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Abstract

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MATHEMATICS

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ON DYNAMICAL SYSTEMS WITHOUT UNIQUENESS AS SEMIGROUPS OF MULTI-VALUED MAPPINGS OF A TOPOLOGICAL SPACE

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Recently, in connection with the study of systems of differential equations with multivalued and discontinuous right-hand sides ^(1,2), interest has again arisen in generalized dynamical systems ⁽³⁾. On the other hand, in connection with the creation by Soviet and American mathematicians ^(4,5) of the theory of general dynamical systems (R, G) , where G is an arbitrary topological group, systems of multivalued mappings of a space have been considered ⁽⁶⁾ (also under very general assumptions). In addition, recently the theory of multivalued mappings of a topological space has begun to develop ^(7,8).

In this note a concept is proposed of a dynamical system without uniqueness as a semigroup of multivalued mappings of a space.

1. Let R be a topological space, S a topological semigroup (i.e. a topological space with a binary associative multiplicative operation, continuous in the aggregate of its components) with identity e ; let f be a mapping assigning to each point $p \in R$ and each element $s \in S$ a nonempty bicomact set $f(p, s) \subset R$.

The collection (R, S, f) will be called a semigroup of multivalued mappings (s.m.m.) if the following three conditions are satisfied:

$$A_1. f(p, e) = p \text{ for every point } p \in R.$$

$$A_2. f[f(p, s_1), s_2] = f(p, s_1 s_2) \text{ for any two elements } s_1 \text{ and } s_2 \text{ of } S \text{ and any point } p \in R.$$

$$A_3. \text{ If } f(p, s) = B \text{ (} p \in R, s \in S \text{), then for any neighborhood } U(B) \text{ of the set } B \text{ in } R \text{ there exist neighborhoods } V(p) \text{ of the point } p \text{ in } R \text{ and } W(s) \text{ of the element } s \text{ in } S \text{ such that } f[V(p), W(s)] \subset U(B).$$

If $f(p, s)$ is a point for all $p \in R, s \in S$, then (R, S, f) will be called a semigroup of single-valued mappings (s.s.m.). The set

$$f(p, S) = \bigcup_{s \in S} f(p, s)$$

is called the funnel of the point p . Let $\{U_1, \dots, U_n\}$ be a finite system of open sets in R . Following ⁽⁸⁾, by

$$\langle U_1, \dots, U_n \rangle$$

we shall denote the collection of all closed subsets A of R such that

$$A \subseteq \bigcup_{i=1}^n U_i \quad \text{and} \quad A \cap U_i \neq \Lambda \quad (i = 1, \dots, n).$$

The collection of all $\langle U_1, \dots, U_n \rangle$ for arbitrary open sets U_1, \dots, U_n forms a basis of the so-called finite ⁽⁸⁾ topology in the set of all closed subsets of the space R . We note that if the space R is metric, then the finite topology in the set of compact subsets of the space R is induced by the Hausdorff distance between two sets.

Theorem 1. *If R is a T_1 -separated space, and $S = D^+ (D^-)$, where $D^+ (D^-)$ is the additive semigroup of nonnegative (nonpositive) real numbers with the usual topology, then for any $p \in R$, $s \in S$ and any open sets U_1, \dots, U_n in R satisfying the condition*

$f(p, s) \in \langle U_1, \dots, U_n \rangle$, there is a neighborhood $W(s) \subset S$ such that $f(p, s') \in \langle U_1, \dots, U_n \rangle$ for all $s' \in W(s)$.

Let us note that if $S \neq D^+(D^-)$, then the assertion of Theorem 1 is, generally speaking, false.

If for the point $p \in R$ and arbitrary $s \in S$ the set $f(p, s)$ is connected, then the funnel $f(p, S)$ is connected. If $S = D^+(D^-)$, then, by Theorem 1 and the work ⁽⁷⁾, the funnel of any point $p \in R$ is connected.

