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Abstract

Full Text

PHYSICS

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THEORY OF NONSTATIONARY CONDUCTIVITY OF SEMICONDUCTORS WITH LOW MOBILITY*

(Presented by Academician A. A. Lebedev, 24 V 1963)

1. In the present communication, within the framework of the theory developed in ^(1-3,6), the tensor of nonstationary conductivity $\sigma_{\mu\nu}(\omega)$ and of mobility $u_{\mu\nu}(\omega) = |eN_c|^{-1} \text{Re} \sigma_{\mu\nu}(\omega)$ is calculated for a semiconductor with low mobility, in which a current carrier of the small-polaron type is described mainly by local-type functions $|sn\rangle = |s\rangle|n^{(s)}\rangle$ (a polaron packet at the s -th site ⁽¹⁾) of the electron-phonon system with energy $\varepsilon_n = \sum_{fj} \hbar\omega_{fj} N_{fj}$, where $n \equiv (\dots N_{fj} \dots)$; the binding energy of the small polaron $\delta\varepsilon \gtrsim \hbar\omega_p \Phi_0 \gg \Delta_e (\sim \Delta_{ss'})$ (Δ_e is the width of the band of the Bloch electron); ω_p (and $\Delta\omega_p$) is the frequency (and branch width) of essential phonons; $\Phi_0 \equiv \Phi(T=0)$, see (6). In the notation of ⁽¹⁻³⁾, in the absence of a magnetic field H ($E_\beta(\omega) = \frac{\hbar\omega}{2} \text{cth} \frac{\beta\hbar\omega}{2}$; $\beta \equiv (kT)^{-1}$)

$$u_{\mu\nu}(\omega) = \frac{|e|}{E_\beta(\omega)} \lim_{\varepsilon \rightarrow +0} \int_0^\infty dt e^{-\varepsilon t} \cos \omega t \text{Re} \langle v_\nu v_\mu(t) \rangle \quad (1)$$

(in the absence of degeneracy $N_c \approx N_0 \exp[\frac{e\alpha}{k} - \beta\delta\varepsilon]$, where α is the thermoe.m.f.; N_0 is the number of cells per 1 cm³). The criteria of the theory are:

$$\Delta_e \ll \hbar\omega_p \Phi_0; \quad \Delta_e < \{ \Delta_e^0 \equiv (\hbar\omega_p \Phi_0 kT_1)^{1/2}; (\hbar\omega_p \Phi_0 kT_0)^{1/2} \text{ for } T > T_1 \}^{(1,8)},$$

$$\Delta \equiv \Delta_e \exp[-\Phi(T)] \ll \hbar\Gamma_0; \quad \omega < \omega_p \Phi_0. \quad (2)$$

In the general case $\Gamma_0 \approx \Gamma_h + \Gamma'$ (Γ_h see in (5)), and for $kT \ll \hbar\omega_p \Phi_0$

$$\Gamma' \sim (\Delta_e / \hbar\omega_p \Phi_0)^4 \omega_p^2 (\Delta\omega_p)^{-1} \text{sh}^{-1}(\beta\hbar\omega_p/2);$$

if $\Delta(T'_0) = \hbar\Gamma_0(T'_0)$, then $\Delta \ll \hbar\Gamma_0$ for $T > T'_0$, with

$$T'_0 = T_0 = \gamma \frac{\hbar\omega_p}{2k} \Big|_{\gamma \sim 1}$$

for $\Gamma_0 \approx \Gamma_h$, and $T'_0 < T_0$ for $\Gamma_0 \gg \Gamma_h$; in (2)

$$T_1 = \gamma' \frac{\hbar\omega_p}{2k} (\text{Ar sh } \Phi_0)^{-1} \Big|_{\gamma' \sim 1},$$

(see (1,7,8)**.

