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TRAN VAN HAO (TRAN-VAN-HAO)

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**Abstract**

**Full Text**

*MATHEMATICS*

**TRAN VAN HAO (TRAN-VAN-HAO)**

## ON THE MINIMAL RADICAL CLASS OVER THE CLASS OF ABELIAN GROUPS

*(Presented by Academician P. S. Novikov, 12 XI 1962)*

§ 1. The concept of a radical class of groups was introduced in the work <sup>(1)</sup>. Let the class of groups  $M$  be closed with respect to homomorphic images. The minimal radical class  $R_0(M)$  generated by the class  $M$  can be defined as follows <sup>(3)</sup>. We shall call the groups in  $M$  groups of the 1st stage. If, for all ordinal numbers  $\alpha$  smaller than a given  $\beta$ , groups of the  $\alpha$ -th stage have already been defined, then a group  $G$  will be a group of the  $\beta$ -th stage over  $M$  if it has an ascending invariant series all of whose factors are groups of some  $\alpha$ -th stages,  $\alpha < \beta$ . The radical class  $R_0(M)$  consists of those and only those groups that have some stage over  $M$ . If  $M$  is the class of abelian groups, then the groups of the 2nd stage are the  $RI^*$ -groups <sup>(4)</sup>. In the work <sup>(1)</sup> A. G. Kurosh posed the question of the radicality of the class of  $RI^*$ -groups. On the other hand, in <sup>(2)</sup> it was shown that in this case an  $R_0(M)$ -group is precisely a subsolvable group of R. Baer <sup>(5)</sup>. Consequently, the question indicated above is the question from R. Baer's work <sup>(5)</sup> on the coincidence of the classes of subsolvable groups and  $RI^*$ -groups.

The purpose of the present work is to construct an example that gives a negative answer to this question. In constructing the example we rely on the example of I. D. Ado <sup>(6)</sup> and on the related results of D. McLain <sup>(7)</sup>.

§ 2. **Example.** Let  $P = \{\alpha, \beta, \dots\}$  be the set of all rational numbers, and let  $K$  be an arbitrary field. Let  $E$  be the vector space over the field  $K$  with basis  $e_{\alpha, \beta}$  for all  $\alpha < \beta$ ,  $\alpha, \beta \in P$ . We introduce multiplication on  $E$ :

$$e_{\alpha, \beta} e_{\gamma, \delta} = \begin{cases} e_{\alpha, \delta}, & \text{if } \beta = \gamma, \\ 0 & \text{in all other cases.} \end{cases} \quad (1)$$

Then  $E$  will be an associative algebra. Adjoin externally to  $E$  the unit 1 of the field  $K$  and consider the set  $G$  of all elements of the form  $1 + u$ ,  $u \in E$ , i.e. elements of the form

$$g = 1 + \sum_{i=1}^n a_{\alpha_i, \beta_i} e_{\alpha_i, \beta_i}, \quad \alpha_i < \beta_i, \quad \alpha_i, \beta_i \in P, \quad a_{\alpha_i, \beta_i} \in K.$$

**Lemma 1** (see (7)). *Every element  $g \in G$  is a product of a finite number of elements of the form  $1 + ae_{\alpha,\beta}$ , where  $\alpha < \beta$ ,  $\alpha, \beta \in P$ ,  $a \in K$ .*

It is hence easy to see that  $G$  will be a group under multiplication, since multiplication is associative in view of the associativity of multiplication on  $E$ , and for every element  $g \in G$  there exists an inverse element in view of Lemma 1 and in view of the fact that

$$(1 + ae_{\alpha,\beta})^{-1} = 1 - ae_{\alpha,\beta}, \quad \alpha < \beta, \quad \alpha, \beta \in P, \quad a \in K.$$

This group was first considered by I. D. Ado in the work (6).

**Lemma 2** (see (7)). *If  $N$  is a nonidentity normal divisor of the group  $G$ , then for some  $\alpha, \beta$  from  $P$ ,  $\alpha < \beta$ , for all  $a \in K$ ,  $N$  contains the elements  $1 + ae_{\alpha,\beta}$ .*

**Lemma 3.** For any rational number  $\xi$ , the subgroup  $N_\xi$ , generated by all generators of the form  $1 + ae_{\alpha,\beta}$ , where  $a \in K$ ,  $\alpha < \xi < \beta$ , is an abelian normal divisor of the group  $G$ .

