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# MATHEMATICS

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**Abstract**

**Full Text**

*MATHEMATICS*

V. I. GAVRILOV

**THE SET OF LIMIT VALUES OF PSEUDOANALYTIC FUNCTIONS IN THE UNIT DISK\***

*(Presented by Academician I. G. Petrovskii on 28 VI 1962)*

Let  $w = f(z)$  be a pseudoanalytic function defined in the disk  $D : |z| < 1$  (a many-sheeted quasiconformal mapping of the disk  $D$ ). Let  $A$  be some arc of the circle  $\Gamma : |z| = 1$ , and let  $E$  be a closed set on  $A$ . To each point  $e^{i\theta} \in A \setminus E = \mathcal{C}E$  we assign a certain (arbitrary) Jordan curve  $\Lambda_\theta$ , lying in  $D$  and ending at  $e^{i\theta}$ , and denote by  $C_{\Lambda_\theta}(f, e^{i\theta})$  the set of limit values of the function  $f(z)$  at the point  $e^{i\theta}$  along the curve  $\Lambda_\theta$ . As usual,  $C_D(f, e^{i\theta})$  is the set of all limit values of the function  $f(z)$  at the point  $e^{i\theta}$ ;  $R_D(f, e^{i\theta})$  is the aggregate of values assumed by the function  $f(z)$  infinitely often in every neighborhood of the point  $e^{i\theta}$ . At an arbitrary point  $z_0 = e^{i\theta_0} \in E$  we define the set  $C_{\Gamma \setminus E}(f, z_0)$  as follows:

$$C_{\Gamma \setminus E}(f, z_0) = \bigcap_{\eta > 0} M_\eta,$$

where  $M_\eta$  is the closure of the union

$$\bigcup_{\theta} C_{\Lambda_\theta}(f, e^{i\theta})$$

over all points  $e^{i\theta} \in \mathcal{C}E \cap \{|e^{i\theta} - z_0| < \eta\}$ .

Consider the set  $\Omega = C_D(f, z_0) \setminus C_{\Gamma \setminus E}(f, z_0)$ .

The aim of the present note is to prove the following theorem.

**Theorem.** a) The set  $\Omega$  is open;

- b) if the set  $\Omega$  is nonempty, and  $\Omega_n$  is any connected component of it, then  $\Omega_n \setminus R_D(f, z_0)$  consists of at most two points;
- c) if for some  $n_0$  the set  $\Omega_{n_0} \setminus R_D(f, z_0) = \{w_0, w_1\}$ ,  $w_0 \neq w_1$ , then the set  $R_D(f, z_0)$  coincides with the extended  $w$ -plane from which the points  $w_0, w_1$  have been removed;
- d) every value  $\alpha \in \Omega \setminus R_D(f, z_0)$  is an asymptotic value of the function  $f(z)$  either at the point  $z_0$ , or at each point of some sequence of points  $\{z_n\}$ ,  $z_n \in \Gamma$ , converging to  $z_0$ .

Assertion c) of the theorem refines the theorem of Størwick <sup>(1)</sup> and is a generalization, to the case of pseudoanalytic functions, of a result of Wolff <sup>(2)</sup>, established for meromorphic functions when the curves  $\Lambda_\theta$  are radii of the disk  $D$ . Assertion d) of the theorem was proved by Størwick <sup>(1)</sup> in the case when the  $\Lambda_\theta$  are radii of the disk  $D$ . The method of our proof differs from the methods of <sup>(1,2)</sup> and is close to the methods of Noshiro <sup>(3)</sup>, who established an analogous theorem for meromorphic functions under a weaker restriction on the set  $E$ : Noshiro assumed  $\text{mes } E = 0$ . However, the proofs in <sup>(3)</sup> do not go through in the case of pseudoanalytic functions. Therefore a stronger restriction had to be imposed on the set  $E$  ( $\text{cap } E = 0$ ), and in addition to the methods of <sup>(3)</sup> several modernized methods from other works of Noshiro <sup>(4,5)</sup> had to be used.

We establish the validity of assertion a) of the theorem. Let  $w_0 \in \Omega$ ; choose  $\eta > 0$  so that the point  $w_0$  lies outside  $M_\eta$  at a distance  $\rho$ . In the neighborhood  $U(z_0, \eta) : |z - z_0| < \eta$ , consider two points  $e^{i\theta_1}, e^{i\theta_2} \in \mathcal{C}E$  ( $\theta_1 < \theta_0 < \theta_2$ ), and join by a straight-line segment  $s$  the final parts of the curves  $\Lambda_{\theta_1}, \Lambda_{\theta_2}$  that have fallen into  $U(z_0, \eta)$ . Denote the resulting curve by  $l$ , and the domain bounded by the curve  $l$  and the arc

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\* The result of the note was reported at the VI All-Union Conference on the Theory of Functions of a Complex Variable in Moscow in May-June 1962.

