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Abstract

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MATHEMATICS

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ON THE SIMULTANEOUS APPROXIMATION OF ALMOST-PERIODIC FUNCTIONS AND THEIR DERIVATIVES

(Presented by Academician V. I. Smirnov on 16 II 1962)

1. Let $W^{(r)}$ be the class of all functions bounded on $(-\infty, \infty)$ that have a derivative of order r bounded on the entire real axis; let $P^{(r)}$ and $P_{2\pi}^{(r)}$ be, respectively, the classes of all periodic and all 2π -periodic functions from $W^{(r)}$. We shall say that a uniformly almost-periodic function $f(x)$ belongs to the class A_s if $N_f(x, x+1) = O(1)$, where $N_f(x, x+1)$ is the number of Fourier exponents of the function $f(x)$ on the interval $(x, x+1)$. (The structural characteristic of the class A_s is given in ⁽⁵⁾.) Denote by $W_s^{(r)}$ the intersection of the classes $W^{(r)}$ and A_s . Obviously, $W_s^{(r)} \supset P_{2\pi}^{(r)}$. Set:

$$C_{\sigma, r}(f) = \inf_{g_\sigma(x)} \max_{0 \leq k \leq r} \frac{\sup_x |f^{(k)}(x) - g_\sigma^{(k)}(x)|}{E_\sigma(f^{(k)})},$$

where $g_\sigma(x)$ is an entire function of degree $\leq \sigma$ and

$$E_\sigma(f) = \inf_{g_\sigma(x)} \sup_x |f(x) - g_\sigma(x)|;$$

$$C_{n, r}^*(f) = \inf_{T_n(x)} \max_{0 \leq k \leq r} \frac{\sup_x |f^{(k)}(x) - T_n^{(k)}(x)|}{E_n^*(f^{(k)})},$$

where

$$T_n(x) = \sum_{\nu=0}^n a_\nu \cos \nu x + b_\nu \sin \nu x$$

and

$$E_n^*(f) = \inf_{T_n(x)} \sup_x |f(x) - T_n(x)|.$$

Let

$$C_{\sigma,r}(W^{(r)}) = \sup_{f \in W^{(r)}} C_{\sigma,r}(f);$$

analogously the quantities $C_{\sigma,r}(W_s^{(r)})$, $C_{\sigma,r}(P^{(r)})$, $C_{n,r}^*(P_{2\pi}^{(r)})$ are defined.

A. F. Timan ⁽¹⁾ showed that, as $r \rightarrow \infty$, uniformly with respect to all $\sigma > 0$, the following asymptotic equality holds:

$$C_{\sigma,r}(W^{(r)}) = \frac{4}{\pi^2} \ln(r+1) + O(\ln \ln \ln r). \quad (1)$$

A. L. Garkavi ⁽²⁾ obtained the asymptotic formula

$$C_{n,r}^*(P_{2\pi}^{(r)}) = \frac{4}{\pi^2} \ln(p+1) + O(\ln \ln \ln p), \quad (2)$$

where $p = \min\{n, r\}$.

It follows from the theorems given below that the result of A. L. Garkavi can be extended to the class $W_s^{(r)}$ of almost-periodic functions, while estimate (1) for the quantity $C_{\sigma,r}$ for the class of all uniformly almost-periodic functions belonging to $W^{(r)}$ cannot be improved, since it cannot be improved even for the class $P^{(r)}$ contained in it.

2. We formulate the main results of the note.

Theorem 1. Whatever the positive real $\sigma > 0$ and the natural number r , as $p \rightarrow \infty$ the asymptotic equality

$$C_{\sigma,r}(W_s^{(r)}) = \frac{4}{\pi^2} \ln(p+1) + O(\ln \ln \ln p), \quad \text{where } p = \min\{\sigma, r\}. \quad (3)$$

holds.

