

# DUALITY IN SPACES OF HOLOMORPHIC FUNCTIONS WITH SINGULARITIES

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## Abstract

## Full Text

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*MATHEMATICS*

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# DUALITY IN SPACES OF HOLOMORPHIC FUNCTIONS WITH SINGULARITIES

*(Presented by Academician S. N. Bernstein on 9 VIII 1965)*

1. Let  $O$  be a nonempty open subset of the complex plane, and let  $\mathfrak{D}$  be the set of all closed discrete subsets of  $O$ . For each  $D \in \mathfrak{D}$ , let  $H(O, D)$  be the vector space of all holomorphic functions on the set  $O$  with singularities in  $D$ , endowed with the topology of uniform convergence on every compact subset in  $O \setminus D$ . The set  $\mathfrak{D}$  is filtered by inclusion  $\subset$ , and  $H(O, D) \subset H(O, D')$  if  $D \subset D'$ , while the topology in  $H(O, D)$  coincides with that induced from  $H(O, D')$ . The vector space

$$H(O, \mathfrak{D}) = \bigcup_{D \in \mathfrak{D}} H(O, D)$$

of all holomorphic functions on  $O$  with isolated singularities is endowed with the strongest of the locally convex topologies for which the canonical mappings

$$\varphi_D : H(O, D) \rightarrow H(O, \mathfrak{D}) \quad (D \in \mathfrak{D})$$

are continuous. The study of duality for the space  $H(O, \mathfrak{D})$  is the subject of the present paper.

Let  $M$  be an arbitrary open subset in  $O$ , and let  $\mathfrak{F}$  be the set of all finite subsets of  $M$ . The vector space  $H(M, \mathfrak{F})$  of all holomorphic functions on  $M$  with a finite number of singularities, which is the union of the subspaces  $H(M, F)$  ( $F \in \mathfrak{F}$ ), is endowed with the strongest of the locally convex topologies for which the canonical mappings

$$\psi_F : H(M, F) \rightarrow H(M, \mathfrak{F}) \quad (F \in \mathfrak{F})$$

are continuous. Further, let  $P(U)$ , for every nonempty open subset  $U$  of the Riemann sphere  $S_2$ , denote the vector space of all holomorphic functions on  $U$  with zero at the point at infinity (if the latter belongs to  $U$ ), endowed with the topology of uniform convergence on every compact subset of  $U$  (see <sup>(1)</sup>). Finally, let  $P_M(S_2 \setminus \{a\})$ , for each  $a \in M$ , be the subspace of the topological vector space

$H(M, \{a\})$  consisting of the restrictions to  $M$  of all possible functions belonging to  $P(S_2 \setminus \{a\})$ .

**Theorem 1.** For every finite subset  $F = \{a_1, \dots, a_n\}$  in  $M$ , the space  $H(M, F)$  is the topological direct sum of the subspaces  $H(M)(= H(M, \emptyset))$  and  $P_M(S_2 \setminus \{a_k\})$  ( $k = 1, \dots, n$ ).

**Corollary.** The space  $H(M, \mathfrak{F})$  is the topological direct sum of its subspaces  $H(M)$  and  $P_M(S_2 \setminus \{a\})$  ( $a \in M$ ).

Let  $M$  be a nonempty relatively compact open set in  $O$ . Then, for each  $D \in \mathfrak{D}$ , the intersection  $D \cap M$  is finite; consequently, the restriction mappings

$$\pi_M : H(O, \mathfrak{D}) \rightarrow H(M, \mathfrak{F})$$

and

$$\rho_M : H(O, D) \rightarrow H(M, D \cap M)$$

are defined, and for them the diagram

$$\begin{array}{ccc} H(O, D) & \xrightarrow{\varphi_D} & H(O, \mathfrak{D}) \\ \rho_M \downarrow & & \downarrow \pi_M \\ H(M, D \cap M) & \xrightarrow{\psi_{D \cap M}} & H(M, \mathfrak{F}) \end{array}$$

commutes.

obviously, commutative. A direct verification shows that the topology of the space  $H(O, D)$  is the weakest among all topologies for which the maps  $\rho_M$  are continuous. Hence it follows, in particular, that the maps  $\pi_M$  are continuous, since the maps  $\pi_M \circ \varphi_D (= \psi_{D \cap M} \circ \rho_M)$  are continuous ((2), Ch. II, § 2, corollary of proposition 1).