$e^{i\theta}$ ,  $\theta_1 \leq \theta \leq \theta_2$ ), through  $D_1$ . We may assume that the image  $l$  under  $w = f(z)$  is at a positive distance  $\rho$  ( $\rho \leq \rho'$ ) from  $M_\eta$ . Since  $w_0 \in C_D(f, z_0)$ , there exists a sequence of points  $z_\mu \in D_1$ ,  $z_\mu \rightarrow z_0$ ,  $f(z_\mu) \rightarrow w_0$ ,  $\mu \rightarrow \infty$ . We shall regard the sequence  $\{z_\mu\}$  as fixed throughout the proof. The preimage of the disk  $|w - w_0| < \rho$  in the domain  $D_1$  consists of at most a countable number of connected components; denote by  $\Delta_\mu$  the component of the preimage containing  $z_\mu$ .

First consider the case when the number of distinct components  $\Delta_\mu$  is infinite. We may assume that  $\Delta_\mu \neq \Delta_\nu$ ,  $\mu \neq \nu$ . Then the sequence  $\{\Delta_\mu\}$  converges to the point  $z$ . Otherwise one could choose points  $z'_1 = e^{i\theta'_1}$ ,  $z'_2 = e^{i\theta'_2}$ ,  $z'_1, z'_2 \in \mathcal{C}E$  ( $\theta_1 < \theta'_1 < \theta_0 < \theta'_2 < \theta_2$ ) and construct for them, by the method indicated above, a curve  $l'$  such that the intersection  $l' \cap \Delta_{\mu_n}$ ,  $n = 1, 2, \dots$ , would be nonempty. Let  $\zeta_n$  be a point of intersection of the curve  $l'$  and the boundary of  $\Delta_{\mu_n}$ , and let  $\zeta_0$  be a limit point of the sequence  $\{\zeta_n\}$ ; then  $\zeta_0 \in \mathcal{C}E$  or  $\zeta_0 \in D$ . The case  $\zeta_0 \in \mathcal{C}E$  is impossible, because then the set  $M_\eta$  would intersect  $l^*$ :  $|w - w_0| = \rho$  ( $f(\zeta_n) \in \{|w - w_0| = \rho\}$ ). The case  $\zeta_0 \in D$  is also impossible, since then an arbitrarily small neighborhood of the point  $\zeta_0$  would intersect infinitely many level lines  $|f(z) - w_0| = \rho$ .

If  $\Delta_\mu$  is compact in  $D$ , then  $w = f(z)$  assumes in  $\Delta_\mu$  every value from the disk  $|w - w_0| \leq \rho$ . Let  $\Delta_\mu$  be noncompact in  $D$ . We shall show that the domain  $\Delta_\mu$  is locally connected on the boundary; otherwise there exists a sequence  $\{\Gamma_n\}$  of boundary contours of the domain  $\Delta_\mu$ , converging to some arc  $C$  on  $\Gamma$  in

the neighborhood  $U(z_0, \eta)$ , and, consequently, the sets  $C_{\Delta_\theta}(f, e^{i\theta})$  at the points  $e^{i\theta} \in \mathcal{C}E \cap C$  would intersect the curve  $l^*$ , i.e.  $M_\eta \cap l^*$  would be nonempty, which contradicts the choice of  $M_\eta$ . We shall show that the part of the boundary of the domain  $\Delta_\mu$  lying on  $\Gamma$ —the set  $E_\mu$ —has capacity zero. For this, write  $E_\mu = (E_\mu \cap E) \cup (E_\mu \cap \mathcal{C}E)$ . By the preceding, through every point  $e^{i\theta} \in E_\mu \cap \mathcal{C}E$  one can draw a continuous curve  $L_\theta$ , lying entirely inside  $\Delta_\mu$ . Therefore the sets  $C_{L_\theta}(f, e^{i\theta})$  and  $C_{\Delta_\theta}(f, e^{i\theta})$  do not intersect. According to Beurling's theorem (6), there are at most countably many such points  $e^{i\theta}$ . Consequently,  $E_\mu$  has capacity zero.

Now we have the right to apply the following lemma (4).

