

A CONSTRUCTIVE CHARACTERIZATION OF ONE CLASS OF NONPERIODIC FUNCTIONS

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Abstract

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MATHEMATICS

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**A CONSTRUCTIVE CHARACTERIZATION
OF ONE CLASS OF NONPERIODIC FUNC-
TIONS**

(Presented by Academician S. N. Bernstein on 30 XI 1965)

Let $f(x) \in L_2[-1, 1]$; $E_n^{(2)}[f]$ is the best approximation to $f(x)$ on $[-1, 1]$ by algebraic polynomials of degree not exceeding n in the metric L_2 .

Denote

$$f_h(x) = \frac{1}{\pi} \int_0^\pi f(x \cos h + \sqrt{1-x^2} \sin h \cos \theta) d\theta.$$

The article considers the question of the structural properties of the class of functions satisfying the condition

$$E_n^{(2)}[f] \leq M/n^{s+\gamma}, \quad n > s, \quad n' = 1, 2, \dots, \quad 0 < \gamma < 1,$$

where M is a constant independent of n .

Theorem 1. In order that the inequality

$$E_n^{(2)}[f] \leq M/n^{s+\gamma}$$

hold, it is necessary and sufficient that

$$\left\{ \int_{-1}^1 \left[\frac{d^s f_h(x)}{dx^s} - \frac{d^s f(x)}{dx^s} \right]^2 (1-x^2)^s dx \right\}^{1/2} \leq ch^\gamma,$$

where c is a constant independent of $h > 0$, $n > s$, $0 < \gamma < 1$.

It should be noted that the question of approximation of functions in the metric L_p by algebraic polynomials with a certain fractional-rational weight was considered by M. K. Potapov and G. K. Lebedev ⁽⁴⁾.

In proving the lemmas and theorems, the article uses the classical method of 2^n -summation of S. N. Bernstein ^(1, 2).

Lemma 1. For the Legendre polynomial $P_k(\cos h)$, for any h and k the inequality

$$1 - P_k(\cos h) \leq k^2 h^2 / 2 \quad (1)$$

holds, and respectively

$$1 - P_k(\cos h) \geq 4k^2 h^2 / 3\pi^3, \quad 0 \leq kh \leq \pi. \quad (2)$$

Proof. We use the expression for the Legendre polynomial ⁽³⁾

$$\begin{aligned} P_k(\cos h) &= 2 \frac{(2k-1)!!}{(2k)!!} \cos kh + 2 \frac{(2k-3)!!}{(2k-2)!!} \frac{1}{2} \cos(k-2)h + \dots \\ &\dots + 2 \frac{(2k-2m-1)!!}{(2k-2m)!!} \frac{(2m-1)!!}{(2m)!!} \cos(k-2m)h + \dots \end{aligned}$$

Since $1 = P_k(1)$, it follows that

$$\begin{aligned} 1 - P_k(\cos h) &= 4 \frac{(2k-1)!!}{(2k)!!} \sin^2 k \frac{h}{2} + 4 \frac{(2k-3)!!}{(2k-2)!!} \frac{1}{2} \sin^2(k-2) \frac{h}{2} + \dots \\ &\dots + 4 \frac{(2k-2m-1)!!}{(2k-2m)!!} \frac{(2m-1)!!}{(2m)!!} \sin^2(k-2m) \frac{h}{2} + \dots \end{aligned} \quad (3)$$

Hence, from the equality $1 = P_k(1)$, taking into account the inequality $\sin^2 x \leq x^2$, we obtain (1).

On the basis of the inequality

$$\left[\frac{(2m)!!}{(2m-1)!!} \right]^2 \frac{1}{2m+1} < \frac{\pi}{2} < \left[\frac{(2m)!!}{(2m-1)!!} \right]^2 \frac{1}{2m} \quad (4)$$

we have

$$\frac{(2k-2m-1)!!}{(2k-2m)!!} \frac{(2m-1)!!}{(2m)!!} > \frac{2}{\pi} \frac{1}{\sqrt{(2k-2m+1)(2m+1)}} > \frac{2}{\pi(k+1)}. \quad (5)$$

Since $0 \leq kh \leq \pi$, it follows from (3), (5), and the inequality $\sin x \geq 2x/\pi$, where $0 \leq x \leq \pi/2$, that

$$1 - P_k(\cos h) \geq \frac{8h^2}{\pi^3(k+1)} [k^2 + (k-2)^2 + \dots + (k-2m)^2 + \dots] \geq \frac{4k^2 h^2}{3\pi^3}. \quad (6)$$

Let $f(\cos \beta) \sqrt{\sin \beta}$ be a square-summable function on $[0, \pi]$. Denote

$$b_k = \frac{2k+1}{2} \int_0^\pi f(\cos \beta) P_k(\cos \beta) \sin \beta d\beta, \quad a_k^2 = \frac{2b_k^2}{2k+1}. \quad (7)$$

Under these assumptions, the following assertion is valid.

