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# A. L. CHISTYAKOV

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**Abstract**

**Full Text**

**A. L. CHISTYAKOV**

**ON THE SCATTERING OPERATOR IN THE SPACE OF SECOND QUANTIZATION**

*(Presented by Academician I. G. Petrovskii on 7 IV 1964)*

In this paper the existence of the elastic part of the scattering operator is proved for energy operators of quantum-mechanical systems with a variable number of particles.

1. Systems with a variable number of particles are described by means of the apparatus of second quantization. Denote, as usual, by  $a^*(\xi)$  and  $a(\xi)$  the creation and annihilation operators,\* where  $\xi$  is a parameter ranging over three-dimensional Euclidean space  $E_3$ . The operators  $a^*(\xi)$  and  $a(\xi)$  satisfy either the **Bose** commutation relations:

$$[a(x), a^*(y)] = \delta(x - y), \quad [a(x), a(y)] = [a^*(x), a^*(y)] = 0, \quad (1)$$

or the **Fermi** relations:

$$\{a(x), a^*(y)\} = \delta(x - y), \quad \{a(x), a(y)\} = \{a^*(x), a^*(y)\} = 0, \quad (2)$$

where  $\delta(x - y)$  is the Dirac  $\delta$ -function. As the space of states one considers a Hilbert space  $\mathfrak{H}$ , called the space of second quantization. It consists of vectors of the form

$$\Phi = \sum_{n=0}^{\infty} \frac{1}{\sqrt{n!}} \int \varphi_n(x_1, \dots, x_n) a^*(x_1) \dots a^*(x_n) d^n x \Phi_0 \quad \left( \sum_{n=0}^{\infty} \int |\varphi_n|^2 d^n x < \infty \right),$$

where  $\Phi_0$  is the vacuum vector, i.e. a solution of the equation  $a(\xi)\Phi = 0$ . The functions  $\varphi_n$  are symmetric in the coordinates  $x_1, x_2, \dots, x_n$  in the Bose case and antisymmetric in the Fermi case. The scalar product in  $\mathfrak{H}$  is given by the formula

$$(\Phi, \Psi) = \sum_{n=0}^{\infty} \int \varphi_n(x_1, \dots, x_n) \overline{\psi_n(x_1, \dots, x_n)} d^n x.$$

2. As energy operators of systems with a variable number of particles we shall consider operators defined in the space  $\mathfrak{H}$  of the form\*\*

$$H = H_0 + V$$

with natural domains of definition  $D(H)$ , where

$$H_0 = - \int a^*(\xi) \Delta_\xi a(\xi) d\xi,$$

$$V = \sum_{1 \leq k \leq m \leq M} (V_{mk} + V_{mk}^*), \quad V_{mk} = \int a^*(\xi_1) \cdots a^*(\xi_m) \times$$

$$\times v_{mk}(\xi_1, \dots, \xi_m \mid \eta_1, \dots, \eta_k) a(\eta_1) \cdots a(\eta_k) d^m \xi d^k \eta. \quad (3)$$

The operators  $H_0$  and  $V$  express, respectively, the kinetic energy of the system and the interaction energy. We emphasize that the operator  $V$  does not contain interactions of the form  $V_{m0} + V_{m0}^*$ . We shall assume the operators  $H$  to be self-adjoint.\*\*\*

The following three theorems express sufficient conditions for the existence of the elastic part of the scattering operator.

\* Strictly speaking,  $a^*(\xi)$  and  $a(\xi)$  are not operators but operator-valued generalized functions.

\*\* The rules of action of the operator on a vector in the space  $\mathfrak{H}$  are determined by relations (1) for a system of bosons and by relations (2) for a system of fermions.

\*\*\* In any case, the operator  $H$  is either symmetric or conjugate to a symmetric one. In the latter case, by  $H$  one means one of its self-adjoint restrictions.

