K_1^n + K_1 < (K_1 + 1)^n$ . But from the definition of the radical sequence it follows that  $\|(r_p^1)^n\|_p^1 \leq (\|r_p^1\|_p^1)^n f(\widehat{\mathfrak{A}}_p^1, n)$ , and by Lemma 1,  $f(\widehat{\mathfrak{A}}_p^1, n) \leq f(\mathfrak{A}, n)$ . Thus,

$$\|r_p^1\|_p^1 - (\|r_p^1\|_p^1)^n f(\mathfrak{A}, n) \leq (K_1 + 1)^n$$

simultaneously for all  $p \in Q$  and  $n = 1, 2, \dots$ . Consequently (Lemma 2), the norms  $\|r_p^1\|_p^1$ ,  $p \in Q$ , are bounded in the aggregate, which, by the observation made above, proves assertion 1<sup>0</sup>.

2<sup>0</sup>. The norms  $\|p_0\|_p^0$ ,  $p \in Q$ , are bounded in the aggregate. Let  $K_2$  be a constant (which exists, as shown in 1<sup>0</sup>) such that  $\|p_1\|_p^1 < K_2$  for  $p \in Q$ . Put  $\bar{p} = e - p$  ( $e$  is the identity of the algebra  $\mathfrak{A}$ ); obviously, without loss of generality one may assume that the set  $Q$ , together with each  $p$ , also contains  $\bar{p}$  (the latter does not affect the boundedness of the set  $\pi Q$ ). As is easily seen,  $\mathfrak{A}_{\bar{p}}^0 = \mathfrak{A}_p^1$  and  $\mathfrak{A}_{\bar{p}}^1 = \mathfrak{A}_p^0$ . Therefore

$$\begin{aligned} \|p_0\|_p^0 &= \|\pi_p^0 p\|_p^0 = \|\pi_{\bar{p}}^1 p\|_{\bar{p}}^1 = \|\pi_{\bar{p}}^1 (e - \bar{p})\|_{\bar{p}}^1 \leq \\ &\leq \|\pi_{\bar{p}}^1 e\|_{\bar{p}}^1 + \|\pi_{\bar{p}}^1 \bar{p}\|_{\bar{p}}^1 \leq \|e\| + \|\bar{p}_1\|_{\bar{p}}^1 < \|e\| + K_2, \end{aligned}$$

which proves assertion 2<sup>0</sup>.

3<sup>0</sup>. Completion of the proof. Let  $K_3 = \|e\| + K_2$ ; in assertion 2<sup>0</sup> it was shown that  $\|p_0\|_p^0 < K_3$  for  $p \in Q$ . Consequently, for each  $p \in Q$  there exists  $r_p^0 \in \mathfrak{A}_p^0$

such that  $\|p + r_p^0\| < K_3$ . But  $p\mathfrak{R}_p^0 = 0$ , whence also  $pr_p^0 = 0$ . Therefore, quite analogously to assertion 1<sup>0</sup>, one can prove that

$$\|r_p^0\| - \|r_p^0\|^n f(\mathfrak{A}, n) \leq (K_3 + 1)^n, \quad n = 1, 2, \dots$$

Applying Lemma 2<sup>0</sup> once more, we obtain the boundedness of the set  $\{\|r_p^0\| : p \in Q\}$ , and with it, in view of the triangle inequality, of the set  $Q$  itself. Thus, the theorem is proved.

**Remark.** The conditions of Theorem 1 are satisfied, in particular, by the algebraically nilpotent radicals considered in (3); in this case the radical sequence is, obviously, finite.

Combining Theorem 1 with the general results of (3), we shall apply it to questions of decomposability of certain commutative Banach algebras. Recall that a commutative Banach algebra  $\mathfrak{A}$  is called **strongly decomposable** if  $\mathfrak{A} = B \oplus \mathfrak{R}$ , where  $B$  is a closed subalgebra and  $\mathfrak{R}$  is the radical of the algebra.

**Theorem 2.** *Let  $\mathfrak{A}$  be a commutative Banach algebra with radical  $\mathfrak{R}$  such that*

$$\lim_{n \rightarrow \infty} \sqrt[n^2]{f(\mathfrak{A}, n)} = 0.$$

*Suppose further that  $A = \mathfrak{A}/\mathfrak{R} = C(\Omega)$ , where  $\Omega$  is a totally disconnected compactum. Then  $\mathfrak{A}$  is strongly decomposable.*

Since  $A = C(\Omega)$ , the set  $P_A$  is bounded; consequently, by Theorem 1, the set  $P_{\mathfrak{A}}$  in  $\mathfrak{A}$  is also bounded. Applying Theorem 2.4 of (3) (see also (4), Corollary 2 to Theorem 3.10), we obtain the required result.

**Theorem 3.** *Let  $\mathfrak{A}$  be a commutative Banach algebra with radical  $\mathfrak{R}$  such that*

$$\lim_{n \rightarrow \infty} \sqrt[n^2]{f(\mathfrak{A}, n)} = 0.$$

*Suppose further that  $A = \mathfrak{A}/\mathfrak{R} = l_1$  (the algebra of absolutely convergent series with coordinatewise multiplication). Then  $\mathfrak{A}$  is strongly decomposable.*

Denote by  $Q$  the set of irreducible idempotents of the algebra  $\mathfrak{A}$ . Since  $\pi Q$ , the set of irreducible idempotents of the algebra  $l_1$ , is bounded, it follows, by Theorem 1, that  $Q$  is also bounded in  $\mathfrak{A}$ . To complete the proof it remains to apply Theorem 5.6 of (3).

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