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Soviet-era science, translated into English

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1964

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**Abstract**

**Full Text**

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**ON CERTAIN FUNCTION SPACES**

**DIRECT AND INVERSE EMBEDDING THEOREMS**

*(Presented by Academician I. M. Vinogradov on 26 VI 1964)*

We shall assume (following the notation of S. M. Nikol'skii<sup>(3,8)</sup>) that  $e$  is a subset of the natural numbers  $e_n = \{1, \dots, n\}$ . If  $K = (k_1, \dots, k_n)$  is a given vector, then let  $K^e = (k_1^e, \dots, k_n^e)$ , where

$$k_j^e = \begin{cases} k_j, & \text{for } j \in e, \\ 0, & \text{for } j \in e_n \setminus e. \end{cases}$$

We shall denote by  $e_k$  the support of the vector  $K$ , i.e. the smallest subset  $e \subset e_n$  for which  $K^e = K$ . Let a vector  $r = (r_1, \dots, r_n)$  with nonnegative components be given. Put  $r_j = \bar{r}_j + \alpha_j$ , where  $\bar{r}_j$  is the greatest integer less than  $r_j$ , so that  $0 < \alpha_j \leq 1$ , and if  $r_j = 0$ , then  $\bar{r}_j = 0$ . Thus the vector  $r$  uniquely determines the vector  $\bar{r} = (\bar{r}_1, \dots, \bar{r}_n)$ .

Let  $f(x)$  be a smooth function defined in  $E^n = \{x = (x_1, \dots, x_n)\}$ . Denote by  $f^{(\bar{r}_\tau, \bar{r}^e)}(x)$  the partial derivative of the function  $f(x)$  of order  $(\bar{r}_\tau, \bar{r}^e)$  with respect to the variables  $(x_\tau, x^e)$ , respectively, where  $e$  is any fixed subset of the set  $e_r$ , and  $\tau \in e_r \setminus e$ . The order of differentiation is arbitrary, for example:

$$f^{(\bar{r}_\tau, \bar{r}^e)}(x) = \frac{\partial^{\bar{r}_\tau}}{\partial x_\tau^{\bar{r}_\tau}} \frac{\partial^{\bar{r}_1^e}}{\partial x_1^{\bar{r}_1^e}} \dots \frac{\partial^{\bar{r}_n^e}}{\partial x_n^{\bar{r}_n^e}} f(x).$$

For any  $\tau \in e_r \setminus e$  put

$$\|f(x)\|_{L_{p, x_\tau, x^e}^{\bar{r}_\tau, \bar{r}^e}(E^n)} = \left( \int_0^\infty \frac{dt_\tau}{t_\tau^{1+p\alpha_\tau}} \prod_{j \in e} \int_0^\infty \frac{\|\Delta_\tau^{2, \omega^e} \Delta_{t_\tau, t^e}^{(\bar{r}_\tau, \bar{r}^e)} f(x)\|_{L_p(E^n)}^p}{t_j^{1+p\alpha_j}} dt_j \right)^{1/p},$$

where  $\omega = (1, \dots, 1)$  is a vector whose components consist only of ones,  $\Delta_\tau^{2, 2\omega^e} \Delta_{t_\tau, t^e} f$  is the finite difference of order 2 with respect to the variable  $x_\tau$  and of order  $2\omega^e$  with respect to the variables  $x^e$ , with steps  $t_\tau$  and  $t^e$ , respectively;  $1 \leq p \leq \infty$ .

**Definition 1.** The space  $\mathcal{L}_p^{(r)}(e; E^n)$  will mean the closure of the set of smooth finite functions in the norm

$$\|f(x)\|_{L_p^{(r)}(e; E^n)} = \sum_{\tau \in e_r \setminus e} \|f(x)\|_{L_{p, x_\tau, \bar{x}^e}^{(r)}(E^n)}. \quad (1)$$

If  $e_0$  is the empty set and  $e_r = e_n$ , then the space  $\mathcal{L}_p^{(r)}(e_0, E^n)$  is the space  $\mathcal{L}_p^{(r_1, \dots, r_n)}(E^n)$ , which was considered earlier by V. P. Il' in, V. A. Solonnikov (<sup>6</sup>), and others.

**Definition 2.** The space  $B_{p_0, p}^{(r)}(e; E^n)$  will mean the closure of the set of smooth finite functions in the norm

$$\|f(x)\|_{B_{p_0, p}^{(r)}(e; E^n)} = \|f(x)\|_{L_{p_0}(E^n)} + \|f(x)\|_{L_p^{(r)}(e; E^n)}, \quad (2)$$

where  $\|f(x)\|_{L_{p_0}(E^n)}$  is the norm of the function in  $L_{p_0}(E^n)$  ( $1 \leq p_0 \leq \infty$ ).