**Lemma (4).** *Let  $w = f(z)$  be a pseudoanalytic function in a bounded domain  $D$ , and let  $E$  be a closed set of capacity zero on the boundary  $\Gamma$ . If  $\overline{\lim}_{z \rightarrow \zeta} |f(z)| \leq M$  at every point  $\zeta \in \Gamma \setminus E$ , and  $f(z)$  is bounded in a neighborhood of every point of the set  $E$ , then  $|f(z)| \leq M$  at all points of the domain  $D$ .*

According to this lemma, in our case the set of values  $\mathfrak{D}_\mu = f(\Delta_\mu)$  is everywhere dense in  $|w - w_0| < \rho$  and, consequently, its closure  $\overline{\mathfrak{D}}_\mu$  coincides with  $|w - w_0| \leq \rho$ . Since  $\{\Delta_\mu\}$  converges to  $z_0$ ,  $C_D(f, z_0)$  contains the closed disk  $|w - w_0| \leq \rho$ .

Now consider two monotonically decreasing sequences of positive numbers  $\{\eta_n\}$ ,  $\{\rho_n\}$  and the corresponding sequence of curves  $\{l_n\}$ ; for fixed  $n$  the number  $\rho_n$  and the curve  $l_n$  from the neighborhood  $|z - z_0| < \eta_n$  are chosen by the method indicated above. Denote by  $\Delta_\mu^{(n)}$  the connected component containing  $z_\mu$  of the preimage of the disk  $|w - w_0| < \rho_n$ . Suppose that there is at least one index  $n$  for which the sequence  $\{\Delta_\mu^{(n)}\}$  ( $\mu \geq N(n)$ ) consists of infinitely many members. Then, by the preceding,  $C_D(f, z_0)$  contains the closed disk  $|w - w_0| \leq \rho_n$ .

It remains to consider the case when, for every  $n$ ,  $\{\Delta_\mu^{(n)}\}$  consists of a finite number of distinct domains. Denote by  $\Delta^{(1)}$  some

a domain  $\Delta_\mu^{(1)}$  containing an infinite subsequence  $\{z_\mu^{(1)}\}$  of the sequence  $\{z_\mu\}$ ; by  $\Delta^{(2)}$ , some domain  $\Delta_\mu^{(2)}$  containing an infinite subsequence  $\{z_\mu^{(2)}\}$  of the sequence  $\{z_\mu^{(1)}\}$ , etc. We obtain a new sequence of domains  $\{\Delta^{(n)}\}$ ,  $\Delta^{(1)} \supset \Delta^{(2)} \supset \dots \supset \Delta^{(n)} \supset \dots$ ; all  $\Delta^{(n)}$  have on their boundary a common point  $z_0$ . Since the set of values of the function  $w = f(z)$  in  $\Delta^{(n)}$  lies inside  $|w - w_0| < \rho_n$ , and the diameters of the domains  $\Delta^{(n)}$  tend to zero as  $n \rightarrow \infty$ , in  $D_1$  there exists a curve  $\Lambda$ , ending at  $z_0$ , along which  $w = f(z)$  tends to  $w_0$ .\*

Denote by  $\Delta$  the connected component of the preimage of the disk  $|w - w_0| < \rho$  that contains the last part of the curve  $\Lambda$ . Just as above, one can show that the boundary of  $\Delta$  consists of a closed set  $E_0$  of capacity zero on  $\Gamma$  and at most a countable number of curves in  $D$ . We are now in the conditions of applicability of the methods used by Noshiro in [7] to prove part (ii) of Theorem 3. By means of these methods it is established that  $C_D(f, z_0) \setminus R_\Delta(f, z_0)$  has capacity zero.

To prove assertion c) of the theorem, in the preceding arguments one must

replace the point  $w_0$  by the point  $\alpha$ .

We shall prove assertion b) of the theorem. We shall give two proofs of this part: one for meromorphic functions, the other for pseudoanalytic functions.

