

# ON THE VIOLATION OF THE RECIPROCITY PRINCIPLE IN THE PROPAGATION OF VERY-LONG RADIO WAVES AROUND THE EARTH IN THE DAYTIME

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**Abstract**

**Full Text**

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*GEOPHYSICS*

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**ON THE VIOLATION OF THE RECIPROCITY PRINCIPLE IN THE PROPAGATION OF VERY-LONG RADIO WAVES AROUND THE EARTH IN THE DAYTIME**

*(Presented by Academician I. M. Vinogradov on 18 I 1966)*

1. Experiments <sup>(1-4)</sup> have established that the attenuation of very-long waves (VLF) on paths running from east to west is greater than in the reverse direction. This phenomenon, called below the gyrotropic effect, is especially large on daytime paths close to the geomagnetic equator. It is caused by the anisotropy of the ionosphere <sup>(5-8)</sup> and is analogous to the gyrotropic effect in microwave waveguides with ferrite magnetized by a transverse magnetic field <sup>(9)</sup>. In the waveguide theory of VLF <sup>(10-12)</sup> it has not been taken into account. However, this can be done in a natural way if, in calculating the ionospheric impedances by the method of <sup>(13)</sup>, which enter the equations for the wave numbers  $\nu$  of the normal waves, one takes into account not only the vertical component of the Earth's magnetic field  $H_r$ , but also the horizontal components:  $H_\theta$  (along the path) and  $H_\varphi$  (across it). The scanty information on the gyrotropic effect in VLF does not permit the use of the method of matching the data on the field and the medium <sup>(10-12)</sup>. Therefore, as a first approximation we shall take the profiles of the electron concentration  $N_e(h)$  and collision frequency  $\nu_{\text{eff}}(h)$ , obtained without allowance for the gyrotropic effect and corrected for the equatorial zone by aeronomical calculations <sup>(14)</sup>, introducing them, together with  $H_\theta$ ,  $H_\varphi$ , and  $H_r$ , into the dielectric-constant tensor of the ionosphere ( $\varepsilon$ ). The components of the tensor  $\varepsilon_{jk}$ : ( $i, j, k$  are unit vectors along  $\theta, \varphi$ , and  $r$ )

$$\varepsilon_{ij} = \pm \frac{i\nu U_k}{Z^2 - U^2} + \frac{\nu U_i U_j}{Z(Z^2 - U^2)} \quad (i \neq j); \quad \varepsilon_{ii} = 1 - \frac{\nu}{Z} - \frac{\nu U_{jk}^2}{Z(Z^2 - U^2)} \quad (i = j), \quad (1)$$

where

$$\nu = 4\pi N_e(h)e^2/m\omega^2; \quad Z = 1 + i\nu_{\text{eff}}(h)/\omega; \quad U_j = -eH_j/mc\omega,$$

$j$  is the unit vector  $\theta$  ( $\varphi$  or  $r$ ),  $U = U_i\mathbf{i} + U_j\mathbf{j} + U_k\mathbf{k}$ ;  $U_{jk}$  is the projection of  $\mathbf{U}$  on the plane with unit vectors  $j, k$ ; the plus sign is taken when  $i, j$  in  $\varepsilon_{ij}$  stand in the order  $\theta, \varphi, r$ ;  $H_\theta, H_\varphi$ , and  $H_r$  are the components of  $\mathbf{H}_0$  in the coordinate system connected with the wave path: its origin lies on the polar axis.

2. For a path running along the geomagnetic equator, only  $H_\varphi$  differs from 0, and the system of Maxwell equations for the ionosphere <sup>(4)(13)</sup> splits into purely  $TH$ - and purely  $TE$ -waves. For the  $TH$ -waves of interest to us, the impedance equation <sup>(9)(13)</sup> assumes the simple form:

$$\left(\frac{\varepsilon_{\theta\theta}}{\Delta}u\right)'_r + \frac{\varepsilon_{\theta\theta}}{\Delta}u^2 + k^2 \left[1 - \frac{\varepsilon_{\theta\theta}}{\Delta} \left(\frac{\nu}{kr}\right)^2 \pm \frac{ir}{k} \left(\frac{\varepsilon_{\theta r}}{r\Delta}\right)'_r \left(\frac{\nu}{kr}\right)\right] = 0, \quad (2)$$

where  $\Delta = \varepsilon_{\theta\theta}^2 + \varepsilon_{\theta r}^2$ . The last term in (2) is responsible for the violation of the reciprocity principle and for the gyrotropic effect associated with it. Integration of (2) with respect to  $r$  from  $r_\infty = c + h_\infty$ , where  $u$  assumes the adiabatic value

$$\bar{u}^e(r_\infty) = ik\sqrt{\varepsilon_{\theta\theta} + \frac{\varepsilon_{\theta r}^2}{\varepsilon_{\theta\theta}} - \left(\frac{\nu}{kr_\infty}\right)^2}, \quad (3)$$

to the point  $r = c$ , where  $u(c)$  gives the impedance  $Z_y^e = iu(c)/k$ , entering the equation

$$\overline{D_\nu(a', c')} - iZ_y^e \overline{D_\nu(a', c)} = 0, \quad (4)$$

whose roots are the wave numbers of normal waves of the  $TH$  type. It was obtained from (14) (13) under the condition that  $X^e = 0$ . The excitation coefficients are determined by formula (13) (12).