**Theorem 2.** The topology of the space  $H(O, \mathfrak{D})$  is the weakest of all topologies for which the maps  $\pi_M$  are continuous (where  $M$  is an arbitrary relatively compact open set in  $O$ ).

**Corollary 1.** For every  $D \in \mathfrak{D}$  the topology of the space  $H(O, D)$  coincides with that induced from  $H(O, \mathfrak{D})$ .

**Corollary 2.** The space  $H(O, \mathfrak{D})$  is separated and complete.

**Theorem 3.** In order that a subset of the space  $H(O, \mathfrak{D})$  be bounded, it is necessary and sufficient that it be contained in one of the spaces  $H(O, D)$  ( $D \in \mathfrak{D}$ ) and be bounded in it.

**Corollary 1.** A sequence of elements of the space  $H(O, \mathfrak{D})$  is convergent if and only if it is contained in one of the spaces  $H(O, D)$  ( $D \in \mathfrak{D}$ ) and converges in it to the same limit.

**Corollary 2.**  $H(O, \mathfrak{D})$  is a Montel space and, in particular, reflexive.

**Remark.** One can show that  $H(O, \mathfrak{D})$  is not a space of countable type and, consequently, is not metrizable (cf. (3)).

2. Speaking of neighborhoods of a nonempty set  $A$  on the Riemann sphere, we shall assume that they are open and contain no connected components not meeting  $A$ . The spaces  $P(U)$  (where  $U$  is an arbitrary neighborhood of the set  $A \subset S_2$ ) and the restriction maps  $P(U') \rightarrow P(U)$  ( $U \subset U'$ ) form an inductive system. The inductive limit of this system  $R(A)$  is canonically identified with the vector space of holomorphic functions, each of which is defined in some neighborhood of the set  $A$ ; two such functions are regarded as equivalent (and represent one and the same element of the space  $R(A)$ ) if they coincide in some neighborhood of the set  $A$  (cf. (4)).

The vector space  $H'(M)$ , dual to  $H(M)$  (where  $M$  is an arbitrary open subset of  $O$ ), is canonically identified with the space  $R(S_2 \setminus M)$  (see (1, 5, 6)). In this case, for every  $D \in \mathfrak{D}$ , the vector space  $H'(O, D)$  is identified with  $R((S_2 \setminus O) \cup D)$ , since there is a canonical isomorphism of the space  $H(O, D)$  onto  $H(O \setminus D)$ . The vector spaces  $R((S_2 \setminus O) \cup D)$  ( $D \in \mathfrak{D}$ ) and the maps

$$R((S_2 \setminus O) \cup D') \rightarrow R((S_2 \setminus O) \cup D) \quad (D \subset D'),$$

dual to the embeddings  $H(O, D) \rightarrow H(O, D')$ , form a projective system. The projective limit  $R(S_2 \setminus O, \mathfrak{D})$  of this system is canonically identified with the vector space  $H'(O, \mathfrak{D})$ , dual to  $H(O, \mathfrak{D})$ . Every continuous linear form on  $H(O, \mathfrak{D})$  can be represented in the form

$$f \mapsto \int_{\Gamma} f(\zeta) g(\zeta) d\zeta,$$

where  $f \in H(O, \mathfrak{D})$  (more precisely,  $f \in H(O, D)$  for some  $D \in \mathfrak{D}$ ),  $g$  is a holomorphic function in some neighborhood  $U$  of the set  $(S_2 \setminus O) \cup D$  with zero at the point at infinity;  $\Gamma$  is the oriented boundary of some compact set in  $O \setminus D$ , consisting of paths in  $U$ . The map  ${}^t\varphi_D$ , dual to  $\varphi_D$ , is for every  $D$  the canonical projection assigning to an element of the space  $R(S_2 \setminus O, \mathfrak{D})$  its representative in  $R((S_2 \setminus O) \cup D)$ .

