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Soviet-era science, translated into English

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1962

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**Abstract**

**Full Text**

**MATHEMATICS**

**V. PONOMAREV**

## **ON SOME APPLICATIONS OF PROJECTION SPECTRA TO THE THEORY OF TOPOLOGICAL SPACES**

*(Presented by Academician P. S. Aleksandrov on 26 I 1962)*

In this paper a summary is given of certain results proved by direct application of the methods given in my papers <sup>(1-3)</sup>; these methods essentially belong to the theory of projection spectra. Some of these results are contained in the papers of Nagami <sup>(6,7)</sup>, published later and using essentially the same methods.

§ 1. In paper <sup>(2)</sup> the following order was considered in the set of all closed coverings, going back already to <sup>(4)</sup> and even to <sup>(5)</sup>: let  $\alpha$  and  $\alpha'$  be two closed coverings; we say that  $\alpha'$  **follows**  $\alpha$  if  $\alpha'$  is inscribed in  $\alpha$  and each element of the covering  $\alpha$  is the sum of all elements of the covering  $\alpha'$  contained in it. A covering  $\alpha$  is called a **partition** or a **canonical covering** if it is locally finite and its elements are the closures of pairwise disjoint open sets of the space  $X$ . In paper <sup>(2)</sup>, and partly already in <sup>(1)</sup>, the following notions were introduced.

A set  $\mathfrak{A} = \{\alpha\}$  of (arbitrary) coverings of any completely regular space is called: 1) **refining**, if for every point  $x \in X$  and every neighborhood  $Ox$  there is an  $\alpha_0$  such that the star of the point  $x$  in the covering  $\alpha_0$  is contained in  $Ox$ ; 2) **strongly refining**, if in the preceding definition one replaces the point  $x$  and its neighborhood  $Ox$  by an arbitrary closed  $F \subseteq X$  and its neighborhood  $OF$ ; 3) **cofinally refining**, if for every open covering  $\omega$  of the space  $X$  there is an  $\alpha \in \mathfrak{A}$  inscribed in it.

In <sup>(1)</sup> and further in <sup>(3)</sup> the following proposition was proved: under the order established in the set of closed coverings, the set of all finite canonical and, a fortiori, the set of all canonical coverings of a completely regular (respectively normal) space  $X$  will be a directed refining (respectively strongly refining) set.

To each directed set of canonical coverings  $\mathfrak{A} = \{\alpha\}$  of the space  $X$ , with the natural mappings  $\omega_\alpha^{\alpha'} : \alpha' \rightarrow \alpha$  for  $\alpha' > \alpha$ , there corresponds the spectrum  $S_{\mathfrak{A}} = \{|\alpha|, \omega_\alpha^{\alpha'}\}$  of the nerves  $|\alpha|$  of these coverings. The spectrum  $\dot{S}_{\mathfrak{A}} = \{\dot{\alpha}, \dot{\omega}_\alpha^{\alpha'}\}$ , which we shall call the **complete partition** of the spectrum  $S_{\mathfrak{A}}$ , consists of the complexes  $\dot{\alpha}$ , where  $\dot{\alpha}$  is the zero-dimensional complex composed of all vertices of the complex  $|\alpha|$ , with the same projections as in  $S_{\mathfrak{A}}$ .

Suppose that in the space  $X$  a refining directed set of partitions  $\mathfrak{A} = \{\alpha\}$  is

given. Then, by the method of paper (1), a space  $\dot{X}_0$  is constructed which is an everywhere dense subset of the limit space  $\dot{X} = \dot{S}_{\mathfrak{A}}$ , and a perfect irreducible mapping  $f$  of the space  $\dot{X}_0$  onto the whole space  $X$ . Let us recall how the space  $\dot{X}_0$  was constructed. A thread  $\dot{x} = \{e_\alpha\} \in \dot{X}$  was called marked if the sets  $A^\alpha \in \alpha$  corresponding to these vertices have a nonempty intersection. As a consequence of refinement

sequence  $\mathfrak{A}$ , this intersection consists of a single point  $x \in X$ . The totality of all marked threads  $\dot{x} = \{e_\alpha\}$  of the spectrum  $\dot{S}_{\mathfrak{A}}$  forms, by definition, the space  $\dot{X}_0$ , and  $\text{ind } \dot{X}_0 = 0$ .