Let the component  $\Omega_{n_0}$  contain three distinct values  $w_0, w_1, w_2 \in \mathcal{C}R_D(f, z_0)$ . Through the point  $w_2$  draw a closed analytic curve  $\mathcal{L}$  so that its interior  $G$  consists entirely of points of the domain  $\Omega_{n_0}$ , and  $w_0, w_1 \in G$ . The domain  $G$  plays the same role as the disk  $|w - w_0| < \rho$  in the preceding proof. As above, we construct the domain  $D_1$  and denote by  $\Delta$  the component of the preimage of the domain  $G$  containing an asymptotic curve  $\Lambda$ , which ends at some point  $z'_0 = e^{i\theta_0}$ ,  $\theta_1 < \theta'_0 < \theta_2$  (possibly  $z'_0 = z_0$ ), and along which  $f(z) \rightarrow w_0$  (the existence of the curve  $\Lambda$  is guaranteed by part c) of the theorem). One may assume that the image of the curve  $\Lambda$  under  $w = f(z)$  lies entirely inside  $G$ . The component  $\Delta$  is simply connected. Indeed, the boundary of  $\Delta$  cannot contain any closed curve in  $D_1$ , since otherwise the image of such a curve would lie on the curve  $\mathcal{L}$ , passing through the exceptional value  $w_2$  of the function  $w = f(z)$ . The boundary of the domain  $\Delta$  consists of a finite number of segments  $q_i$  on  $s$ , at most a countable number of analytic arcs  $\{\Gamma_n\}$ ,  $\Gamma_n \in D_1$ , and a closed set  $E_0$  on  $\Gamma$ . As above, one can show that  $E_0$  has capacity zero.

Up to this point all the arguments have been valid both for meromorphic functions and for pseudoanalytic functions. Now suppose that  $w = f(z)$  is a meromorphic function in  $D$ .

By means of the function  $z = z(\zeta)$ , map the domain  $\Delta$  conformally onto the unit disk  $|\zeta| < 1$ . The image of the curve  $\Lambda$  under  $z = z(\zeta)$  will be some curve ending at the point  $\zeta_0$ ,  $|\zeta_0| = 1$ . On  $|\zeta| = 1$  consider a sufficiently small arc  $A_\zeta \ni \zeta_0$  having no common points with the images, under  $z = z(\zeta)$ , of the segments  $q_i$ . On the arc  $A_\zeta$  consider the set  $E_\zeta$ , at each point  $\zeta = e^{i\varphi}$  of which both functions  $z = z(\zeta)$  and  $w = w(\zeta) \equiv f(z(\zeta))$  have definite angular boundary values  $z(e^{i\varphi})$ ,  $w(e^{i\varphi})$ , and  $w(e^{i\varphi}) \in G$ . Suppose that there exists a point  $e^{i\varphi} \in E_\zeta$  at which  $z(e^{i\varphi}) = e^{i\theta} \notin E$ . According to Beurling's theorem, such points  $e^{i\varphi}$  are countable—

\* Relying on the results from (4) and on the fact that, by definition, the set  $\mathcal{C}R_D(f, z_0)$  contains three distinct points  $w_0, w_1, w_2$ , one can show that the point  $z'_0 \in E$ .

set. Consequently, the set  $E_\zeta$  has capacity zero, and at all points  $e^{i\varphi} \in A_\zeta \setminus E_\zeta$  the angular boundary values  $w(e^{i\varphi})$  of the function  $w(\zeta)$  lie on  $\mathcal{L}$ . If by  $W = \Phi(w)$  we denote a conformal mapping of the domain  $G$  onto the disk  $|W| < 1$ , then the function

$$W = W(\zeta) = \Phi(f(z(\zeta)))$$

will be a function of class  $(U)$  in Seidel's sense. Indeed, the function  $W(\zeta)$  is regular and bounded,  $|W(\zeta)| < 1$ ,  $|\zeta| < 1$ , and  $|W(e^{i\varphi})| = 1$  for  $e^{i\varphi} \in A_\zeta \setminus E_\zeta$ , where  $W(e^{i\varphi})$  is the radial boundary value of the function  $W(\zeta)$  at the point  $e^{i\varphi}$ . Further, the function  $W = W(\zeta)$  has at the point  $\zeta_0 = e^{i\varphi_0}$  the radial boundary

value  $W(e^{i\varphi_0}) = \Phi(w_0)$ , lying in  $|W| < 1$ . According to Løvatør's theorem<sup>(8)</sup>, the function  $W(\zeta)$  assumes every value from  $|W| < 1$  infinitely often in any neighborhood of the point  $\zeta_0$ , with the exception, perhaps, of one. On the other hand, the function  $W(\zeta)$  has two exceptional values (corresponding to the values  $w_0, w_1$ ). We have arrived at a contradiction. The proof of part b) cannot be transferred to the case when  $w = f(z)$  is a pseudoanalytic function. In this case the arguments of<sup>(4)</sup> apply, based on the properties of the Evans-Zelberg function associated with a set  $E_0$  of capacity zero (the set  $E_0$  is the part of the boundary of the component  $\Delta$  lying on  $\Gamma$ ), and the proof ends in the same way as in<sup>(4)</sup>.

By analogous methods one establishes the validity of assertion c) of the theorem.

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*Note: Figure translations are in progress. See original paper for figures.*

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