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Abstract

Full Text

MATHEMATICS

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ON HUREWICZ' S THEOREM

(Presented by Academician P. S. Aleksandrov on 3 July 1961)

In § 1 the sum theorem is extended to point-finite open covers*, and Hurewicz' s theorem on lowering dimension under mappings is proved in Morita' s form⁽¹⁾

$\dim X \leq \text{Ind } Y + \dim f^{**}$ under the assumption of weak paracompactness** of the image. In § 2 the notions of a fully paracompact space and of a fully paracompact decomposition are introduced and studied. For metrizable spaces the first notion coincides with the notion of strong metrizability—the existence of a base decomposable into the sum of a countable number of star-finite**** covers (apparently introduced by Morita). An example is given of a strongly metrizable space which is not decomposable into the sum of a countable number of closed strongly paracompact***** spaces. In § 3 the same Hurewicz theorem is proved in the form of Yu. Smirnov⁽²⁾

$\dim X \leq \text{ind } Y + \dim f$ for fully paracompact decompositions, in particular for fully paracompact spaces. For these spaces the inequality $\dim X \leq \text{ind } X$ follows from this.

§ 1. **Theorem 1.** *If a normal space R has a point-finite open cover ω such that $\dim U \leq n$ whenever $U \in \omega$, then $\dim R \leq n$.*

Lemma 1. *For a point-finite open cover ω , for each $k = 1, 2, \dots$ the set T_k , consisting of all those points each of which belongs to no more than k elements of the cover ω , is closed; for every neighborhood O of the set T_{k-1} , the difference $T_k \setminus O$ is the body***** of a closed discrete***** system inscribed in ω .*

For the proof of the theorem we prove by induction that $\dim T_k \leq n$. Indeed, if $\Phi \subseteq T_k \setminus T_{k-1}$ and Φ is closed, then, by the lemma, $\dim \Phi \leq n$. Hence, by Dowker' s lemma⁽³⁾, we have $\dim T_k \leq n$.

Corollary. *If the space R is normal and weakly paracompact, then $\text{loc dim } R = \dim R$ *****.*

* A cover is called **point-finite** if each point is contained in only finitely many elements of this cover.

** The dimension \dim is the dimension defined by means of covers; Ind is the large inductive dimension, ind the small inductive dimension;

$$\dim f = \sup_{y \in Y} \dim f^{-1}y.$$

*** A space is **weakly paracompact** if every one of its open covers has an inscribed point-finite open cover.

**** A cover is called **star-finite** if each of its elements intersects only finitely many other elements.

***** A space is **strongly paracompact** if every one of its open covers has an inscribed open star-finite cover.

***** The **body of a system** is the union of all elements of this system.

***** A system of sets A_λ is **discrete** if every point $x \in R$ has a neighborhood intersecting no more than one set A_λ .

***** The **local dimension** $\text{loc dim } R$ of the space R is the least of those numbers n such that every point $x \in R$ has a neighborhood Ox such that $\dim[Ox] \leq n$ (see (3)).

Theorem 2. Let g be a closed* mapping of a normal space X onto a weakly paracompact normal space Y ; then

$$\dim X \leq \text{Ind } Y + \dim g.$$

Proof. Suppose that, in the case $\text{Ind } Y' \leq m - 1$, the theorem is true, and let $\text{Ind } Y = m$. Let Φ be closed in X , and let f be a mapping of the set Φ into the sphere S^n , $n = m + \dim g$. Since $\dim g^{-1}(y) \leq n$, f can be extended to $\Phi \cup g^{-1}y$ and, hence, to some neighborhood V_y of it. The sets

$$O_y = Y \setminus g(X \setminus V_y)$$

form an open cover of the space Y . We inscribe in it a locally finite cover ω . Let $S_k = g^{-1}(T_k)$. By induction one can prove that there exist open sets Γ_k satisfying the following conditions: a)

$$\bigcup_{k \leq l} S_k \subseteq \bigcup_{k \leq l} \Gamma_k$$

for every l ; b) $\dim \text{Fr } \Gamma_k \leq n - 1$; c) $G_k = g(\Gamma_k)$ is open in Y ; d) $g^{-1}G_k = \Gamma_k$; e) the mapping f is extended to $\Phi \cup [\Gamma_k]$. By Hurewicz' s lemma, generalized by Yu. Smirnov in (2), the mapping f is extended to all of X . Hence $\dim X \leq n$, as was required to prove.

