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Abstract

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MATHEMATICS

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EMBEDDING THEOREMS WITH A WEIGHT

(Presented by Academician A. N. Kolmogorov on 28 VIII 1961)

The embedding theorems of S. L. Sobolev ^(1,2), whose fundamental importance in the theory of boundary-value problems of mathematical physics is well known, have very many diverse generalizations, additions, and applications. Among them one should especially note the investigations of S. M. Nikol'skii ⁽³⁻⁶⁾ on the embedding of the classes

$H_{p_1, \dots, p_n}^{(r_1, \dots, r_n)}[M]$, who was the first to apply, for these purposes, methods of the theory of best approximation of functions of several variables. We also note that embedding theorems with a weight were first proved by L. D. Kudryavtsev ^(7,8). Works ^(9,10) are also devoted to these questions.

In the present paper we give results of the type of S. M. Nikol'skii's embedding theorems for integral norms containing a general weight, whose character may differ from that of the weight functions used in the above-mentioned works. These results were obtained on the basis of the theorems of ⁽¹²⁾, which relate the behavior of best approximations of functions of several variables in norms containing a weight, by means of entire functions of finite degrees, to the differential properties of the functions under consideration.

Below, in addition to the notation and definitions of ⁽¹²⁾, we shall also use the following definitions. We shall say that a function $f(x_1, \dots, x_n)$ belongs to the class $H_{p, \varphi, x_k}[\psi]$ if $\|f\|_{p, \varphi}^{(n)} < \infty$ and the inequality $\|f(x_1, \dots, x_{k-1}, x_k + h, x_{k+1}, \dots, x_n) - 2f(x_1, \dots, x_n) + f(x_1, \dots, x_{k-1}, x_k - h, x_{k+1}, \dots, x_n)\|_{p, \varphi}^{(n)} \leq \psi(|h|)$ holds for all h for which $\psi(|h|)$ has meaning, where $\psi(|h|)$ is a function tending to zero as $h \rightarrow 0$. In what follows we shall assume that $f(x_1, \dots, x_n)$ belongs to the class $H_{p, \varphi, n}[\psi]$ if it simultaneously belongs to all the classes $H_{p, \varphi, x_k}[\psi]$.

Let $\ln_1 x = \ln x$, $\ln_j x = \ln(\ln_{j-1} x)$ ($j = 2, 3, \dots$). We shall say that a function $f(x_1, \dots, x_n)$ belongs to the class

$H_{p, \varphi, x_k}^{(r)} \left[M \prod_{j=1}^N \ln_j^{s_j} \right]$, where $r = \bar{r} + \alpha > 0$ (\bar{r} is a nonnegative integer, $0 < \alpha \leq 1$), and s_j are arbitrary real numbers, if $f \in L_{p, \varphi}^{(n)}$ has a generalized partial derivative $\partial^{\bar{r}} f / \partial x_k^{\bar{r}}$ belonging to the class $H_{p, \varphi, x_k}[\psi]$, where

$$\psi(|h|) = M|h|^\alpha \prod_{j=1}^N \left(\ln_j \frac{1}{|h|} \right)^{s_j},$$

and M does not depend on h (when $s_j = 0$, $j = 1, \dots, N$, we shall simply say that $f \in H_{p, \varphi, x_k}^{(r)}[M]$).

Further we shall assume that $f(x_1, \dots, x_n)$ belongs to the class

$$H_{p, \varphi}^{(r_1, \dots, r_n)} \left[M_1 \prod_{j=1}^N \ln_j^{s_j^{(1)}}, \dots, M_n \prod_{j=1}^N \ln_j^{s_j^{(n)}} \right], \quad (1)$$

if it belongs simultaneously to the classes

$$H_{p, \varphi, x_k}^{(r_k)} \left[M_k \prod_{j=1}^N \ln_j^{s_j^{(k)}} \right]$$

($k = 1, 2, \dots, n$). For $\varphi \equiv 1$, $s_j^{(i)} = 0$, ($i = 1, 2, \dots, n$; $j = 1, 2, \dots, N$)

the class (1) coincides with the class $H_p^{(r_1, \dots, r_n)}[M_1, \dots, M_n]$ of S. M. Nikol'skii. Let $r_i > 0$, $1 \leq p_i \leq \infty$ ($i = 1, 2, \dots, n$); we shall say that $f(x_1, \dots, x_n)$ belongs to the class

$$H_{p_1, \dots, p_n}^{(r_1, \dots, r_n)}[M], \quad (2)$$

if, in each of the variables x_i , it belongs to the class $H_{p_i, \varphi, x_i}^{(r_i)}[M]$ ($i = 1, \dots, n$). For $\varphi \equiv 1$ the class (2) was first introduced by S. M. Nikol'skii⁽⁶⁾.