Lemma 2. In order that the inequality

$$\left(\sum_{k=n}^{\infty} a_k^2 \right)^{1/2} \leq M/n^{s+\gamma} \quad (8)$$

hold, it is necessary and sufficient that

$$\left\{ \int_0^\pi \left[\frac{d^s}{d \cos \beta^s} \frac{1}{\pi} \int_0^\pi f(\cos R) d\theta - \frac{d^s}{d \cos \beta^s} f(\cos \beta) \right]^2 \sin^{2s+1} \beta d\beta \right\}^{1/2} \leq ch^\gamma, \quad (9)$$

where $\cos R = \cos \beta \cos h + \sin \beta \sin h \cos \theta$, $n > s$, $0 < \gamma < 1$.

Proof. Suppose that (9) holds. From the relation

$$f(\cos \beta) \sim \sum_{k=0}^{\infty} b_k P_k(\cos \beta),$$

applying the addition theorem for Legendre polynomials

$$P_k(\cos R) = P_k(\cos \beta) P_k(\cos h) + 2 \sum_{m=1}^k \frac{(k-m)!}{(k+m)!} P_k^m(\cos \beta) P_k^m(\cos h) \cos m\theta,$$

we obtain

$$\begin{aligned} & \left[\frac{d^s}{d \cos \beta^s} \frac{1}{\pi} \int_0^\pi f(\cos R) d\theta - \frac{d^s}{d \cos \beta^s} f(\cos \beta) \right] \sin^s \beta \sim \\ & \sim \sum_{k=s}^{\infty} [P_k(\cos h) - 1] P_k^s(\cos \beta) b_k. \end{aligned}$$

By Parseval' s equality, taking into account (7) and the fact that

$$\int_0^\pi \{P_k^s(\cos \beta)\}^2 \sin \beta d\beta = \frac{2}{2k+1} \frac{(k+s)!}{(k-s)!},$$

we shall have

$$\int_0^\pi \left[\frac{d^s}{d \cos \beta^s} \frac{1}{\pi} \int_0^\pi f(\cos R) d\theta - \frac{d^s}{d \cos \beta^s} f(\cos \beta) \right]^2 \sin^{2s+1} \beta d\beta = \sum_{k=s}^\infty [P_k(\cos h) - 1]^2 \frac{(k+s)!}{(k-s)!} a_k^2. \quad (10)$$

For any $n > s$, from condition (9) we have

$$\frac{(n+s)!}{(n-s)!} \sum_{k=n}^{2n-1} [P_k(\cos h) - 1]^2 a_k^2 \leq \sum_{k=s}^\infty [P_k(\cos h) - 1]^2 \frac{(k+s)!}{(k-s)!} a_k^2 \leq c^2 h^{2\gamma}.$$

Putting $h = 1/n$ and taking into account here that $1 \leq kh < 2$, on the basis of inequality (2) we obtain

$$\frac{(n+s)!}{(n-s)!} \sum_{k=n}^{2n-1} a_k^2 \leq \frac{(n+s)!}{(n-s)!} \sum_{k=n}^{2n-1} k^4 h^4 a_k^2 \leq \frac{c_1}{n^{2\gamma}}, \quad c_1 = \frac{9}{16} \pi^6 c^2. \quad (11)$$

Since $n > s$, for $i = 1, 2, \dots, s-1$ we have $n-i > \frac{s-i}{s}n$. Hence, also from the inequality $(n+1)(n+2)\dots(n+s) > n^s$, it follows that

$$\frac{(n-s)!}{(n+s)!} \leq \frac{s^s}{s!} \frac{1}{n^{2s}}.$$

The last inequality and (11) give

$$\sum_{k=n}^{2n-1} a_k^2 \leq \frac{c_2}{n^{2s+2\gamma}}, \quad c_2 = c_1 \frac{s^s}{s!}. \quad (12)$$

Therefore

$$\sum_{k=n}^\infty a_k^2 = \sum_{j=0}^\infty \sum_{k=2^j n}^{2^{j+1}n-1} a_k^2 \leq \frac{c_2}{n^{2s+2\gamma}} \sum_{j=0}^\infty 2^{-2j(s+\gamma)} < \infty.$$

Taking into account the convergence of the series on the right, we obtain (8).