2. We indicate the connection between generalized dynamical systems (g.d.s.) ^(3, 9) and p.n.m. (R, D^+, f) , where R is a metric space. A g.d.s. (R, D, f) determines two p.n.m. (R, D^+, f) and (R, D^-, f) . Hence, by Theorem 1, it follows that axiom 5⁰ of the work ⁽⁹⁾ is a consequence of the other axioms of that work.

Theorem 2. For (R, D^+, f) , where R is a compact metric space and $f(R, t) = R$ for all $t \geq 0$, there exists a g.d.s. (R, D, f^*) such that $f^*(p, t) = f(p, t)$ for $t \geq 0$, $p \in R$.

If R is not compact, then the assertion of Theorem 2, generally speaking, loses its force.

Let us also note that if in axiom B of ⁽⁶⁾ the inclusion condition $f(p, g_1 g_2) \subseteq f[f(p, g_1), g_2]$ is replaced by the condition of equality for any elements g_1 and g_2 of the group G , then from this the single-valuedness of the mapping $f(p, g)$ for all $p \in R$, $g \in G$ will follow. Therefore it is natural to consider precisely semigroups of multivalued mappings.

3. Consider the set $\mathcal{C} = \mathcal{C}(R, S, f)$, whose elements are the sets $B = f(p, s)$ ($p \in R$, $s \in S$), and endow \mathcal{C} with the topology of a subspace of the space $\mathfrak{X}R$ ⁽⁷⁾: neighborhoods of a point $B \in \mathcal{C}$ will be the collections U' of all $B' \subseteq U(B)$, where U is an arbitrary neighborhood of the set B in R , $B' \in \mathcal{C}$. We denote the resulting space by \mathcal{C}_1 . A p.n.m. (R, S, f) naturally induces on \mathcal{C}_1 a p.o.m. (\mathcal{C}_1, S, F_1) .

Let us now endow the set \mathcal{C} with the finite ⁽⁸⁾ topology and denote the resulting space by \mathcal{C}_2 . If $f(p, s) = B$ is a continuous mapping of $R \times S$ into \mathcal{C}_2 , then the p.n.m. (R, S, f) induces, analogously to the preceding case, a p.o.m. (\mathcal{C}_2, S, F_2) .

We shall call p.n.m. (R, S, f) and (R', S', f') **isomorphic** if there exist a homeomorphic mapping φ of the space R onto R' and an isomorphic mapping φ^* of the topological semigroup S onto S' such that $\varphi[f(p, s)] = f'[\varphi(p), \varphi^*(s)]$ for all $p \in R$, $s \in S$. The consideration of p.o.m. (\mathcal{C}_1, S, F_1) and (\mathcal{C}_2, S, F_2) corresponding to the p.n.m. (R, S, f) is useful in many cases; however, there exist nonisomorphic p.n.m. (R, S, f) and (R', S', f') such that the p.o.m. (\mathcal{C}_1, S, F_1) and $(\mathcal{C}'_1, S', F'_1)$ are isomorphic, and the p.o.m. (\mathcal{C}_2, S, F_2) and $(\mathcal{C}'_2, S', F'_2)$ are isomorphic.

4. In what follows the topological space R is assumed to be Hausdorff. A set $A \subseteq R$ will be called **semi-invariant (quasi-invariant)** if $f(A, s) \subseteq A$ ($f(A, s) \supseteq A$) for all $s \in S$. If $f(A, s) = A$ ($s \in S$), then the set A is called **invariant**. A set A will be called **pseudo-invariant** if for every point $p \in A$ and every $s \in S$ one has $f(p, s) \cap A \neq \Lambda$. A set M is called **minimal with respect to a certain property P** if it is closed, nonempty, satisfies property P , and there is no proper closed subset $M' \subset M$ satisfying condition P .

Theorem 3. Every semi-invariant (quasi-invariant, invariant, pseudo-invariant) bicomact set contains a minimal semi-invariant (quasi-invariant, invariant, pseudo-invariant) bicomact set.

If the semigroup S is commutative, then a bicomact set is minimal semi-invariant if and only if it is minimal invariant.