Theorem 1. Let $1 \leq p \leq p' \leq \infty$, $r_i > 0$ ($i = 1, 2, \dots, n$), $1 \leq m \leq n$,

$$\nu_m = 1 - \left(\frac{1}{p} - \frac{1}{p'} \right) \sum_{i=1}^m \frac{1}{r_i} - \frac{1}{p} \sum_{i=m+1}^n \frac{1}{r_i},$$

$$\theta_m^{(j)} = \left(\frac{1}{p} - \frac{1}{p'} \right) \sum_{i=1}^m \frac{s_i^{(j)}}{r_i} - \frac{1}{p} \sum_{i=m+1}^n \frac{s_i^{(j)}}{r_i},$$

and let f belong to the class (1).

Then:

- 1) If $\nu_m > 0$, then, for fixed x_{m+1}, \dots, x_n , the function f , in the variables x_1, \dots, x_m , belongs to the class

$$H_{p', \varphi}^{(\rho_1, \dots, \rho_m)} \left[M^* \prod_{j=1}^N \ln_j^{\nu_m s_j^{(1)} + \theta_m^{(j)}}, \dots, M^* \prod_{j=1}^N \ln_j^{\nu_m s_j^{(m)} + \theta_m^{(j)}} \right],$$

where $\rho_i = \varkappa_m r_i$, $M^* \leq c_1 (\|f\|_{p,\varphi}^{(n)} + \sum_1^n M_k)$, and C_1 does not depend on f , M_k , x_{m+1}, \dots, x_n , h .

- 2) If $\varkappa_m = 0$, but there exists a natural number l such that for $j = 1, 2, \dots, l-1$ $\theta_m^{(j)} = -1$ and $\theta_m^{(l)} < -1$, then, for fixed x_{m+1}, \dots, x_n , the function f , with respect to x_1, \dots, x_m , belongs to the class

$$H_{p',\varphi,m} \left[M^* \ln_l^{\theta_m^{(l)}+1} \prod_{j=l+1}^N \ln_j^{\theta_m^{(j)}} \right],$$

where M^* is defined above.

In both cases

$$\|f\|_{p',\varphi}^{(m)} \leq C_2 \left(\|f\|_{p,\varphi}^{(n)} + \sum_{i=1}^n M_i \right),$$

where C_2 does not depend on f , x_{m+1}, \dots, x_n .

Theorem 2. Let the function f belong to the class (1), and let $\lambda_1, \dots, \lambda_n$ be nonnegative integers,

$$\sigma = 1 - \sum_{k=1}^n \frac{\lambda_k}{r_k}, \quad \Delta_j = \sum_{i=1}^n \frac{s_j^{(i)} \lambda_i}{r_i},$$

$$r'_i = \sigma r_i, \quad \alpha_j^{(i)} = \sigma s_j^{(i)} + \Delta_j.$$

Then, if: 1) $\sigma > 0$, or else 2) $\sigma = 0$, but there exists a natural number l such that for $j = 1, 2, \dots, l-1$ $\Delta_j = -1$ and $\Delta_l < -1$, then on E_n there exists the partial derivative $\partial^{\lambda_1 + \dots + \lambda_n} f / \partial x_1^{\lambda_1} \dots \partial x_n^{\lambda_n}$, belonging in case 1) to the class

$$H_{p,\varphi}^{(r'_1, \dots, r'_n)} \left[\overline{M} \prod_{j=1}^N \ln_j^{\alpha_j^{(1)}}, \dots, \overline{M} \prod_{j=1}^N \ln_j^{\alpha_j^{(n)}} \right].$$

and in case 2) to the class

$$H_{p,\varphi,n} \left[\overline{M} \ln_l^{\Delta_l+1} \prod_{j=l+1}^N \ln_j^{\Delta_j} \right].$$

In both cases

$$M + \left\| \frac{\partial^{\lambda_1 + \dots + \lambda_n} f}{\partial x_1^{\lambda_1} \dots \partial x_n^{\lambda_n}} \right\|_{p, \varphi}^{(n)} \leq C_3 \left(\|f\|_{p, \varphi}^{(n)} + \sum_{k=1}^n M_k \right),$$

where C_3 does not depend on f, M_k, h .

