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# M. V. Fedoruk

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**Abstract**

**Full Text**

**M. V. Fedoruk**

## ASYMPTOTICS OF A ONE-DIMENSIONAL SCATTERING PROBLEM

*(Presented by Academician L. S. Pontryagin, December 2, 1964)*

### 1. Statement of the problem

Consider the equation

$$y''(x) - \lambda^2 q(x)y(x) = 0, \quad (1)$$

where the function  $q(x)$  is real, has a finite number of zeros  $x_j$ ,  $1 \leq j \leq k$  ( $x_j < x_{j+1}$ ), and

$$-\infty < q(\pm\infty) = q_{\pm} < 0. \quad (2)$$

Let

$$\int_{-\infty}^{\pm\infty} |\sqrt{q(x)} - \sqrt{q_{\pm}}| dx < \infty, \quad \int_{-\infty}^{\infty} |\delta(x)| dx < \infty, \quad (3)$$

$$\delta(x) = |q'(x)| + |q''(x)|.$$

It is known that if conditions (2), (3) are satisfied and  $\lambda > 0$ , then equation (1) has solutions  $y_j^+(x)$  such that, as  $x \rightarrow +\infty$ ,

$$y_{1,2}^+(x) \sim |q_+|^{-1/4} \exp\left(\pm i\lambda\sqrt{|q_+|}x\right), \quad (4)$$

and the same formulas hold for  $y_j^-(x)$  as  $x \rightarrow -\infty$ . Then

$$\begin{pmatrix} y_1^+ \\ y_2^+ \end{pmatrix} = S(\lambda) \begin{pmatrix} y_1^- \\ y_2^- \end{pmatrix}; \quad (5)$$

$S(\lambda)$  is a matrix of order two, with  $s_{22} = \bar{s}_{11}$ ,  $s_{21} = \bar{s}_{12}$ . The quantities

$$D_+ = \sqrt{\frac{q_+}{q_-}} |s_{11}|^{-2}, \quad R_+ = |s_{21} s_{11}^{-1}|^2 \quad (6)$$

are called the transmission and reflection coefficients, respectively, and  $D_+ + R_+ = 1$ .

Our aim is to find the asymptotics of  $S(\lambda)$  and  $D_+(\lambda)$  as  $\lambda \rightarrow +\infty$ . We shall consider this problem under the assumption that  $q(z)$  is an entire function of  $z$ , having only simple zeros. On  $q(z)$  we impose such conditions that make it possible to construct solutions of equation (1) admitting asymptotic expansions in series in powers of  $\lambda^{-1}$ . Because of the unwieldiness of these conditions, we shall not write them down here. Conditions on  $q(z)$  that make it possible to obtain the first terms of the asymptotic expansion are given in [1].

## 2. The problem of transmission through a barrier

In this case  $q(x)$  has no zeros. Introduce the notation

$$\xi(z_1, z_2) = \int_{z_1}^{z_2} \sqrt{q(z)} dz; \quad (7)$$

$$\alpha_j = |\xi(x_{2j}, x_{2j+1})|, \quad c_j = \xi(x_{2j-1}, x_{2j}) > 0; \quad (8)$$

$$C_- = x_1 \sqrt{|q_-|} + \int_{-\infty}^{x_1} (\sqrt{|q(x)|} - \sqrt{|q_-|}) dx, \quad A_- = e^{i\lambda C_-}; \quad (9)$$

$$C_+ = -x_k \sqrt{|q_+|} + \int_{x_k}^{+\infty} (\sqrt{|q(x)|} - \sqrt{|q_+|}) dx, \quad A_+ = e^{i\lambda C_+}. \quad (10)$$

**Theorem 1.** Let  $q(x)$  have  $2m$  zeros and satisfy the conditions formulated above. Then, as  $\lambda \rightarrow +\infty$ ,  $m > 1$ ,

$$s_{11} = -i(A_+ A_-)^{-1} s_{11}^0, \quad s_{21} = A_- A_+^{-1} s_{21}^0; \quad (11)$$

$$s_{11}^0 = 2^{m-i} \exp\left(\lambda \sum_{j=1}^m c_j\right) \left(\prod_{j=1}^{m-1} \cos \lambda \alpha_j + O(\lambda^{-1})\right); \quad (12)$$

$s_{21}^0$  has the same form as  $s_{11}^0$ . For  $m = 1$ , the last factor in (12) should be replaced by  $1 + O(\lambda^{-1})$ .