**Theorem 1.** Suppose that the interaction operator  $V$  is such that, for all functions  $v_{mk}$  entering into its expression, the conditions

$$\int |v_{mk}(\xi_1, \dots, \xi_m \mid \eta_1, \dots, \eta_k)|^2 \prod_{i=1}^m (1 + |\xi_i|^{(2+\gamma)/m}) \times$$

$$\times \prod_{j=1}^k (1 + |\eta_j|^{(2+\gamma)/k}) d^m \xi d^k \eta \leq A < \infty \quad (4)$$

are satisfied for some positive constants  $\gamma$  and  $A$ . Then the one-parameter family of operators

$$U_0(t) = \exp(iHt) \exp(-iH_0t)$$

has strong limits as  $t \rightarrow \pm\infty$ .

These limits

$$U_0^\pm = \lim_{t \rightarrow \pm\infty} U_0(t)$$

are called the wave operators of the elastic channel. The elastic part of the scattering operator is expressed through them by the formula

$$S_0 = (U_0^+)^* U_0^-.$$

Among interaction operators that do not satisfy the conditions of Theorem 1, of special interest are operators commuting with the total-momentum operator. They are expressed by formula (3) under the condition that the kernels of the operators  $V_{mk}$  can be represented in the form

$$\begin{aligned} v_{mk}(\xi_1, \dots, \xi_m \mid \eta_1, \dots, \eta_k) = \\ = \int w_{mk}(\xi_1 - u, \dots, \xi_m - u \mid \eta_1 - u, \dots, \eta_k - u) du, \end{aligned} \quad (5)$$

where  $w_{mk}$  are arbitrary functions defined in the spaces  $E_{3(m+k)}$ . From (5) it follows that  $v_{mk}$  is a function of  $m + k - 1$  three-dimensional vectors:

$$v_{mk} = F_{mk}(\xi_2 - \xi_1, \dots, \xi_m - \xi_1 \mid \eta_1 - \xi_1, \dots, \eta_k - \xi_1). \quad (6)$$

In particular,  $v_{mk}$  may also be a generalized function:

$$\begin{aligned} v_{mk} = F_{mk}^{(s)}(\xi_2 - \xi_1, \dots, \xi_m - \xi_1 \mid \eta_{s+1} - \xi_1, \dots, \eta_k - \xi_1) \delta(\xi_1 - \eta_1) \dots \\ \dots \delta(\xi_s - \eta_s) + \dots, \end{aligned} \quad (7)$$

where the dots denote symmetrization with respect to  $\xi_1, \dots, \xi_m$  and  $\eta_1, \dots, \eta_k$  separately. For interaction operators commuting with the total-momentum operator, the following analogues\* of Theorem 1 are valid:

**Theorem 2.** Suppose

$$H = H_0 + \sum_{2 \leq k \leq m \leq M} (V_{mk} + V_{mk}^*),$$

where the kernels of the operators  $V_{mk}$  are given by formula (7) and satisfy the conditions:

$$F_{mk}^{(s)}(\mu_1, \dots, \mu_{m-1} \mid \nu_1, \dots, \nu_{k-s}) \in L_2(E_{3(m+k-s-1)}),$$

if  $s \geq 2$ , or

$$\int |F_{mk}^{(1)}(\mu_1, \dots, \mu_{m-1} | \nu_1, \dots, \nu_{k-1})|^2 \prod_{i=1}^{m-1} (1 + |\mu_i|^{(2+\gamma)/(m-1)}) \times \\ \times \prod_{j=1}^{k-1} (1 + |\nu_j|^{(2+\gamma)/(k-1)}) d^{m-1}\mu d^{k-1}\nu \leq A < \infty$$

for some  $\gamma > 0$ , if  $s = 1$ . Then the wave operators  $U_0^\pm$  exist.

**Theorem 3.** Suppose

$$H = H_0 + \sum_{2 \leq k \leq m \leq M} (V_{mk} + V_{mk}^*),$$

where the kernels of the operators  $V_{mk}$  are given by formula (6) and satisfy the conditions

$$\int |F_{mk}(\mu_1, \dots, \mu_{m-1} | \nu_1, \dots, \nu_k)|^2 \prod_{i=1}^{m-1} (1 + |\mu_i|^{3+\gamma}) \prod_{j=1}^k (1 + |\nu_j|^{3+\gamma}) \times \\ \times d^{m-1}\mu d^k\nu \leq A < \infty$$

for some  $\gamma > 0$ . Then the wave operators  $U_0^\pm$  exist.