If  $e_0$  is the empty set and  $e_r = e_n$ , then  $B_{p_0, p}^{(r)}(e_0; E^n)$  coincides with the well-known space  $B_{p_0, p, \dots, p}^{(r_1, \dots, r_n)}(E^n)$ , defined for  $p_0 = p$  by O. V. Besov (<sup>7</sup>), the theory of which was later developed by V. P. Il' in (<sup>5</sup>), and others.

**Definition 3.** We shall say that a function  $f(x)$  belongs to the space  $S_p^{(r)}\mathcal{L}(E^n)$  if it belongs simultaneously to all  $\mathcal{L}_p^{(r)}(e; E^n)$  for every  $e$  from  $e_r$ . We define the norm in this space as follows:

$$\|f(x)\|_{S_p^{(r)}\mathcal{L}(E^n)} = \sum_{e \in e_r} \|f(x)\|_{L_p^{(r)}(e; E^n)}. \quad (3)$$

**Definition 4.** We shall say that a function  $f(x)$  belongs to the space  $S_{p_0, p}^{(r)}B(E^n)$  if it belongs simultaneously to all  $B_{p_0, p}^{(r)}(e; E^n)$  for every  $e$  from  $e_r$ . We define the norm in this space as follows:

$$\|f(x)\|_{S_{p_0, p}^{(r)}B(E^n)} = \sum_{e \in e_r} \|f(x)\|_{B_{p_0, p}^{(r)}(e; E^n)}. \quad (4)$$

It is obvious that the norm (4) and the following norm are equivalent:

$$\|f(x)\|_{S_{p_0, p}^{(r)}B(E^n)}^* = \|f(x)\|_{L_{p_0}(E^n)} + \|f(x)\|_{S_p^{(r)}\mathcal{L}(E^n)}. \quad (5)$$

Put  $B_p^{(r)}(e; E^n) = B_{p, p}^{(r)}(e; E^n)$ ,  $S_p^{(r)}B(E^n) = S_{p, p}^{(r)}B(E^n)$ . Some embedding theorems have been obtained for the classes  $B_p^{(r)}(e; E^n)$  and  $S_p^{(r)}B(E^n)$ , which are a development of S. M. Nikol'ski's results for the classes  $S_p^{(r)}H$  and  $S_p^{(r)}W$ .

**Theorem 1.** Let  $f(x) \in S_p^{(r)}B(E^n)$ , where  $r_i > 0$  ( $i = 1, \dots, n$ ),  $p > 1$ . Let natural numbers  $\nu_i$  ( $i = 1, \dots, n$ ) and  $m$  ( $0 < m \leq n$ ) be given, as well as numbers  $q$  ( $p \leq q \leq \infty$ ),  $\rho_s$  ( $s = 1, \dots, m$ ), and suppose that the conditions

$$\varepsilon = 1 - \frac{1}{p} \sum_{j=1}^n \frac{1}{r_j} - \sum_{j=1}^n \frac{\nu_j}{r_j} + \frac{1}{q} \sum_{j=1}^m \frac{1}{r_j} > 0, \quad (\text{A})$$

$$0 < \rho_s \leq \varepsilon r_s \quad (s = 1, \dots, m). \quad (\text{B})$$

are satisfied.

Let  $e$  be any fixed subset of the set  $e_n$ . Then, for any fixed  $x_{m+1}, \dots, x_n$ , the function  $f^{(\nu)}(x) \in \mathcal{L}_q^{(\rho)}(e \cap e_m; E^m)$  and the inequality

$$\|f^{(\nu)}(x)\|_{L_q^{(\rho)}(e \cap e_m; E^m)} \leq C \|f\|_{S_p^{(r)}B(E^n)} \quad (6)$$

holds, where  $\nu = (\nu_1, \dots, \nu_n)$ ,  $e_m = \{1, \dots, m\}$ .

**Theorem 2.** Let  $f(x) \in S_p^{(r)}B(E^n)$ , where  $r_i > 0$  ( $i = 1, \dots, n$ ),  $p > 1$ . Let natural numbers  $\nu_i$  ( $i = 1, \dots, n$ ) and  $m$  ( $0 < m \leq n$ ) be given, as well as numbers  $q$  ( $p \leq q \leq \infty$ ),  $\rho_s$  ( $s = 1, \dots, m$ ), and let the conditions (A), (B) of Theorem 1 be satisfied. Then, for any fixed  $x_{m+1}, \dots, x_n$ , the function  $f^{(\nu)}(x) \in S_q^{(\rho)}\mathcal{L}(E^m)$  and the inequality

$$\|f^{(\nu)}(x)\|_{S_q^{(\rho)}\mathcal{L}(E^m)} \leq C \|f\|_{S_p^{(r)}B(E^n)} \quad (7)$$

holds.