Let  $\dot{x} = \{e_\alpha\} \in \dot{X}_0$  be arbitrary; then the corresponding  $A^\alpha \in \alpha$  have an intersection consisting of a single point  $x \in X$ . We obtain a mapping  $f\dot{x} = x : \dot{X}_0 \rightarrow X$ . As in (1), it is verified that this mapping is onto all of  $X$ , and that  $f$  is a perfect\* irreducible mapping of the space  $\dot{X}_0$  onto the (completely regular)  $X$ .

It is easy to prove:

**Lemma.** If a  $T_1$ -space  $Y$  is the image of a  $T_1$ -space  $X$  under a closed continuous mapping  $f$ , then the space  $Y$  is normal if and only if the space  $X$  is normal on every closed set\*\*  $A$  of the form  $A = f^{-1}fA$ .

Now it is proved (see (1)):

**Theorem 1.** Each of the following conditions is necessary and sufficient for the normality of the  $T_1$ -space  $X$ :

**A.** The set of all (respectively, of all finite) partitions of the space  $X$  is directed and strongly refining.

**B.** The space  $X$  (of weight  $\tau$ ) is the image under a perfect (irreducible) mapping  $f : X_0 \rightarrow X$  of a completely regular and zero-dimensional, in the sense of  $\text{ind } X_0 = 0$ , space  $X_0 \subseteq D^\tau$ , which, for every set  $A \subseteq X$  of the form  $A = f^{-1}fA$ , satisfies the condition  $\text{Ind}_A X = 0$ .

The second part of this theorem, which strengthens the main result of the paper (1), is naturally supplemented by the proposition proved in (3).

**Theorem 2.** Each of the following conditions is necessary and sufficient in order that the  $T_1$ -space  $X$  be paracompact:

- a) The set of all partitions of the space  $X$  is directed and finally refining.
- b) The space  $X$  is the image of a perfectly zero-dimensional space\*\*\*  $X_0$  under a perfect (irreducible) mapping\*\*\*\*.

If the set of partitions  $\mathfrak{A}$  is finally refining, then  $X$  is a paracompact space homeomorphic to the limiting space  $\tilde{S}_{\mathfrak{A}}$  (see (3)); the perfectly zero-dimensional space  $\dot{X}_0$ , of which the space  $\tilde{S}$  is an irreducible perfect image, in this case coincides with the whole space  $\dot{X} = \tilde{S}_{\mathfrak{A}}$ .

**Definition 1.** A spectrum  $S_2 = \{|\beta|, \omega_\beta^{\beta'}\}$  is called an **amplification** of the spectrum  $S_1 = \{|\alpha|, \omega_\alpha^{\alpha'}\}$  if the following conditions are satisfied: 1) there exists a one-to-one similar mapping  $\alpha \rightarrow \beta_\alpha$  of the directed set of indices  $A = \{\alpha\}$  onto the directed set of indices  $B = \{\beta\}$  (here  $A$  may be regarded as a multiplication of  $B$ ); 2) the set of all vertices  $\beta_\alpha$  of the complex  $|\beta_\alpha|$  coincides with the set of all vertices  $\dot{\alpha}$  of the complex  $|\alpha|$ , and  $|\beta_\alpha| \subseteq |\alpha|$ .

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\* A mapping  $f : X \rightarrow Y$  is called **perfect** if it is continuous, closed, and bicomact in the sense that the inverse images  $f^{-1}y$  of all points  $y \in Y$  are bicomact.

\*\* We call a space  $X$  **normal on a closed set**  $A \subseteq X$  if, for every neighborhood  $OA$  of this set, there is a neighborhood  $O'A$  such that  $[O'A] \subseteq OA$ .

\*\*\* A  $T_1$ -space is called **perfectly zero-dimensional** if it is regular and, into each of its open covers, one can inscribe a cover consisting of pairwise nonintersecting open sets.

\*\*\*\* Every regular space  $X$  of weight  $\tau$  is the image of a completely regular space  $X_0 \subseteq D^\tau$  under a continuous mapping  $f$ . I do not know whether this mapping can be assumed perfect, or at least closed. The existence of the mapping  $f$  follows (with the aid of all the same methods) from the fact that in every regular space (and only in a regular space) the set of all finite partitions is directed and refining.

**Definition 2.** Two spectra  $S_1$  and  $S_2$  are called **equivalent** (or belonging to one and the same class) if their full refinements  $\dot{S}_1$  and  $\dot{S}_2$  are isomorphic.

