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Abstract

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MATHEMATICS

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LOCAL MINIMA OF THE DENSITY OF A LATTICE COVERING OF FOUR-DIMENSIONAL EUCLIDEAN SPACE BY EQUAL SPHERES

(Presented by Academician S. L. Sobolev on 10 V 1965)

Let a point lattice Γ be given in four-dimensional Euclidean space E^4 . As parameters of the lattice we choose the scalar products g_{kl} ($k, l = 1, 2, \dots, 5; k \neq l$) of the vectors \mathbf{a}_k of a Selling frame ⁽¹⁾ of this lattice. Denote by $D_4(\Gamma)$ the density of the covering of the space E^4 by spheres of equal radii with centers at the points of the lattice Γ .

The present note is devoted to the problem of finding the local minima of the density $D_4(\Gamma)$ as a function of the parameters g_{kl} of the lattice Γ , and the problem considered is solved completely. Known results directly or indirectly related to the question of local minima of $D_4(\Gamma)$ are contained in papers ⁽²⁻⁶⁾.

1. Consider the star γ of the simplicial L -decomposition ⁽¹⁾ of the lattice Γ , i.e., the set of L -simplices having as a common vertex an arbitrarily chosen point O of the lattice Γ . A hyperplane $P = (O, \mathbf{a}_k, \mathbf{a}_l, \mathbf{a}_m)$ passing through the center O of the star γ and the vectors $\mathbf{a}_k, \mathbf{a}_l, \mathbf{a}_m$ of a Selling frame will be called a **hyperplane of symmetrization** of the star γ (and of the lattice Γ) if, with respect to it, the star γ is affinely symmetric, i.e., there exists an affine transformation of the space E^4 preserving the hyperplane P , which carries the star γ into a star $\tilde{\gamma}$ symmetric with respect to the hyperplane P . Everywhere here Selling frames are assumed to be reduced in the sense of Voronoi ^(7,8).

Lattices on which local minima of the density $D_4(\Gamma)$ are attained will be called **extremal lattices**. A necessary condition that a lattice Γ must satisfy in order to be extremal follows from the following theorem:

Theorem 1. *If the star γ of a four-dimensional lattice Γ is not symmetric with respect to any of its hyperplanes of symmetrization, then the lattice Γ is not extremal.*

We indicate the course of the proof of Theorem 1. The density $D_4(\Gamma)$ is equal to

$$D_4(\Gamma) = \frac{\pi^2}{2} \left(\max_{\nu=1,2,\dots,12} R_\nu \right)^4 V^{-1}, \quad (1)$$

where R_ν ($\nu = 1, 2, \dots, 12$) denotes the radii of the spheres circumscribed about each of the 12 nonhomologous L -simplices of the lattice Γ , and V denotes the volume of the fundamental parallelepiped of the lattice Γ . Consider the set of shear transformations preserving the hyperplane of symmetrization P and the volume V . We assume that each of the transformations considered changes the parameters g_{kl} of the lattice Γ arbitrarily little.

If the star γ is not symmetric with respect to P , then among the indicated shear transformations there is always one such that $\max_{\nu=1,2,\dots,12} R_\nu$ for the varied lattice Γ^* will be smaller than the same quantity for the initial lattice Γ . After this, the assertion of the theorem follows from formula (1).

2. In the space G^{10} of the parameters g_{kl} , the reduction domain G_I of lattices of the first type, in which we shall consider the function $D_4(\Gamma)$, is defined by the inequalities ^(1, 8)

$$g_{kl} \leq 0, \quad (k, l = 1, 2, \dots, 5; k \neq l). \quad (2)$$

The star γ of lattices of the first type is constructed in such a way that any of the hyperplanes $P = (O, \mathbf{a}_k, \mathbf{a}_l, \mathbf{a}_m)$ ($k, l, m = 1, 2, \dots, 5; k \neq l, l \neq m, m \neq k$) is a hyperplane of symmetrization. A necessary and sufficient condition for the symmetry of the star γ with respect to the hyperplane $(O, \mathbf{a}_{k_1}, \mathbf{a}_{k_2}, \mathbf{a}_{k_3})$ is the fulfillment, for the lattice parameters, of the three equalities:

$$g_{k_i k_4} = g_{k_i k_5} \quad (i = 1, 2, 3). \quad (3)$$

From Theorem 1 and relations (3) it follows that the only lattice that can be extremal on the set of lattices of the first type is a unique lattice (we consider similar lattices to be identical), namely the so-called principal lattice Γ_1^4 of the first type, for which all parameters g_{kl} are equal to one another. The extremality of the lattice Γ_1^4 was proved by Gametskii ^(2, 3).

