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MATHEMATICS

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1961

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Abstract

Full Text

MATHEMATICS

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ON THE BEST UNIFORM APPROXIMATION OF DIFFERENTIABLE FUNCTIONS

(Presented by Academician S. L. Sobolev on 22 VI 1961)

We introduce the following notation. H_ω is the class of continuous periodic functions $f(x)$, with period 2π , whose modulus of continuity

$$\omega(f; t) = \sup_{|x' - x''| \leq t} |f(x') - f(x'')|$$

does not exceed the prescribed modulus of continuity $\omega(t)$. In particular, for $\omega(t) = Kt^\alpha$ ($0 < \alpha \leq 1$; $0 \leq t \leq \pi$) the class H_ω is the class $KH^{(\alpha)}$ of periodic functions satisfying on the whole axis the Lipschitz condition of order α with constant K . $W^{(r)}H_\omega$ is the class of periodic functions $f(x)$, with period 2π , for which the derivative of order r , $f^{(r)}(x)$, belongs to the class H_ω . $W^{(r)}KH^{(\alpha)}$ is the class of functions $f(x)$ for which $f^{(r)}(x) \in KH^{(\alpha)}$. $E_n(f)$ is the best uniform approximation of the periodic function f by trigonometric polynomials of degree not exceeding n .

In my note ⁽⁴⁾ it was shown that if the function $\omega(t)$ is convex upward, then

$$\sup_{f \in H_\omega} E_n(f) = \frac{1}{2} \omega\left(\frac{\pi}{n+1}\right) \quad (n = 0, 1, 2, \dots). \quad (1)$$

Here, under the same assumption concerning $\omega(t)$, the exact value is given for the upper bound of the best approximations on the class $W^{(1)}H_\omega$. Namely, the following holds:

Theorem. If $\omega(t)$ is a convex upward modulus of continuity, then

$$\sup_{f \in W^{(1)}H_\omega} E_n(f) = \frac{1}{4} \int_0^{\frac{\pi}{n+1}} \omega(t) dt \quad (n = 0, 1, \dots). \quad (2)$$

The proof of relation (2) is based on the following lemma:

Lemma. Let $f \in H_\omega$, where $\omega(t)$ is a convex upward modulus of continuity. Whatever the number $K > 0$, in the class $KH^{(1)}$ there is a function φ_0 such that, for any a and b ,

$$\left| \int_a^b [f(x) - \varphi_0(x)] dx \right| \leq \frac{1}{2} \max_{x \geq 0} \int_0^x |\omega(t) - Kt| dt. \quad (3)$$

The scheme of the proof of the lemma is as follows. Let $g(x)$ be a polygonal periodic function inscribed in f . The graph of $g(x)$ on the period $[0, 2\pi]$ consists of a finite number of rectilinear segments (links) l_1, l_2, \dots, l_p , whose slopes we shall denote respectively by $\gamma_1, \gamma_2, \dots, \gamma_p$.

Suppose that $\gamma_k > K$. Then there exist points $t'_k < x_k < t''_k$ such that the segment $[t'_k, t''_k]$ contains within itself the abscissas of the endpoints of the link l_k , and,

if we set

$$\begin{aligned} \tau_k(x) &= g(x_k) + K(x - x_k), & \Delta_k(x) &= g(x) - \tau_k(x), \\ \int_a^b \Delta_k(x) dx &= I_k(a, b), \end{aligned} \quad (4)$$

then the following relations will be satisfied:

$$I_k(t'_k, x_k) = \min_{x \leq x_k} I_k(x, x_k) < 0, \quad I_k(x_k, t''_k) = \max_{x \geq x_k} I_k(x_k, x) > 0,$$

$$I_k(t'_k, t''_k) = 0.$$

Similarly, if $\gamma_k < -K$, then we find an interval $[t'_k, t''_k]$ containing the abscissas of the endpoints of l_k , and a point $x_k \in (t'_k, t''_k)$ such that, replacing K by $-K$ in the notation (4), we shall have

$$I_k(t'_k, x_k) = \max_{x \leq x_k} I_k(x, x_k) > 0, \quad I_k(x_k, t''_k) = \min_{x \geq x_k} I_k(x_k, x) < 0,$$

$$I_k(t'_k, t''_k) = 0.$$

From the line segments $\tau_k(x)$, constructed in the indicated way for the links with angular coefficients exceeding K in absolute value, and from the remaining links of the broken line $g(x)$, it is not difficult to construct a periodic polygonal function $\varphi(x) \in KH^{(1)}$ such that

$$\max_{a,b} \left| \int_a^b [g(x) - \varphi(x)] dx \right| \leq 2 \max_k |I_k(t'_k, x_k)|. \quad (5)$$

Now let us note that the following assertion is valid: whatever the function $f \in H_\omega$, where $\omega(t)$ is convex upward, if on the interval $[a, b]$ for the function

$$\Delta(x) = f(x) - f(c) - K(x - c) \quad (a < c < b)$$

the relations

$$-\int_a^c \Delta(x) dx = \int_c^b \Delta(x) dx > 0,$$

are satisfied, then

$$M(f) = -\int_a^c \Delta(x) dx + \int_c^b \Delta(x) dx \leq \frac{1}{2} \int_0^{b-a} [\omega(t) - Kt] dt. \quad (6)$$

Indeed, without loss of generality one may assume that $\Delta(x) < 0$ on (a, c) and $\Delta(x) > 0$ on (c, b) . Then, defining the function $\rho(x)$ by the equality

$$\int_x^{\rho(x)} \Delta(t) dt = 0 \quad (a \leq x \leq c, c \leq \rho(x) \leq b),$$

one can obtain the estimates

$$\begin{aligned} M(f) &\leq \frac{1}{2} \int_a^c |\Delta(\rho(t)) - \Delta(t)|(1 - \rho'(t)) dt \leq \\ &\leq \frac{1}{2} \int_a^c \{\omega[\rho(t) - t] - K[\rho(t) - t]\}(1 - \rho'(t)) dt = \frac{1}{2} \int_0^{b-a} [\omega(t) - Kt] dt. \end{aligned}$$

If the polygonal function $g(x)$ is inscribed in $f \in H_\omega$ and $\omega(t)$ is convex upward, then, as was already noted in the paper ⁽⁴⁾, $g \in H_\omega$.

