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# MATHEMATICS

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**Abstract**

**Full Text**

MATHEMATICS

V. V. KRIVOV

## BEST EXTREMAL MAPPINGS IN SPACE

*(Presented by Academician M. A. Lavrent'ev, November 4, 1963)*

In this note we investigate quasiconformal mappings of domains of  $n$ -dimensional space that are closest to conformal ones.

1. Let  $P^* = f(P)$  be a homeomorphic mapping of a simply connected domain  $G$  onto a domain  $G^*$ . If for any family of curves  $\{C\} \subset G$  and its image  $\{C^*\} \subset G^*$  we have

$$\frac{1}{K}M\{C\} \leq M\{C^*\} \leq KM\{C\}, \quad (1)$$

then the mapping is called **quasiconformal** <sup>(1)</sup> ( $M\{C\}$  is the modulus of the family  $\{C\}$ ). As  $K$  in (1) it is natural to take the least of the suitable constants.

Mark on the boundary of  $G$  two continua  $B_0$  and  $B_1$ , and let  $B_0^*$  and  $B_1^*$  be their images\* under the mapping under consideration. Instead of the modulus of the family of curves joining  $B_0$  and  $B_1$  in  $G$ , it will be more convenient for us to consider the conformal capacity  $\Gamma(G)$  of the domain  $G$ , equal to it <sup>(2,3)</sup>. We have

$$\frac{1}{K} \leq \frac{\Gamma(G^*)}{\Gamma(G)} \leq K.$$

Following Väisälä <sup>(1)</sup>, one may write

$$\inf \frac{J}{\Lambda^n} \leq \frac{\Gamma(G^*)}{\Gamma(G)} \leq \sup \frac{J}{\lambda^n}, \quad (2)$$

where  $\lambda$  is the least,  $\Lambda$  the greatest stretching at the given point, and  $J$  is the Jacobian of the mapping.

**Definition 1.** A quasiconformal mapping is called **best extremal** if

$$K = \frac{\Gamma(G^*)}{\Gamma(G)} \quad \text{when } \Gamma(G^*) \geq \Gamma(G)$$

or

$$K = \frac{\Gamma(G)}{\Gamma(G^*)} \quad \text{when } \Gamma(G^*) \leq \Gamma(G).$$

In other words, a mapping is called best extremal if at least one of the inequalities (2) becomes an equality.

Below the properties of best extremal mappings are clarified.

2. Let  $u$  and  $u^*$  be, respectively, the potentials of the extremal metrics <sup>(3)</sup> of the domains  $G$  and  $G^*$ .

Introduce the mappings:

- I.  $P = \tau(Q)$ , which sends any point  $Q$  on the level surface  $\Sigma(P)$  of the function  $u$  (so that  $u(Q) = a$ ) to the fixed point  $P \in \Sigma(P)$ .
- II.  $P = \varphi(Q)$ , which sends any point  $Q$  on the force line  $L(P)$  of the vector field  $\nabla u$  to the fixed point  $P \in L(P)$ .

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\* The mapping can be extended to the closures of  $G$  and  $G^*$ .

We introduce analogous mappings  $P^* = \tau^*(Q^*)$  and  $P^* = \varphi^*(Q^*)$  in the domain  $G^*$ . Further, let  $P^* = T(P)$  be a homeomorphic mapping of  $\Sigma(P_0)$  onto  $\Sigma(P_0^*)$  such that  $P_0^* = T(P_0)$ , and let  $P^* = F(P)$  be a homeomorphic mapping of  $L(P_0)$  onto  $L(P_0^*)$  such that  $P_0^* = F(P_0)$ ;  $P_0$  and  $P_0^*$  are fixed.

**Definition 2.** A mapping  $Q^* = U(Q)$  of the domain  $G$  onto the domain  $G^*$  having the properties: 1) if  $\varphi(Q) = P_1$ ,  $P_1 \in \Sigma(P_0)$ , and  $T(P_1) = P_1^*$ , then  $Q^* \in \varphi^{*-1}(P_1^*)$ ; 2) if  $\tau(Q) = P_2$ ,  $P_2 \in L(P_0)$ , and  $F(P_2) = P_2^*$ , then  $Q^* \in \tau^{*-1}(P_2^*)$ , is called a **special mapping**.

Consequently, in the case of a special mapping, the image of any level surface  $u = \text{const}$  will be some level surface  $u^* = \text{const}$ , and the image of any force line of the field  $\nabla u$  will be some force line of the field  $\nabla u^*$ .

If the domains  $G$  and  $G^*$  are simply covered by level surfaces and their orthogonal trajectories, then the special mapping is one-to-one and is completely determined by specifying the mappings  $T$  and  $F$ .

**Theorem 1.** *A best extremal mapping is necessarily a special mapping.*

The proof of this theorem is based on the application of the Grötzsch principles in the form in which they are given in <sup>(3)</sup>, and in essence does not differ from the proof of Theorem 2 from <sup>(4)</sup>.

