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# MATHEMATICS

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## Abstract

## Full Text

MATHEMATICS

V. I. ARNAUTOV

# ON THE THEORY OF TOPOLOGICAL RINGS

*(Presented by Academician P. S. Aleksandrov on 7 I 1964)*

By a **topological ring**, as usual, one means an associative ring which is a Hausdorff space and in which the ring operations are continuous. By an **ideal of a topological ring** we shall mean a two-sided, not necessarily closed, ideal. Recall that an ideal  $I$  of a topological ring  $R$  is called **topologically nilpotent** if for every neighborhood of zero  $V$  of the ring  $R$  there exists a natural number  $n$  such that

$$I^n \subseteq V.$$

Let  $R$  be an arbitrary topological ring. Denote by  $\mathfrak{N}(R)$  the closure of the sum of all topologically nilpotent ideals of the ring  $R$ . For each ordinal number  $\alpha$  we define a closed ideal  $\mathfrak{N}_\alpha(R)$  as follows:

- 1)  $\mathfrak{N}_0(R) = 0$ .
- 2) Suppose that  $\mathfrak{N}_\alpha(R)$  has been defined for every  $\alpha < \beta$ . If  $\beta$  is a limit ordinal, then put

$$\mathfrak{N}_\beta(R) = \left[ \sum_{\alpha < \beta} \mathfrak{N}_\alpha(R) \right]_R *.$$

If, however,  $\beta = \alpha + 1$ , then for  $\mathfrak{N}_\beta(R)$  we take the inverse image in  $R$  of the ideal  $\mathfrak{N}(\bar{R})$  of the ring  $\bar{R} = R/\mathfrak{N}_\alpha(R)$ . There exists an ordinal  $\tau$  such that

$$\mathfrak{N}_\tau(R) = \mathfrak{N}_{\tau+1}(R).$$

We shall call

$$L(R) = \mathfrak{N}_\tau(R)$$

the **topological Baer radical**.

From the construction of  $L(R)$  it is clear that  $R/L(R)$  contains no topologically nilpotent ideals.

We shall call a ring  $R$   **$L$ -radical** if  $L(R) = R$ . Finally, we shall call a ring  $R$   **$L$ -semisimple** if  $L(R) = 0$ , i.e., if  $R$  contains no topologically nilpotent ideals.

We have proved that:

- 1) In an arbitrary topological ring  $R$ ,  $L(R)$  is the intersection of all such closed ideals  $N$  of the ring  $R$  for which the quotient rings  $R/N$  contain no nonzero topologically nilpotent ideals.
- 2) For any ideal  $I$  of a topological ring  $R$  one has

$$L(I) \subseteq I \cap L(R).$$

It is known that, in the discrete case, for any ideal  $I$  of a ring  $R$ ,

$$L(I) = I \cap L(R).$$

This equality also holds for a topological ring  $R$  possessing a complete system of group neighborhoods of zero (see <sup>(6)</sup>). However, as follows from Theorems 2 and 3 of the present work, there exists a topological ring  $\widehat{R}$  and an ideal  $R'$  in  $\widehat{R}$  such that

$$L(R') = 0 \subset R' \cap L(\widehat{R}) = R'.$$

In <sup>(6)</sup> it is proved that if  $R$  is an everywhere dense subring of a topological ring  $\widehat{R}$ , then

$$L(R) \subseteq R \cap L(\widehat{R}).$$

From Theorems 4 and 5 of the present work it follows that there exist rings  $\widehat{R}$  and  $R' + R''$  such that  $R' + R''$  is an everywhere dense subring of the ring  $\widehat{R}$ , and

$$L(R' + R'') = 0 \subset R' + R'' \cap L(\widehat{R}) = R'.$$

\* If  $A$  is some subset of the topological space  $R$ , then by  $[A]_R$  we shall denote the closure of  $A$  in  $R$ .

It is known that for a discrete ring  $L(R)$  is a nil-ideal, and in a commutative discrete ring  $L(R)$  coincides with the set of all nilpotent elements of the ring  $R$ .

In [6] it is shown that in a commutative bounded\* ring  $L(R)$  coincides with the set of all topologically nilpotent elements. One can show that if a topological

ring  $R$  has a full system of ideal neighborhoods of zero, then all elements of  $L(R)$  are topologically nilpotent.

However, not in every topological ring  $R$  are the elements of  $L(R)$  topologically nilpotent, even if  $R$  is a commutative ring. Thus, from Theorems 6 and 7 of the present paper it follows that there exists a commutative  $L$ -radical ring with identity.

**Example 1.** Let  $R'$  be the freely generated ring with a countable number of generators  $x_1, x_2, \dots$ . The elements of the ring  $R'$  are all possible finite sums of words  $x_{i_1} x_{i_2} \dots x_{i_n}$ . It is easy to verify that there are countably many such words. Consequently, all words can be numbered in a sequence  $y_1, y_2, \dots$ . Every element  $a \in R$  can be represented uniquely in the form  $\sum_{i=1}^{\infty} \alpha_i y_i$ , where the  $\alpha_i$  are integers and only a finite number of  $\alpha_i \neq 0$ .

