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Abstract

Full Text

MATHEMATICS

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**ON UNITARY REPRESENTATIONS OF THE
LORENTZ GROUP IN THE SPACE Π_k**

(Presented by Academician L. S. Pontryagin, 4 V 1963)

1. Let Π be a space with an indefinite metric and finite rank of indefiniteness k (see ⁽¹⁾), let G be a locally compact group, and let $g \rightarrow U_g$ be a unitary representation of the group G in the space Π_k ; here unitarity is understood in the sense of the indefinite scalar product (ξ, η) in Π , so that $(U_g\xi, U_g\eta) = (\xi, \eta)$ for all $\xi, \eta \in \Pi_k$, $g \in G$. We shall say that the representation $g \rightarrow U_g$ of the group G **discretely contains** the representation $g \rightarrow V_g$, if in the space Π_k there exists a closed subspace \mathfrak{M} , invariant with respect to all operators U_g , and on which the restriction of the representation $g \rightarrow U_g$ is equivalent to the representation $g \rightarrow V_g$.

It is not difficult to show that (in contrast to ordinary unitary representations) every unitary representation in the space Π_k discretely contains an irreducible representation* (coinciding with the original representation if it itself is irreducible).

A representation $g \rightarrow W_g$ in a space R is called nonunitary if in R there is no nondegenerate scalar product (ξ, η) (definite or indefinite), invariant with respect to all operators of the representation. A unitary representation may discretely contain irreducible nonunitary representations. It turns out, however, that in the case of a complex semisimple Lie group the direct sum of all such representations is normally split off; thus the study of general unitary representations of a complex semisimple Lie group is reduced to the study of its unitary representations that do not discretely contain nonunitary representations.

For simplicity of exposition, we shall set out here (see item 4) a brief proof of this result for the case $G = \mathfrak{A}$, where \mathfrak{A} is the complex unimodular group of second order (locally isomorphic to the Lorentz group). We first give a number of auxiliary propositions, some of which are of independent interest.

2. Let G be an arbitrary locally compact group and let $g \rightarrow U_g$ be a unitary representation of the group G in the space Π_k .

I.** If \mathfrak{M} is a closed subspace in Π_k , invariant with respect to $g \rightarrow U_g$, and on which the restriction $g \rightarrow V_g$ of the representation $g \rightarrow U_g$ is irreducible and nonunitary, then \mathfrak{M} is a null subspace in Π_k ; consequently ^{***}, $\dim \mathfrak{M} \leq k$, and

the representation $g \rightarrow V_g$ is finite-dimensional.

We shall denote by $g \rightarrow \widehat{V}_g$ the representation conjugate to $g \rightarrow V_g$, so that $\widehat{V}_g = (V_g^{-1})'$, where A' denotes the operator adjoint to A .

II. Let the representation $g \rightarrow V_g$ in a finite-dimensional space R be the direct sum of two irreducible nonunitary representations $g \rightarrow V_g^{(1)}$ and $g \rightarrow V_g^{(2)}$ in the spaces R_1 and R_2 , so that $R = R_1 \dot{+} R_2$ and $V_g(\xi_1 + \xi_2) = V_g^{(1)}\xi_1 + V_g^{(2)}\xi_2$ for $\xi_1 \in R_1$, $\xi_2 \in R_2$. If in R one can introduce a nondegenerate scalar product in which the representation $g \rightarrow V_g$ is unitary, then the representations $g \rightarrow V_g^{(1)}$ and $g \rightarrow \widehat{V}_g^{(2)}$ are equivalent.

* This result was also obtained independently by R. S. Ismagilov.

** Propositions I-V partially overlap with results of Shlider ⁽²⁾.

*** See ⁽¹⁾, Lemma 1.2.

III. An irreducible finite-dimensional representation $g \rightarrow V_g$ is unitary if and only if it is equivalent to the conjugate representation $g \rightarrow \widehat{V}_g$.

From II and III we conclude:

IV. The direct sum of two irreducible nonunitary finite-dimensional representations equivalent to one another is a nonunitary representation.