Indeed, if  $1 + ae_{\alpha_1,\beta_1}$ ,  $1 + be_{\alpha_2,\beta_2} \in N_\xi$ , then  $\alpha_1 < \xi < \beta_1$ ,  $\alpha_2 < \xi < \beta_2$ . Therefore, in view of condition (1), we obtain

$$[1 + ae_{\alpha_1,\beta_1}, 1 + be_{\alpha_2,\beta_2}] = 1.$$

Consequently,  $N_\xi$  is abelian. On the other hand, if  $1 + ae_{\alpha,\beta}$ ,  $1 + be_{\gamma,\lambda}$  are two arbitrary generators of the group  $G$ , then

$$[1 + ae_{\alpha,\beta}, 1 + be_{\gamma,\lambda}] = \begin{cases} 1 + abe_{\alpha,\lambda}, & \text{if } \beta = \gamma, \\ 1 - abe_{\gamma,\beta}, & \text{if } \alpha = \lambda, \\ 1, & \text{if } \beta \neq \gamma, \alpha \neq \lambda. \end{cases}$$

Therefore, if  $1 + ae_{\alpha,\beta}$  is an arbitrary generator of the group  $N_\xi$ , and  $1 + be_{\gamma,\lambda}$  is an arbitrary generator of the group  $G$ , then in all three indicated cases one has

$$[1 + ae_{\alpha,\beta}, 1 + be_{\gamma,\lambda}] \in N_\xi.$$

Consequently,  $N_\xi$  is invariant in the group  $G$ . Lemma 3 is proved.

Since for an arbitrary generating element  $1 + ae_{\alpha,\beta}$  of the group  $G$  there is a rational number  $\xi$  such that  $\alpha < \xi < \beta$ , it follows from Lemma 3 that  $1 + ae_{\alpha,\beta}$  belongs to the abelian invariant subgroup  $N_\xi$  of the group  $G$ . Hence it follows that  $G$  is generated by its abelian invariant subgroups and, consequently,  $G$  is an  $RI^*$ -group.

Consider a certain subgroup of the group of all automorphisms of the group  $G$ . For an arbitrary rational number  $\mu$ , consider the automorphism  $\psi_\mu$  of the group  $G$ , defined as follows:

$$(1 + ae_{\alpha,\beta})\psi_\mu = 1 + ae_{\alpha+\mu,\beta+\mu}$$

for every generator  $1 + ae_{\alpha,\beta}$  of the group  $G$ .

For any positive rational number  $\delta$ , consider the automorphism  $\varphi_\delta$  of the group  $G$ , defined as follows:

$$(1 + ae_{\alpha,\beta})\varphi_\delta = 1 + ae_{\alpha\delta,\beta\delta}.$$

Let  $\Gamma$  be the subgroup of the group of all automorphisms of the group  $G$ , generated by all  $\psi_\mu$  and  $\varphi_\delta$  for arbitrary rational  $\mu$  and arbitrary rational  $\delta > 0$ . In the group  $\Gamma$ , the set of all  $\psi_\mu$  forms a subgroup  $\Psi$ , isomorphic to the additive group of rational numbers, and the set of all  $\varphi_\delta$  forms a subgroup  $\Phi$ , isomorphic to the multiplicative group of positive rational numbers. Let us note that the intersection of the subgroups  $\Psi$  and  $\Phi$  consists only of the identity automorphism  $\psi_0 = \varphi_1$ .

Between the elements of the subgroups  $\Psi$  and  $\Phi$  there is the relation

$$\varphi_\delta^{-1}\psi_\mu\varphi_\delta = \psi_{\delta\mu}.$$

It follows that  $\Psi$  is invariant in the group  $\Gamma$ , and the group  $\Gamma$  is a split extension of the group  $\Psi$  by means of the group  $\Phi$ . Consequently,  $\Gamma$  is a soluble group.

Denote by  $H$  the split extension of the  $RI^*$ -group  $G$  by means of its soluble group of automorphisms  $\Gamma$ . In the group  $H$  there therefore exist elements  $v_\mu$ ,  $u_\delta$  ( $\delta > 0$ ), inducing respectively in  $G$  the automorphisms  $\psi_\mu$ ,  $\varphi_\delta$ ; these elements generate in  $H$  a subgroup isomorphic to  $\Gamma$ .

**Lemma 4.** The group  $G$  contains no nonunit proper subgroups invariant in the whole group  $H$ .

First we show that for two arbitrary generators  $1 + ae_{\alpha,\beta}$  and  $1 + ae_{\gamma,\lambda}$ ,  $\alpha < \beta$ ,  $\gamma < \lambda$ ,  $a \in K$ , of the group  $G$ , there exists an element of the group  $H$  whose transformation of the element  $1 + ae_{\alpha,\beta}$  gives  $1 + ae_{\gamma,\lambda}$ . Indeed, let  $1 + ae_{\alpha,\beta} \in G$ . Then for the element  $u_\delta v_\mu \in H$ , for arbitrary  $\delta > 0$  and  $\mu$ , we have:

$$(u_\delta v_\mu)^{-1}(1 + ae_{\alpha,\beta})(u_\delta v_\mu) = 1 + ae_{\alpha\delta+\mu,\beta\delta+\mu}.$$

Consequently, for  $\delta = \frac{\lambda - \gamma}{\beta - \alpha} > 0$ ,  $\mu = \frac{\beta\gamma - \alpha\lambda}{\beta - \alpha}$ , we obtain the required assertion.