**Theorem 3.** Let  $f \in S_p^{(r)}B(E^n)$ , where  $r_i$  ( $i = 1, \dots, n$ ),  $p > 1$ . Let natural numbers  $\nu_i$  ( $i = 1, \dots, n$ ) and  $m$  ( $0 < m \leq n$ ) be given, as well as numbers  $q$  ( $p \leq q \leq \infty$ ),  $\rho_s$  ( $s = 1, \dots, m$ ), and let the conditions (A), (B) of Theorem 1 be satisfied. Further, let  $e$  be any fixed subset of the set  $e_n$ . Then the function  $f^{(\nu)}(x) \in B_q^{(\rho)}(e \cap e_m; E^m)$  and the inequality

$$\|f^{(\nu)}(x)\|_{B_q^{(\rho)}(e \cap e_m; E^m)} \leq C \|f\|_{S_p^{(r)}B(E^n)} \quad (8)$$

holds.

**Theorem 4.** Let  $f \in S_p^{(r)}B(E^n)$ , where  $r_i > 0$  ( $i = 1, \dots, n$ ),  $p > 1$ . Let natural numbers  $\nu_i$  ( $i = 1, \dots, n$ ) and  $m$  ( $0 < m \leq n$ ), as well as numbers  $q$  ( $p \leq q \leq \infty$ ),  $\rho_s$  ( $s = 1, \dots, m$ ), be given, and suppose that the conditions (A), (B) of Theorem 1 are satisfied. Then, for any fixed  $x_{m+1}, \dots, x_n$ , the function  $f^{(\nu)}(x) \in S_q^{(\rho)}B(E^m)$ , and the inequality

$$\|f^{(\nu)}(x)\|_{S_q^{(\rho)}B(E^m)} \leq C \|f(x)\|_{S_p^{(r)}B(E^n)}. \quad (9)$$

holds.

We now introduce weighted spaces with fractional indices and formulate for them some embedding and extension theorems.

Let  $f(x)$  be a smooth function given in the space  $\bar{E}^{+n} = \{x = (x_1, \dots, x_n), x_n \geq 0\}$ ;  $\Delta_i^2(t)f(x)$  is the finite difference of order 2 with respect to the variable  $x_i$  with step  $t$ . Let  $1 \leq p < \infty$ ,  $0 < r_i < 1$  ( $i = 1, \dots, n$ ). Introduce the following norms:

$$\|f(x)\|_{\mathcal{L}_{p, \alpha}^{r_i}(\bar{E}^{+n})} = \left( \int_0^\infty \frac{dt}{t^{1+pr_i}} \int_{\bar{E}^{+n}} x_n^\alpha |\Delta_i^2(t)f(x)|^p d\bar{E}^{+n} \right)^{1/p},$$

$$\|f(x)\|_{\mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})} = \sum_{i=1}^n \|f(x)\|_{\mathcal{L}_{p, \alpha}^{r_i}(\bar{E}^{+n})},$$

$$\|f(x)\|_{B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})} = \|f(x)\|_{L_p(\bar{E}^{+n})} + \|f(x)\|_{\mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})}.$$

**Definition 5.** By the spaces  $\mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})$  and  $B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})$  we shall mean the closures of the set of smooth finite functions, respectively, in the norms  $\|f\|_{\mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})}$ ,  $\|f\|_{B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})}$ .

**Theorem 5.** Let  $p > 1$ ,  $0 < r_i < 1$  ( $i = 1, \dots, n$ ), and let  $m$  be a natural number such that  $0 < m < n$  and

$$\varepsilon = 1 - \frac{1}{p} \sum_{j=m+1}^n \frac{1}{r_j} - \frac{\alpha}{pr_n} > 0.$$

Then, if  $f(x) \in B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})$ , then for any fixed  $x_{m+1}, \dots, x_{n-1}$  and  $x_n = 0$  the function  $f(x) \in L_p(E^m)$ , and the inequality

$$\|f\|_{L_p(E^m)} \leq C \|f\|_{B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})}. \quad (10)$$

holds.

