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# N. I. Chernykh

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**Abstract**

**Full Text**

**N. I. Chernykh**

**ON THE APPROXIMATION OF FUNCTIONS BY POLYNOMIALS WITH CONSTRAINTS**

*(Presented by Academician S. N. Bernstein on 2 XII 1964)*

The problem of approximating functions by algebraic polynomials and trigonometric polynomials whose coefficients are connected by a linear relation was first studied by V. A. Markov <sup>(5)</sup>. The paper <sup>(5)</sup> initiated numerous investigations in this direction (see <sup>(3,4)</sup>).

The present paper is devoted to Jackson-type estimates for best approximations of a continuous function in the case of homogeneous linear constraints of a certain special form. For the same constraints, the paper indicates the exact order of the upper bound of best approximations over a class of functions analytic and bounded in a prescribed domain. For approximations without constraints, the latter problem was solved by N. A. Akhiezer <sup>(1)</sup>, pp. 230–235).

§ 1. Let  $X$  be a linear normed space and  $\{x_k\}_0^\infty \subset X$ . By  $X_n = \{p_n\}$  ( $n = 0, 1, \dots$ ) we denote the subspace of  $X$  spanned by the system  $\{x_k\}_0^n$ . Let  $\Psi$  be a homogeneous additive functional defined on the union of all  $X_n$ , and let its norm on  $X_n$  be equal to  $\|\Psi\|_n$ . For any element  $f \in X$  put

$$E_n(f) = \inf_{p_n \in X_n} \|f - p_n\| = \|f - p_n(f)\|, \quad E_n(f; \Psi) = \inf_{\Psi(p_n)=0} \|f - p_n\|,$$

where the norm is the same as in the space  $X$ . In this section we agree to regard  $0/0 = 0$ . In these notations the following inequalities are valid\*:

$$\begin{aligned} \frac{1}{3}\{E_n(f) + |\Psi(p_n(f))|(\|\Psi\|_n)^{-1}\} &\leq E_n(f; \Psi) \leq \\ &\leq E_n(f) + |\Psi(p_n(f))|(\|\Psi\|_n)^{-1} \quad (n = 0, 1, \dots); \end{aligned} \quad (1.1)$$

$$\begin{aligned} E_n(f; \Psi) &\leq |\Psi(p_0(f))|(\|\Psi\|_n)^{-1} + \\ + 2 \left\{ E_n(f) + (\|\Psi\|_n)^{-1} \sum_{k=0}^{n-1} E_k(f) \|\Psi\|_{k+1} \right\} &\quad (n = 0, 1, \dots). \end{aligned}$$

§ 2. Let  $X = C_{[-1,1]}$ ;  $X_n \subset X$  is the subspace of algebraic polynomials  $p_n(x)$  of degree  $n$ . We shall denote the functional  $\Psi$  by  $\Psi_{\alpha,a}$  ( $\alpha > -1, a > 1$ ), if it can be represented in the form

$$\Psi(p_n) = \int_{-a}^a p_n(x)(a^2 - x^2)^\alpha \varphi(x) dx = \Psi_{\alpha,a}(p_n), \quad (2.1)$$

where  $\varphi(x)$  is a function summable on the interval  $[-a, a]$ , continuous at the points  $x = \pm a$ , and  $\varphi^2(a) + \varphi^2(-a) \neq 0$ . For  $a > 1$  put  $g(a) = a + (a^2 - 1)^{1/2} > 1$ .

\* A special case of inequality (1.1) was indicated earlier <sup>(6)</sup>.

Let  $\omega(\delta; f)$  be the modulus of continuity of the function  $f(x) \in C_{[-1,1]}$ . From the estimate (1,2), inequality (3) of the work [7], and D. Jackson's inequality

$$E_n(f) \leq \frac{c_r}{n^r} \omega\left(\frac{1}{n}; f^{(r)}\right) \quad (n > r) \quad (2.2)$$

there follows the following

**Theorem 1.** Let  $r \geq 0$  be an integer,  $\alpha > -1$ ,  $a > 1$ . If  $f^{(r)}(x) \in C_{[-1,1]}$ , then for  $n > r$

$$E_n(f; \Psi_{\alpha,a}) \leq \frac{K_1}{n^r} \omega\left(\frac{1}{n}; f^{(r)}\right) + K_2 \|f\|_{C_{[-1,1]}} g^{-n/2}(a), \quad (2.3)$$

where the constants  $K_1$  and  $K_2$  depend only on  $r$  and  $\Psi_{\alpha,a}$ .

Let  $\Gamma_b$  be the ellipse with foci at the points  $x = \pm 1$ , passing through the point  $b > 1$ ; let  $G(b)$  be the finite domain in the  $z$ -plane with boundary  $\Gamma_b$ . We shall say that a function  $f(z)$  analytic in the domain  $G(b)$  belongs to  $MB(b)$  if it is real on the segment  $[-1, 1]$  and  $|f(z)| \leq M$  for  $z \in G(b)$ .