Thus, from (5), using (6), we find that

$$\max_{a,b} \left| \int_a^b [g(x) - \varphi(x)] dx \right| \leq \frac{1}{2} \max_{x \geq 0} \int_0^x [\omega(t) - Kt] dt.$$

It is known that in any continuous function $f(x)$ one can inscribe a polygonal function $g(x)$ so that $\max_x |f(x) - g(x)| < \varepsilon$, where ε may be prescribed arbitrarily small. Therefore, if f satisfies the conditions of the lemma, then it is easy to prove the existence of a function $\varphi_0 \in KH^{(1)}$, for which, for any a and b , inequality (3) is fulfilled.

Having completed the proof of the lemma, we note that, although in the present paper we shall not need this, a somewhat more general assertion holds, namely

$$\sup_{f \in H_\omega} \inf_{\varphi \in KH^{(1)}} \max_{a,b} \left| \int_a^b [f(x) - \varphi(x)] dx \right| = \frac{1}{2} \max_{x \geq 0} \int_0^x [\omega(t) - Kt] dt.$$

We now pass to the proof of the theorem. Let $f \in W^{(1)}H_\omega$. Then $f' \in H_\omega$, and, by the lemma, for any $K > 0$ there exists a function $\varphi_0 \in KH^{(1)}$ such that

$$\left| \int_{a_0}^{b_0} [f'(x) - \varphi_0(x)] dx \right| = \max_{a,b} \left| \int_a^b [f'(x) - \varphi_0(x)] dx \right| \leq \frac{1}{2} \delta(K),$$

where, for brevity, we have denoted

$$\delta(K) = \max_{x \geq 0} \int_0^x [\omega(t) - Kt] dt.$$

Set

$$\psi_0(x) = \int_c^x \varphi_0(t) dt,$$

where the value of c has been chosen from the condition

$$\int_{a_0}^c (f'(t) - \varphi_0(t)) dt = \int_c^{b_0} (f'(t) - \varphi_0(t)) dt.$$

Then

$$\max_x |f(x) - \psi_0(x)| = \left| \int_c^{t_0} [f'(t) - \varphi_0(t)] dt \right| \leq \frac{1}{4} \delta(K).$$

Since $\psi_0 \in W^{(1)}KH^{(1)}$, by (1,2)

$$E_n(\psi_0) \leq K \frac{\pi^2}{8(n+1)^2},$$

and therefore

$$E_n(f) \leq \max_x |f(x) - \psi_0(x)| + E_n(\psi_0) \leq \frac{1}{4} \delta(K) + K \frac{\pi^2}{8(n+1)^2}.$$

If we take $K = K_n = \frac{n+1}{\pi} \omega\left(\frac{\pi}{n+1}\right)$, then

$$\delta(K_n) = \int_0^{\frac{\pi}{n+1}} [\omega(t) - K_n t] dt,$$

and we obtain

$$E_n(f) \leq \frac{1}{4} \int_0^{\frac{\pi}{n+1}} \omega(t) dt. \quad (7)$$

It remains to indicate, in the class $W^{(1)}H_\omega$, a function for which equality holds in (7). Such a function is, for example, the function $f_0(x)$, whose derivative f'_0 has period $\frac{2\pi}{n+1}$, is odd, and is defined on

$$\left[-\frac{\pi}{2(n+1)}, \frac{\pi}{2(n+1)}\right]$$

by the equalities

$$f'_0(x) = \begin{cases} \frac{1}{2} \omega(2x), & \left(0 \leq x \leq \frac{\pi}{2(n+1)}\right), \\ -\frac{1}{2} \omega(-2x), & \left(-\frac{\pi}{2(n+1)} \leq x \leq 0\right). \end{cases}$$

The theorem is proved. Let us note the most important special case, when $\omega(t) = Kt^\alpha$ ($0 \leq t \leq \pi$).

Corollary. For all $0 < \alpha \leq 1$,

$$\sup_{f \in W^{(1)}KH^{(\alpha)}} E_n(f) = \frac{K}{4(1+\alpha)} \left(\frac{\pi}{n+1}\right)^{1+\alpha} \quad (n = 0, 1, 2, \dots). \quad (8)$$

Let $W^1KH^{(\alpha)}_{[-1,1]}$ be the class of functions whose derivative satisfies, on the interval $[-1, 1]$, a Lipschitz condition of order α ($0 < \alpha \leq 1$) with constant K , and let $E_n(f; -1, 1)$ be the best approximation of the function f by algebraic polynomials of degree n on this interval. Then, taking into account the limiting equality proved by S. N. Bernstein ⁽³⁾, from (8) we immediately find that

$$\lim_{n \rightarrow \infty} (n+1)^{1+\alpha} \sup_{f \in W^{(1)}KH^{(\alpha)}_{[-1,1]}} E_n(f; -1, 1) = \frac{K\pi^{1+\alpha}}{4(1+\alpha)} \quad (0 < \alpha \leq 1).$$

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named after the 300th Anniversary of the Reunification of Ukraine with Russia

Received
16 VI 1961

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