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Soviet-era science, translated into English

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1964

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**Abstract**

**Full Text**

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## **A CLOSED-GRAPH THEOREM FOR ULTRA-COMplete SPACES**

*(Presented by Academician P. S. Aleksandrov on 13 III 1964)*

The paper proves a closed-graph theorem for multivalued mappings of ultracomplete spaces. Theorems of this kind for linear mappings of locally convex spaces were established by V. Pták <sup>(1)</sup> and J. L. Kelley <sup>(2)</sup>. V. L. Levin and D. A. Raikov <sup>(3)</sup> proved analogous theorems in the case of so-called biregular mappings, for one class of uniform spaces (including ultracomplete spaces) which they called *B*-complete.

Let us introduce some notation that will be useful below. Let  $X$  and  $Y$  be uniform spaces (not assumed to be separated). We shall regard any subset of the product  $X \times Y$  as the graph of a multivalued mapping from  $X$  into  $Y$ , and denote it by the same letter as this mapping. In particular, entourages in  $X$  are regarded as multivalued mappings of the space  $X$  into itself.

By  $\{U_i\}_{i \in I}$  we denote an arbitrary fundamental system of symmetric entourages in  $X$ , and by  $\{\tilde{U}_i\}_{i \in I}$  a fundamental system of symmetric entourages in  $Y$ . It is clear that the set of indices in both cases may be taken to be one and the same. With each entourage  $V$  we shall associate a sequence of entourages  $V^{(1)}, \dots, V^{(n)}, \dots$ , satisfying the conditions

$$V^{(1)}V^{(1)} \subset V; \quad V^{(n+1)}V^{(n+1)} \subset V^{(n)} \quad (n = 1, 2, \dots).$$

Following <sup>(3)</sup>, a multivalued mapping  $F$  of the space  $X$  into the space  $Y$  will be called uniformly almost open (respectively, uniformly open) if for every entourage  $U$  in  $X$  there exists an entourage  $U^F$  in  $Y$  such that, for every point  $x_0 \in X$ , the inclusion

$$\overline{FU}(x_0) \supset U^F F(x_0)$$

holds (respectively,

$$FU(x_0) \supset U^F F(x_0)).$$

The Hausdorff uniformity on the set  $\mathfrak{F}(X)$  of all closed subsets of the space  $X$  is the uniformity defined by the base of entourages

$$\{(A, B) : A \subset U(B), B \subset U(A)\},$$

where  $U$  runs through any fundamental system of entourages of the uniformity given on  $X$ . If  $\mathfrak{F}(X)$ , endowed with the Hausdorff uniformity, is complete, then the space  $X$  is called ultracomplete.

**\*\*Lemma\*\*.** Let  $F$  be a uniformly almost open multivalued mapping with closed graph from an ultracomplete space  $X$  into an arbitrary uniform space  $Y$ . Then, for any entourages  $U$  and  $V$  in  $X$  and any point  $x_0 \in X$ , the inclusion

$$FVU(x_0) \supset \overline{FU}(x_0)$$

is valid.

**Proof.** Obviously, one may assume that

$$U_i^{(n)} \subset V^{(n+1)}$$

for all  $i$  and all  $n$ .

Let

$$\bar{y} \in \overline{FU}(x_0).$$

We shall construct a fundamental (in the sense of the Hausdorff uniformity) generalized sequence of sets

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\* In the special case when  $X$  is a complete pseudometric space, this assertion was proved by J. Kelley ((<sup>4</sup>), pp. 202-203).

$C_\mu \subset X$ , such that: 1) all  $C_\mu$  lie in  $V^{(1)}U(x_0)$ , so that  $C = \lim_\mu \overline{C}_\mu$  lies in  $VU(x_0)$ ; 2)  $\bar{y} \in F(\bar{x})$  for any point  $\bar{x} \in C$ ; 3) the index  $\mu$  runs through all possible finite collections of elements of the set  $I$  (ordered by inclusion).

First we construct an auxiliary sequence of sets  $B_\mu$  satisfying the conditions: a) all  $B_\mu$  lie in  $V^{(1)}U(x_0)$ ; b) if  $\mu \supset \nu$ , then

$$B_\nu \subset \bigcap_{i \in \nu} U_i^{(|\nu|)}(B_\mu),$$

where  $|\nu|$  is the number of elements of the set  $\nu$ ; c) for any point  $x \in B_\mu$  the relation

$$\bigcap_{i \in \mu} \tilde{U}_i(\bar{y}) \cap F(x) \neq \emptyset$$

holds.

If such sets  $B_\mu$  have been constructed, then it suffices to put

$$C_\mu = \bigcup_{\nu \supset \mu} B_\nu.$$

Indeed, let us verify the fundamentality of the system  $\{C_\mu\}$ . If  $\mu \supset \nu$ , then  $C_\mu \subset C_\nu$ . Therefore, for each neighborhood  $W$  it is necessary to find a  $\mu_0$

such that from  $\mu \supset \mu_0$  it follows that  $W(C_\mu) \supset C_{\mu_0}$ . As such a  $\mu_0$  take a set consisting of one index  $i$  satisfying  $U_i \subset W$ . Let  $x \in C_i$ ; then  $x \in B_\nu$ , where  $i \in \nu$ , and let  $\xi = \mu \cup \nu$ . Then  $B_\xi \subset C_\mu$ , and

$$x \in B_\nu \subset \bigcap_{j \in \nu} U_j^{(|\nu|)}(B_\xi) \subset U_i(B_\xi) \subset W(B_\xi),$$

as was required. Thus, the sequence  $C_\mu$  is fundamental and satisfies conditions 1) and 3). Let us verify condition 2).

