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**Abstract**

**Full Text**

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## TRIANGULAR GROUPS OF AUTOMORPHISMS OF QUASI-OPERATOR GROUPS

(Presented by Academician A. I. Mal'cev, 15 I 1962)

Let  $G$  be a group and  $\Omega$  some ring of principal ideals. Suppose that to each element  $g \in G$  and to each  $\omega \in \Omega$  there corresponds uniquely an element of the group  $G$  (we shall denote it by  $g^\omega$ ), and that the following relations hold:

1.  $g^{\omega+\nu} = g^\omega g^\nu$  for any  $g \in G$  and  $\omega, \nu \in \Omega$ .
2.  $g^{\omega\nu} = (g^\omega)^\nu$  for any  $g \in G$  and  $\omega, \nu \in \Omega$ .
3. For the identity 1 of the ring  $\Omega$ ,  $g^1 = g$  for all  $g \in G$ .
4. For the identity element  $e$  of the group  $G$  and any  $\omega \in \Omega$ ,  $e^\omega = e$ .
5.  $x^{-1}g^\omega x = (x^{-1}gx)^\omega$  for any  $x, g \in G$  and  $\omega \in \Omega$ .

A subgroup  $H \subset G$ , as usual, is called  $\Omega$ -admissible if, for any  $h \in H$  and  $\omega \in \Omega$ ,  $h^\omega \in H$ . The intersection of any set of  $\Omega$ -admissible subgroups is  $\Omega$ -admissible. Therefore it makes sense to speak of the  $\Omega$ -closure of a set of elements  $M \subset G$ . The following relation connects the operation in  $G$  with the action of the elements of  $\Omega$ .

6. For any  $a, b \in G$  and  $\omega \in \Omega$ ,

$$(ab)^\omega = a^\omega b^\omega c,$$

where  $c$  belongs to the  $\Omega$ -closure of the commutant of the subgroup generated by the elements  $a$  and  $b$ :

$$c \in (K\{a, b\})^\Omega.$$

If relations 1–6 hold, then we shall call  $G$  an  $\Omega$ -group, and the elements of  $\Omega$  quasi-operators. Let us note that a similar axiomatics, for other purposes, was considered by N. F. Sesekin <sup>(6)</sup>.

We shall call an  $\Omega$ -group  $G$  a group without  $\Omega$ -torsion if from  $g^\omega = e$  it follows that  $g = e$  for any nonzero element  $\omega \in \Omega$ . A group without  $\Omega$ -torsion will be called an  $\Omega R$ -group if, for any (nonzero)  $\omega \in \Omega$ , from  $a^\omega = b^\omega$  it follows that  $a = b$ . An  $\Omega$ -admissible subgroup  $H$  of an  $\Omega R$ -group  $G$  will be called  $\Omega$ -isolated if from  $g^\omega \in H$  it follows that  $g \in H$ . The intersection of any set of  $\Omega$ -isolated subgroups is  $\Omega$ -isolated. As usual, the  $\Omega$ -isolator  $I(M)$  of a set  $M$  of elements of

an  $\Omega R$ -group  $G$  will mean the intersection of all  $\Omega$ -isolated subgroups containing the set  $M$ .

The concept of an  $\Omega R$ -group is a generalization of the concept of an  $R$ -group introduced by P. G. Kontorovich <sup>(1)</sup>. Ordinary  $R$ -groups are  $\Omega R$ -groups with the ring of integers as  $\Omega$ . A vector space over a field of characteristic zero is a commutative  $\Omega R$ -group. An example of a noncommutative  $\Omega R$ -group is the well-known group of real numbers with multiplication law

$$(x_1, y_1)(x_2, y_2) = (x_1 + x_2e^{-y_1}, y_1 + y_2),$$

if, for any real  $\omega$ , one sets

$$(x, y)^\omega = \left( x \frac{1 - e^{-\omega y}}{1 - e^{-y}}, \omega y \right).$$

Many facts from the theory of  $R$ -groups and, in particular, from the theory of locally nilpotent groups without torsion <sup>(1-4)</sup>, carry over to  $\Omega R$ -groups. We shall give some of them.

In an  $\Omega R$ -group, the centralizer of any set of elements and, consequently, the center of an  $\Omega R$ -group is an  $\Omega$ -isolated subgroup.

A group without  $\Omega$ -torsion is an  $\Omega R$ -group if and only if its factor group by its center is an  $\Omega R$ -group.

The set of elements of the form  $g^\omega$ , for fixed  $g$  and all possible  $\omega \in \Omega$ , is an ( $\Omega$ -admissible) subgroup, which we shall call  $\Omega$ -cyclic. If, in an ( $\Omega$ -admissible) subgroup, every finite set of elements belongs to some  $\Omega$ -cyclic subgroup, then such a subgroup is naturally called  $\Omega$ -locally cyclic.