2. For $\omega \geq 0$, in the basic approximation the Ohmic mobility has the form (see (1) and (1,3,6))

$$\begin{aligned} u_{\mu\nu}^0(\omega) &\equiv u_{\mu\nu}^h(\omega) + u_{\mu\nu}^d(\omega) = \frac{|e|}{E_\beta(\omega)} \sum_{(s',0)} \{v_\nu^{0s'}, v_\mu^{s'0}\} \times \\ &\times \lim_{\varepsilon \rightarrow +0} \text{Re} \int_0^\infty dt \cos \omega t \sum_{nn'} \exp(\beta F_0 - \beta \varepsilon_n) |\langle n^{(0)} | n'^{(s')} \rangle|^2 \times \\ &\times \exp \left[\frac{it}{\hbar} (\varepsilon_n - \varepsilon_{n'}) \right] \varphi_{nn'}(\varepsilon, t; \omega), \end{aligned} \quad (3)$$

where $\varphi_{nn'} = \varphi_{nn'}^h + \varphi_{nn'}^d$ (or $\approx \exp(-|t\tilde{\Gamma}_{0s'}(\omega)|)$),

$$\varphi_{nn'}^h = (1 - \delta_{nn'}) e^{-\xi t};$$

$$\varphi_{nn'}^d = \delta_{nn'} \exp(-t\tilde{\Gamma}_{0s'}(\omega)), \quad \delta_{nn'} \equiv \prod_{fj} \delta_{N_{fj} N'_{fj}}.$$

* The principal results of the article were reported at the Fifth Conference on Semiconductor Theory in Baku, 30 X 1962.

** The criterion $\Delta \ll \hbar\Gamma_h$ is sufficient ⁽¹⁾, but for $\Gamma_0 \gg \Gamma_h$ it is not necessary (also not necessary is the criterion $\Delta_\varepsilon^2 < \mathcal{G}^2 \exp(-\varkappa\beta\mathcal{G})_{\varkappa < 1}$ from (6,8), i.e., in (6,8) here one should put $\varkappa = 0$).

In (3), in the nearest-neighbor approximation, $\tilde{\Gamma}_{0s'} = \Gamma_0 - W_0(s')$, where $\Gamma_0 \approx \nu_c \Gamma_{(0)s'}$ (ν_c is the number of nearest neighbors) and $W_0(s')$ is the mean Fourier component of the probability of "scattering" $\mathbf{k} \rightarrow \mathbf{k}'$ (in the main approximation), and usually $W_0(s') \sim \Gamma_0 \sim \Gamma_{0s'}$;

$$v_\nu^{ss'} \approx \frac{i}{\hbar} \Delta_{ss'}(s'_\nu - s_\nu); \quad \{v_\nu^{0s'}, v_\mu^{s'0}\} = \frac{|\Delta_{0s'}|^2}{\hbar^2} s'_\mu s'_\nu \sim \frac{\Delta_\varepsilon^2}{\hbar^2} a^2,$$

(see (1)) and substituting (13) into (6). Calculating multiple sums over n, n' (1–3, ^{5,6}), we obtain, for $\omega < \omega_p \Phi_0$, $T > T_1$,

$$u_{\mu\nu}^h(\omega) = u_{\mu\nu}^h(0) \frac{\text{sh } \beta \hbar \omega / 2}{\beta \hbar \omega / 2} \exp\left(-\frac{\omega^2 \tau_l^2}{4}\right); \quad (4)$$

$$u_{\mu\nu}^h(0) = |e| \beta \sum_{(s')_0} s'_\mu s'_\nu \Gamma_{h;s'}; \quad (5)$$

$$\Gamma_{h;s'} = \frac{|\Delta_{0s'}|^2}{\hbar^2} \int_0^\infty dt \cos \omega t \left\{ \exp\left[\Psi\left(t - i\frac{\hbar\beta}{2}\right)\right] - 1 \right\} \exp(-2\Phi(T));$$

$$\Phi(T) = \sum_{fj} \frac{1}{2} \lambda_{fj}^{0s'} \text{cth } \frac{\beta \hbar \omega_{fj}}{2}; \quad \Psi(t) = \sum_{fj} \lambda_{fj}^{0s'} \cos \omega_{fj} t / \text{sh } \frac{\beta \hbar \omega_{fj}}{2}, \quad (6)$$

$$\lambda_{fj}^{0s'} \equiv \frac{\omega_{fj}}{2\hbar} (q_{fj}^{s'} - q_{fj}^0)^2; \quad \tau_l^2 \equiv -\left(\frac{d^2\Psi(t)}{dt^2}\right)_{t=0}^{-1};$$

$$u_{\mu\nu}^d(\omega) = \frac{|e|}{E_\beta(\omega)} \frac{1}{2} \sum_{\pm} \sum_{s'} \tilde{\Gamma}_{0s'}(\pm\omega) \left(\tilde{\Gamma}_{0s'}^2(\pm\omega) + \omega^2\right)^{-1} \Delta_{0s'}^2 e^{-2\Phi(T)} * . \quad (7)$$