2. We shall say that a cover β is weakly inscribed in a cover α if one can choose from β a subcover inscribed in α .** We shall call a regular space fully paracompact if, in every one of its open covers, one can weakly inscribe an open cover decomposing into the sum of a countable number of star-finite covers.

Theorem 3. Every fully paracompact space is paracompact; every strongly paracompact space is fully paracompact.

For the proof of the first assertion, in view of a well-known theorem of Michael ⁽⁴⁾, only the following is needed.

Lemma 2. In every open cover of a fully paracompact space one can inscribe an open cover decomposing into the sum of a countable number of discrete subsystems.

The lemma follows from the fact that every open star-finite cover ω_i decomposes into the sum of countable or finite subsystems $\omega_{i\lambda}$, whose bodies are pairwise disjoint and are open-closed sets ⁽⁵⁾. Taking one element from each subsystem $\omega_{i\lambda}$, we obtain a discrete system. Therefore the sum of the star-finite covers ω_i also decomposes into the sum of a countable number of discrete subsystems.

We shall call a regular space **strongly metrizable** if it has a base decomposing into the sum of a countable number of star-finite covers.***

Lemma 3. A metrizable space is strongly metrizable if and only if it is fully paracompact.

It is easy to see that the product of a countable number of strongly metrizable spaces is strongly metrizable and that subspaces of a strongly metrizable space are also strongly metrizable. For strongly paracompact spaces these properties do not hold ⁽⁶⁾.

Example 1. A strongly metrizable space S that is not the sum of a countable number of closed strongly paracompact sets.

Let $\prod I_k$ be the topological product of a countable number of (open) intervals I_k , and let B^τ be the Baire space of uncountable weight ⁽⁵⁾ τ . Then

$$S = B^\tau \times \prod I_k.$$

One can show that every neighborhood of the space S contains a closed subset of S that is not strongly paracompact. Hence every closed strongly paracompact set is nowhere dense in S . From this everything follows by the completeness of S and Baire's theorem.

* A continuous mapping is called **closed** if the image of every closed set is closed.

** Every base of open sets is weakly inscribed in every open cover of the given space.

*** By the metrization theorem of Nagata–Smirnov ⁽⁷⁾, every strongly metrizable space is metrizable.

Theorem 4. Every set of type F_σ in a completely paracompact space is completely paracompact.

Example 2. A closed mapping that maps a strongly paracompact metrizable space into a space that is not completely paracompact.

Take an uncountable number of discretely arranged segments of length 1 and identify the initial points of these segments into one point, so as to obtain a “nonmetrizable hedgehog.”

Note that, by mapping a “metrizable hedgehog” to one point, we obtain a closed mapping of a metrizable, not strongly paracompact space onto a compactum.

By a **decomposition** of a space R we shall mean a system of pairwise disjoint closed sets whose sum is equal to R .^{*} A covering γ will be called a **covering of the decomposition** β if β is inscribed in γ . A decomposition β of a space R will be called **completely paracompact** if in every open covering of this decomposition one can weakly inscribe an open covering of the space R which decomposes into the sum of a countable number of star-finite coverings of the space R .

Lemma 4. *Let g be a closed mapping of a space X onto a space Y ; if one of these spaces is completely paracompact, then the decomposition generated by the mapping g is also completely paracompact.*

In the case of complete paracompactness of the space X , the closedness of the mapping is not needed.

Example 3. A closed mapping of a space that is not completely paracompact onto a space that is not completely paracompact, generating a completely paracompact decomposition.