Theorem 2. As $r \rightarrow \infty$, uniformly with respect to all $\sigma > 0$, the asymptotic equality

$$C_{\sigma,r}(P^{(r)}) = \frac{4}{\pi^2} \ln(r+1) + O(\ln \ln \ln r). \quad (4)$$

holds.

3. Let us recall some known facts and give three lemmas on which the proof of Theorem 1 is based.

The integral operator of N. I. Akhiezer–B. M. Levitan ⁽³⁾

$$f_{\sigma,q}(x) = \int_{-\infty}^{\infty} f(x+u) \Psi_{\sigma,\sigma(1+1/q)}(u) du, \quad (5)$$

where

$$\Psi_{\sigma,\sigma(1+1/q)}(u) = \frac{q}{\pi\sigma} \frac{\cos \sigma u - \cos \sigma(1+1/q)u}{u^2}$$

($q > 0$), assigns to every continuous and bounded function $f(x)$ on $(-\infty, \infty)$ an entire function $f_{\sigma,q}(x)$ of degree $\leq \sigma(1+1/q)$, and moreover $f_{\sigma,q}(x) = f(x)$ if $f(x)$ is a bounded entire function on $(-\infty, \infty)$ of degree $\leq \sigma$.

Let $L(q)$ be the norm of the operator (5) in the space of all bounded functions on the real axis. A. F. Timan ⁽⁴⁾ established that, uniformly with respect to all $q > 0$, the asymptotic equality

$$L(q) = \frac{4}{\pi^2} \ln(q+1) + O(1) \quad (6)$$

holds.

Let the interval $I_{\sigma,q} = (\sigma, \sigma(1+1/q))$ contain n ($n \geq 0$) points c_i ($c_i < c_{i+1}$, $i = 1, 2, \dots, n$); let $\varepsilon > 0$ be chosen so that the intervals $(c_i - \varepsilon, c_i + \varepsilon)$ do not intersect and belong to the interval $I_{\sigma,q}$. Consider the function, continuous and linear on the intervals $(c_i - \varepsilon, c_i)$, $(c_i, c_i + \varepsilon)$ ($i = 1, 2, \dots, n$),

$$\begin{aligned} \varphi_{\sigma,q}(\lambda) &= \varphi_{\sigma,q}(\lambda, c_1, c_2, \dots, c_n, \varepsilon) = \\ &= \begin{cases} 1, & |\lambda| \leq \sigma, \\ q+1 - \frac{q}{\sigma}|\lambda|, & \sigma < |\lambda| < \sigma\left(1 + \frac{1}{q}\right), \quad |\lambda| \notin (c_i - \varepsilon, c_i + \varepsilon), \\ 0, & |\lambda| = c_i, \\ 0, & |\lambda| \geq \sigma\left(1 + \frac{1}{q}\right). \end{cases} \end{aligned}$$

Set

$$\begin{aligned} \tilde{\Psi}_{\sigma,\sigma(1+1/q)}(u) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \varphi_{\sigma,q}(\lambda) e^{-iu\lambda} d\lambda, \\ \tilde{f}_{\sigma,q}(x) &= \int_{-\infty}^{\infty} f(x+u) \tilde{\Psi}_{\sigma,\sigma(1+1/q)}(u) du; \end{aligned} \quad (5')$$

it is easy to see that $\tilde{\Psi}_{\sigma, \sigma(1+1/q)}(u) = \Psi_{\sigma, \sigma(1+1/q)}(u)$, $\tilde{f}_{\sigma, q}(x) = f_{\sigma, q}(x)$, if the interval $I_{\sigma, q}$ is free of the points c_i .

Let $\tilde{L}(q)$ be the norm of the operator (5'), and $\tilde{\tilde{L}}(q)$ the norm of the operator

$$\int_{-\infty}^{\infty} f(x+u) [\tilde{\Psi}_{\sigma, \sigma(1+1/q)}(u) - \Psi_{\sigma(1-1/q), \sigma}(u)] du$$

in the space of all functions bounded on the real axis.

