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Soviet-era science, translated into English

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1964

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**Abstract**

**Full Text**

**V. A. TOPONOGOV**

**AN ESTIMATE FOR THE LENGTH OF A CLOSED GEODESIC IN A COMPACT RIEMANNIAN SPACE OF POSITIVE CURVATURE**

*(Presented by Academician I. N. Vekua on July 22, 1963)*

1. In this paper we shall consider an infinitely differentiable\* complete simply connected Riemannian space  $R_{k_0}^m$ , whose curvature at every point and in every two-dimensional direction does not exceed 1 and is not less than  $k_0$ , where  $k_0$  is some number greater than zero.

The main result of the paper consists in the proof of the following theorem.

**Theorem 1.** *The length of any closed geodesic in  $R_{k_0}^m$  is not less than  $2\pi$ .*

This theorem for  $m = 2$  was proved by A. V. Pogorelov (see <sup>(1)</sup>). For even  $m = 2n$  its proof was given by W. Klingenberg (see <sup>(2,3)</sup>). The same author proved this theorem for  $m = 2n + 1$  and  $k_0 > 1/4$ . Thus it remained to consider the case where  $m = 2n + 1$  and  $k_0$  is an arbitrary positive number. This case will be considered in the article.

By the usual arguments, using Morse' s theorem on the distance between conjugate points in  $R_{k_0}^m$  (see <sup>(2,4)</sup>), one can show that Theorem 1 is equivalent to the following theorem:

**Theorem 2.** *Every arc of a geodesic of the space  $R_{k_0}^m$  of length not greater than  $\pi$  is shortest.*

2. Let two geodesics  $\gamma_0$  and  $\bar{\gamma}_0$  issue from the point  $A_0$ , with endpoints at the points  $B_0$  and  $\bar{B}_0$  and lengths  $l_0$  and  $\bar{l}_0$ ;  $l_0 < \pi$ ,  $\bar{l}_0 < \pi$ . Introduce along  $\gamma_0$  and  $\bar{\gamma}_0$  Fermi coordinate systems  $\{x^i\}$  and  $\{\bar{x}^i\}$  <sup>(5)</sup>. Denote by  $A_k^i$  the transition matrix from the system  $\{\bar{x}^i\}$  to the system  $\{x^i\}$  at the point  $A_0$ . Suppose that at the points  $B_0$  and  $\bar{B}_0$  vectors  $h$  and  $\bar{h}$  are given, whose coordinates are  $h^i$  and  $\bar{h}^i$ , and at the point  $A_0$  a vector  $\lambda$  is given, whose coordinates in the system  $\{x^i\}$  are  $\lambda^i$ , and in the system  $\{\bar{x}^i\}$  are  $\bar{\lambda}^i$ . Let  $h_1 = \lambda_1$ ,  $\bar{h}_1 = \bar{\lambda}_1$ . In the directions of the vectors  $\lambda$ ,  $h$ , and  $\bar{h}$  draw geodesics and mark on them the points  $A_0(\delta)$ ,  $B_0(\delta)$ , and  $\bar{B}_0(\delta)$  at distance  $\delta$  from the points  $A_0$ ,  $B_0$ , and  $\bar{B}_0$ . Join the point  $A_0(\delta)$  with  $B_0(\delta)$  and  $\bar{B}_0(\delta)$  by geodesics  $\gamma_0(\delta)$  and  $\bar{\gamma}_0(\delta)$ .

Denote by  $\psi(\delta)$  the angle between  $\gamma_0(\delta)$  and  $\bar{\gamma}_0(\delta)$  at the point  $A_0(\delta)$ . By  $\xi_j^i(x^1)$ ,

$\zeta_j^i(x^1)$  ( $\bar{\xi}_j^i(\bar{x}^1), \bar{\zeta}_j^i(\bar{x}^1)$ ) denote the fundamental system of solutions of the Jacobi equations along  $\gamma_0$  ( $\bar{\gamma}_0$ ) with initial conditions

$$\xi_j^i(0) = 0, \quad \xi_j^{i'}(0) = \delta_j^i, \quad \zeta_j^i(0) = \delta_j^i, \quad \zeta_j^{i'}(0) = 0.$$

**Lemma 1.**

$$\left. \frac{d\psi}{d\delta} \right|_{\delta=0} = - \left( \sum_{i=2}^m A_1^i \beta^i + A_1^i \bar{\beta}^i \right) / \sin \psi(0),$$

where  $\beta^i$  and  $\bar{\beta}^i$  satisfy the system of equations:

$$\beta^i \xi_i^j(l_0) = h^j - \lambda^i \zeta_i^j(l_0),$$

$$\bar{\beta}^i \bar{\xi}_i^j(\bar{l}_0) = \bar{h}^j - \bar{\lambda}^i \bar{\zeta}_i^j(\bar{l}_0), \quad i, j = 2, \dots, m.$$

\* The requirement of infinite differentiability is not essential; it suffices to require twice continuous differentiability of  $R_{k_0}^m$ .