**Theorem 3.** If the spectrum  $S_2$  is an enlargement of the spectrum  $S_1$ , then there exists a single-valued irreducible perfect mapping\*

$\pi : \tilde{S}_1 \rightarrow \tilde{S}_2^*$ . In particular, there exists an irreducible perfect ( "standard" ) mapping  $\pi_X$  of the limiting space  $\tilde{S} = \dot{X}$  (where  $\dot{S}$  is the full refinement of the spectrum  $S$ ) onto the space  $\tilde{S} = X$  of the spectrum  $S = \{\alpha, \omega_\alpha^a\}$ . If two spectra  $S_1$  and  $S_2$  are equivalent, then and only then their spaces  $X_1$  and  $X_2$  are mapped onto each other multivalently, perfectly and irreducibly (in the sense of paper (3)) by the formula

$$f = \pi_{X_2} f' (\pi_{X_1})^{-1},$$

where  $f'$  is some homeomorphism of the space  $\tilde{S}_1 \equiv \tilde{S}_2 \equiv \dot{X}$  onto itself, and  $\pi_{X_2}, \pi_{X_1}$  are the corresponding standard mappings of  $\dot{X}$  onto  $X_2$  and  $X_1$ ; these mappings may be interpreted as a passage from the spectrum  $\dot{S}_i$  to the spectrum  $S_i$ ,  $i = 1, 2$ , which is an enlargement of the spectrum  $\dot{S}_i$ .

§ 2. **Definition 1.** Let in  $X$  (an arbitrary normal space) there be a directed set  $\mathfrak{A}$  of decompositions of multiplicity  $\leq n + 1$ : a) refining; b) strongly refining; c) cofinally refining (in the last case the space  $X$  is paracompact). Then we say that the approximation dimension  $dX$  (in case a)), the large approximation dimension  $DX$  (in case b)), the cofinal approximation dimension  $\Delta X$  (in case c)) does not exceed  $n$ . Naturally,  $dX$  itself, respectively  $DX$  and  $\Delta X$ , is the least  $n \geq 0$  (if it exists) satisfying this condition. If there is no such  $n$ , then the corresponding dimension is taken to be equal to  $\infty$ .

Entirely by the methods of paper <sup>(2)</sup> one proves

**Theorem 4.** In any normal space the following relations between dimensions hold:

$$\text{ind } X \leq dX, \quad \text{ind } X \leq \text{Ind } X \leq DX, \quad \text{dim } X \leq \Delta X.$$

If the dimension  $\text{dim } X = \Delta X$ , then

$$\text{ind } X \leq \text{Ind } X \leq \text{dim } X = \Delta X.$$

**Definition 2 (basic)** <sup>(2)</sup>. A space  $X$  is called **perfectly  $n$ -dimensional** if  $\text{dim } X = \Delta X = n$ .

**Corollary of Theorem 4.** If a strongly paracompact space  $X$  is perfectly  $n$ -dimensional, then

$$\text{ind } X = \text{Ind } X = \text{dim } X = \Delta X = n.$$

Theorems 2 and 3 of paper <sup>(2)</sup> carry over to arbitrary normal spaces with the aid of the constructions given above (taken from papers <sup>(1, 2)</sup>). We obtain the propositions:

**Theorem 5'.** A completely regular space  $X$  (of weight  $\tau$ ) has  $dX \leq n$  if and only if there exists  $X_0 \subseteq D^\tau$  (and hence  $\text{ind } X_0 = 0$ ) and an  $(n + 1)$ -fold irreducible perfect mapping  $f$  of the space  $X_0$  onto  $X$ .

**Theorem 5''.**  $\Delta X \leq n$  if and only if  $X$  is a perfect  $(n + 1)$ -fold image of some perfectly zero-dimensional space\*\*  $X_0$ .

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\* In this case the mapping  $\pi$  may be interpreted as a passage from the spectrum  $S_1$  to the spectrum  $S_2$  in the sense of paper <sup>(3)</sup>.

\*\* See papers <sup>(1, 3)</sup>.

We note that from the results of Morita <sup>6</sup> and Theorem 6 of the present paper there follows

**Theorem 6.** *A metric space  $X$ :  $\dim X = n$  is perfectly  $n$ -dimensional.*

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Received  
22 XII 1961

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*Note: Figure translations are in progress. See original paper for figures.*

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