Lemma 1. If $f(x)$ is continuous and bounded on $(-\infty, \infty)$, then $\tilde{f}_{\sigma, q}(x)$ is an entire function of degree $\leq \sigma(1+1/q)$. If $f(x)$ is an entire function of degree $\leq \sigma$ bounded on $(-\infty, \infty)$, then $\tilde{f}_{\sigma, q}(x) = f(x)$.

Lemma 2. The inequalities hold

$$\tilde{L}(q) \leq \bar{L}(q) + 2n, \quad (7)$$

$$\tilde{\tilde{L}}(q) \leq 2(n+1). \quad (8)$$

Lemma 3. If

$$f(x) \sim \sum_{k=-\infty}^{\infty} A_k e^{i\lambda_k x} \quad (\lambda_0 = 0, \lambda_{-k} = -\lambda_k, \lambda_k < \lambda_{k+1} \text{ for } k \geq 0, \lim_{k \rightarrow \infty} \lambda_k = \infty)$$

is a uniformly almost-periodic function, then

$$\tilde{f}_{\sigma, q}(x) = \sum_{|\lambda_k| < \sigma(1+1/q)} \varphi_{\sigma, q}(\lambda_k) A_k e^{i\lambda_k x}.$$

4. We shall give the proof of Theorem 1. Let I_σ be the common part of the intervals $I_{\sigma, r}$ and $(\sigma, \sigma+1)$; let c_1, c_2, \dots, c_n ($0 \leq n \leq N_f(\sigma, \sigma+1)$) be the Fourier exponents of the function $f(x)$ belonging to the interval I_σ . We shall show that for every function $f(x) \in W_s^{(r)}$

$$\max_{0 \leq k \leq r} \frac{\text{Sup}_x |f^{(k)}(x) - G_\sigma^{(k)}(\tilde{f}_{\sigma, r}, x)|}{E_\sigma(f^{(k)})} \leq \frac{4}{\pi^2} \ln(p+1) + O(1), \quad (9)$$

where $p = \min\{\sigma, r\}$; $G_\sigma(\tilde{f}_{\sigma, r}, x)$ is an entire function of degree $\leq \sigma$, realizing the best approximation to the function $\tilde{f}_{\sigma, r}(x)$.

The equalities

$$\tilde{f}_{\sigma,r}^{(k)}(x) = \int_{-\infty}^{\infty} f^{(k)}(x+u) \tilde{\Psi}_{\sigma,\sigma(1+1/r)}(u) du \quad (k = 0, 1, \dots, r) \quad (10)$$

are valid.

Denote by $g_{\sigma}(f^{(k)}, x)$ an entire function of degree $\leq \sigma$, realizing the best approximation to the function $f^{(k)}(x)$. By Lemma 1,

$$g_{\sigma}(f^{(k)}, x) = \int_{-\infty}^{\infty} g_{\sigma}(f^{(k)}, x+u) \tilde{\Psi}_{\sigma,\sigma(1+1/q)}(u) du \quad (k = 0, 1, \dots, r) \quad (11)$$

for any $q > 0$.

Let $\sigma \leq r$. In consequence of (10) and Lemma 3,

$$\tilde{f}_{\sigma,r}^{(k)}(x) = \int_{-\infty}^{\infty} f^{(k)}(x+u) \tilde{\Psi}_{\sigma,\sigma+1}(u) du = S_{\sigma}^{(k)}(f, x), \quad (12)$$

where

$$S_{\sigma}(f, x) = \sum_{|\lambda_k| \leq \sigma} A_k e^{i\lambda_k x},$$

and therefore

$$G_{\sigma}^{(k)}(\tilde{f}_{\sigma,r}, x) = \tilde{f}_{\sigma,r}^{(k)}(x) \quad (k = 0, 1, \dots, r). \quad (13)$$