For $T > T_1$, when $\exp[\Psi(i\hbar/2kT)] > \exp[\Psi(i\hbar/2kT_1)] = 1$,

$$\Gamma_{h;s'} \sim \Gamma_h \approx \frac{\sqrt{\pi}}{\hbar^2} \Delta_{0s'}^2 \tau_l \exp[-\beta\mathcal{E}(T)],$$

where

$$\mathcal{E}(T) = \beta^{-1} \sum_{fj} \lambda_{fj}^{0s'} \text{th } \frac{\beta \hbar \omega_{fj}}{4};$$

for $T < T_1$

$$\Gamma_{h;s'} \approx \frac{2\pi}{\hbar^2} \Delta_{0s'}^2 \sum_{f_1 f_2} \prod_{r=1,2} \lambda_{f_r}^{0s'} \text{sh}^{-1}\left(\frac{\beta \hbar \omega_{f_r}}{2}\right) \delta(\omega_{f_1} - \omega_{f_2}).$$

In (4)–(7), $u_{\mu\nu}^h$ and $u_{\mu\nu}^d$ are contributions to $u_{\mu\nu}^0$ from “jumps” of the polaron packet and its “spreading” –the analogue of band transport. For $T = T_q$, $T'_0 \lesssim T_q \leq T_0$,

* More precisely, from (1) we have:

$$u_{\mu\nu}^d(\omega) = E_{\beta}^{-1}(\omega) \frac{1}{N_0} \sum_{s,s';n} (sn|v_{\mu}|s'n) \psi_{n\nu}^0(s-s') = \sum_{kn} v_{\mu}(kn) \psi_{\nu}^0(kn),$$

where

$$\psi_{\mu}^0(kn) = |e| \operatorname{Re} \frac{1}{N_0} \sum_{ss'} e^{i\mathbf{k}(s-s')} \int_0^{\infty} dt e^{-\varepsilon t} \cos \omega t (s'n|v_{\mu}(t)|sn)_{\varepsilon \rightarrow +0}$$

can be obtained by the method of (8), which for equilibrium phonons also gives (7).

$u_{\mu\mu}^0(0)$ has a minimum. For $T < \mathcal{E}/2k$ and $\hbar\omega < \mathcal{E}$, $u_{\mu\mu}^n(\omega) \geq u_{\mu\mu}^h(0)$, and $u_{\mu\mu}^h(\omega)$ increases with ω^* ; for $T \ll \mathcal{E}/k$ the “hops” of a carrier are activated by the fluctuational deformation of the lattice the more effectively, the closer the energy $\hbar\omega$ of the photons dissipated in multiphonon processes is to the carrier binding energy $\sim \mathcal{E}$.

Practically, for $T > \{T_1, T'_0\}$ (and certainly for not too small ω) $u_{\mu\mu}^0(\omega) \approx u_{\mu\mu}^h(\omega)$, while for $\omega \gg \mathcal{E}/\hbar$, apparently, $u_{\mu\mu}^0(\omega)$ decreases with ω , so that the mobility $u_{\mu\mu}^0(\omega)$ at $\omega \sim \mathcal{E}/\hbar$ probably has a noticeable maximum (with width $\Delta\omega < \mathcal{E}/\hbar$).

3. In an analogous way one can estimate the Faraday angle θ_F and $u_{\mu\nu}^{(a)}(\omega) \equiv \frac{1}{2}(u_{\mu\nu}(\omega) - u_{\nu\mu}(\omega))$ for $H \neq 0$. For $H \parallel OZ$, in the simplest case and for not too small $\omega (\ll \mathcal{E}/\hbar)$, for crystals (I), in which three appropriate sites s_1, s_2, s_3 can be mutual nearest neighbors ⁽⁶⁾, the formula for $\theta_F^I(\omega) \equiv \theta_F^I(u_{xy}^{(a)}; u_{\mu\mu}; \omega)$ can be represented in the form (for the most essential $T < \mathcal{E}/k$)

$$\begin{aligned} \theta_F^I(\omega) &\approx \frac{eN_c}{2cv_0(\omega)} u_{xy}^I(\omega) \approx \\ &\approx \frac{eN_c}{2cv_0(\omega)} \left\{ u_{xy}^{0I} \frac{1 - (\hbar\omega/4\mathcal{E})^2}{\beta E_{\beta}(\omega)} + O\left(\delta_2 u_{xy}^I \frac{\operatorname{ch} \beta \hbar\omega/2}{\beta E_{\beta}(\omega)}\right) \right\}, \end{aligned} \quad (8)$$