Theorem 3. Let $r_i > 0$; $1 \leq p_i \leq q \leq \infty$; n, m be natural numbers for which $1 \leq m \leq n$,

$$\rho^{(i)} = \frac{r_i \mathscr{A}}{\mathscr{A}^{(i)}} > 0 \quad (i = 1, \dots, n),$$

where

$$\mathscr{A} = \begin{vmatrix} 1 - \sum_{j=1}^n \frac{\frac{1}{p_j} - \frac{1}{q}}{r_j} & -\frac{1}{q} \sum_{j=1}^n \frac{1}{r_j} \\ -\sum_{j=m+1}^n \frac{\frac{1}{p_j} - \frac{1}{q}}{r_j} & 1 - \frac{1}{q} \sum_{j=m+1}^n \frac{1}{r_j} \end{vmatrix},$$

$$\mathscr{A}^{(i)} = 1 - \sum_{j=1}^n \frac{\frac{1}{p_j} - \frac{1}{p_i}}{r_j} \quad (i = 1, \dots, n).$$

If the function $f(x_1, \dots, x_n)$ belongs to the class $H_{p_1, \dots, p_n, \varphi}^{(r_1, \dots, r_n)}[M]$, then, for any fixed x_{m+1}, \dots, x_n , the function f , as a function of x_1, \dots, x_m , belongs to the class $H_{q, \varphi}^{(\rho^{(1)}, \dots, \rho^{(m)})}[\overline{M}]$, and the inequality

$$\|f\|_{q, \varphi}^{(m)} + \overline{M} < C_4 \left(\min_{1 \leq i \leq n} \|f\|_{p_i, \varphi}^{(n)} + M \right)$$

holds, where the constant C_4 does not depend on $f, M, x_{m+1}, \dots, x_n$.

Remark. The theorems stated above, for $\varphi \equiv 1$, $s_j^{(i)} = 0$ ($i = 1, \dots, n$; $j = 1, \dots, N$), coincide completely with the corresponding theorems of S. M. Nikol'skii (3-6). For $\varphi \equiv 1$, Theorems 1 and 2 were obtained earlier in (11).

Let us note that if, by $B_{p, \theta, \varphi}^{(r_1, \dots, r_n)}$, we denote the space of functions $f \in L_{p, \varphi}^{(n)}$ having on E_n partial generalized unmixed derivatives in the sense of S. L. Sobolev

$$\partial^k f / \partial x_i^k \in L_{p, \varphi}^{(n)} \quad (k = 0, 1, \dots, r_i; i = 1, 2, \dots, n)$$

with norm

$$\|f\|_{B_{p,\theta,\varphi}^{(r_1,\dots,r_n)}} = \|f\|_{p,\varphi}^{(n)} + \sum_{i=1}^n \left\{ \int_0^1 \frac{\omega_{1+[\alpha_i],x_i}^\theta \left(t; \frac{\partial^{r_i} f}{\partial x_i^{r_i}} \right)_{p,\varphi}^{(n)}}{t^{\theta\alpha_i+1}} dt \right\}^{1/\theta} < \infty,$$

where $r_i = \bar{r}_i + \alpha_i > 0$, \bar{r}_i are nonnegative integers, $0 < \alpha_i \leq 1$, then

Theorems 1-3 of O. V. Besov¹³ remain valid if, in their formulations, $B_{p,\theta}^{(r_1,\dots,r_n)}$ is replaced in the corresponding way by $B_{p,\theta,\varphi}^{(r_1,\dots,r_n)}$.

For $\varphi \equiv 1$, the space $B_{p,\theta,\varphi}^{(r_1,\dots,r_n)}$ coincides with O. V. Besov's space $B_{p,\theta}^{(r_1,\dots,r_n)}$.

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