3. We shall show that the calculation of the parameters of  $TH$ -waves for any daytime paths on the Earth can be carried out with sufficient accuracy by the formulas of Sec. 2. For this purpose we divide the interval of integration  $(r_\infty, c)$  of equations (9) (13) into two parts:  $(r_\infty, d)$ , where the adiabatic approximation is valid, and  $(d, c)$ , where the buildup of radio-wave reflections occurs. The point  $r = d$  can be determined by comparing the hodograph  $u(r)$  of equation (9) (13) with the hodograph of the adiabatic approximation  $\bar{u}(r)$  of equation (10) (13);  $r = d$  depends on  $\nu$ ,  $H_0$ , and the profiles  $N_e(h)$  and  $\nu_{\text{eff}}(h)$ . We shall call the profile  $N_e(h)$  a daytime profile if, for  $\nu$  close to  $ka$  and any  $|H_0| \leq 0.5$  Oe, the condition  $Z(d) > U$  is satisfied. Owing to the adiabatic nature of  $(r_\infty, d)$ , we transfer the beginning of integration from  $r_\infty$  to  $d$ . Equation (10) (13), which determines  $\bar{u}(d)$ , when the daytime-profile condition is fulfilled, is approximated

Fig. 1. Hodographs  $u(h)$  and electron-concentration profiles  $N_e(h)$

Figure 1: Fig. 1. Hodographs  $u(h)$  and electron-concentration profiles  $N_e(h)$

Fig. 2 and Fig. 3

Figure 2: Fig. 2 and Fig. 3

by a biquadratic equation. One of its roots coincides with  $u^e$ , determined from (3) at  $r = d$ . Since the profile  $\nu_{\text{eff}}$  has the form

**Fig. 1.** Hodographs  $u(h)$  and electron-concentration profiles  $N_e(h)$

$$\nu_{\text{eff}} = s_0 10^5 \exp[-0.148(h - 89)], \quad (5)$$

where  $s_0 = 5 \div 10$ ,  $h = r - d$  in km, then for  $Z(d) > U$  this inequality is also fulfilled for all  $r < d$ . Consequently, equation (9) (13) for the impedances  $Z_y$  of  $TH$ -waves for any  $H_\theta$ ,  $H_\varphi$ , and  $H_r \leq 0.5$  Oe is approximated by (2). Confirmation of this important result, simplifying the correction of the theory (10-12), is given in Fig. 1, where the hodographs  $u(r)$  obtained by integrating (9) (13) for  $f = 15$  kHz,  $\nu = 2000$ , for the profile  $N_e(h)$  of middle latitudes (curve I in Fig. 1) are shown. Replacing  $N_e(h)$  and  $\nu_{\text{eff}}(h)$  by stepwise profiles with jumps at the points  $h_0, h_1, \dots, h_l, h_{l+1}, h_d$ , the hodograph  $u(r)$  can be represented as a piecewise-continuous curve undergoing a jump at each step  $h_l$ , ensuring the continuity of  $Z_y^e$  according to equation (11) (13).

$$Z_e(h_l) = \frac{1}{\Delta} \left[ \frac{\varepsilon_{\theta\theta}}{ik} u^e(h_l) \mp \varepsilon_{\theta r} \frac{\nu}{kr_l} \right], \quad r_l = a + h_l. \quad (6)$$

Between the steps the hodograph moves along a circle whose radius depends on the accumulated reflection coefficient in the overlying layers. Hodograph **A** is constructed for  $H_\theta = H_\varphi = 0$  and  $H_r = 0.445$  Oe, **B** for  $H_\theta = H_\varphi = H_r = 0$ . In accordance with what has been said, their final values  $u(c)$  coincide, despite the great difference of the initial ones; accumulation of reflection takes place only due to the first term in (6). In hodographs  $\Gamma$  and  $\Delta$ ,  $H_\theta = H_r = 0$ , and  $H_\varphi = 0.445$  Oe, and the second term in (6) introduces a different effect of accumulation of reflections depending on the choice of wave direction

**Fig. 2.** Parameters  $\beta(f)$  and  $\Delta\alpha(f)$  of the  $TH_1$  wave for the profile  $N_e(h)$  of middle latitudes I at

$S_0 = 5$

**Fig. 3.** Parameters  $\beta(f)$  and  $\Delta\alpha(f)$  of the  $TH_1$  wave for profile I,  $S_0 = 10$  (dashed curves) and profile II,  $S_0 = 5$  (solid curves). Values of  $H_\varphi$ , equal to 0.445 and 0, are indicated on the curves

$v > 0$  (W  $\rightarrow$  E) or  $v < 0$  (E  $\rightarrow$  W). **B** is a case similar to **A**, but for the ordinary wave.