Let X be the sum of an uncountable number of discretely arranged “metrizable hedgehogs.” In each of them choose one segment $A_\lambda O_\lambda$, where O_λ is the center of the hedgehog. Map each segment $A_\lambda O_\lambda$ isometrically onto the corresponding segment $A'_\lambda O'$ of the “nonmetrizable hedgehog” Y . Map all remaining points of the space X to the center O' of the hedgehog Y .

p. 3. **Theorem 5.** *Let in a normal space R , for each neighborhood O of each element F of a decomposition β , there exist a neighborhood V such that $F \subset V \subset O$ and such that $\dim \text{Fr } V \leq n - 1$; then, if β is completely paracompact and if $\dim F \leq n$ for every $F \in \beta$, then also $\dim R \leq n$.*

Lemma 5. *Let an open covering γ weakly contain an open covering decomposing into the sum of a countable number of star-finite coverings; then in γ one can inscribe an open covering, decomposing into the sum of a countable number of discrete systems, such that the boundary of each of its elements will lie in the boundary of some element of the covering γ .*

Proof of the theorem. Let β be a completely paracompact decomposition, and let Φ be a closed set of the space R . Let f be a mapping of the set Φ into the sphere S^n . Just as before, f can be extended to some neighborhood O_F of each set $F \in \beta$. We may assume that $\dim \text{Fr } O_F \leq n - 1$. By Lemma 5 one can find such open sets Γ_i that $\dim \text{Fr } \Gamma_i \leq n - 1$ and such that f is extendable to $\Phi \cup [\Gamma_i]$ for every $i = 1, 2, \dots$. Hence, f is extendable to all of R , and $\dim R \leq n$. The theorem is proved.

Theorem 6. *Let g be a closed mapping of a normal space X onto a normal space Y ; if the mapping g generates a completely paracompact decomposition of the space X , then $\dim X \leq \text{ind } Y + \dim g$.*

Lemma 6. *Let $\beta = \{F\}$ be a decomposition of a space R , and let β' be such a subsystem of it that the sum*

$$A = \bigcup_{F \in \beta'} F$$

is closed; then complete para-

* Every continuous mapping g generates a decomposition of the preimage X into the full preimages $g^{-1}(y)$ of points $y \in Y$.

compactness of the decomposition β entails the complete paracompactness of the decomposition β' on A .

Proof of the theorem. Suppose that in the case $\text{ind } Y' < k$ the theorem is true, and let $\text{ind } Y = k$. Apply Theorem 5. For an arbitrary neighborhood O of the inverse image $g^{-1}(y)$, by virtue of the closedness of the mapping g there exists a neighborhood Oy of the point y such that $g^{-1}(Oy) \subseteq O$ and $\text{ind Fr } Oy \leq k - 1$. Lemma 6 together with the induction hypothesis leads to the inequality

$\dim g^{-1}(\text{Fr } Oy) \leq \dim g + k - 1$, and hence also to the inequality $\dim \text{Fr } g^{-1}(Oy) \leq \dim g + k - 1$, which was required to be proved.

Corollary 1. If g is a closed mapping of a normal space X onto a normal space Y , then the inequality

$\dim X \leq \dim g + \text{ind } Y$ holds in the following two cases: 1) either X or Y is the sum of a countable number of closed completely paracompact sets; 2) or X or Y is the sum of completely paracompact sets forming a locally finite system, all of which, except possibly one, are closed.

This is derived from Lemma 4 by Dowker's method from (3).

Corollary 2. For every normal space R satisfying one of the conditions of the preceding corollary, in particular for a completely paracompact space, one always has $\dim R \leq \text{ind } R$.

Hence, from the well-known theorem of Katetov–Morita (8), we have:

Corollary 3. For every metrizable space R that decomposes into the sum of a countable number of completely paracompact (strongly metrizable) closed sets, the fundamental dimensions are equal:

$\dim R = \text{ind } R = \text{Ind } R$.

Theorem 7. If in a completely paracompact space R the sum theorem holds for the dimension Ind , then

$$\text{Ind } R = \text{ind } R.$$

The proof is based on the following lemma:

Lemma 7. Let R be a completely paracompact space of dimension $\text{ind } R \leq n$; then for every closed set A and every neighborhood OA of it there exists a neighborhood UA such that $UA \subseteq OA$ and such that the boundary $\text{Fr } UA$ decomposes into the sum of a countable number of closed sets C_i of dimension $\text{ind } C_i \leq n - 1$.

Remark. The corollary of Theorem 1 and Theorem 2 are true under the following assumptions: 1) the space $R(Y)$ is the sum of a countable number of closed weakly paracompact sets; 2) $R(Y)$ is the body of a locally finite system of weakly paracompact sets, all of which are closed except, possibly, one.

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