Let  $M$  be a nonempty open subset of  $O$  and  $F$  a finite subset of  $M$  ( $F \in \mathfrak{F}$ ). Then, by Theorem 1, the space

$$R(S_2 \setminus M, F) = R(S_2 \setminus M) \times \prod_{a \in F} R(\{a\})$$

canonically identifiable with the space dual to  $H(M, F)$ ; similarly, by virtue of the corollary to Theorem 1, the space

$$R(S_2 \setminus M, \mathfrak{F}) = R(S_2 \setminus M) \times \prod_{a \in M} R(\{a\})$$

is canonically identifiable with the vector space  $H'(M, \mathfrak{F})$ , dual to  $H(M, \mathfrak{F})$ . Here the mapping  ${}^t\psi_F$ , dual to  $\psi_F$ , for each  $F \in \mathfrak{F}$  sends a family of functions  $g_0 \in R(S_2 \setminus M)$ ,  $g_a \in R(\{a\})$  ( $a \in M$ ), which is an element of the space  $R(S_2 \setminus M, \mathfrak{F})$ , to the family  $g_0, g_a$  ( $a \in F$ ) as an element of the space  $R(S_2 \setminus M, F)$ .

The spaces  $R(S_2 \setminus M, \mathfrak{F})$  (where  $M$  is an arbitrary relatively compact open set in  $O$ ) and the mappings dual to the restriction mappings  $H(M', \mathfrak{F}) \rightarrow H(M, \mathfrak{F})$  ( $M \subset M'$ ), form an inductive system whose limit is canonically identifiable with the vector space  $R(S_2 \setminus O, \mathfrak{D})$ . The mapping  ${}^t\pi_M$ , dual to  $\pi_M$ , sends each family of functions  $g_0 \in R(S_2 \setminus M)$ ,  $g_a \in R(\{a\})$  ( $a \in M$ ) to a family of functions  $h_D \in R((S_2 \setminus O) \cup D)$  ( $D \in \mathfrak{D}$ ) in such a way that, for every  $D \in \mathfrak{D}$ , the function  $h_D$  coincides with  $g_0$  in some neighborhood of the set  $S_2 \setminus O$ , and in some neighborhood of the point  $a \in D$  this same function  $h_D$  coincides with  $g_a$ , if  $a \in M$ , and with  $g_0$ , if  $a \in O \setminus M$ .

Thus, every continuous linear form on  $H(O, \mathfrak{D})$  is representable in the form

$$f \mapsto \int_{\Gamma_0} f_0(\xi)g_0(\xi) d\xi + \sum_{a \in M} \int_{\Gamma_a} f_a(\xi)g_a(\xi) d\xi,$$

where  $M$  is a relatively compact open set in  $O$ ;  $f_a$  is the principal part of the Laurent expansion of the function  $f \in H(O, \mathfrak{D})$  in a neighborhood of the point  $a \in M$ , and  $f_0 = f - \sum_{a \in M} f_a$ ;  $g_0$  and  $g_a$  ( $a \in M$ ) are functions holomorphic in some neighborhoods  $U_0$  and  $U_a$ , respectively, of the sets  $S_2 \setminus M$  and  $\{a\}$  ( $a \in M$ ), with the point at infinity being a zero of  $g_0$ ; finally,  $\Gamma_0$  and  $\Gamma_a$  ( $a \in M$ ) are oriented boundaries of compact sets containing, respectively,  $M$  and  $S_2 \setminus \{a\}$  ( $a \in M$ ), which consist of paths in  $U_0$  and  $U_a$  ( $a \in M$ ), respectively.