where $v_0(\omega)$ is the refractive index at $H = 0$. In (8), u_{xy}^{0I} and $\delta_i u_{xy}^I$ are determined for $T > T'_0$ by the general expression (6) (in (6) $\tau_0(\beta) \approx \hbar\beta$; see also $\Psi_i(t)$):

$$\begin{aligned} u_{xy}^I &\equiv u_{xy}^I(0) = \frac{|e|\beta}{2\hbar^3} \frac{1}{N_0} \sum_{s_1 s_2 s_3} (Q^0 + \delta_1 Q + \delta_2 Q) \times \\ &\times \left\{ \frac{\partial}{i \partial H} [\Delta_{s_1 s_2} \Delta_{s_2 s_3} \Delta_{s_3 s_1}] \right\}_{H=0} \equiv u_{xy}^{0I} + \delta_1 u_{xy}^I + \delta_2 u_{xy}^I; \end{aligned}$$

$$\begin{aligned}
Q^0 = & \exp \left[- \sum_{p,r=1}^3 \Phi_{s_p s_r}(T) \right] \int_0^{\beta\hbar/2} d\tau' \left\{ 2 \operatorname{Im} \int_0^\infty dt e^{-\Gamma_0|t|} \times \right. \\
& \times \exp \left[\Psi_1(t) + \Psi_2 \left(i\tau' + i\frac{\beta\hbar}{2} \right) + \Psi_3 \left(t - i\tau' + i\frac{\beta\hbar}{2} \right) \right] - \\
& \left. - \int_0^{\beta\hbar/2} d\tau \exp \left[\Psi_1 \left(i\tau + i\frac{\beta\hbar}{2} \right) + \Psi_2 \left(i\tau' + i\frac{\beta\hbar}{2} \right) + \Psi_3(i\tau - i\tau') \right] \right\}; \quad (9)
\end{aligned}$$

$$\begin{aligned}
\delta_1 Q = & \exp \left[- \sum_{p,r=1}^3 \Phi_{s_p s_r}(T) \right] \operatorname{Re} \int_0^\infty dt e^{-\Gamma_0|t|} \int_0^t dt' \times \\
& \times \left\{ \exp \left[\Psi_1(t) + \Psi_2 \left(t' + i\frac{\beta\hbar}{2} \right) + \Psi_3 \left(t - t' + i\frac{\beta\hbar}{2} \right) \right] + \right. \\
& \left. + \exp \left[\Psi_1 \left(t + i\frac{\beta\hbar}{2} \right) + \Psi_2 \left(t' + i\frac{\beta\hbar}{2} \right) + \Psi_3(t - t') \right] \right\}; \quad (10)
\end{aligned}$$

* For $T < T'_0$ the mobility $u_{\mu\mu}(\omega)$, as ω increases, generally speaking decreases at small ω (as also for $T < T_q$), has a minimum at $\omega = \omega_q$ ($u_{\mu\mu}^h(\omega_q) = u_{\mu\mu}^d(\omega_q)$), and increases for $\omega > \omega_q$ up to $\omega \sim \mathcal{E}/\hbar$ (decreasing after the maximum). On p. 287 in (8), instead of $T < T'_0 \equiv \omega_p/\Phi_0 < T_0$ one should read $T < T'_0 (\ll T_0)$, $T < \frac{\hbar\omega_p}{2k} (\operatorname{Arsh} \Phi_0)^{-1}$; the contribution of acoustic phonons to $\Omega_b \equiv \Omega_0 (\sim \Gamma)$, in addition to the contribution of the form (22) from (8), may contain a contribution of the form $AT^{l>0}$.

$$\begin{aligned}
\delta_2 Q = & - \exp \left[- \sum_{p,r=1}^3 \Phi_{s_p s_r}(T) \right] \operatorname{Im} \int_0^{\beta\hbar/2} d\tau' \int_0^\infty dt \times \\
& \times e^{-\Gamma_0|t|} \exp \left[\Psi_1 \left(t + i\frac{\beta\hbar}{2} \right) + \Psi_2 \left(i\tau' + i\frac{\beta\hbar}{2} \right) + \Psi_3(t + i\tau') \right]. \quad (11)
\end{aligned}$$

