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Abstract

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MATHEMATICS

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ON THE CONTINUABILITY OF THE SCALAR PRODUCT OF HECKE SERIES OF TWO QUADRATIC FIELDS

(Presented by Academician I. M. Vinogradov on 2 I 1964)

1. In the work ⁽¹⁾, E. Hecke introduced characters of magnitudes (Größencharaktere) in fields of algebraic numbers and studied the properties of Z -functions constructed with the aid of these characters. The results obtained were applied by Hecke ⁽¹⁾, and later by H. Rademacher and I. P. Kubilius ^(2,3), to multidimensional analytic number theory.

In the present note the scalar product of Hecke Z -functions is studied for two imaginary quadratic fields. Namely, let $K_1 = R(\sqrt{-d_1})$, $K_2 = R(\sqrt{-d_2})$ be imaginary quadratic fields (R is the field of rational numbers, $d_1, d_2 > 0$, $d_1 \neq d_2$); $\eta^{w_1 n}$ and $\xi^{w_2 m}$ are Hecke characters of the fields K_1 and K_2 (w_i is the number of units in the field K_i); N_i is the norm of divisors in K_i ($i = 1, 2$); consider the function

$$Z(s, \eta^{w_1 n}, \xi^{w_2 m}) = \sum_{N_1 \mathfrak{A} = N_2 \mathfrak{B}} \frac{\eta^{w_1 n}(\mathfrak{A}) \xi^{w_2 m}(\mathfrak{B})}{(N_1 \mathfrak{A})^{2s}}; \quad (1)$$

$\mathfrak{A}, \mathfrak{B}$ are integral divisors in the fields K_1 and K_2 ; s is a complex variable. It is easy to see that for $\operatorname{Re} s > 1/2$ the series on the right-hand side of (1) converges absolutely and, by virtue of the multiplicativity of the characters η and ξ , decomposes into an absolutely convergent Euler product.

In what follows $\gamma, \gamma_1, \gamma_2, \dots$ are absolute constants; the constants occurring in O depend only on the discriminants of the fields K_1 and K_2 .

Theorem 1. For $|m| + |n| \neq 0$, the function (1) is regular in the half-plane $\operatorname{Re} s > 1/2 - \gamma$.

Theorem 2. Let $\widehat{\mathfrak{A}}$ be a class of divisors of the field K_1 , $\widehat{\mathfrak{B}}$ a class of divisors of the field K_2 , and let $f_{\widehat{\mathfrak{A}}, \widehat{\mathfrak{B}}}(X)$ be the number of pairs of prime divisors $(\mathfrak{p}, \mathfrak{q})$ under the condition $N_1 \mathfrak{p} = N_2 \mathfrak{q} \leq X$, $\mathfrak{p} \in \widehat{\mathfrak{A}}$, $\mathfrak{q} \in \widehat{\mathfrak{B}}$. Then the number of such pairs of prime divisors $(\mathfrak{p}, \mathfrak{q})$, with $\mathfrak{p} \in \widehat{\mathfrak{A}}$, $\mathfrak{q} \in \widehat{\mathfrak{B}}$, $N_1 \mathfrak{p} = N_2 \mathfrak{q} \leq X$, $\varphi_1 \leq \arg \alpha \leq \varphi_2$, $\tilde{\varphi}_1 \leq \arg \beta \leq \tilde{\varphi}_2$, is equal to

$$\frac{w_1 w_2}{4\pi^2} (\varphi_2 - \varphi_1) (\tilde{\varphi}_2 - \tilde{\varphi}_1) f_{\hat{\mathfrak{A}}, \hat{\mathfrak{B}}}(X) + O\left(X e^{-\gamma_1 \sqrt{\log X}}\right)$$

(α, β are Hecke ideal numbers corresponding to the divisors $\mathfrak{p}, \mathfrak{q}$).

From Theorem 2 one easily obtains the asymptotic uniformity of the distribution of pairs of prime divisors $(\mathfrak{p}, \mathfrak{q})$ with equal norms in similarly expanding contours.

For the particular case $K_1 = R(\sqrt{-1})$, $K_2 = R(\sqrt{-3})$, Theorems 1 and 2 were formulated in a note by the author ⁽⁶⁾*

* The plan of proof of the main lemma given in § 3 of note ⁽⁶⁾ is unsuccessful and encounters great difficulties which I have not managed to overcome. However, all the theorems and lemmas formulated in ⁽⁶⁾ are correct and are special cases of the results of the present note.

2. Theorems 1 and 2 are obtained from the following Lemma 1 by the method described in § 2 of note ⁽⁶⁾.

Lemma 1. *Let $\hat{\mathfrak{A}}$ and $\hat{\mathfrak{B}}$ be classes of divisors of the fields K_1 and K_2 ; let N be the number of pairs of integral divisors $(\mathfrak{A}, \mathfrak{B})$ satisfying the conditions $\mathfrak{A} \in \hat{\mathfrak{A}}$, $\mathfrak{B} \in \hat{\mathfrak{B}}$, $N_1 \mathfrak{A} = N_2 \mathfrak{B} \leq X$, $\varphi \leq \arg \alpha \leq \varphi + \Delta$, $\tilde{\varphi} \leq \arg \beta \leq \tilde{\varphi} + \tilde{\Delta}$, where α, β are Hecke ideal numbers corresponding to the divisors $\mathfrak{A}, \mathfrak{B}$. Then*

$$N = h(X; \hat{\mathfrak{A}}, \hat{\mathfrak{B}}) \Delta \tilde{\Delta} + O(X^{1-\gamma_2}). \quad (2)$$

The function $h(X; \hat{\mathfrak{A}}, \hat{\mathfrak{B}})$ can be written explicitly.