In an  $\Omega R$ -group the  $\Omega$ -isolator  $I(g)$  of any element  $g$  is an  $\Omega$ -locally cyclic group, and the  $\Omega$ -isolators of distinct elements either do not intersect or coincide.

Every locally nilpotent  $\Omega$ -group without  $\Omega$ -torsion is an  $\Omega R$ -group.

In a nilpotent  $\Omega R$ -group the normalizer of an  $\Omega$ -isolated subgroup is  $\Omega$ -isolated.

If  $G$  is a locally nilpotent  $\Omega R$ -group, then every element  $a$  of the  $\Omega$ -isolator  $I(H)$  of an arbitrary  $\Omega$ -admissible subgroup  $H$  has the property that, for some  $\omega \in \Omega$ ,  $a^\omega \in H$ .

If  $G$  is an  $\Omega R$ -group and  $H$  is its subgroup possessing an ascending central series, then the  $\Omega$ -isolator  $I(H)$  also possesses an ascending central series, and the classes of  $H$  and  $I(H)$  are one and the same.

In an  $\Omega R$ -group the  $\Omega$ -isolator of a locally nilpotent subgroup is locally nilpotent.

The locally nilpotent radical  $R(G)$  of an  $\Omega R$ -group  $G$  is  $\Omega$ -isolated.

The proofs of these facts are, in the main, analogous to the proofs of the corresponding facts in the theory of  $R$ -groups. The principal changes are connected

with the necessity of checking the  $\Omega$ -admissibility of the subgroups under consideration and with considering the properties of  $\Omega$ -closures.

An automorphism  $\varphi$  of an  $\Omega$ -group  $G$  will be called an  $\Omega$ -automorphism if, for all  $g \in G$  and  $\omega \in \Omega$ ,  $\varphi(g^\omega) = \varphi(g)^\omega$ . A group  $\Phi$  of  $\Omega$ -automorphisms of an  $\Omega R$ -group  $G$  will be called scalar if the group  $G$  is covered by  $\Phi$ -admissible  $\Omega$ -locally cyclic subgroups.

A scalar group of automorphisms is abelian.

If in an  $\Omega R$ -group  $G$  there is a normal series consisting of  $\Phi$ -admissible  $\Omega$ -isolated subgroups such that in every factor of this series  $\Phi$  induces a scalar group of automorphisms, then  $\Phi$  will be called a triangular group of automorphisms. Together with the group of  $\Omega$ -automorphisms  $\Phi$  we shall consider the group  $\bar{\Phi}$ , equal to the product of  $\Phi$  and the group  $\hat{G}$  of inner automorphisms of the group  $G$ .

**Theorem.** Let  $G$  be an  $\Omega R$ -group with the maximality condition for  $\Omega$ -isolated subgroups, and let  $\Phi$  be a group of its  $\Omega$ -automorphisms. Suppose also that  $\bar{\Phi}$  is triangular relative to  $R(G)$  and  $\Phi$  is triangular relative to the factor group  $G/R(G)$ .

Then:

1. The factor group  $\Phi/R(\Phi, G)$  of the group  $\Phi$  by the locally stable radical  $R(\Phi, G)$  <sup>(5)</sup> is abelian.
2.  $R(\Phi, G)$  is a nilpotent group.
3. In  $R(\Phi, G)$  there is a series invariant under  $\Phi$ , the factors of which are isomorphic to subgroups of the factors of a triangular series in  $R(G)$ .

In proving the theorem the following proposition is used essentially.

Let  $G$  be an  $\Omega R$ -group and let  $H$  be its normal divisor that is a maximal  $\Omega$ -isolated subgroup such that the group  $\Psi$  of  $\Omega$ -automorphisms of the group  $G$  induces the identity automorphism in  $H$  and in the factor group  $G/H$ . Suppose, moreover, that in  $H$  there is given a series invariant in  $G$  with  $\Omega$ -locally cyclic factors

$$E = H_0 \subset H_1 \subset \dots \subset H_i \subset \dots \subset H_k \subset H.$$

Form in  $\Psi$  the series

$$E = \Psi_0 \subset \Psi_1 \subset \dots \subset \Psi_i \subset \dots \subset \Psi_k \subset \Psi,$$

where  $\Psi_i$  is the  $\Psi$ -centralizer of the factor group  $G/H_i$ .

Then:

1. The series in  $\Psi$  is invariant under every containing group of automorphisms  $\Psi$ , relative to which the series in  $H$  is admissible.