Putting $q = \sigma$ in equality (11), we obtain from (11), (12), and (13)

$$\text{Sup} |f^{(k)}(x) - G_{\sigma}^{(k)}(\tilde{f}_{\sigma,r}, x)| \leq \{\tilde{L}(\sigma) + 1\} E_{\sigma}(f^{(k)}) \quad (k = 0, 1, \dots, r).$$

From the last inequality, by virtue of (7), it follows that for $\sigma \leq r$

$$\max_{0 \leq k \leq r} \frac{\text{Sup}_x |f^{(k)}(x) - G_{\sigma}^{(k)}(\tilde{f}_{\sigma,r}, x)|}{E_{\sigma}(f^{(k)})} \leq L(\sigma) + 2N_f(\sigma, \sigma + 1) + 1. \quad (14)$$

Let $\sigma > r$. It is easy to see that

$$\begin{aligned} \int_{-\infty}^{\infty} [f(x+u) - g_{\sigma}(f, x+u)] [\tilde{\Psi}_{\sigma,\sigma(1+1/r)}(u) - \Psi_{\sigma(1-1/r),\sigma}(u)] du = \\ = \tilde{f}_{\sigma,r}(x) - \Phi_{\sigma}(x), \end{aligned}$$

where $\Phi_\sigma(x)$ is some entire function of degree $\leq \sigma$; therefore, from inequality (8) one obtains the estimate

$$E_\sigma(\tilde{f}_{\sigma,r}) \leq 2[1 + N_f(\sigma, \sigma + 1)]E_\sigma(f). \quad (15)$$

For any function $f(x) \in W^{(r)}$ (see (1, 3)),

$$E_\sigma(f) \leq \frac{\pi}{2\sigma^k} E_\sigma(f^{(k)}) \quad (k = 0, 1, \dots, r). \quad (16)$$

From S. N. Bernstein's inequality (3) and inequalities (15) and (16) it follows that

$$\begin{aligned} \sup_x |\tilde{f}_{\sigma,r}^{(k)}(x) - G_\sigma^{(k)}(\tilde{f}_{\sigma,r}, x)| &\leq \\ &\leq \pi \left(1 + \frac{1}{r}\right)^k [1 + N_f(\sigma, \sigma + 1)] E_\sigma(f^{(k)}) \quad (k = 0, 1, \dots, r). \end{aligned}$$

Taking $q = r$ in equality (11), we obtain from (10), (11), and (7)

$$\begin{aligned} \sup_{x'} |\tilde{f}^{(k)}(x) - \tilde{f}_{\sigma,r}^{(k)}(x)| &\leq [L(r) + 2N_f(\sigma, \sigma + 1) + 1] E_\sigma(f^{(k)}) \\ &(k = 0, 1, \dots, r). \end{aligned}$$

From the last two inequalities it follows that, for $\sigma > r$,

$$\max_{0 \leq k \leq r} \frac{\sup_x |f^{(k)}(x) - G_\sigma^{(k)}(\tilde{f}_{\sigma,r}, x)|}{E_\sigma(f^{(k)})} \leq L(r) + (2 + \pi e)N_f(\sigma, \sigma + 1) + \pi e + 1. \quad (17)$$

Estimate (9) is a consequence of inequalities (14), (17), and the asymptotic equality (6). From (9) we obtain the inequality

$$C_{\sigma,r}(W_s^{(r)}) \leq \frac{4}{\pi^2} \ln(p + 1) + O(1), \quad \text{where } p = \min\{\sigma, r\},$$

which, by virtue of (2), leads to the asymptotic equality (3).

5. We do not present here the proof of Theorem 2, based on the effective construction of a function $g_\sigma(x) \in P^{(r)}$ for which

$$C_{\sigma,r}(g_\sigma) \geq \frac{4}{\pi^2} \ln(r + 1) + O(\ln \ln \ln r).$$

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