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# V. P. SILIN and L. M. GORBUNOV

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**Abstract**

**Full Text**

**V. P. SILIN and L. M. GORBUNOV**

## **ON THE KINETICS OF A NONISOTHERMAL PLASMA**

*(Presented by Academician I. E. Tamm on 17 February 1962)*

Progress achieved recently in developing the foundations of the kinetic theory of systems of charged particles has led to the derivation of a collision integral for plasma which, owing to a consistent allowance for the polarization of the medium, makes it possible, simultaneously with collisions, to consider interaction with plasma waves. In the present communication an important influence of the interaction with such waves on the transport coefficients of a nonisothermal plasma is revealed.

High-frequency Langmuir waves, under conditions in which they exist, have a phase velocity considerably exceeding the velocity of the plasma particles. Therefore the contribution of such waves to the kinetic coefficients is small. Sound waves in an isothermal plasma practically do not exist. In a nonisothermal plasma, under the condition that the electron temperature  $T_e$  considerably exceeds the ion temperature  $T_i$ , weakly damped sound waves are possible. The velocity of such waves turns out, as is known <sup>(1)</sup>, to be much greater than the thermal velocity of the ions. Therefore the ions interact only weakly with the sound waves. This is also clear from the fact that the damping of such sound waves is determined by the electrons, whose thermal velocity is greater than the speed of sound. From all that has been said it follows that, in order to ascertain the role of interaction with plasma oscillations and to determine the corresponding influence on the kinetic coefficients of a nonisothermal plasma ( $T_e \gg T_i$ ), it is sufficient to take account of plasma-polarization effects only in collisions of electrons with one another; for the description of collisions of electrons with ions and of ions with ions one may use the ordinary collision integral, in which polarization is neglected. It is also clear from the above that only the contribution to the kinetic coefficients due to the electron distribution function is modified. Therefore it is sufficient to confine ourselves to consideration of a single kinetic equation for the electrons. We shall not at first consider the viscosity tensor; therefore the left-hand side of the kinetic equation may be written in the form

$$\mathbf{v}_\alpha \left( \frac{\partial \ln(N_\alpha T_\alpha)}{\partial \mathbf{r}_\alpha} - \frac{e_\alpha \mathbf{E}}{\nu T_\alpha} + \left[ \frac{p_\alpha^2}{2m_\alpha \nu T_\alpha} - \frac{5}{2} \right] \frac{\partial \ln T_\alpha}{\partial \mathbf{r}_\alpha} \right) f_{\alpha 0}, \quad (1)$$

where  $f_{\alpha 0}$  is the Maxwellian distribution.

We write the collision integral taking account of plasma polarization in the form<sup>(2)</sup>

$$-\frac{\partial}{\partial p_\alpha^i} \sum_\beta \int dp_\beta I_{\alpha\beta}^{ij}(\mathbf{v}_\alpha, \mathbf{v}_\beta) \left[ \frac{\partial f_\alpha}{\partial p_\alpha^j} f_\beta - f_\alpha \frac{\partial f_\beta}{\partial p_\beta^j} \right], \quad (2)$$

where

$$I_{\alpha\beta}^{ij}(\mathbf{v}_\alpha, \mathbf{v}_\beta) = \int \frac{d\mathbf{k}}{(2\pi)^3} \frac{k^i k^j \pi \delta(\mathbf{k}\mathbf{v}_\alpha - \mathbf{k}\mathbf{v}_\beta)}{\varepsilon^+(\mathbf{k}\mathbf{v}_\alpha, \mathbf{k}) \varepsilon^-(\mathbf{k}\mathbf{v}_\alpha, \mathbf{k})} \left( \frac{4\pi e_\alpha e_\beta}{k^2} \right)^2. \quad (3)$$

$$\varepsilon^\pm(\omega, \mathbf{k}) = 1 + \sum_\alpha \frac{4\pi e_\alpha^2}{k^2} \int \frac{dp_\alpha}{\omega \pm i\Delta - \mathbf{k}\mathbf{v}_\alpha} \left( \mathbf{k} \frac{\partial f_\alpha}{\partial p_\alpha} \right), \quad (4)$$

$\Delta$  is an infinitely small positive quantity;  $e_\alpha$  is the charge of a particle of species  $\alpha$ ;  $f_\alpha$  is the distribution function, normalized to  $N_\alpha$ , the number of particles per unit volume.