4. Figures 2 and 3 give computer calculations of the attenuation coefficients  $\beta(TH_1)$  and of the differences of angular wave numbers  $\Delta\alpha(TH_1) = \alpha(H_\varphi) - \alpha(0)$  as functions of the frequency  $f$  and  $H_\varphi$  for two types of profiles: *I*—middle latitudes in summer and *II*—the equatorial zone (Fig. 1), for  $s_0 = 5$  and  $s_0 = 10$ .\* On the curves  $\beta(f)$  and  $\Delta\alpha(f)$  the quantities  $H_\varphi$ ,  $s_0$ , and the profile type are indicated. On the right ordinate the attenuations in decibels per 1000 km are given. The calculations were carried out by the formulas of Sec. 2, but, by virtue of the assertion of Sec. 3, they are applicable to any daytime paths. Profile *I* is applicable for latitudes  $|\varphi| > 40^\circ$ , and *II* for  $|\varphi| < 20^\circ$ . In the intermediate zone  $20^\circ < |\varphi| < 40^\circ$ ,  $\beta$  and  $\Delta\alpha$  are estimated by mean values. The values  $\alpha(0)$  may be taken from the corresponding graphs  $\alpha(f)$  in works (10-12). If  $H_r = \sqrt{H_\varphi^2 + H_\theta^2}$  is the total horizontal component of the field  $\mathbf{H}_0$  at a given point of the path, and  $\psi$  is the angle between  $H_r$  and the path, then  $\beta$  for it is determined approximately by the formula  $\beta = \beta(H_r) \sin \psi$ , where  $\beta(H_r)$  is obtained from Figs. 2 or 3 by linear interpolation. It is seen from Figs. 2 and 3 that in the equatorial zone the valve effect reaches the largest values. Thus, for example, for  $f = 10$  kHz on the Panama Canal path

\* The Earth is considered ideally conducting; therefore, in (9),  $\bar{D}_\nu$  is replaced by  $D_\nu$ .

channel—the Hawaiian Islands, of length 1.33 rad, where the mean value  $H_\varphi = 0.3$  oersted; according to Fig. 3 (profile *II*) it follows that  $\beta(\text{W}, \text{E}) = 1.2$  neper/rad, and  $\beta(\text{E}, \text{W}) = 2.9$  neper/rad, whence the ratio of the field strengths  $P = \exp[\beta(\text{E}, \text{W}) - \beta(\text{W}, \text{E})]\theta \simeq 10$ , which is close to the experimental value 12.3 obtained in <sup>3</sup>. In middle latitudes the valve effect is weakened owing to the appearance of the *C* layer, created by cosmic rays <sup>11,12,14</sup>, and to the decrease of  $H_\varphi$ . On summer transatlantic paths, where  $H_\varphi \simeq 0.15$  oersted, for  $f = 15$  kHz  $\beta(\text{E}, \text{W}) = 1.75$  neper/rad,  $\beta(\text{W}, \text{E}) = 1.4$  neper/rad, which gives  $P = 1.4$  for  $\theta = 1$  rad. In winter daytime the calculation gives  $\beta(\text{E}, \text{W}) = 2.8$ ,  $\beta(\text{W}, \text{E}) = 2.1$ , and for  $\theta = 1$  rad we obtain  $P = 2.5$ . These results are close to the experimental data of the round-the-world expedition of 1922-1923 <sup>4</sup>. The valve effect is weakened with increasing atmospheric pressure because of an increase in  $\nu_{\text{eff}}$  (see the graphs of Figs. 2-3 for  $s_0 = 5$ ;  $s_0 = 10$ ) and with increasing frequency  $f$ . The results of the calculations for profiles *I* and *II* are good confirmation that the *C* layer in the equatorial zone is practically absent, as is required by the aeronomic theory of the origin of the *C* layer through primary cosmic rays. If the *C* layer existed in the equatorial zone and the profile  $N_e$  were close to profile *I*, then the calculated  $P$  would be equal to 5, which is considerably below the experimental value 12. At night, in order to take account of the valve effect, all three components of the field  $H_\theta$ ,  $H_\varphi$  and  $H_r$  must be considered.

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