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\* We emphasize that from the interaction operator (3) in Theorems 2 and 3 the term  $V_{m1} + V_{m1}^*$  is excluded.

All three theorems are proved analogously. We shall dwell on the first.

**3. Proof of Theorem 1. General remarks.** The existence of the wave operators, by analogy with ordinary quantum mechanics <sup>(1)</sup>, is established on the basis of the finiteness of the lengths of the curves described in the Hilbert space  $\mathfrak{h}$  by the vectors  $U_0(t)\Phi$  as the argument  $t$  varies from 0 to  $\pm\infty$ . It is sufficient here that the set of vectors  $\Phi$  not coincide with  $\mathfrak{h}$ , but form some everywhere dense set  $\mathfrak{M}$  contained in  $D(H)$ . Thus the process of proof reduces to the choice of the sets  $\mathfrak{M}$  in the Bose and Fermi cases and to establishing the integrability of the functions  $\|V \exp(-iH_0 t)\Phi\|$  of the variable  $t$  for all  $\Phi \in \mathfrak{M}$ .

**The Bose case.** Consider vectors of the form

$$\Phi_{n,\lambda} = \frac{1}{\sqrt{n!}} \int \varphi(x_1, \lambda) \cdots \varphi(x_n, \lambda) a^*(x_1) \cdots a^*(x_n) d^n x \Phi_0,$$

where

$$\varphi(x, \lambda) = (2\pi)^{-3/4} \exp\{-\frac{1}{4}|x - \lambda|^2\}. \quad (8)$$

As the set  $\mathfrak{M}$  one may take the linear span of the vectors  $\Phi_{n,\lambda}$ , where  $n = 0, 1, \dots$ , and the parameter  $\lambda$  runs through the space  $E_3$ .

The formulas below contain the function  $\psi(x, \lambda, t)$ , the solution of the Cauchy problem for the equation  $i\frac{\partial\psi}{\partial t} = -\Delta_x\psi$  with initial condition (8). This solution is expressed by the formula

$$\psi(x, \lambda, t) = (2\pi)^{-3/4}(1 + it)^{-3/2} \exp\{-\frac{1}{4}|x - \lambda|^2(1 + it)^{-1}\} \quad (9)$$

and admits the estimate

$$|\psi(x, \lambda, t)| \leq B|1 + it|^{-(1+\delta)/k}|x - \lambda|^{-3/2+(1+\delta)/k},$$

where  $B$  is some positive constant, and  $\delta \in (0, \frac{1}{2})$ . On the basis of this estimate we obtain

$$\begin{aligned} \|V_{mk} \exp(-iH_0t)\Phi_{n,\lambda}\|^2 &\leq k! \int v_{mk}(\xi_1, \dots, \xi_m | \eta_1, \dots, \eta_k) \\ &\quad \times \bar{v}_{mk}(\xi_1, \dots, \xi_m | q_1, \dots, q_k) \prod_{1 \leq j \leq k} \psi(\eta_j, \lambda, t) \bar{\psi}(q_j, \lambda, t) \\ &\quad \times \prod_{1 \leq s \leq n-k} |\psi(x_s, \lambda, t)|^2 d^m \xi d^k \eta d^{kq} d^{n-k} x \\ &\leq \frac{k! B^k}{|1 + it|^{2+2\delta}} \int v_{mk}(\xi_1, \dots, \xi_m | \eta_1, \dots, \eta_k) \bar{v}_{mk}(\xi_1, \dots, \xi_m | q_1, \dots, q_k) \\ &\quad \times \prod_{1 \leq j \leq k} (|\eta_j - \lambda| |q_j - \lambda|)^{-3/2+(1+\delta)/k} d^m \xi d^k \eta d^{kq}. \end{aligned}$$

To the last integral we apply the Cauchy–Bunyakovsky inequality, after first multiplying and dividing the integrand by

$$\prod_{1 \leq j \leq k} (1 + |\eta_j|^{(2+\gamma)/k})^{1/2} (1 + |q_j|^{(2+\gamma)/k})^{1/2}.$$