Now let  $N \subset G$ ,  $N$  be a nonidentity normal divisor of the group  $H$ . Then there exists an element  $1 + ae_{\gamma,\lambda}$ ,  $\gamma < \lambda$ , not belonging to  $N$ . In view of Lemma 2,  $N$  contains at least one element of the form  $1 + ae_{\alpha,\beta}$ ,  $\alpha < \beta$ . Then it follows from what was said above that there exist elements  $u_\delta, v_\mu$  such that

$$(u_\delta v_\mu)^{-1}(1 + ae_{\alpha,\beta})(u_\delta v_\mu) = 1 + ae_{\gamma,\lambda}.$$

But this is impossible, since  $N$  is invariant in  $H$ ,  $1 + ae_{\alpha,\beta} \in N$ ,  $1 + ae_{\gamma,\lambda} \notin N$ .

**Theorem.** The group  $H$  is not an  $RI^*$ -group.

Indeed, suppose  $H$  possesses an ascending invariant solvable series:

$$E = H_0 \subset H_1 \subset \dots \subset H_\alpha \subset H_{\alpha+1} \subset \dots \subset H_\gamma = H,$$

i.e. all  $H_\alpha$  are invariant in  $H$ , and  $H_{\alpha+1}/H_\alpha$  are abelian. Then  $G$  possesses the following ascending invariant solvable series with repetitions, all members of which are invariant in the whole group  $H$ :

$$E \subseteq H_1 \cap G \subseteq \dots \subseteq H_\alpha \cap G \subseteq H_{\alpha+1} \cap G \subseteq \dots \subseteq H_\gamma \cap G = G.$$

In view of Lemma 4, each member  $H_\alpha \cap G$  of this series either coincides with the identity subgroup or coincides with  $G$ , but then, by the theorem on subgroups of a group with a normal system (see (4), p. 358),  $G$  must be commutative.

§ 3. **Corollary 1.** The class of  $RI^*$ -groups is not closed under extensions (answer to a question of Ya. B. Livchak (8)).

Since a radical class is closed under extensions, it follows from this that the class of  $RI^*$ -groups is not radical (a negative answer to a question of A. G. Kurosh (1)).

The group  $H$  possesses a finite invariant series with  $RI^*$ -factors; therefore it is a group of the 3rd degree over the class of abelian groups (see § 1). Consequently,  $H$  is a subsolvable group of P. Baer (5). Hence we obtain:

**Corollary 2.** There exists a subsolvable group that is not an  $RI^*$ -group (answer to a question of Baer (5)).

In the paper (9), K. K. Shchukin studied the  $RI^*$ -solvable radical  $R$  in groups. This radical has the following property: the radical  $R$  of a group  $H$  is an  $RI^*$ -subgroup possessing an ascending solvable series all of whose members are invariant in the group  $H$ . All normal divisors of the group  $H$  with this property are contained in  $R$  (9). For this radical the following question remained open ((9), p. 1029): will the radical  $R$  contain every invariant  $RI^*$ -subgroup of the group  $H$ , i.e., in other words, is it a radical in the sense of the paper (1)?

For the example  $H$  (§ 2) it is evident that the radical  $R$  of the group  $H$  cannot contain the invariant  $RI^*$ -subgroup  $G$  of this group (see the proof of the theorem), i.e. we have

**Corollary 3.** The  $RI^*$ -radical of K. K. Shchukin (9) is not a radical in the ordinary sense.

In paper <sup>(2)</sup>, for an abstract class of groups  $\Sigma$ , the following condition was introduced:

( $\beta$ ) If a group  $A \neq E$ , generated by its invariant  $\Sigma$ -subgroups, is a normal divisor of a group  $B$ , then  $A$  contains a nontrivial  $\Sigma$ -subgroup invariant in the whole group  $B$ .

There the question was also posed whether abelian groups satisfy condition ( $\beta$ ).

In our example the group  $G$ , generated by its abelian invariant subgroups (Lemma 3), is a normal divisor of the group  $H$ , but  $G$  contains no abelian subgroups invariant in the whole group  $H$ . Hence we obtain

**Corollary 4.** *Condition ( $\beta$ ) is not fulfilled for the class of abelian groups.*

In conclusion I express my sincere gratitude to Prof. A. G. Kurosh for valuable advice and comments on this work.

Moscow State University  
named after M. V. Lomonosov

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