Let us show that (9) follows from (8). The inequality holds

$$\sum_{k=s}^\infty [P_k(\cos h) - 1]^2 \frac{(k+s)!}{(k-s)!} a_k^2 \leq 2^s \sum_{k=s}^\infty [P_k(\cos h) - 1]^2 k^{2s} a_k^2.$$

Represent the following sum by two terms:

$$\sum_{k=s}^{\infty} [P_k(\cos h) - 1]^2 k^{2s} a_k^2 = \sum_{k=s}^{n-1} + \sum_{k=n}^{\infty}, \quad n = \left[\frac{1}{h} \right].$$

Put

$$\gamma_k = \sum_{m=k}^{\infty} m^{2s} a_m^2 \leq M_2/k^{2\gamma}. \quad (13)$$

Inequality (13) follows from (12) and is equivalent to (8). Taking inequalities (1) and (13) into account, we shall have

$$\begin{aligned} \sum_{k=s}^{n-1} [P_k(\cos h) - 1]^2 k^{2s} a_k^2 &\leq \sum_{k=1}^n k^4 h^4 k^{2s} a_k^2 = h^4 \sum_{k=1}^n k^4 (\gamma_k - \gamma_{k-1}) \leq \\ &\leq h^4 \{ \gamma_1 + (2^4 - 1^4) \gamma_2 + (3^4 - 2^4) \gamma_3 + \dots + [n^4 - (n-1)^4] \gamma_n \} \leq \\ &\leq 6h^4 \sum_{k=1}^n k^3 \gamma_k \leq 6M_2 h^4 \sum_{k=1}^n k^{3-2\gamma} \leq M_3 h^{2\gamma}. \end{aligned} \quad (14)$$

Since $|P_k(\cos h)| \leq 1$, we have

$$\sum_{k=n}^{\infty} [P_k(\cos h) - 1]^2 k^{2s} a_k^2 \leq 4 \sum_{k=n}^{\infty} k^{2s} a_k^2 \leq 4M_2 h^{2\gamma}. \quad (15)$$

Combining the estimates (14) and (15), we obtain (9).

Theorem 1 follows from Lemma 2, if we put $x = \cos \beta$ and take into account that

$$\left\{ \int_{-1}^1 \left[f(x) - \sum_{k=0}^{n-1} b_k P_k(x) \right]^2 dx \right\}^{1/2} = \left(\sum_{k=n}^{\infty} a_k^2 \right)^{1/2} = E_n^{(2)}[f].$$

Next we shall prove a theorem on the absolute convergence of the series in Legendre polynomials, which is an analogue of S. N. Bernstein's theorem on the absolute convergence of the trigonometric Fourier series (1).

Theorem 2. If the function $f(x)$ satisfies the condition $|f_h(x) - f(x)| \leq ch^\gamma$, $-1 \leq x \leq 1$, $h > 0$, $\gamma > 1/2$, then the series $\sum_{k=2}^{\infty} |a_k|$ converges.

Proof. For $s = 0$, from (10) we obtain

$$\int_0^\pi \left[\frac{1}{\pi} \int_0^\pi f(\cos R) d\theta - f(\cos \beta) \right]^2 \sin \beta d\beta = \sum_{k=0}^{\infty} [P_k(\cos h) - 1]^2 a_k^2.$$

Putting $x = \cos \beta$, from the condition of Theorem 2 we shall have

$$\sum_{k=0}^{\infty} [P_k(\cos h) - 1]^2 a_k^2 \leq 2c^2 h^{2\gamma}.$$

Choose an arbitrary natural number N and put $h = 1/N$. Then

$$\sum_{k>N/2}^N [P_k(\cos h) - 1]^2 a_k^2 \leq 2c^2 N^{-2\gamma}.$$

Hence, and from inequality (2),

$$\sum_{k>N/2}^N a_k^2 \leq 2c_1 N^{-2\gamma}.$$

In particular, if we put $N = 2^\nu$, $\nu = 1, 2, \dots$, then

$$\sum_{k=2^{\nu-1}+1}^{2^\nu} a_k^2 \leq 2c_1 2^{-2\gamma\nu}.$$

Hence, and from Bunyakovsky's inequality,

$$\sum_{k=2^{\nu-1}+1}^{2^\nu} |a_k| \leq \left(\sum_{k=2^{\nu-1}+1}^{2^\nu} a_k^2 \right)^{1/2} \left(\sum_{k=2^{\nu-1}+1}^{2^\nu} 1 \right)^{1/2} \leq \sqrt{c_1} 2^{\nu(1/2-\gamma)}.$$

Consequently,

$$\sum_{k=2}^{\infty} |a_k| = \sum_{\nu=1}^{\infty} \sum_{k=2^{\nu-1}+1}^{2^\nu} |a_k| \leq \sqrt{c_1} \sum_{\nu=1}^{\infty} 2^{(1/2-\gamma)\nu} < \infty.$$

Peoples' Friendship University
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Note: Figure translations are in progress. See original paper for figures.

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