We now choose  $\delta_0$  from the interval  $(0, \min(1/2, \gamma/2))$ . Then

$$\int_{E_3} |\eta - \lambda|^{-3+(2+2\delta_0)/k} (1 + |\eta|^{(2+\gamma)/k})^{-1} d\eta \leq c(\gamma, \delta_0) < \infty,$$

and conditions (4) lead to the inequality

$$\|V_{mk} \exp(-iH_0 t) \Phi_{n,\lambda}\|^2 \leq |1 + it|^{-2-2\delta_0} k! B^k A [C(\gamma, \delta_0)]^k. \quad (10)$$

The integrability in  $t$  of the function  $\|V \exp(-iH_0 t) \Phi_{n,\lambda}\|$  is a consequence of inequality (10) and the inequality

$$\|V\Psi\|^2 \leq \sum_{1 \leq k \leq m \leq M} (\|V_{mk}\Psi\|^2 + \|V_{mk}^*\Psi\|^2).$$

The passage from  $\Phi_{k,\lambda}$  to an arbitrary vector  $\Phi \in \mathfrak{M}$  presents no difficulty. Theorem 1 for the Bose case is proved.

**Fermi case.** Consider vectors of the form

$$\Phi_n(\lambda_1, \dots, \lambda_n) = \frac{1}{\sqrt{n!}} \int \varphi_n(x_1, \dots, x_n; \lambda_1, \dots, \lambda_n) a^*(x_1) \dots a^*(x_n) d^n x \Phi_0, \quad (11)$$

where  $\varphi_n(x_1, \dots, x_n; \lambda_1, \dots, \lambda_n)$  is the determinant of the matrix whose elements  $\varphi_{ik}$  are the functions  $\varphi(x_i, \lambda_k)$ , defined by equality (8). The set  $\mathfrak{M}$  is defined as the linear span of the vectors (11), where  $n = 0, 1, \dots$ , and  $\lambda_1, \lambda_2, \dots, \lambda_n$  is a system of independent parameters—vectors of the space  $E_3$ . It is dense in  $\mathfrak{H}$  and is contained in  $D(H)$ .

Application of the operator  $V_{mk} \exp(-iH_0 t)$  to the vector (11) gives

$$\begin{aligned} V_{mk} \exp(-iH_0 t) \Phi_n(\lambda_1, \dots, \lambda_n) &= \\ &= c_{mk}(n) \int a^*(\xi_1) \dots a^*(\xi_m) v_{mk}(\xi_1, \dots, \xi_m | \eta_1, \dots, \eta_k) \\ &\quad \times \psi_n(\eta_1, \dots, \eta_k; x_{k+1}, \dots, x_n; \lambda_1, \dots, \lambda_n, t) a^*(x_{k+1}) \dots a^*(x_n) d^m \xi d^{n-k} x d^k \eta, \end{aligned} \quad (12)$$

where

$$c_{mk}(n) = \begin{cases} \frac{1}{\sqrt{n!}} n(n-1) \dots (n-k+1), & \text{for } n \geq k, \\ 0, & \text{for } n < k, \end{cases}$$

and the function  $\psi_n$  is the determinant of the functions  $\psi(x_i, \lambda_k, t)$ , defined by equality (9). The norm of the vector corresponding to an individual term of the determinant  $\psi_n$  in expression (12) is estimated as in the Bose case. The number of such terms in (12) is finite. Thus, in the Fermi case as well, the integrability of the functions  $\|V \exp(-iH_0 t) \Phi\|$  is established for all  $\Phi \in \mathfrak{M}$ . This completes the proof of Theorem 1.

4. The obtained operators  $U_0^\pm$  are isometric and, consequently, can be represented in the normal form (2). Moreover, they are transformation operators, i.e.  $U_0^\pm H_0 = H U_0^\pm$ .

In conclusion I express my deep gratitude to F. A. Berezin, under whose guidance the present work was carried out.

Institute of Organoelement Compounds  
Academy of Sciences of the USSR

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*Note: Figure translations are in progress. See original paper for figures.*

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