We shall say that the representation $g \rightarrow V_g$ is contained p -fold in the representation $g \rightarrow U_g$ if: 1) in the space Π_k there exist closed subspaces $\mathfrak{M}_1, \dots, \mathfrak{M}_p$ such that

$$U_g \xi_j = U_{1j}(g)\xi_j + U_{2j}(g)\xi_j + \dots + U_{lj}(g)\xi_l \quad \text{for } \xi_j \in \mathfrak{M}_j, \quad j = 1, \dots, p,$$

where $U_{lj}(g)$, $l \leq j$, is an operator from \mathfrak{M}_j to \mathfrak{M}_l , and $g \rightarrow U_{jj}(g)$ is a representation of the group G in the space \mathfrak{M}_j , equivalent to the representation $g \rightarrow V_g$; 2) p is the maximal number satisfying condition 1). The subspace $\mathfrak{M} = \mathfrak{M}_1 + \dots + \mathfrak{M}_p$ will then be called the carrier of the representation $g \rightarrow V_g$ in the representation $g \rightarrow U_g$.

V. Every nonunitary irreducible representation $g \rightarrow V_g$ can be contained in a unitary representation $g \rightarrow U_g$ only with finite multiplicity, and the carrier \mathfrak{M} of the representation $g \rightarrow V_g$ in the representation $g \rightarrow U_g$ is a null subspace; consequently, $\dim \mathfrak{M} \leq k$.

VI. If in a unitary representation $g \rightarrow U_g$ two nonunitary irreducible representations $g \rightarrow V_g^{(1)}$ and $g \rightarrow V_g^{(2)}$ are contained with multiplicity, and if the representations $g \rightarrow V_g^{(1)}$ and $g \rightarrow \widehat{V}_g^{(2)}$ are not equivalent, then the carriers of the representations $g \rightarrow V_g^{(1)}$ and $g \rightarrow V_g^{(2)}$ are mutually orthogonal.

Combining these propositions, we arrive at the following result:

Theorem 1. *A unitary representation in the space Π_k can discretely contain only a finite number of irreducible nonunitary representations and only with finite multiplicities, and the direct sum of the carriers of all these representations is a subspace of dimension $\leq 2k$.*

3. **Theorem 2.** *Let \mathcal{H} be a family of permutable bounded Hermitian operators in the space Π_k , and let ξ_0 be a nonzero nonnegative vector from Π_k satisfying the conditions:*

- 1) $H\xi_0 = \lambda(H)\xi_0$ for all $H \in \mathcal{H}$, where $\lambda(H)$ is a numerical function on \mathcal{H} ;
- 2) there exist $H \in \mathcal{H}$ such that $\lambda(H)$ is not real.

Then in Π_k there exist two skew-conjugate null subspaces $\mathfrak{N}, \mathfrak{N}'$, and in them bases $\xi_0, \xi_1, \dots, \xi_r$; $\xi'_0, \xi'_1, \dots, \xi'_r$, possessing the following properties

$$(\xi_\nu, \xi'_l) = \begin{cases} 0 & \text{for } \nu \neq l, \\ 1 & \text{for } \nu = l, \end{cases} \quad \nu, l = 0, 1, \dots, r; \quad (1)$$

$$H\xi_0 = \lambda(H)\xi_0, \quad H\xi_\nu = \lambda_{\nu 0}(H)\xi_0 + \dots + \lambda_{\nu, \nu-1}(H)\xi_{\nu-1} + \lambda(H)\xi_\nu, \\ \nu = 1, \dots, r; \quad (2)$$

$$H\xi'_r = \overline{\lambda(H)}\xi'_r, \quad H\xi'_\nu = \overline{\lambda(H)}\xi'_\nu + \overline{\lambda_{\nu+1, \nu}(H)}\xi'_{\nu+1} + \dots + \overline{\lambda_{r, \nu}(H)}\xi'_r, \\ \nu = 0, 1, \dots, r-1, \quad (3)$$

for all $H \in \mathcal{H}$, where $\lambda_{\nu\rho}(H)$ are numerical functions on \mathcal{H} .