For  $m = 1, 2$  and in some special cases with  $m > 2$ , these formulas were obtained earlier (see (2-6)). We note that, for the proof of formulas (11), (12), it is enough to impose on  $q(x)$  conditions (2), (3).

As follows from Theorem 1, the coefficient  $D_+(\lambda)$  has, for  $m > 1$ , maxima near the points

$$\lambda_{nj}^0 = \pi \alpha_j^{-1}(n + 1/2), \quad 1 \leq j \leq m - 1.$$

The values of  $\lambda$  for which  $D_+(\lambda)$  has maxima are called **resonance** values. We shall study the following case in more detail.

### 3. The case $m = 2$ .

**Theorem 2.** Let the conditions of Theorem 1 be satisfied,  $m = 2$ . Then, for sufficiently large  $\lambda > 0$ , the maxima of  $D_+(\lambda)$  are attained at the points  $\lambda_n$ ,  $n_0 \leq n < \infty$ , and

$$1^\circ. \quad D_+(\lambda_n) \sim 4 \sqrt{\frac{q_+}{q_-}} \exp[-2\lambda_n |c_1 - c_2|], \quad (13)$$

if  $c_1 \neq c_2$ ,

$$2^\circ. \quad D_+(\lambda_n) = 1 + O(n^{-2}), \quad (14)$$

if  $c_1 = c_2$ . If  $q(x)$  is an even function, then  $D_+(\lambda_n) = 1$ .

Formula (13) is unknown even in the physical literature.

For  $\lambda_n$  there is an asymptotic expansion as  $n \rightarrow \infty$

$$2\lambda_n \alpha_1 \sim 2n\pi + \pi - \sum_{k=1}^{\infty} (\lambda_n)^{-k} \int_C \alpha_k(z) dz, \quad (15)$$

where  $C$  is a closed contour enclosing the segment  $[x_2, x_3]$  and not containing inside itself other zeros of  $q(z)$ . For the functions  $\alpha_k(z)$ , see (1). Analogous expansions hold for  $\lambda_{nj}$  ( $m > 2$ ).

**Theorem 3.** Let the conditions of Theorem 1 be satisfied,  $c_1 \neq c_2$ . Then, for  $n > n_0$ , there exist complex values  $\lambda_n^*$  such that  $D_+(\lambda_n^*) = 1$  and

$$\lambda_n^* - \lambda_n \sim \frac{i}{4} \alpha_1^{-1} \exp(-2\lambda_n c_0) \varepsilon, \quad (16)$$

$$c_0 = \min(c_1, c_2), \quad \varepsilon = \text{sign}(c_1 - c_2).$$

**4. The overbarrier reflection problem.** In this case  $q(x)$  has no zeros and there exists a domain  $D \supset Ox$  such that  $\xi(D)$  is the strip  $-a < \text{Re } \xi < a$  ( $\xi = \xi(0, x)$ ), on  $\Gamma$ —the boundary of  $D$ —lie zeros  $q(z)$ . The domain  $D$  is symmetric

with respect to  $Ox$ . Let  $\Gamma^+$  be the part of  $\Gamma$  lying in the upper half-plane. The case when  $\Gamma^+$  contains exactly 1 zero was investigated by physicists in (7, 8).

**Theorem 4.** Let  $q(x)$  have no zeros, satisfy the conditions formulated in item 1, and let  $\Gamma^+$  contain exactly two zeros  $z_1, z_2$  of the function  $q(z)$ . Then, as  $\lambda \rightarrow +\infty$ ,

$$s_{12} = -2i \exp\{-\lambda(c_1 + c_2)\} (\cos \lambda\alpha + O(\lambda^{-1})) s_{12}^0, \quad (17)$$

$$s_{12}^0 = (A_+^0 A_-^0)^{-1}, \quad c_j = \xi(0, z_j), \quad \alpha = |\xi(z_1, z_2)|.$$

Here  $\operatorname{Re} c_j > 0$ , and  $A_{\pm}^0$  are determined by formulas (9), (10), where  $x_1 = x_k = 0$ .

In this case, near  $\lambda_n = \pi\alpha^{-1}(n + 1/2)$  lie resonance values of  $\lambda$ .

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*Note: Figure translations are in progress. See original paper for figures.*

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