We shall say that the element  $a' = \sum_{i=1}^{\infty} \alpha'_i y_i$  is smaller than the element  $a = \sum_{i=1}^{\infty} \alpha_i y_i$ , and shall write  $a' < a$ , if  $\alpha_i = 0$  implies  $\alpha'_i = 0$  and there exists an  $i_0$  such that  $\alpha'_{i_0} = 0$ , but  $\alpha_{i_0} \neq 0$ . To each element  $a \in R'$  we associate the number  $s(a)$ , equal to the degree of  $a$  regarded as a polynomial in the  $x_i$ , and to each element  $0 \neq a \in R'$  we associate the number

$$m(a) = \min\{s(a') \mid 0 \neq a' \leq a\}.$$

Clearly,  $m(a)$  is the least degree of the words  $y_i$  for which  $\alpha_i \neq 0$ . We shall put  $m(0) = 0$ .

To any sequence of integers  $\alpha_1, \alpha_2, \dots$ , in which only a finite number of  $\alpha_i \neq 0$ , and to any natural number  $n$ , we associate the set  $A_{\alpha_1, \alpha_2, \dots}^{(n)}$  of all elements  $a \in R$  which can be represented in the form

$$\sum_{j=1}^k a_j b_j + \sum_{i=1}^{\infty} \alpha_i y_i,$$

such that the following conditions are satisfied: a) for any  $a'_j \leq a_j$ ,  $b'_j \leq b_j$ ,  $j = 1, 2, \dots, k$ ,

$$\max\{s(a'_1 b'_1), s(a'_2 b'_2), \dots, s(a'_k b'_k)\} \geq pn,$$

where  $p$  is the number of the nonzero  $a'_j b'_j$ ; b)

$$m\left(\sum_{i=1}^{\infty} \alpha_i y_i\right) \geq n \min(1, \sum |\alpha_i|).$$

Let  $Q$  be the discrete direct sum of fields of real numbers. Then  $Q$  is a regular ring\*\*. Denote by  $R''$  the ring of all infinite matrices over  $Q$  in which only finitely many elements are different from zero. Since the ring of all matrices of order  $n$  over a regular ring is a regular ring, and every element of  $R''$  may be regarded as a matrix of order  $n$ , it follows that  $R''$  is a regular ring. From the

fact that every ideal of a regular ring is a regular ideal, it easily follows that  $R''$  is  $L$ -semisimple in any topology.

For an arbitrary natural number  $n$  and an arbitrary sequence of integers  $\alpha_1, \alpha_2, \dots$ , in which only finitely many  $\alpha_i \neq 0$ , define the set  $B_{\alpha_1, \alpha_2, \dots}^{(n)}$  of all matrices  $\|\mathfrak{A}_{ij}\|$  for which

$$\sum_{i \geq n} \mathfrak{A}_{2i+1, 2i} = \alpha_1, \alpha_2, \dots,$$

and the remaining  $\mathfrak{A}_{ij} = 0$ .

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\* A ring  $R$  is called **bounded** if for every neighborhood of zero  $V$  there exists a neighborhood of zero  $U$  such that  $U \cdot R = \{ab \mid a \in U, b \in R\} \subseteq V$  and  $R \cdot U \subseteq V$ .

\*\* A ring  $R$  is called **regular** if the equation  $x\xi x - x = 0$  is solvable in  $R$  for arbitrary  $x$ .

Consider the ring with zero multiplication  $R'''$  over the discrete direct sum of groups of integers. The elements of the ring  $R'''$  are all possible sequences of integers  $\mathfrak{A} = \alpha_1, \alpha_2, \dots$ , in which only a finite number of  $\alpha_i \neq 0$ .

Let  $\hat{R}$  be the ring equal to the direct sum of the rings  $R', R'', R'''$ . The elements of the ring  $\hat{R}$  are all possible triples of elements  $(a, \|\mathfrak{A}_{ij}\|, \mathfrak{A})$ , where  $a \in R', \|\mathfrak{A}_{ij}\| \in R'', \mathfrak{A} \in R'''$ , and the operations are performed componentwise.

Denote by  $V_n$  the set of all elements  $(a, \|\mathfrak{A}_{ij}\|, \mathfrak{A})$  for which  $a \in A_{\alpha_1, \alpha_2, \dots}^{(n)}, \|\mathfrak{A}_{ij}\| \in B_{\beta_1, \beta_2, \dots}^{(n)}$ , and  $\alpha_1 + \beta_1, \alpha_2 + \beta_2, \dots = \mathfrak{A}$ .

**Theorem 1.** The collection  $\{V_n\}$  may be taken as a full system of neighborhoods of zero, so as to make  $\hat{R}$  into a topological ring.

