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# MATHEMATICS

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**Abstract**

**Full Text**

MATHEMATICS

N. A. SAPOGOV

## ON THE NORMS OF LINEAR POLYNOMIAL OPERATORS

(Presented by Academician V. I. Smirnov on 11 XII 1961)

1. Let  $\tilde{C}$  be the space of all complex-valued periodic continuous functions  $f(x)$ ,  $0 \leq x \leq 2\pi$ , with norm

$$\|f\|_{\tilde{C}} = \max_{0 \leq x \leq 2\pi} |f(x)|.$$

By  $U_n(f, x)$  we denote a linear operator defined in  $\tilde{C}$ , mapping  $\tilde{C}$  into its subspace  $\mathcal{E}_n$ , formed by trigonometric polynomials

$$E_n(x) = \sum_{|k| \leq n} c_k \exp(ikx)$$

of degree  $\leq n$ ,  $n = 0, 1, 2, \dots$  ( $c_k$  are complex numbers). Let, for any value  $k = 0, \pm 1, \pm 2, \dots$ ,

$$U_n(\exp(ikx), x) = \sum_{|h| \leq n} \gamma_{k,h}^{(n)} \exp(ihx). \quad (1)$$

The conditions

$$\gamma_{k,k}^{(n)} = 1, \quad \gamma_{k,h}^{(n)} = 0 \text{ for } k \neq h; \quad k, h = 0, \pm 1, \dots, \pm n, \quad (2)$$

are equivalent to the requirement that

A.  $U_n(E_n, x) = E_n(x)$  for every polynomial  $E_n(x) \in \tilde{C}$ .

**2. Theorem 1.** *If*

$$\sup_{\|E_n\|_{\tilde{C}} \leq 1} \|U_n(E_n, x) - E_n(x)\|_{\tilde{C}} = \Delta_n, \quad (3)$$

where  $\Delta_n < 1$  and the supremum is taken over all  $E_n \in \tilde{C}$ ,  $\|E_n\| \leq 1$ , then

$$\|U_n\|_{\tilde{C}} \geq \frac{4}{\pi^2} (1 - \Delta_n) \ln n + O(1). \quad (4)$$

**Proof.** For any  $f \in \widetilde{C}$  we have:

$$\frac{1}{2\pi} \int_0^{2\pi} U_n(f_t, x-t) dt = \frac{1}{2\pi} \int_1^{2\pi} U_n(f_{nt}, x-t) dt, \quad (5)$$

where  $f_t = f(x+t)$ ,  $f_n = \sum_{|k| \leq n} c_k(f) \exp(ikx)$ ,  $c_k f$  are the Fourier coefficients of the function  $f$ ;  $t$  is regarded as a parameter. It is well known that

$$\sup_{\|f\|_{\widetilde{C}} \leq 1} \|f_n\|_{\widetilde{C}} = \frac{4}{\pi^2} \ln n + O(1). \quad (6)$$

Therefore, taking condition (3) into account, for any  $t$  we have

$$\|U_n(f_{nt}, x-t) - f_n(x)\|_{\widetilde{C}} \leq \Delta_n \frac{4}{\pi^2} \ln n + O(1).$$

Consequently,

$$\left\| \frac{1}{2\pi} \int_0^{2\pi} U_n(f_{nt}, x-t) dt - f_n(x) \right\|_{\widetilde{C}} \leq \Delta_n \frac{4}{\pi^2} \ln n + O(1).$$

Therefore, taking into account (5) and (6):

$$\left\| \frac{1}{2\pi} \int_0^{2\pi} U_n(f_t, x-t) dt \right\|_{\widetilde{C}} \geq \frac{4}{\pi^2} \ln n - \Delta_n \frac{4}{\pi^2} \ln n + O(1),$$

whence inequality (4) follows. We note that in the case  $\Delta_n = 1$  condition (3) is compatible with the equality  $\|U_n\|_{\widetilde{C}} = 0$ , which is realized for the operator  $U_n(f, x) \equiv 0$  (for any  $f$ ).

**Corollary 1.** There does not exist a sequence of linear operators  $U_n(f, x)$ , mapping  $\widetilde{C}$  into  $\mathcal{E}_n$ ,  $n = 0, 1, 2, \dots$ , for which, for every  $f \in \widetilde{C}$ , the relations

$$\|U_n(f, x) - f(x)\|_{\widetilde{C}} \rightarrow 0, \quad n \rightarrow \infty, \quad \text{if } (1 - \Delta_n) \ln n \rightarrow \infty$$

as  $n \rightarrow \infty$ , where the numbers  $\Delta_n < 1$  are defined by equality (3), would hold.

**Remark.** The Lozinskii-Kharshiladze theorem <sup>(1,2)</sup> is contained in Corollary 1 as a special case, when  $\Delta_n = 0$  for all  $n$ . In this case condition (3) becomes condition A.