3. Let us now find out in what case a special mapping will be extremal. Put  $\rho = |\nabla u|$ ,  $\rho^* = |\nabla u^*|$ . Let, for definiteness,  $\Gamma(G^*) \geq \Gamma(G)$ .

**Theorem 2.** *In order that a special mapping be the best extremal mapping, it is necessary and sufficient that the following conditions be satisfied simultaneously:*

- 1)  $\lambda = \lim_{Q \rightarrow P} \frac{|U(Q) - U(P)|}{|Q - P|}$ , where  $Q \in \varphi^{-1}(P)$ ;
- 2)  $l'(\alpha) = \text{const}$ , if we put  $u(Q) = \alpha$ ,  $u^*(Q^*) = l(\alpha)$ , if  $Q^* = U(Q)$ ;
- 3)  $\int J/\lambda^n = \text{const}$ .

For the proof, consider the function

$$\mu(P) = \lim_{Q \rightarrow P} \frac{|U(Q) - U(P)|}{|Q - P|}, \quad \text{where } Q \in \varphi^{-1}(P).$$

We shall show that

$$\frac{\Gamma(G^*)}{\Gamma(G)} \leq \sup_{P \in G} \frac{J}{\mu^n}. \quad (3)$$

Putting

$$h(\rho) = \int_{\gamma} \rho ds, \quad \text{if } \gamma = \varphi^{-1}(P),$$

we obtain

$$h \left[ \left( \frac{\rho}{\mu} \right)^* \right] = \int_{\gamma^*} \left( \frac{\rho}{\mu} \right)^* ds^* = \int_{\gamma} \frac{\rho}{\mu} \frac{ds^*}{ds} ds = \int_{\gamma} \rho ds = h(\rho), \quad (4)$$

where  $\left( \frac{\rho}{\mu} \right)^* = \frac{\rho}{\mu}$ , if  $P^* = U(P)$ .

Then from the inequality

$$\Gamma(G^*) \leq \frac{1}{h^n[(\rho/\mu)^*]} \int_{G^*} \left[ \left( \frac{\rho}{\mu} \right)^* \right]^n dV = \frac{1}{h^n(\rho)} \int_G \rho^n \frac{J}{\mu^n} dV \quad (5)$$

we shall have

$$\Gamma(G^*) \leq \frac{1}{h^n(\rho)} \int_G \rho^n \frac{J}{\mu^n} dV \leq \sup \frac{J}{\mu^n} \cdot \frac{1}{h^n(\rho)} \int_G \rho^n dV = \Gamma(G) \sup \frac{J}{\mu^n}, \quad (6)$$

which proves (3). Since  $\lambda \leq \mu$ , for an extremal mapping

$$\frac{\Gamma(G^*)}{\Gamma(G)} = \sup \frac{J}{\mu^n} = \sup \frac{J}{\lambda^n}. \quad (7)$$

Consequently, for the best extremality it is necessary and sufficient that the equalities in (5), (6) be realized. In (5) this is possible only in the case where  $\left(\frac{\rho}{\mu}\right)^*$  is an extremal metric for  $G^*$ , whence

$$\left(\frac{\rho}{\mu}\right)^* = \text{const} \cdot \rho^*,$$

so that

$$\mu^{-1} = \text{const} \cdot \frac{\rho^*(P^*)}{\rho(P)}, \quad (8)$$

from which assertion 2) follows.

In (6) the equalities are attained only if

$$\frac{J}{\mu^n} \equiv \sup \frac{J}{\mu^n} = \text{const}, \quad (9)$$

so that

$$\sup \frac{J}{\mu^n} = \frac{J}{\mu^n} \leq \frac{J}{\lambda^n} \leq \sup \frac{J}{\lambda^n} = \sup \frac{J}{\mu^n},$$

whence property 3) and the identity  $\lambda \equiv \mu$ , i.e., property 1), follow. The theorem is proved.

In the case  $\Gamma(G^*) \leq \Gamma(G)$ , the formulation of the theorem is unchanged; one need only replace  $\lambda$  by  $\Lambda$ , and the equality in (2) will be attained on the left. To verify this, it is enough to apply Theorem 2 to the best extremal mapping in the class of mappings of  $G^*$  onto  $G$ .

If in (2) the equalities are attained on both sides at once, then

$$\frac{J}{\lambda^n} = \frac{J}{\Lambda^n} = \text{const},$$

so that  $\lambda = \Lambda$ , and the mapping will be conformal.

**Remark.** If properties 1) and 2) hold in  $G$ , and property 3) holds for some level surface  $u = \alpha$ , then this property holds everywhere in  $G$ .

This may be verified by considering the vector tubes of the fields  $\nabla u$  and  $\nabla u^*$  and using the fact that the integral  $\int_{d\sigma(\alpha)} |\nabla u|^{n-1} dS$ , where  $d\sigma(\alpha)$  is the section of the vector tube by a piece of the level surface  $u = \alpha$ , does not depend on  $\alpha$  (3).

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*Note: Figure translations are in progress. See original paper for figures.*

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