In particular, for $T < \mathcal{E}/k$,

$$|\delta_1 u_{xy}^I| \sim u_0 \frac{H}{H_0} \frac{\beta\Delta_e^3}{\mathcal{E}^2}; \quad \delta_2 u_{xy}^I \sim u_0 \frac{H}{H_0} \Delta_e^3 \beta^2 \mathcal{E}^{-1} e^{-\beta\tilde{\mathcal{E}}(T)};$$

$$|\delta_1 u_{xy}^I| \sim u_0 \frac{H}{H_0} \beta^2 \mathcal{E}^{-1} \Delta_e^3 e^{-\beta\tilde{\mathcal{E}}(T)} + \Delta u_{xy}^d; \quad \Delta u_{xy}^d \sim u_0 \frac{H}{H_0} \beta\Delta^3 (\hbar\Gamma_0)^{-2},$$

where

$$\tilde{\mathcal{E}}(T) = \mathcal{E}(T) + U(T); \quad U(T) \leq \mathcal{E}/3; \quad \text{for } T > T_0 \quad \tilde{\mathcal{E}} \simeq \frac{4}{3}\mathcal{E};$$

$u_0 = |e|a^2/\hbar$; $H_0 = \hbar c/|e|a^2$. The expression Δu_{xy}^d in u_{xy}^I is the analog of u_{xx}^d in u_{xx}^0 , and

$$|\Delta u_{xy}^d| |u_{xy}^I|^{-1} \sim (\Delta/\hbar\Gamma_0)^2 (\mathcal{E}/\Delta_e)^2 e^{-2\Phi(T)} \leq 1$$

for $T \geq T_c$, and usually $T_c \leq T'_0$. The Hall mobility $u_H = cH^{-1}|u_{xy}|u_{xx}^{-1}$ decreases with increasing T for $T > T_q$ and for $T < T_c (< T'_0)$, see (6, 8) (but, apparently, it may increase for $T_c < T < T_q < T_0$, if $\nu \equiv u_H(T_q)u_H^{-1}(T_c) > 1$, or decrease at all T , if $\nu < 1$).

The expression for u_{xy}^I is due to the contribution of phase-correlated transitions of the carrier over three sites s_1, s_2, s_3 ; $\delta_1 u_{xy}^I$ corresponds to the contribution of real correlated transitions; the leading term at $T < \mathcal{E}/k$, u_{xy}^0 , is rather the contribution of (two out of three) such virtual multiphonon transitions and, unlike $\delta_1 u_{xy}^I$, contains no activation temperature dependence, describing an essentially quantum and non-Markovian transport process (with nonactivation reformation of the lattice). For crystals (II), which do not belong to class (I), the effects odd in H (Hall, Faraday, etc.) may be due mainly to the contribution of four-site correlated transitions, and then (the formula for $u_{xy}^{II}(\omega)$ is derived analogously)*

$$|u_{xy}^{II}| \sim |u_{xy}^I| \Delta_e \mathcal{E}^{-1} \ll |u_{xy}^I| \quad \text{and} \quad |\theta_F^{II}| \sim |\theta_F^I| \frac{\Delta_e}{\mathcal{E}}.$$

The sign of $u_{xy}^I(\omega)$ and $\theta_F^I(\omega)$ is usually (6) determined by the sign of the carrier charge e^{**} . As is seen from (8)–(12), usually, for $T < \mathcal{E}/k$ and $\hbar\omega \ll \mathcal{E}$, $\theta_F^I(\omega)$ decreases with increasing ω and with T (for asymptotically large ω , $\theta_F^I(\omega) \propto u_{xy}^I(\omega) \propto \omega^{-2}$).

Note added in proof. In a recent article (10) it is assumed that u_{xy}^I is determined by the probability of three-site hops, the result of whose estimate makes a contribution analogous to the term $\delta_1 u_{xy}^I$ from (12) (see (6)).

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* The expression for the contribution of four-site transitions for crystals (I) determines the first correction $|\Delta$

** In (7), within the formulation of the problem and of the general approach used in (1, 3), the static ($\omega = 0$) mobility of a semiconductor with low mobility was calculated in a basis of polaron-band “waves” and in the technique ⁽⁹⁾, and for the principal contribution formulas were obtained analogous to the relations from ^(1, 6, 8). In view of the special features of the problem, one may think that for $T > \hbar\omega_p/2k$, when the “mean free path” of such “waves” $l \ll a$, their use as a basis is artificial.

Note: Figure translations are in progress. See original paper for figures.

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