**Theorem 2.** Let  $\alpha > -1$ ,  $a > 1$ ,  $b > 1$ . For every functional  $\Psi_{\alpha,a}$  of the form (2,1) there exists a constant  $K_3 = K_3(b, \Psi_{\alpha,a})$  such that, if the function  $f(z) \in MB(b)$ , then

$$E_{n-1}(f; \Psi_{\alpha,a}) \leq \begin{cases} K_3 M g^{-n}(b), & \text{if } b < a, \\ K_3 M n^{\alpha+1} g^{-n}(a), & \text{if } b \geq a, \end{cases} \quad (2.4)$$

$$(n = 1, 2, \dots).$$

For every number  $b > 1$  in the class  $MB(b)$ , inequality (2,4) is exact in order as  $n \rightarrow \infty$ .

Let  $h(x)$  ( $-a \leq x \leq a$ ) be a piecewise-constant function of bounded variation such that the endpoints of the segment  $[-a, a]$  are not limit points for the discontinuities of  $h(x)$ , and

$$[h(a) - h(a-0)]^2 + [h(-a+0) - h(-a)]^2 \neq 0.$$

Put

$$D_a(p_n) = \int_{-a}^a p_n(x) dh(x) \quad (n = 0, 1, \dots). \quad (2,5)$$

For  $a > 1$ , inequality (2,3) is preserved if in it  $\Psi_{\alpha,a}$  is replaced by  $D_a$ . Therefore, for the best approximations  $E_n(f; D_a)$  we shall formulate only an analogue of Theorem 2.

**Theorem 3.** Let  $a > 1$ ,  $b > 1$ , and let  $D_a$  be a functional of the form (2,5). Then there exists a constant  $K_4 = K_4(b, D_a)$  such that, if  $f(z) \in MB(b)$ , then

$$E_n(f; D_a) \leq \begin{cases} K_4 M g^{-n}(b), & \text{if } b < a, \\ K_4 M \ln(n+2) g^{-n}(a), & \text{if } b = a, \\ K_4 M g^{-n}(a), & \text{if } b > a, \end{cases} \quad (2,6)$$

$$(n = 0, 1, \dots).$$

For every number  $b > 1$  in the class  $MB(b)$ , inequality (2,6) is exact in order as  $n \rightarrow \infty$ .

Let us note that Theorem 3 contains an important special case, when

$$D_a(p_n) \equiv p_n(a), \quad a > 1.$$

§ 3. Let  $0 < a < b \leq \pi$ ;  $X = C_{[-a,a]}$ ;  $X_{2n} \subset X$  be the subspace of trigonometric polynomials  $t_n(u)$  of order  $n$ . The best approximations  $E'_{2n}(f)$  and  $E_{2n}(f; \Psi)$  (see § 1) in this case will be denoted by  $E_n(f, a)$  and  $E_n(f, a, \Psi)$ , respectively. Put

$$\Psi(t_n) = \Psi_{a,b}(t_n) = \int_{-b}^b t_n(u) (b^2 - u^2)^\alpha \varphi(u) du, \quad (3,1)$$

where  $\varphi(u)$  is a function summable on the segment  $[-b, b]$ , continuous at the points  $u = \pm b$ , and

$$\varphi^2(b) + \varphi^2(-b) \neq 0.$$

In estimating the quantity  $E_n(f, a, \Psi_{\alpha,\pi})$  for analytic functions, it is useful to distinguish two cases according as  $\varphi(u)$  does or does not satisfy the equality

$$\varphi(\pi) + \varphi(-\pi) = 0. \quad (3,2)$$

If this equality holds, we shall also require that in neighborhoods of the points  $u = \pm\pi$  the inequalities

$$|\varphi(u) - \varphi(\pm\pi)| \leq K(\pi \mp u) \quad (3,3)$$

hold, respectively.

Let  $q_1(w) = (1 - \cos a)^{-1}(2 \cos w - 1 - \cos a)$  and  $g_1(w) = g(q_1(w))$ . For any fixed number  $\lambda > 0$ , denote by  $D(a, \lambda)$  the finite domain lying in the strip  $-\pi \leq \operatorname{Re} w \leq \pi$  and bounded by the level line  $|g_1(w)| = g_1(i\lambda) > 1$ .

Let the number  $\lambda_b$  be the root of the equation  $g_1(i\lambda_b) = |g_1(b)|$  ( $a < b \leq \pi$ ). For  $\lambda > \lambda_\pi$ , the boundary of the domain  $D(a, \lambda)$  will include segments of the straight lines  $w = \pm\pi + iv$ . We shall say that a function  $f(w)$ , analytic in the domain  $D(a, \lambda)$ , belongs to  $MB(a, \lambda)$  if it is real on the interval  $[-a, a]$  and  $|f(w)| \leq M$  for  $w \in D(a, \lambda)$ . In this case, when  $\lambda > \lambda_\pi$ , we shall assume that the function  $f(w)$ , extended with period  $2\pi$ , remains analytic in the corresponding domain.