As is usually done, let us expand the distribution function sought in Sonine-Laguerre polynomials\*

$$f_\alpha = f_{\alpha 0} \left( 1 + \sum_{r=0}^{\infty} (\mathbf{v}_\alpha \mathbf{C}_{r,\alpha}) L_r^{3/2} \left( \frac{m_\alpha v_\alpha^2}{2\chi T_\alpha} \right) \right). \quad (5)$$

Then for the coefficients  $C_{r,e}$  we obtain the following system of equations:

$$\delta_{s0} \left[ -\frac{\partial \ln N_e T_e}{\partial r} + \frac{eE}{\chi T_e} \right] + \frac{5}{2} \frac{\partial \ln T_e}{\partial r} \delta_{s,1} = \sum_r \nu_0 \{M_{sr} + \delta M_{sr}\} C_{r,e}, \quad (6)$$

$$\nu_0 = \frac{4}{3} \frac{N_e e^4 \sqrt{2\pi}}{(\chi T_e)^{3/2} \sqrt{m_e}}, \quad (7)$$

$$M_{sr} = \left| \frac{e_i}{e} \right| \ln(k_{\max}^{ei} r_D) \begin{pmatrix} 1 & 3/2 & 15/4 & 105/8 & \dots \\ 3/2 & 13/4 & 69/8 & 495/16 & \dots \\ 15/4 & 69/8 & 433/16 & 3231/32 & \dots \\ 105/8 & 495/16 & 3231/32 & 26613/64 & \dots \end{pmatrix} \\ + \sqrt{2} \ln(k_{\max}^{ee} r_D) \begin{pmatrix} 0 & 0 & 0 & 0 & \dots \\ 0 & 1 & 3/2 & 45/16 & \dots \\ 0 & 3/2 & 45/4 & 927/32 & \dots \\ 0 & 45/16 & 927/32 & 57825/256 & \dots \\ \cdot & \cdot & \cdot & \cdot & \dots \end{pmatrix}; \quad (8)$$

$$\delta M_{sr} = -\frac{1}{\sqrt{2\pi}} \int_0^\infty dt e^{-t^2} N_{sr}(t^2) \left[ \frac{1}{2} \ln(A^2 + B^2) + \frac{A}{B} \left( \frac{\pi}{2} - \operatorname{arctg} \frac{A}{B} \right) \right]. \quad (9)$$

Here  $k_{\max}^{\alpha\beta}$  is the maximum wave number transferred in a collision, determined, as usual, either by the inapplicability of the classical treatment or by the inapplicability of perturbation theory;  $r_D$  is the Debye radius ( $r_D^{-2} = \sum_\alpha 4\pi e_\alpha^2 N_\alpha / \chi T_\alpha$ ). In formula (9)

$$N_{sr}(x) = \begin{pmatrix} 0 & 0 & 0 & \dots \\ 0 & 1 + 2x & 3 + 3x - 2x^2 & \dots \\ 0 & 3 + 3x - 2x^2 & 13 + 16x - 3x^2 + 2x^3 & \dots \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}, \quad (10)$$

and the functions  $A$  and  $B$  have the form

$$A = 1 - \frac{1}{1 + \left| \frac{e_i}{e} \right| \frac{T_e}{T_i}} \left\{ \operatorname{Re} J_+(t) + \left| \frac{e_i}{e} \right| \frac{T_e}{T_i} \operatorname{Re} J_+ \left( t \sqrt{\frac{M_i T_e}{m_e T_i}} \right) \right\}; \quad (11)$$

$$B = \frac{\sqrt{\pi/2} t}{1 + \left| \frac{e_i}{e} \right| \frac{T_e}{T_i}} \left\{ e^{-t^2/2} + \left| \frac{e_i}{e} \right| \sqrt{\frac{M_i}{m_e}} \left( \frac{T_e}{T_i} \right)^{3/2} e^{-M_i T_e t^2 / 2 m_e T_i} \right\}, \quad (12)$$

where

$$J_+(t) = t e^{-t^2/2} \int_{+i\infty}^t d\tau e^{\tau^2/2}.$$

The principal contribution to the integral (9) is made by the region of small values of  $t$ , in which, on the one hand,  $A(t) < 0$ , which corresponds to the possibility of the existence of re-