Remark 1. Let \mathcal{H} be a family of permutable bounded Hermitian operators in Π_k , and suppose that one of the operators $H_0 \in \mathcal{H}$ has a nonreal eigenvalue λ_0 . Then there exist a nonnegative vector $\xi_0 \neq 0$ and a numerical function $\lambda(H)$ on \mathcal{H} such that $H\xi_0 = \lambda(H)\xi_0$ for all $H \in \mathcal{H}$, $\lambda(H_0) = \lambda_0$.

Remark 2. Theorem 2 and Remark 1 carry over, with the appropriate changes, to families of permutable unitary operators in Π_k .

4. We can now prove the following main theorem:

Theorem 3. *Let $a \rightarrow U_a$ be a unitary representation of the group \mathfrak{A} in the space Π_k , and suppose that this representation discretely contains a nonunitary irreducible representation $a \rightarrow V_a$, acting in the invariant subspace $\mathfrak{M}_0 \subset \Pi_k$.*

Then:

- 1) The representation $a \rightarrow U_a$ also discretely contains the conjugate representation $a \rightarrow \hat{V}_a$, acting in the invariant subspace $\mathfrak{M}'_0 \subset \Pi_k$, skew-linked with \mathfrak{M}_0 .
- 2) There exists a finite-dimensional subspace $\Pi_{k'} \subset \Pi_k$, $k' \leq k$, invariant with respect to the representation $a \rightarrow U_a$ and possessing the following properties: a) the restriction of the representation $a \rightarrow U_a$ to $\Pi_k \ominus \Pi_{k'}$ contains no discrete nonunitary irreducible representations; b) the restriction of the representation $a \rightarrow U_a$ to $\Pi_{k'}$ is an orthogonal sum of a finite number (possibly one) of representations, each of which is a direct sum of two mutually conjugate nonunitary representations acting in two skew-linked null subspaces.

Proof. Consider the representations $x \rightarrow U_x$, $x \rightarrow V_x$ of the group ring $*X$ of the group \mathfrak{A} , corresponding to the representations $a \rightarrow U_a$, $a \rightarrow V_a$. Let the indices j, q be chosen so that $\mathfrak{M}_j^q = E_{jj}^q \mathfrak{M}_0 \neq (0)$; then \mathfrak{M}_j^q is one-dimensional. Let $\xi_0 \in \mathfrak{M}_j^q$, $\xi_0 \neq 0$; then

$$U_x \xi_0 = V_x \xi_0 = \lambda(x) \xi_0 \quad \text{for } x \in X_j^q, \quad (4)$$

where $\lambda(x)$ is a multiplicative linear functional on X_j^q . Since X_j^q is a commutative ring with involution, the operators U_x , $x = x^* \in X_j^q$, form a family \mathcal{H} of commuting bounded Hermitian operators; moreover, because of the nonunitarity of the representation $a \rightarrow V_a$, the function $\lambda(x)$ takes non-real values for some $x = x^* \in X_j^q$. Consequently, Theorem 2 is applicable to this family \mathcal{H} . Let ξ_0, \dots, ξ_r and ξ'_0, \dots, ξ'_r be basis vectors satisfying conditions (1)–(3); put $\mathfrak{M}_\nu = \{U_x \xi_\nu, x \in X\}$, $\mathfrak{M}'_\nu = \{U_x \xi'_\nu, x \in X\}$, $\nu = 0, 1, \dots, r$. It is easy to show that $E_{jj}^q \xi_\nu = \xi_\nu$, $E_{jj}^q \xi'_\nu = \xi'_\nu$. Hence, for $x, y \in X$,

$$\begin{aligned} (U_x \xi_\nu, U_y \xi_\nu) &= (U_{y^* x} \xi_\nu, \xi_\nu) = (U_{y^* x} E_{jj}^q \xi_\nu, E_{jj}^q \xi_\nu) = \\ &= (U_{x'} \xi_\nu, \xi_\nu) = \lambda(x') (\xi_\nu, \xi_\nu) = 0, \end{aligned}$$