Using a result of N. I. Akhiezer ([1], p. 235), one can prove the following assertion:

**Lemma.** If  $f(w) \in MB(a, \lambda)$ , then

$$E_n(f, a) \leq H M g_1^{-n}(i\lambda) \quad (n = 0, 1, \dots), \quad (3,4)$$

where the constant  $H$  does not depend on  $f(w)$ . In the class  $MB(a, \lambda)$ , the estimate (3,4) is sharp in order as  $n \rightarrow \infty$ .

Hence, with the aid of inequality (1,1) and inequality (1)–(2) of paper [7], the following theorem follows:

**Theorem 4.** Let  $0 < a < b \leq \pi$ ,  $\alpha > -1$ ,  $\lambda > 0$ , and let  $\Psi_{\alpha,b}$  be a functional of the form (3,1). Then there exists a constant  $H_1 = H_1(a, \lambda, \Psi_{\alpha,b})$  such that, for any function  $f(w) \in MB(a, \lambda)$  and any  $n = 1, 2, \dots$ , we have:

- 1) if  $a < b \leq \pi$  and  $\lambda < \lambda_b$ , then

$$E_{n-1}(f, a, \Psi_{\alpha,b}) \leq H_1 M g_1^{-n}(i\lambda);$$

- 2) if  $a < b < \pi$  and  $\lambda \geq \lambda_b$ , then

$$E_{n-1}(f, a, \Psi_{\alpha,b}) \leq H_1 M n^{\alpha+1} g_1^{-n}(i\lambda);$$

- 3) if  $b = \pi$ ,  $\lambda \geq \lambda_\pi$ , and the functional  $\Psi_{\alpha,\pi}$  does not satisfy condition (3,2), then

$$E_{n-1}(f, a, \Psi_{\alpha,\pi}) \leq H_1 M n^{(\alpha+1)/2} (\operatorname{ctg} a/4)^{-2n};$$

- 4) if  $b = \pi$ ,  $\lambda \geq \lambda_\pi$ , and the functional  $\Psi_{\alpha,\pi}$  satisfies conditions (3,2) and (3,3), then

$$E_{n-1}(f, a, \Psi_{\alpha, \pi}) \leq H_1 M n^{(\alpha+2)/2} (\operatorname{ctg} a/4)^{-2n}.$$

For any number  $\lambda > 0$ , all these inequalities in the class  $MB(a, \lambda)$  are sharp in order as  $n \rightarrow \infty$ .

For continuous functions, with the aid of a theorem of S. B. Stechkin (see, for example, [2], p. 113), one can prove the following assertion:

**Theorem 5.** Let  $0 < a < d \leq \pi$  and  $f(x) \in C[-d, d]$ . For every functional  $\Psi_{\alpha, b}$  ( $\alpha > -1$ ,  $a < b \leq \pi$ ) of the form (3.1), there exists a constant  $C_k = C_k(a, \Psi_{\alpha, b}, \|f\|_{C[-d, d]})$  and a number  $N_k(a)$  such that for  $n > N_k(a)$  we have:

$$E_n(f, a, \Psi_{\alpha, b}) \leq C_k \omega_k(1/n, f, a),$$

where  $\omega_k(\delta, f, a)$  is the modulus of continuity of the function  $f(x)$  of order  $k = 1, 2, \dots$  on the interval  $[-a, a]$ .

Denote by  $E_n^{(0)}(f, a, m)$  and  $E_n^{(1)}(f, a, m)$  the best approximations of the function  $f(x)$  by trigonometric polynomials  $t_n(x)$  that do not contain  $\cos mx$  or  $\sin mx$ , respectively.

**Corollary 1.** Let  $0 < a < d \leq \pi$ ,  $l = 0, 1$ ,  $f(x) \in C[-d, d]$ .

For any integer  $m \geq 0$  there exist a constant  $C_k^{(l)} = C_k^{(l)}(a, m, \|f\|_{C[-d, d]})$  and an  $N_k(a)$  such that, for  $n > N_k(a)$ , we have

$$E_n^{(l)}(f, a, m) \leq C_k^{(l)} \omega_k(1/n, f, a).$$

**Corollary 2.** Let  $0 < a < \pi$ ,  $\lambda > 0$ ,  $l = 0, 1$ ,  $f(w) \in MB(a, \lambda)$ .

For every integer  $m \geq l$  there exist constants  $H_m^{(l)} = H_m^{(l)}(a, \lambda)$  such that, for  $n > m$ , we have

$$E_n^{(l)}(f, a, m) \leq \begin{cases} H_m^{(l)} M g_1^{-n}(i\lambda), & \text{if } \lambda < \lambda_\pi, \\ H_m^{(l)} M n^{1/2} (\operatorname{ctg} a/4)^{-2n}, & \text{if } \lambda \geq \lambda_\pi \end{cases} \quad (l = 0, 1).$$

For any  $\lambda > 0$  these inequalities in the class  $MB(a, \lambda)$  are sharp in order as  $n \rightarrow \infty$ .

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*Note: Figure translations are in progress. See original paper for figures.*

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