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$$\int_0^\infty dx e^{-x} x^{3/2} L_r^{3/2}(x) L_{r'}^{3/2}(x) = \delta_{r,r'} \Gamma(r + 5/2).$$

of the dispersion equation of plasma oscillations corresponding to sound waves, and, on the other hand,  $B(t) \ll 1$ , which corresponds to sufficiently weak absorption of such waves. It is easy to see that  $A < 0$  for  $t^2 < |e_i/e| (M_i^{-1} m_e) \ll 1$ . Therefore

$$\delta M_{sr} \cong N_{sr}(0) I, \quad (13)$$

where

$$N_{sr}(0) = \begin{pmatrix} 0 & 0 & 0 & 0 & \dots \\ 0 & 1 & 3 & 45/4 & \dots \\ 0 & 3 & 13 & 207/4 & \dots \\ 0 & 45/4 & 207/4 & 3897/16 & \dots \\ \dots & \dots & \dots & \dots & \dots \end{pmatrix},$$

$$I = -\frac{1}{\sqrt{2\pi}} \int_0^\infty dt e^{-t^2} \left[ \frac{1}{2} \ln(A^2 + B^2) + \frac{A}{B} \left( \frac{\pi}{2} - \text{arc tg } \frac{A}{B} \right) \right]. \quad (14)$$

Let us note that  $B(t)$  ceases to be small when  $t^2 \sim (m_e/M_i)(T_i/T_e)$ . Therefore, in order to obtain an asymptotic estimate of the integral  $I$ , suitable for sufficiently large values of the ratio  $(T_e/T_i)$ , we shall take it within the limits from  $(m_e T_i/M_i T_e)^{1/2}$  to  $|e_i m_e/e M_i|^{1/2}$ . In this case

$$A \cong \frac{T_i}{T_e} \left\{ \left| \frac{e}{e_i} \right| - \frac{m_e}{M_i} \frac{1}{t^2} \right\}. \quad (15)$$

Neglecting the contribution of the logarithm and replacing  $\text{arc tg}$  by  $(-\pi/2)$ , we obtain

$$I \cong \frac{1}{2} \left| \frac{e_i}{e} \right| \frac{T_e}{T_i} \frac{1}{\ln \left[ \frac{e_i^2 M_i T_e^3}{e^2 m_e T_i^3} \right]}. \quad (16)$$

This expression is the more accurate the larger it is in comparison with unity. The latter, in particular, is confirmed by comparing calculations by formula (16) with the results of numerical integration for  $|e_i/e| = 1$  and  $M_i/m_e = 1840$  (see Table 1).

**Table 1**

$T_e/T_i$	10	$10^2$	$10^3$	$10^4$	$10^5$	$10^6$
$I$ numer- ical integr.	0.6	2.56	18.5	138	1180	$10^4$
$I$ by (16)	0.35	2.35	17.8	142	1190	$1.02 \cdot 10^4$

For sufficiently large values of  $T_e/T_i$ , the matrix elements  $\delta M_{sr}$ , if they are not identically equal to zero, become the largest. Since in real plasmas the Coulomb logarithms may reach two tens, one may speak of sufficiently large values for  $(T_e/T_i) > 10^3$  and not too large  $|e_i/e|$ . Under these conditions, for the density of the electric current  $\mathbf{j}$  and the heat flux  $\mathbf{q}$  due to electrons, the following expressions are obtained:

$$\mathbf{j} = \frac{eN_e\chi T_e}{m_e} \left\{ \frac{1}{\nu_{\text{eff}}} \left[ \frac{e\mathbf{E}}{\chi T_e} - \frac{\partial \ln N_e T_e}{\partial \mathbf{r}} \right] - 5.1 \frac{1}{I\nu_0} \frac{\partial \ln T_e}{\partial \mathbf{r}} \right\}, \quad (17)$$