Thus, on the set of lattices of the first type the question of local minima of $D_4(\Gamma)$ is completely solved:

Theorem 2. *In the domain G_I of lattices of the first type there exists a unique local minimum of the density $D_4(\Gamma)$, attained on the lattice Γ_1^4 , and*

$$D_4(\Gamma_1^4) = \frac{2\pi^2}{\sqrt{125}} = 1.7655 \dots \quad (4)$$

3. One of the reduced domains G_{II} of lattices of the second type is specified by the inequalities ^{(8), p.363}

$$g_{45} \geq 0, \quad g_{ij} \leq 0, \quad g_{45} + g_{i4} \leq 0, \quad g_{45} + g_{i5} \leq 0 \quad (i, j = 1, 2, 3; i \neq j). \quad (5)$$

The star γ of lattices of this type has already only 4 hyperplanes of symmetrization: $P_i = (O, \mathbf{a}_i, \mathbf{a}_4, \mathbf{a}_5)$ ($i = 1, 2, 3$) and $P_4 = (O, \mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3)$.

On the basis of Theorem 1 and equalities (3) we conclude that the extremal lattices of the second type can only be those to which, in the space G^{10} , there correspond points lying at the intersection of the domain G_{II} with the three-dimensional plane:

$$g_{14} = g_{15} = g_{24} = g_{25} = g_{34} = g_{35} = g, \quad g_{12} = g_{13} = g_{23} = h, \quad g_{45} = t. \quad (6)$$

The intersection of the domain G_{II} with the plane (6) has been investigated⁽⁵⁾. Combining the result obtained there with ours, we obtain the theorem:

Theorem 3. *In the domain G_{II} of lattices of the second type, the density $D_4(\Gamma)$ has a unique local minimum, which is attained on the lattice Γ_2^4 with parameters g_{kl} satisfying the conditions (6) and such that*

$$g : h : t = -1 : -0.5441 \dots : 0.5001 \dots, \quad (7)$$

where the numbers $x = -h/g$ and $y = -t/g$ constitute one of the solutions of the system

$$xy^2 - 2x^2 - 3xy + 2(x + y) = 0,$$

$$x(9y^2 - 24y + 7) - 14y^2 + 40y - 18 = 0. \quad (8)$$

On the lattice Γ_2^4 the density is equal to:

$$D_4(\Gamma_2^4) = \frac{\pi^2}{2} \cdot 0.3817 \dots = 1.8836 \dots \quad (9)$$

4. Let us consider the set of lattices of the third type. In the space G^{10} , one of the reduced domains G_{III} of lattices of the third type is defined by means of the inequalities ((8), p. 366)

$$\begin{aligned} g_{45} \geq 0, \quad g_{14} \leq 0, \quad g_{15} \leq 0, \quad g_{23} - g_{45} \geq 0, \\ g_{12} + g_{23} - g_{45} \leq 0, \quad g_{13} + g_{23} - g_{45} \leq 0, \quad g_{24} + g_{23} \leq 0, \\ g_{25} + g_{23} \leq 0, \quad g_{34} + g_{23} \leq 0, \quad g_{35} + g_{23} \leq 0. \end{aligned} \quad (10)$$

For the star γ of lattices of the third type there are two hyperplanes of symmetrization: $P' = (O, a_1, a_2, a_3)$ and $P'' = (O, a_1, a_4, a_5)$. From Theorem 1 and equalities (3) we obtain that the extremal lattices may be those which correspond to points situated at the intersection of the $\widetilde{G}_{\text{III}}$ domain G_{III} with the five-dimensional plane

$$g_{24} = g_{25} = g_{34} = g_{35} = g, \quad g_{12} = g_{13} = h_1, \quad g_{14} = g_{15} = h_2, \quad g_{23} = t, \quad g_{45} = u. \quad (11)$$

Lattices whose parameters satisfy (11) have, in general, 6 different radii R_ν ($\nu = 1, 2, \dots, 12$).

The usual investigation of the function (1) in the domain $\widetilde{G}_{\text{III}}$ gives the following result:

Theorem 4. *On the set of lattices of the third type there exists a unique extremal lattice Γ_3^4 , whose parameters g_{kl} are determined by the relations*

$$g_{12} = g_{13} = -g_{23} = \alpha, \quad g_{14} = g_{15} = -g_{45} = \beta, \quad (12)$$

$$g_{24} = g_{25} = g_{34} = g_{35} = \alpha + \beta, \quad \alpha : \beta = (1 + \sqrt{13}) : 2, \quad \alpha < 0, \quad \beta < 0,$$

with

$$D_4(\Gamma_3^4) = \frac{\pi^2}{2} \cdot 0.39085 \dots = 1.9287 \dots \quad (13)$$

Theorems 2-4 give a complete answer to the question of local minima of density.

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