3. The vector space  $R(A)$ , where  $A$  is an arbitrary nonempty subset of the Riemann sphere, shall be endowed with the strongest of the locally convex topologies for which the canonical mappings  $P(U) \rightarrow R(A)$  are continuous (where  $U$  is an arbitrary neighborhood of the set  $A$ ). Then the topological vector space  $R((S_2 \setminus O) \cup D)$  is identifiable with the strong dual of the space  $H(O, D)$  ((1), Proposition 16). The vector space  $R(S_2 \setminus M, D \cap M)$ , endowed with the product of the topologies of the spaces  $R(S_2 \setminus M)$  and  $R(\{a\})$  ( $a \in D \cap M$ ), is identifiable with the strong dual of the space  $H(M, D \cap M)$ . Further, the topology in  $R(S_2 \setminus M, \mathfrak{F})$ , being the weakest of the locally convex topologies for which the mappings  $\psi_{D \cap M}$  ( $D \in \mathfrak{D}$ ) are continuous and obviously coinciding with the product of the topologies of the spaces  $R(S_2 \setminus M)$  and  $R(\{a\})$  ( $a \in M$ ), is identifiable with the strong topology in the dual of  $H(M, \mathfrak{F})$ . Finally, by virtue of Mackey' s theorem:

**Theorem 4.** *The strongest of the locally convex topologies in  $R(S_2 \setminus O, \mathfrak{D})$ , for which the mappings  ${}^t\pi_M$  are continuous (where  $M$  is an arbitrary relatively compact open set in  $O$ ), is canonically identifiable with the strong topology in the space dual to  $H(O, \mathfrak{D})$ .*

Similarly, the topology of the space  $R((S_2 \setminus O) \cup D)$  is the strongest of the locally convex topologies for which all mappings  $t_{\rho_M}$  are continuous. From the commutative diagram

$$\begin{array}{ccc} R(S_2 \setminus M, \mathfrak{F}) & \xrightarrow{t_{\pi_M}} & R(S_2 \setminus O, \mathfrak{D}) \\ t_{\psi_{D \cap M}} \downarrow & & \downarrow t_{\varphi_D} \\ R(S_2 \setminus M, D \cap M) & \xrightarrow{t_{\rho_M}} & R((S_2 \setminus O) \cup D) \end{array}$$

it follows that the mappings  $t_{\varphi_D}$  ( $D \in \mathfrak{D}$ ) are continuous.

**Theorem 5.** The topology of the space  $R(S_2 \setminus O, \mathfrak{D})$  is the weakest among all topologies for which the mappings  $t_{\varphi_D}$  ( $D \in \mathfrak{D}$ ) are continuous.

**Theorem 6.** In order that a subset of the space  $R(S_2 \setminus O, \mathfrak{D})$  be bounded, it is necessary and sufficient that it be the image under the mapping  $t_{\pi_M}$ , for some relatively compact open  $M \subset O$ , of a bounded set from  $R(S_2 \setminus M, \mathfrak{F})$ .

An analogous proposition also holds for the space  $R((S_2 \setminus O) \cup D)$ . Moreover, for any  $D \in \mathfrak{D}$  the mapping  $t_{\varphi_D}$  is an epimorphism, and every bounded set in  $R((S_2 \setminus O) \cup D)$  is the image under  $t_{\varphi_D}$  of some bounded set from  $R(S_2 \setminus O, \mathfrak{D})$ .

4. In conclusion we shall explain why the space  $H(O, \mathfrak{D})$  cannot be embedded in the space  $H(O, \mathfrak{S})$  of holomorphic functions on  $O$  with a finite number of non-isolated singularities.

Let  $\mathfrak{S}$  be the set of all closed subsets in  $O$ , each of which has only a finite number of non-isolated points, and let  $H(O, S)$ , for each  $S \in \mathfrak{S}$ , be the vector space of all holomorphic functions on  $O$  with singularities in  $S$ , endowed with the topology of uniform convergence on each compact subset of  $O \setminus S$ . The set  $\mathfrak{S}$  is filtered by inclusion,  $H(O, S) \subset H(O, S')$  for  $S \subset S'$ , and the topology in  $H(O, S)$  coincides with that induced from  $H(O, S')$ . The vector space  $H(O, \mathfrak{S})$ , which is the union of the subspaces  $H(O, S)$  ( $S \in \mathfrak{S}$ ), is naturally endowed with the strongest of the locally convex topologies for which the canonical mappings  $H(O, S) \rightarrow H(O, \mathfrak{S})$  ( $S \in \mathfrak{S}$ ) are continuous. It turns out, however, that the topology in  $H(O, \mathfrak{S})$  so defined is trivial: its only neighborhood of zero coincides with the whole space.

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