$$\mathbf{q} = \frac{N_e(\chi T_e)^2}{m_e I \nu_0} \left\{ 5.1 \left[ \frac{e\mathbf{E}}{\chi T_e} - \frac{\partial \ln N_e T_e}{\partial \mathbf{r}} \right] - 21.2 \frac{\partial \ln T_e}{\partial \mathbf{r}} \right\}, \quad (18)$$

where

$$\nu_{\text{eff}} = \frac{4}{3} \frac{e^2 e_i^2 N_i \sqrt{2\pi}}{(\chi T_e)^{3/2} \sqrt{m_e}} \ln(k_{\text{max}}^{ei} r_D). \quad (19)$$

To determine the viscosity, the nonequilibrium addition to the distribution function must, as is known, be expanded in the polynomials  $L_r^{5/2}$ :

$$\delta f = f_0 \left( \frac{\partial V_i^0}{\partial x_k} + \frac{\partial V_k^0}{\partial x_i} - \frac{2}{3} \delta_{ik} \text{div} \mathbf{V}_0 \right), \quad \left( v_i v_k - \delta_{ik} \frac{1}{3} v^2 \right) \sum_r D_r L_r^{5/2} \left( \frac{m_e v^2}{2\chi T_e} \right). \quad (20)$$

In what follows we shall restrict ourselves to considering only that temperature region in which the principal role is played by the interaction of electrons with electrons, more precisely, the interaction due to plasma waves. Then we have the following system of equations for the coefficients  $D_r$ :

$$\delta_{s0} = -\frac{12}{5} \frac{\chi T_e}{m_e} \nu_0 I \sum_r P_{sr} D_r, \quad (21)$$

where

$$P_{sr} = \begin{pmatrix} 1 & 3/2 & 15/4 & \cdot \\ 3/2 & 17/4 & 93/8 & \cdot \\ 15/4 & 93/8 & 705/16 & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}. \quad (22)$$

As a result, for the coefficient of viscosity we obtain the expression

$$\eta = 1.81 \frac{\chi T_e^i}{\nu_0 I} N_e. \quad (23)$$

According to formulas (17), (18), and (23), the only reminder of the usual theory of the kinetic coefficients of a plasma is the expression for the conductivity (and diffusion), which corresponds to the Lorentz model. The latter is connected with the suppression, for  $T_e \gg T_i$ , of higher approximations in the calculation of the conductivity with the aid of an expansion in Sonine-Laguerre polynomials. In all the remaining coefficients the interaction with ions proves insignificant, and the determining factor is the interaction between electrons, or, in other words, the interaction with plasma oscillations.

Let us note that at very large values of the temperature ratio ( $T_e/T_i > 10^4$ ) it becomes necessary to take into account the influence of polarization on collisions of electrons with ions. Such allowance leads to the fact that in formula (19) the term

$$K = \frac{T_e}{T_i} \frac{1}{\left\{ \ln \left[ \frac{e^2}{e^2} \frac{M_i}{m_e} \left( \frac{T_e}{T_i} \right)^3 \right] \right\}^2}.$$

is added to the Coulomb logarithm.

The function  $K$  is much smaller than  $I$ , owing to the presence in the denominator of an extra power of the logarithm.

In conclusion, we indicate that at large ( $T_e/T_i$ ), for the complex dielectric permittivity one may use an interpolation expression suitable at both large and small  $\omega$ :

$$\varepsilon(\omega) = 1 - \frac{\omega_{Le}^2}{\omega(\omega + i\nu_{\text{eff}})},$$

and for a plasma in a magnetic field, under the assumption that the field does not affect the collisions, there is no distinction between the transverse and longitudinal conductivities. These results correspond to the Lorentz model of a plasma.

Lebedev Physical Institute  
Academy of Sciences of the USSR

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## CITED LITERATURE

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*Note: Figure translations are in progress. See original paper for figures.*

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