Let us note that in a bicomact space the closure of a quasi-invariant set is quasi-invariant; if, however, the space is not bicomact, then this assertion is, generally speaking, false.

In what follows it is assumed that $S = D^+$. Introduce the concept of a motion $\varphi(p, t)$ ($t \geq 0$) analogously to how this was done in ⁽⁹⁾, and denote

$$\varphi(p, D^+) = \bigcup_{t \geq 0} \varphi(p, t).$$

It is easy to prove the existence of a motion $\varphi(p, t)$ passing through an arbitrary point $q \in \varphi(p, D^+)$.

Theorem 4. *In order that a set A be pseudo-invariant, it is necessary and sufficient that for every point $q \in A$ there exist a motion $\varphi(q, t)$ such that $\varphi(q, D^+) \subseteq A$.*

In order that a bicomact set A be a minimal pseudo-invariant set, it is necessary and sufficient that for every point $q \in A$ and every motion $\varphi(q, t)$ such that $\varphi(q, D^+) \subseteq A$, one have

$$\overline{\varphi(q, D^+)} = A.$$

The notions of a bicomact minimal pseudo-invariant set and a bicomact minimal quasi-invariant set coincide. However, there exist pseudo-invariant sets that are neither semi-invariant nor quasi-invariant.

5. Let $p \in R$ and let E be an open or closed subset of R . Introduce the functions ($t \geq 0$):

$$v_1(p, t, E) = \begin{cases} 1, & \text{if } f(p, t) \subseteq E, \\ 0, & \text{if } f(p, t) \cap (R \setminus E) \neq \Lambda; \end{cases}$$

$$v_2(p, t, E) = \begin{cases} 1, & \text{if } f(p, t) \cap E \neq \Lambda, \\ 0, & \text{if } f(p, t) \cap E = \Lambda. \end{cases}$$

We note that $v_2(p, t, E) = 1 - v_1(p, t, R \setminus E)$. From Theorem 1 and ⁽⁸⁾ it follows that the functions under consideration are measurable. Introduce the notation:

$$P_i(p, E) = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T v_i(p, t, E) dt \quad (i = 1, 2);$$

if the indicated limits exist.

A bicomact set $Z_1(p)$ ($Z_2(p)$) will be called the **center of strong (weak) attraction** of the funnel of the point p , if for every neighborhood $U(Z_1)$ ($U(Z_2)$) one has

$$P_1[p, U(Z_1)] = 1 \quad (P_2[p, U(Z_2)] = 1).$$

Theorem 5. If $\overline{f(p, D^+)}$ is bicomact, then there exists a unique minimal center of strong attraction $Z_1(p)$, and the set $Z_1(p)$ is quasi-invariant. Under the same condition there exists at least one minimal center of weak attraction $Z_2(p)$. All $Z_2(p)$ belong to $Z_1(p)$.

Let $\{\varphi_\alpha(p, t)\}$ be the totality of all motions issuing from the point p . Introduce, as usual ⁽¹⁰⁾, the concept of the probability $P[\varphi_\alpha(p, t)]$ that the motion $\varphi_\alpha(p, t)$ is in the set E as $t \rightarrow +\infty$, and the concept of the center of attraction of a motion.

Theorem 6. If $\overline{\varphi_\alpha(p, D^+)}$ is bicomact, then there exists a unique minimal center of attraction $Z[\varphi_\alpha(p, t)]$, and the set $Z[\varphi_\alpha(p, t)]$ is quasi-invariant.

Let us note that $Z[\varphi_\alpha(p, t)]$ is a center of weak attraction of the funnel of the point p , but is not necessarily minimal. There exist $Z_2(p)$ that are not centers of attraction for any motion $\varphi_\alpha(p, t)$. All $Z[\varphi_\alpha(p, t)]$ belong to $Z_1(p)$.

The closure of the union of all $Z[\varphi_\alpha(p, t)]$, generally speaking, is a proper subset of the set $Z_1(p)$.

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