The proof of this lemma can be obtained by a direct calculation of the derivative  $\psi'(\delta)$ , using the special properties of the metric tensor in the Fermi coordinate system.

**Proof of Theorem 1.** We shall briefly set out the idea of the proof of Theorem 1. Suppose that Theorem 1 is false. Then there exists a closed geodesic  $\gamma$  of length  $2l < 2\pi$ . We may assume that  $\gamma$  has the least length among all closed geodesics. Let  $l_0$  be such a number that  $3l_0 < 2l$ ,  $4l_0 > 2l$ . Denote by  $\Gamma_\varepsilon$  the class of closed quadrilaterals defined by the following conditions: a quadrilateral  $m \in \Gamma_\varepsilon$  if: 1) the length of each side does not exceed  $l_0$ ; 2) the perimeter of  $m$  does not exceed  $2l + \varepsilon$ ; 3) there exists a family  $H_m(t)$  of closed piecewise smooth curves such that  $H_m(0) = \gamma$ ;  $H_m(1) = m$ ;  $l(H_m(t)) < 2l + \varepsilon$ . Here  $\varepsilon > 0$  is an arbitrary number, and  $l(H_m(t))$  is the length of the curve  $H_m(t)$ .

- a) We shall prove that in  $\Gamma_\varepsilon$  there exists a quadrilateral (possibly degenerate) whose perimeter does not exceed  $3l_0 < 2l$ . Denote by  $\psi(m)$  the smallest angle of  $m$ . Let

$$\psi_0 = \inf_{m \in \Gamma_\varepsilon} \psi(m).$$

Construct a sequence  $m_k \in \Gamma_\varepsilon$  such that

$$\lim_{k \rightarrow \infty} \psi(m_k) = \psi_0.$$

Let  $m_0$  be a limiting quadrilateral for the sequence  $m_k$ .

Two cases are possible:

I.  $m_0$  is a degenerate quadrilateral. Then, by virtue of condition 1) in the definition of  $\Gamma_\varepsilon$ , the assertion of part a) is valid.

II.  $\psi(m_0) = \psi_0$ . We shall prove that in this case  $\psi_0 = 0$ . Thereby in this case also we shall prove the assertion of part a). Suppose that  $\psi_0 \neq 0$ . Let  $A_0, A_1, A_2$ , and  $A_3$  ( $A_4 = A_0$ ) be the vertices of  $m_0$ , and let  $\psi_0$  be the angle at the vertex  $A_0$ . Denote the side  $A_i A_{i+1}$  by  $\gamma_i$ , and by  $\tau_i^+, \tau_i^-$  the unit vectors at the points  $A_i$ , tangent to  $\gamma_i$ . Consider the set  $N$  of all unit vectors at the point  $A_0$ . Transport each vector of the set  $N$  parallel along  $m_0$ . By the simple connectedness of  $R_{k_0}^{2n+1}$ , the resulting mapping  $f$  of the set  $N$  onto itself is homotopic to the identity; and since, moreover,  $N$  is homeomorphic to the sphere  $S^{2n}$  of dimension  $2n$ , there exists a fixed point of the mapping  $f$  ((6), p. 604). In the language of parallel translation this means that at the point  $A_0$  there exists a vector  $\lambda_0$  which, under parallel translation along  $m_0$ , passes into itself. Let  $\lambda_i$  be the vector at the point  $A_i$  obtained from  $\lambda_0$  by parallel translation along  $m_0$ . Define at the point  $A_1$  ( $A_3$ ) the set  $N_1$  ( $N_3$ ) of unit vectors by the conditions:  $h \in N_1$  ( $\bar{h} \in N_3$ ), if: 1)  $(h, -\tau_1^-) = (\lambda_0, \tau_0^+)$  ( $\bar{h}, \tau_3^+) = (\lambda_0, -\tau_0^-)$ ; 2)  $(h, \lambda_1) \geq 1 - \varepsilon_1$  ( $(\bar{h}, \lambda_3) \geq 1 - \varepsilon_1$ ), where  $(a, b)$  is the scalar product of the vectors  $a$  and  $b$ , and  $\varepsilon_1 > 0$  is an arbitrary number.

Take two vectors  $h_1 \in N_1$  and  $h_3 \in N_3$ . At the point  $A_2$  find a vector  $h_2$  such that

$$(h_1, \tau_1^+) = (h_2, -\tau_2^-), \quad (h_3, -\tau_3^-) = (h_2, \tau_2^+).$$

In the directions of the vectors  $h_0 = \lambda_0, h_1, h_2$ , and  $h_3$ , draw geodesics and take on them the points  $A_i(\delta)$  at distance  $\delta$  from the points  $A_i$  ( $\delta > 0$ , if  $A_i(\delta)$  lies from  $A_i$  in the direction of the vectors  $h_i$ , and  $\delta < 0$  in the opposite case). Joining the points  $A_i(\delta)$  and  $A_{i+1}(\delta)$  by shortest arcs, we obtain a quadrilateral  $m(\delta, h_i)$ .