**Theorem 6.** Let  $p > 1$ ,  $0 < r_i < 1$  ( $i = 1, \dots, n$ ), and let  $m$  be a natural number such that  $0 < m < n$ , and suppose the conditions

$$\varepsilon = 1 - \frac{1}{p} \sum_{m+1}^n \frac{1}{r_i} - \frac{\alpha}{pr_n} > 0, \quad 0 < \rho_s \leq \varepsilon r_s \quad (s = 1, \dots, m).$$

are satisfied. Then, if the function  $f \in B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{+n})$ , then for any fixed  $x_{m+1}, \dots, x_{n-1}$  and  $x_n = 0$  the function

$$f(x) \in \mathcal{L}_p^{(\rho_1, \dots, \rho_m)}(E^m),$$

and, moreover, the inequality

$$\|f\|_{\mathcal{L}_p^{(\rho_1, \dots, \rho_m)}(E^m)} \leq C \|f\|_{B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{n+})}, \quad (11)$$

holds; and if  $\rho_s = \varepsilon r_s$  ( $s = 1, \dots, m$ ), then

$$\|f\|_{\mathcal{L}_p^{(\rho_1, \dots, \rho_m)}(E^m)} \leq C \|f\|_{\mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{n+})}. \quad (12)$$

As a consequence of Theorems 5 and 6 we obtain that, under the conditions of Theorem 6, if

$f \in B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{n+})$ , then for any fixed  $x_{m+1}, \dots, x_{n-1}$  and  $x_n = 0$  it also belongs to the space  $B_p^{(\rho_1, \dots, \rho_m)}(E^m)$ , and the inequality

$$\|f\|_{B_p^{(\rho_1, \dots, \rho_m)}(E^m)} \leq C \|f\|_{B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{n+})} \quad (13)$$

holds.

**Theorem 7.** Let  $p > 1$ ,  $0 < r_i < 1$  ( $i = 1, \dots, n$ ), let  $m$  be a natural number such that  $0 < m < n$ ,  $\alpha > -1$ , and

$$\varepsilon = 1 - \frac{1}{p} \sum_{j=m+1}^n \frac{1}{r_j} - \frac{\alpha}{pr_n} > 0.$$

Then, if on the hyperplane  $x_{m+1} = \dots = x_n = 0$  a function

$\varphi(x_1, \dots, x_m) \in \mathcal{L}_p^{(\rho_1, \dots, \rho_m)}(E^m)$  is given, with  $\rho_s = \varepsilon r_s$  ( $s = 1, \dots, m$ ), there exists a function

$f(x_1, \dots, x_n) \in \mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{n+})$  such that

$$f|_{E^m} = \varphi, \quad \|f\|_{\mathcal{L}_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^{n+})} \leq C \|\varphi\|_{\mathcal{L}_p^{(\rho_1, \dots, \rho_m)}(E^m)}. \quad (14)$$

**Theorem 8.** Let  $p > 1$ ,  $0 < r_i < 1$  ( $i = 1, \dots, n$ ), let  $m$  be a natural number such that  $0 < m < n$ ,  $-1 < \alpha$ , and

$$\varepsilon = 1 - \frac{1}{p} \sum_{i=m+1}^n \frac{1}{r_i} - \frac{\alpha}{pr_n} > 0.$$

Then, if on the hyperplane  $x_{m+1} = \dots = x_n = 0$  a function

$\varphi(x_1, \dots, x_m) \in B_p^{(\rho_1, \dots, \rho_m)}(E^m)$  is given, with  $\rho_s = \varepsilon r_s$  ( $s = 1, \dots, m$ ), there

exists a function  
 $f(x_1, \dots, x_n) \in B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^n + \setminus \infty)$  such that

$$f|_{E^m} = \varphi, \quad \|f\|_{B_{p, \alpha}^{(r_1, \dots, r_n)}(\bar{E}^n + \setminus \infty)} \leq C \|\varphi\|_{B_p^{(\rho_1, \dots, \rho_m)}(E^m)}, \quad (15)$$

where  
 $\bar{E}^n + \setminus \infty = \{x \in \bar{E}^n +; x_i < \infty, i = m + 1, \dots, n\}$ .

Inequality (12) was obtained earlier by L. D. Kudryavtsev for  $r_i = 1$  ( $i = 1, \dots, n$ ),  $m = n - 1$ , but under a more general assumption, i.e. there the  $p$ -summability of the function itself is not required. Inequality (14) was also proved earlier by L. D. Kudryavtsev for  $r_i = 1$  ( $i = 1, \dots, n$ ),  $m = n - 1$ . A result close to inequality (9) was obtained simultaneously and independently of the author, by another method, by T. I. Amanov.

The author expresses sincere gratitude to his adviser Prof. L. D. Kudryavtsev for posing the problem and for valuable advice.

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Received  
 25 V 1964

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