where $x' = e_{jj}^q y^* x e_{jj}^q \in X_j^q$ (recall that $(\xi_\nu, \xi_\nu) = 0$); consequently, \mathfrak{M}_ν is a null subspace and, analogously, \mathfrak{M}'_ν is a null subspace. Therefore $\dim \mathfrak{M}_\nu \leq k$, $\dim \mathfrak{M}'_\nu \leq k$. On the other hand, every irreducible finite-dimensional representation of the group \mathfrak{A} is completely reducible. Using this fact, the vectors ξ_0, \dots, ξ_r and ξ'_0, \dots, ξ'_r may be chosen so that: α) $U_x \xi_\nu = \lambda(x) \xi_\nu$, $U_x \xi'_\nu = \bar{\lambda}(x) \xi'_\nu$ for $\nu = 0, 1, \dots, r$; β) the restriction of the representation $a \rightarrow U_a$ to \mathfrak{M}_ν and to \mathfrak{M}'_ν is irreducible. By α), on \mathfrak{M}_ν it is equivalent to the representation $a \rightarrow V_a$, and on \mathfrak{M}'_ν to the representation $a \rightarrow \hat{V}_a$. Let us consider, in particular, \mathfrak{M}_0 and \mathfrak{M}'_0 ; they are skew-linked. Indeed, the set \mathfrak{N}_0 of all ξ in \mathfrak{M}_0 orthogonal to \mathfrak{M}'_0 forms a subspace in \mathfrak{M}_0 invariant with respect to all V_a , different from \mathfrak{M}_0 , since $(\xi_0, \xi'_0) = 1$; consequently, by irreducibility of the representation $a \rightarrow V_a$, $\mathfrak{N}_0 = (0)$. Similarly $\mathfrak{N}'_0 = (0)$, where \mathfrak{N}'_0 is the set of all ξ' in \mathfrak{M}'_0 orthogonal to

* Here and below we use the notation and terminology of [3], chiefly § 15 in [3]; the operator E_{ii}^a corresponds to the representation $a \rightarrow U_a$.

to \mathfrak{M}_0 , and this means that \mathfrak{M}_0 and \mathfrak{M}'_0 are skew-associated. Consequently, for \mathfrak{M}_0 and \mathfrak{M}'_0 assertion 1) of Theorem 3 holds.

Let us now prove assertion 2). $\mathfrak{M}_0 \dot{+} \mathfrak{M}'_0$ is a finite-dimensional space Π_{k_1} , $k_1 \leq k$, invariant with respect to all U_a , so that*

$$\Pi_k = (\mathfrak{M}_0 \dot{+} \mathfrak{M}'_0) \oplus \Pi_{k-k_1},$$

where

$$\Pi_{k-k_1} = (\mathfrak{M}_0 \dot{+} \mathfrak{M}'_0)^\perp$$

is also invariant with respect to all U_a . If the representation $a \rightarrow U_a$ does not contain discrete nonunitary representations in Π_{k-k_1} , then for $\Pi_{k'} = \Pi_{k_1}$ assertion 2) of the theorem holds. Otherwise, applying the preceding argument to the restriction of the representation $a \rightarrow U_a$ to Π_{k-k_1} , we obtain that

$$\Pi_{k-k_1} = (\mathfrak{M}_0^{(1)} \dot{+} \mathfrak{M}_0^{(1)'}) \oplus \Pi_{k-k_1-k_2},$$

where $\mathfrak{M}_0^{(1)}$, $\mathfrak{M}_0^{(1)'}$ are skew-associated, and on them the restrictions of the representation $a \rightarrow U_a$ are irreducible, nonunitary, and mutually conjugate. Repeating this argument and taking into account that $k_1 + k_2 + \dots \leq k$, after a finite number of steps we obtain a subspace of the form

$$\Pi_{k'} = (\mathfrak{M}_0 \dot{+} \mathfrak{M}'_0) \oplus \dots \oplus (\mathfrak{M}_0^{(\nu)} \dot{+} \mathfrak{M}_0^{(\nu)'}),$$

possessing properties a) and b).

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¹ I. S. Iokhvidov, M. G. Krein, *Tr. Mosk. matem. obshch.*, **5**, 367 (1956); **8**, 413 (1959). ² S. Schlieder, *Zs. Naturforsch.*, **A 15a**, 448 (1960). ³ M. A. Naimark, *Linear Representations of the Lorentz Group*, Moscow, 1958.

* Π_0 denotes the ordinary Hilbert space.

Note: Figure translations are in progress. See original paper for figures.

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