Introduce along  $\gamma_0$  ( $\gamma_3$ ) a Fermi coordinate system  $\{x^i\}$  ( $\{\bar{x}^i\}$ ). Let  $h^i$  and  $\bar{h}^i$  be the coordinates of the vectors  $h_1$  and  $h_3$ . Then, as follows from Lemma 1,

$$\left. \frac{d\psi(m(\delta, h_i))}{d\delta} \right|_{\delta=0} = - \frac{\sum A_1^i \beta^i + A_1^i \bar{\beta}^i}{\sin \psi_0}, \quad (1)$$

where  $\beta^i$  and  $\bar{\beta}^i$  satisfy the system of equations

$$\begin{aligned} \beta^j \xi_j^i &= h^i - \lambda^j \xi_j^i, \\ \bar{\beta}^j \bar{\xi}_j^i &= \bar{h}^i - \bar{\lambda}^j \bar{\xi}_j^i, \end{aligned} \quad i, j = 2, \dots, 2n. \quad (2)$$

If, for at least one  $i$ ,  $(\tau_i^+, \tau_j^-, \lambda_i) \neq 0$ , then, using (1) and (2), one can prove the existence of vectors  $h_1 \in N_1$  and  $h_3 \in N_3$  such that  $\psi'(0) \neq 0$ . For definiteness let  $\psi'(0) < 0$ . Then, for sufficiently small  $\delta$  ( $\delta > 0$ ), in the quadrilateral  $m(\delta, h_i)$

the angle at the vertex  $A_0(\delta)$  is less than  $\psi_0$ . If  $\varepsilon_1$  is taken sufficiently small, then, with the aid of Synge's lemma (7), one can prove that  $m(\delta, h_i) \in \Gamma_\varepsilon$ .

The contradiction obtained in this case proves the assertions of part a). If, however,  $(\tau_i^+, \tau_i^-, \lambda_i) = 0$  for all  $i$ , then one can prove that the plane  $\tau_0^+ \wedge \tau_0^-$ , determined by the vectors  $\tau_0^+$  and  $\tau_0^-$ , is carried into itself under parallel displacement along  $m_0$ . But then the set of all unit vectors at the point  $A_0$  orthogonal to the plane  $\tau_0^+ \wedge \tau_0^-$  is also carried into itself under parallel displacement along  $m_0$ . Using the latter fact and the one-connectedness of  $R_{k_0}^{2n+1}$ , one can, as was done at the beginning of part a), prove the existence of a vector  $\mu_0$  orthogonal to the plane  $\tau_0^+ \wedge \tau_0^-$  and carried into itself under parallel displacement along  $m_0$ . Thus this case is reduced to the preceding one.

- b) In view of the arbitrariness of  $\varepsilon$ , from the assertion of part a) one can derive the existence of such a family  $\bar{H}(t)$  of closed piecewise-smooth curves that  $\bar{H}(0) = \gamma$ ,  $\bar{H}(1) = \gamma_1$ ,  $l(\gamma_1) \leq 3l_0 < 2l$ , and  $l(\bar{H}(t)) \leq 2l$  for any  $t$ . Apply to the family  $\bar{H}(t)$  the Morse deformation (see, for example, (2), p. 71). The family  $H(t)$  thereby obtained will again have the same properties as  $\bar{H}(t)$ , and, in addition, if for some  $t$ ,  $l(H(t)) = 2l$ , then the curve  $H(t)$  is a closed geodesic of length  $2l$ .

Let  $t_0$  be the greatest value among all  $t$  for which  $l(H(t)) = 2l$ . For each curve  $H(t)$ , for  $t \geq t_0$ , construct a surface  $F(t)$  of minimal area with boundary  $H(t)$ . Since  $l(H(t)) < 2l$  for  $t > t_0$ , the theorem on the isoperimetric inequality (9) can be applied to them. Hence it follows that the area of the surface  $F(t)$

$$S(t) < 2\pi \quad \text{for } t > t_0. \quad (3)$$

The surface  $F(t_0)$  is a minimal surface; therefore its relative curvature is nonpositive, and consequently its Gaussian curvature does not exceed the maximum of the Riemannian curvature of  $R_{k_0}^{2n+1}$ , i.e. 1. Let us now apply the Gauss-Bonnet theorem to  $F(t_0)$ . It follows from it that the total curvature of  $F(t_0)$  is equal to  $2\pi$ , since  $H(t_0)$  is a closed geodesic. But, on the other hand, the total curvature of  $F(t_0)$  does not exceed  $S(t_0) \cdot 1$ , which, by virtue of (3), is strictly less than  $2\pi$ . The contradiction obtained proves Theorem 1, and together with it Theorem 2.

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Received  
12 VII 1963

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