3. In the author's note <sup>(3)</sup> the identity

$$\frac{1}{2\pi} \int_0^{2\pi} U_n(f_t, x-t) dt = U_n^0(f, x); \quad (7)$$

was proved; here the linear operator  $U_n^0$  is defined as follows: for any  $f \in \tilde{C}$

$$U_n^0(f, x) = \sum_{|k| \leq n} c_k(f) \gamma_{k,k}^{(n)} \exp(ikx), \quad (8)$$

where  $\gamma_{k,k}^{(n)}$  are the diagonal coefficients of the linear transformation (1). From identity (7) there follows the estimate for the norm of the operator  $U_n$ :  $\|U_n\|_{\tilde{C}} \geq \|U_n^0\|_{\tilde{C}}$ , whatever the coefficients  $\gamma_{k,h}^{(n)}$ ,  $|k| \leq n$ ,  $|h| \leq n$ , may be. This leads to the following theorem:

**Theorem 2.** If the diagonal coefficients  $\gamma_{k,k}^{(n)}$  of the transformation (1) satisfy the condition

$$\delta_n^2 = \sum_{|k| \leq n} |\gamma_{k,k}^{(n)} - 1|^2 < 1, \quad (9)$$

then

$$\|U_n\|_{\tilde{C}} \geq \frac{4}{\pi^2} (1 - \delta_n) \ln n + O(1). \quad (10)$$

**Proof.** For any polynomial  $E_n(x) \in \mathcal{E}_n$  we have:

$$\begin{aligned} |U_n^0(E_n, x) - E_n(x)| &\leq \left| \sum_{|k| \leq n} c_k (\gamma_{k,k}^{(n)} - 1) \exp(ikx) \right| \leq \\ &\leq \left( \sum_{|k| \leq n} |c_k|^2 \right)^{1/2} \left( \sum_{|k| \leq n} |\gamma_{k,k}^{(n)} - 1|^2 \right)^{1/2} = \delta_n, \end{aligned}$$

since  $\sum_{|k| \leq n} |c_k|^2 \leq 1$ , if  $\|E_n\|_{\tilde{C}} \leq 1$ . Therefore, by Theorem 1,

$$\|U_n^0\|_{\tilde{C}} \geq \frac{4}{\pi^2} (1 - \delta_n) \ln n + O(1),$$

which, together with the inequality  $\|U_n\|_{\tilde{C}} \geq \|U_n^0\|_{\tilde{C}}$ , proves Theorem 2.

**Corollary 2.** There does not exist a sequence of linear operators  $U_n(f, x)$ , mapping  $\tilde{C}$  into  $\mathcal{E}_n$ ,  $n = 0, 1, 2, \dots$ , for which fulfilled

would hold for any  $f \in \tilde{C}$ :

$$\|U_n(f, x) - f(x)\|_{\tilde{C}} \rightarrow 0, \quad n \rightarrow \infty,$$

if

$$\left[ 1 - \left( \sum_{|k| \leq n} |\gamma_{k,k}^{(n)} - 1|^2 \right)^{1/2} \right] \ln n \rightarrow \infty$$

as  $p \rightarrow \infty$ , where the numbers  $\gamma_{k,k}^{(n)}$  are the diagonal coefficients of the transformations (1) corresponding to  $n = 0, 1, 2, \dots$

**4.** Results analogous to those set forth above are also valid for algebraic polynomials. For example, the formulation of Theorem 1 carries over almost verbatim to this case.

Let  $C$  be the space of continuous (real-valued) functions  $f(x)$  on the closed interval  $[-1, 1]$ ,  $\mathcal{P}_n \subset C$ , and let its elements be only polynomials

$$P_n(x) = \sum_0^n p_k x^k$$

of degree  $\leq n$ ; the norm is

$$\|f\|_C = \max_{|x| \leq 1} |f(x)|.$$

By  $U_n(f, x)$  we denote a linear operator defined on  $C$  and mapping this space into  $\mathcal{P}_n$ . Then the following theorem is valid:

**Theorem 1a.** If

$$\sup_{\|P_n\|_C \leq 1} \|U_n(P_n, x) - P_n(x)\|_C = \Delta_n, \quad (11)$$

where  $\Delta_n < 1$  and the supremum is taken over all  $P_n \in C$ ,  $\|P_n\| \leq 1$ , then

$$\|U_n\|_C \geq \frac{4}{\pi^2} (1 - \Delta_n) \ln n + O(1).$$

**Corollary 1a.** There does not exist a sequence of linear operators  $U_n(f, x)$ , mapping  $C$  into  $\mathcal{P}_n$ ,  $n = 0, 1, 2, \dots$ , for which the relations

$$\|U_n(f, x) - f(x)\|_C \rightarrow 0, \quad n \rightarrow \infty$$

would hold (for every  $f \in C$ ), if only

$$(1 - \Delta_n) \ln n \rightarrow \infty$$

as  $n \rightarrow \infty$ , where the numbers  $\Delta_n$  are defined by equality (11).

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## References

- <sup>1</sup> S. M. Lozinskii, *DAN*, 61, No. 2 (1948).
- <sup>2</sup> I. P. Natanson, *Constructive Theory of Functions*, 1949.
- <sup>3</sup> N. A. Sapogov, *DAN*, 132, No. 1 (1962).

*Note: Figure translations are in progress. See original paper for figures.*

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