We give a sketch of the proof of Lemma 1. Following E. Hecke, to each divisor \mathfrak{A} of the field K_i we associate an "ideal number" α —an algebraic number from some finite extension of K_i . Let $\hat{\mathfrak{A}}$ be a class of divisors of the field K_i ; there corresponds to it a quadratic form $f(x, y)$ with integral coefficients such that for every integral divisor $\mathfrak{A} \in \hat{\mathfrak{A}}$ there exist integers x, y satisfying $N_i \mathfrak{A} = f(x, y)$, and, moreover, if in the variables x', y' the form $f(x, y)$ is equal to $x'^2 + y'^2$, then $|\alpha|^2 = x'^2 + y'^2$, $\arg \alpha = \arctg y'/x'$. Thus the problem reduces to the asymptotic counting of the number of integral points (x, y, z, t) under the conditions $f(x, y) = g(z, t) \leq X$, $\text{tg } \varphi \leq y'/x' \leq \text{tg}(\varphi + \Delta)$, $\text{tg } \tilde{\varphi} \leq t'/z' \leq \text{tg}(\tilde{\varphi} + \tilde{\Delta})$, where $f(x, y)$ and $g(z, t)$ are positive definite quadratic forms with integral coefficients, reducible in the variables (x', y') and (z', t') to the form $x'^2 + y'^2$ and $z'^2 + t'^2$. The equation $f(x, y) = g(z, t)$ is easily reduced to the equation

$$b^2 - ac = Dt^2, \quad (3)$$

where the numbers a, b , and c must satisfy certain congruences depending on the forms f and g , the number D is positive and also depends only on f and g ,

and Lemma 1 follows from the following lemma on the distribution of integral points on the hyperboloid (3).

Lemma 2. Denote by H_t the hyperboloid $b^2 - ac = Dt^2$. Let \mathfrak{M} be the set of points $(a, b, c) \in H_t$ for which $|a|, |b|, |c| < M$; let Ω be a region on H_t with piecewise smooth boundary, $\Omega \subset \mathfrak{M}$; denote by N the number of integral points $(a, b, c) \in \Omega$ such that $a \equiv \alpha_1, b \equiv \alpha_2, c \equiv \alpha_3 \pmod{d}$; let F' be the length of the boundary of the region $\frac{1}{t}\Omega$, and let the curvature of the boundary of $\frac{1}{t}\Omega$ be of order $O(1)$. Then

$$N = tm_t(\alpha_1, \alpha_2, \alpha_3, d) V_{\frac{1}{t}\Omega} + O\left(t^{1-\gamma_3} \left(\frac{M}{t}\right)^{\gamma_4} F'^{\gamma_5}\right), \quad (4)$$

where $V_{\frac{1}{t}\Omega}$ is the volume of the cone with vertex at the origin whose base is the region $\frac{1}{t}\Omega$.

3. Theorems on the distribution of integral points on hyperboloids of the form $b^2 - ac = D$ were studied in the works of Yu. V. Linnik ⁽⁴⁾ in the case $D < 0$ and of B. F. Skubenko ⁽⁵⁾ in the case $D > 0$. However, in the case of arbitrary D , in formulas of type (3) one cannot obtain a remainder term with power saving. In our case this can be done because the free term of the equation $b^2 - ac = Dt^2$ is divisible by a large square t^2 . The plan of the proof of Lemma 2 is as follows.

Along with the hyperboloid $H_t : b^2 - ac = Dt^2$, introduce for consideration the hyperboloid $H_0 : b_0^2 - a_0c_0 = D$; let the number D be fixed and $t \rightarrow \infty$. As in the works ^(4,5), to each point $(a, b, c) \in H_t$ we associate the matrix

$$L = \begin{pmatrix} b & -a \\ c & -b \end{pmatrix}$$

and the form $\varphi(x, y) = ax^2 + 2bxy + cy^2$ of discriminant Dt^2 . We proceed analogously with the points $(a_0, b_0, c_0) \in H_0$.

We shall call an integral point $(a, b, c) \in H_t$ **primitive** if $(a, b, t) = 1$. (In what follows (a, b, c) , $L = \begin{pmatrix} b & -a \\ c & -b \end{pmatrix}$, and $\varphi(x, y) = ax^2 + 2bxy + cy^2$ are considered ...

are regarded as a single object.) It can be proved that for every primitive point $L = \begin{pmatrix} b & -a \\ c & -b \end{pmatrix} \in H_t$ there exist an integral matrix A and a point $L_0 = \begin{pmatrix} b_0 & -a_0 \\ c_0 & -b_0 \end{pmatrix} \in H_0$ such that $AL_0A^{-1}t = L$ and $\det A = t$. Moreover, by subjecting the matrix A to certain conditions, one can ensure that, under the mapping $A \rightarrow AL_0A^{-1}t = L$, different A 's (for fixed L_0) correspond to different points L .

Thus, in order to count the number of primitive points $L \in \Omega$ satisfying the congruences

$$a \equiv \alpha_1, \quad b \equiv \alpha_2, \quad c \equiv \alpha_3 \pmod{d} \quad (*)$$

it suffices to count the number of integral matrices $A = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$ of determinant t for which $AL_0A^{-1}t \in \Omega$ and the components b_{ij} lie in such progressions that the congruences (*) are fulfilled. For the number of such matrices one can obtain an asymptotic formula with a power saving in the remainder term, generalizing Lemma 15 of [4].

In the proof of Lemma 2, conversations with B. F. Skubenko were of great help to me. I take this opportunity to express my gratitude to him.

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