2. The factors of the series in  $\Psi$  are isomorphic to subgroups of the factors of the series in  $H$ .

We shall present the main stages of the proof of the theorem. The following is proved. A  $\bar{\Phi}$ -triangular series in  $R(G)$  is finite, has  $\Omega$ -locally cyclic factors, and is invariant under  $\hat{G}$ . A  $\Phi$ -triangular series in  $G/R(G)$  is finite. Therefore

the triangular series in the group  $G$  is finite. The locally stable radical  $R(\Phi, G)$  coincides with the  $\Phi$ -centralizer of the triangular series in  $G$  and, consequently, is nilpotent. The factor group  $\Phi/R(\Phi, G)$ , being a subdirect product of abelian groups, is itself abelian. The series in  $R(\Phi, G)$  indicated in the theorem is constructed on the basis of the proposition given above, if one takes into account that  $R(\Phi, G)$  induces the identity automorphism in  $G/R(G)$  and that in the group  $G$  the maximality condition holds for  $\Omega$ -isolated subgroups.

Let us apply the results obtained to the study of groups preserving the order of automorphisms of an ordered  $\Omega$ -group.

Consider an  $\Omega$ -group  $G$ , for which  $\Omega$  is an ordered field. In the case when  $\Omega$  is a field, every  $\Omega$ -group is, obviously, an  $\Omega R$ -group, and in it the notions of  $\Omega$ -admissibility and  $\Omega$ -isolation coincide. We shall call such a group  $G$  an ordered  $\Omega$ -group if  $G$  is an ordered group and 1) from  $a > b$  it follows that  $a^\omega > b^\omega$  for every positive element of the field; 2) from  $a > e$  and  $\omega_1 > \omega_2$  it follows that  $a^{\omega_1} > a^{\omega_2}$ .

In an ordered  $\Omega$ -group every convex subgroup is  $\Omega$ -isolated. Let  $G$  be such an ordered  $\Omega$ -group that in it there is a well-ordered increasing series of convex subgroups

$$E = G_0 \subset G_1 \subset \dots \subset G_\gamma \subset G_{\gamma+1} \subset \dots \quad (1)$$

and the factors of this series are  $\Omega$ -cyclic groups. It is not difficult to show that  $G$  will in this case be a  $ZA$ -group. Consider the group  $\Phi$  of all order-preserving  $\Omega$ -automorphisms of the group  $G$ . The series (1) will be  $\Phi$ -admissible and, consequently,  $\Phi$  is a triangular group of automorphisms. The group of automorphisms  $\Phi$  can be ordered.

We give the main steps of the proof. It is not difficult to show that each convex subgroup  $G_\gamma$  is distinguished in  $G_{\gamma+1}$  by a semidirect factor:  $G_{\gamma+1} = G_\gamma \lambda B_{\gamma+1}$ , where  $B_{\gamma+1}$  is an  $\Omega$ -cyclic group. We shall say that an automorphism  $\varphi \in \Phi$  belongs to the subgroup  $G_{\gamma+1}$  if  $G_{\gamma+1}$  is the first of the subgroups in the series (1) on which the automorphism  $\varphi$  acts non-identically. If the automorphism  $\varphi$  belongs to the subgroup  $G_{\gamma+1}$ , then for all positive elements  $g$  such that  $g \in G_{\gamma+1}$ , but  $g \notin G_\gamma$ , at the same time either  $\varphi(g) > g$ , or  $\varphi(g) < g$ . In the first case we shall consider the automorphism positive ( $\varphi > \varepsilon$ , where  $\varepsilon$  is the identity automorphism), and in the second—negative. It is not difficult to verify that the group  $\Phi$  is ordered in this way.

Denote by  $\Sigma$  the  $\Phi$ -centralizer of the series (1).  $\Sigma$  is an invariant subgroup in  $\Phi$ . Of two automorphisms  $\sigma, \psi \in \Sigma$ , the greater is the one which belongs to the subgroup with the smaller index. If, however,  $\sigma$  and  $\psi$  belong to the subgroup  $G_{\gamma+1}$ , then the greater of them will be the one which induces the identity automorphism in the factor group  $G_{\gamma+1}/G_\beta$  ( $\beta \leq \gamma$ ) with the greater index  $\beta$ . From what has been said it follows that the subgroup  $\Sigma_{\gamma+1}^\beta$  of  $\Sigma$ , consisting of all those automorphisms which induce the identity automorphism in  $G_\gamma$  and  $G_{\gamma+1}/G_\beta$ , is convex in  $\Sigma$ . All such possible subgroups form a convex series in  $\Sigma$ . If the series (1) is finite, then on the basis of the theorem one may assert that the factors of the convex series in  $\Sigma$  obtained in this way are isomorphic to subgroups of the factors of the series (1).

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*Note: Figure translations are in progress. See original paper for figures.*

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