If  $\bar{x} \in C$ , then every neighborhood of the point  $\bar{x}$  contains points from  $C_\mu$  for all sufficiently large  $\mu$ , and hence also points from  $B_\nu$  for  $\nu$  belonging to some cofinal subsequence of the set of indices. From this and from property c) of the sets  $B_\nu$  it follows that for given neighborhoods  $U$  and  $\tilde{U}$  of the points  $\bar{x}$  and  $\bar{y}$ , respectively, there exist points  $x \in U(\bar{x})$  and  $y \in \tilde{U}(\bar{y})$  for which  $y \in F(x)$ , i.e.  $(x, y) \in F$ . From the closedness of the graph it follows that  $\bar{y} \in F(\bar{x})$ .

It remains to construct the sets  $B_\mu$ . We shall construct them by induction on  $|\mu|$ , the number of elements in  $\mu$ .

$$B_i = \{x : x \in U(x_0), \tilde{U}_i(\bar{y}) \cap U_i^F(\bar{y}) \cap F(x) \neq \emptyset\};$$

$$B_\mu = \{x : x \in \bigcup_{\substack{\nu \subset \mu \\ \nu \neq \mu}} \bigcap_{i \in \nu} U_i^{(|\nu|)}(B_\nu), \left( \bigcap_{i \in \mu} \tilde{U}_i(\bar{y}) \right) \cap \left( \bigcap_{i \in \mu} U_i^{(|\mu|)} \right)^F(\bar{y}) \cap F(x) \neq \emptyset\}.$$

Since

$$\bar{y} \in \overline{FU(x_0)},$$

the intersection of any neighborhood of the point  $\bar{y}$  with the set  $FU(x_0)$  is nonempty. Hence the nonemptiness of the sets  $B_i$  follows. At the same time it is easy to see that  $\bar{y} \in \overline{F(B_i)}$ , and, consequently, the same reasoning proves the nonemptiness of the sets  $B_\mu$ . Let us prove that requirements a), b), c) are satisfied.

For all  $B_i$  the inclusion  $B_i \subset U(x_0)$  is satisfied. Suppose that for all  $\nu$  for which  $|\nu| < k$ , the inclusion

$$B_\nu \subset V^{(|\nu|)} V^{(|\nu|-1)} \dots V^{(2)} U(x_0)$$

is satisfied, and let  $|\mu| = k$ . Then

$$\begin{aligned}
 B_\mu &\subset \bigcup_{\substack{\nu \subset \mu \\ \nu \neq \mu}} \bigcap_{i \in \nu} U_i^{(|\nu|)}(B_\nu) \subset \bigcup_{\substack{\nu \subset \mu \\ \nu \neq \mu}} V^{(|\nu|+1)}(B_\nu) \subset \\
 &\subset \bigcup_{\substack{\nu \subset \mu \\ \nu \neq \mu}} V^{(|\nu|+1)} V^{(|\nu|)} \dots V^{(2)} U(x_0) = V^{(|\mu|)} \dots V^{(2)} U(x_0).
 \end{aligned}$$

It follows that all  $B_\mu$  are contained in  $V^{(1)}U(x_0)$ , i.e. assertion a).

Now let  $x \in B_\nu$  and  $\mu \supset \nu$ . Then from the relation

$$\left( \bigcap_{i \in \nu} U_i^{(|\nu|)} \right)^F (\bar{y}) \cap F(x) \neq \emptyset,$$

i.e.

$$\bar{y} \in \left( \bigcap_{i \in \nu} U_i^{(|\nu|)} \right)^F F(x) \subset \overline{F \left( \bigcap_{i \in \nu} U_i^{(|\nu|)} \right) (x)}$$

there follows the exist—

of the existence of a point  $x' \in \bigcap_{i \in \nu} U_i^{(|\nu|)}(x)$  such that

$$\left( \bigcap_{i \in \mu} \bar{U}_i(\bar{y}) \right) \cap \left( \bigcap_{i \in \mu} U_i^{(|\mu|)} \right)^F (\bar{y}) \cap F(x') \neq \emptyset,$$

and this means that  $x' \in B_\mu$  (recall that  $x \in B_\nu$ ). Using the symmetry of the neighborhoods, we obtain  $x \in \bigcap_{i \in \nu} U_i^{(|\nu|)}(x')$ , i.e.  $B_\nu \subset \bigcap_{i \in \nu} U_i^{(|\nu|)}(B_\mu)$ . Thus condition b) is fulfilled. Property c) follows from the definition of the sets  $B_\mu$ .

The lemma is proved. It immediately implies the following

**Theorem.** *If the space  $X$  is ultracomplete, then every uniformly almost open multivalued mapping with closed graph from the space  $X$  into an arbitrary uniform space  $Y$  is uniformly open.*

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Received  
10 III 1964

## CITED LITERATURE

<sup>1</sup> V. Pták, Bull. Soc. math. France, **86**, 41 (1958); Collected Transl., Mathematics, **4**, 6 (1960). <sup>2</sup> J. L. Kelley, Michigan Math. J., **5**, 235 (1958); Collected Transl., Mathematics, **4**, 6 (1960). <sup>3</sup> V. L. Levin, D. A. Raikov, DAN, **150**, No. 5 (1963). <sup>4</sup> J. L. Kelley, *General Topology*, N. Y., 1955.

*Note: Figure translations are in progress. See original paper for figures.*

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