**Theorem 2.** In the topological ring  $\hat{R}$ ,  $L(\hat{R}) = R' + R'''$ .

**Proof.** It can be shown that  $R' + R'''$  is a closed ideal in  $\hat{R}$ . Since  $\hat{R}/R' + R'''$  is algebraically isomorphic to  $R''$ , it follows that  $\hat{R}/R' + R'''$  is  $L$ -semisimple. Hence  $L(\hat{R}) \subseteq R' + R'''$ . Conversely, it is obvious that  $R''' \subseteq L(\hat{R})$ . It can be shown that for every  $n$  one has

$$(R')^n \subseteq R''' + V_n \subseteq L(\hat{R}) + V_n.$$

Since  $\hat{R}/L(\hat{R})$  contains no nonzero topologically nilpotent ideals,  $R' + R''' = L(\hat{R})$ .

**Theorem 3.** The ideal  $R'$ , considered as a subring of the topological ring  $\hat{R}$ , is an  $L$ -semisimple ring.

**Proof.** From the construction of  $V_n$  it follows easily that

$$V_n \cap R' = A_{0,0,\dots}^{(n)}.$$

Consequently, the collection  $\{A_{0,0,\dots}^{(n)}\}$  is a full system of neighborhoods of zero in  $R'$ . For each element  $a \in R'$  and each natural number  $r$  we construct an element  $e_r \in (a)_{R'}^r$  such that  $e_r \notin A_{0,0,\dots}^{(1)}$ .

Let  $n$  be a number such that  $a$  can be obtained from the generators  $x_1, x_2, \dots, x_n$ . For the number  $r$  the number of words of degree not exceeding  $rs(a)$  obtained with the help of the generators  $x_1, x_2, \dots, x_n$  is finite. Let these words be

$$y_{i_1}, y_{i_2}, \dots, y_{i_l}.$$

It is easy to verify that

$$a^r = \sum_{j=1}^l \alpha_{i_j} y_{i_j}.$$

The ideal  $(a)_{R'}^r$  contains the element

$$e_r = \sum_{i=1}^t x_i a^r x_i, \quad \text{where } t = (2rs(a) + 3)(l + 1).$$

It can be shown that  $e_r$  cannot be represented in the form

$$\sum_{i=1}^p a_i b_i,$$

so that condition a) for  $n = 1$  is satisfied. From the arbitrariness of  $a$  and  $r$  it follows that  $R'$  contains no topologically nilpotent ideals.

**Theorem 4.**  $R' + R''$  is an everywhere dense ideal of the topological ring  $\widehat{R}$ .

**Theorem 5.**  $R' + R''$  is an  $L$ -semisimple ring.

**Proof.** According to (2) and Theorem 2,

$$L(R' + R'') \subseteq (R' + R'') \cap L(\widehat{R}) = (R' + R'') \cap (R' + R''') = R'.$$

Now let  $I$  be a topologically nilpotent ideal in  $R' + R''$ . Then

$$I \subseteq L(R' + R'') = R'.$$

Therefore  $I$  will be a topologically nilpotent ideal in  $R'$ . But this contradicts Theorem 3. Consequently,  $R' + R''$  is an  $L$ -semisimple ring.

**Example 2.** Let  $P$  be the ring of all polynomials in a countable number of mutually commuting variables  $x_1, x_2, \dots$  with integer coefficients. Then  $P$  is a

commutative ring with identity 1. To each element  $a \in P$  assign the nonnegative number

$$l(a) = \max\{i \mid a \text{ depends on } x_i\}.$$

If  $a$  does not depend on any  $x_i$ , then we put  $l(a) = 0$ . For each natural number  $n$  define the set

$$P_n = \{b \in P \mid l(b) < n\}.$$

It is obvious that the  $P_n$  are subrings containing the subring of integers of the ring  $P$ .

Put

$$V'_n = \sum_k \sum_{i=1}^k P_{n,k} (1 - x_{n,k})^i,$$

where the sum is understood as the sum of the sets  $P_{n,k} (1 - x_{n,k})^i$  in the ring  $P$ . For each number  $n$  define the ideal

$$V''_n = \sum_i P x_i^{n-i}.$$

Denote  $V'_n + V''_n$  by  $V_n$ .

**Theorem 6.** *The collection  $\{V_n\}$  may be taken as a complete system of neighborhoods of zero in order to make  $P$  into a topological ring.*

**Theorem 7.** *The topological ring  $P$  is  $L$ -radical.*

**Proof.** Since for any neighborhood of zero  $V_n$  and any element  $x_i \in P$  we have

$$(x_i)_P^{n-i} = P x_i^{n-i} \subseteq V_n,$$

all  $x_i \in L(P)$ . We now show that  $1 \in L(P)$ . Let  $V_n$  be an arbitrary neighborhood of zero. One can verify that  $1 + V_n \ni x_n$ . From the closedness of  $L(P)$  it follows that  $1 \in L(P)$ . Since  $L(P)$  is an ideal and contains the identity of the ring  $P$ , we have  $L(P) = P$ .

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*Note: Figure translations are in progress. See original paper for figures.*

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