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Abstract

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MATHEMATICS

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MODULES OVER A K -SPACE

(Presented by Academician S. L. Sobolev on 31 III 1961)

1. The abstract norming of spaces of type B_K ⁽¹⁾ makes it possible to study mathematical objects by means of functional analysis in greater detail than in spaces of type B . Here modules over a K -space with unit ⁽¹⁾ are constructed; one of them is a generalization of a real Banach space and, in particular, has the properties of a space of type B_K ; another is a generalization of a real Hilbert space.

2. **A K -space as a generalized ring.** A K -space Z with unit is transformed in various ways ⁽¹⁻³⁾, equivalent to one another (as follows from Theorem 1 ⁽³⁾), into a commutative generalized ring (the product zu exists not for all $z, u \in Z$), in which for all elements z the quantity \sqrt{z} is defined and for some an inverse z^{-1} is defined ($zz^{-1} = e_z$, where e_z is the trace of the element z ^(1,2)). The maximal extension \tilde{Z} ⁽¹⁾ of the K -space Z is an absolute field (with the same unit): the product $\tilde{z}\tilde{u}$ and the inverse \tilde{z}^{-1} exist for all $\tilde{z}, \tilde{u} \in \tilde{Z}$ ⁽²⁾. For simplicity of notation we shall assume that $Z \subset \tilde{Z}$. Let us note one property of multiplication in Z . For disjoint $z_i \in Z$, $z_i \geq 0$, there exists $\sqrt{z_1}\sqrt{z_2}$, since ^(2,3)

$$z_i \leq z = z_1 \vee z_2$$

and $\sqrt{z}\sqrt{z}$ exists in Z .

3. A quotient in a K -space.

Definition 1. If the result of the action zu^{-1} in \tilde{Z} on elements from Z belongs to Z , we shall call it the **quotient** z/u .

Let us note some properties of the quotient, immediately following from the properties of the actions zu^{-1} in \tilde{Z} and the embedding of Z in \tilde{Z} ⁽¹⁻³⁾. The operation of division is normal, i.e., if $|z| \leq |v|$, $|u| \geq |w|$, and v/w exists, then z/u also exists, and moreover $|z/u| \leq |v/w|$. In order that $v = z/u$, it is necessary and sufficient that in Z

$$vu = ze_u, \quad e_v = e_z \wedge e_u.$$

If u^{-1} and zu^{-1} exist in Z , then $zu^{-1} = z/u$. The operation of division is related in the usual way to congruence relations, arithmetic operations (provided multiplication or division of the given elements can be carried out), and extraction of a root of natural degree. If udv ⁽¹⁾, then

$$\frac{z}{u+v} = \frac{z}{u} + \frac{z}{v}.$$

Any circumstance will henceforth be called **normal** (cf. ⁽¹⁾) if, from the fact that it holds for some $u \in Z$, it follows that it is preserved for every $z \in Z$ with modulus $|z| \leq |u|$.

4. Convergence in a K -space. In a K -space Z one can establish several kinds of convergence. Suppose that in Z convergence $z_n \rightarrow 0$ is defined in some way for certain $z_n \geq 0$. Put $z_n \rightarrow z$ if $|z_n - z| \rightarrow 0$.

We shall require that convergence satisfy the conditions: 1) addition is continuous (with respect to a countable sequence); 2) convergence is normal: if $0 \leq z_n \leq u_n \rightarrow 0$, then $z_n \rightarrow 0$; 3) if $z_n = z$, $z_n \rightarrow 0$, then $z = 0$.

Hence the uniqueness of the limit follows. The indicated properties are possessed, for example, by (*o*)-convergence ⁽¹⁾; by (*bk*)-convergence, if Z is a space of type B_K with a monotone norm: from $|z| \leq |u|$ it follows that $\|z\| \leq \|u\|$.

in the K -space W of norms; topological convergence, if Z is a KT -space ⁽⁴⁾ with the first separation axiom. For such convergence theorem 2 ⁽⁴⁾ remains valid.

5. A generalized module over a K -space

Elements of Z and \tilde{Z} shall be called **scalars**.

Definition 2. Let, for some elements of Z and some elements of the additive commutative group X , the products $zx, xz \in X$ be uniquely defined, and let $1x = x$ and, for $z, u \in Z$, $x, y \in X$,

$$zx = xz, \quad z(x+y) = zx + zy, \quad (z+u)x = zx + ux, \quad z(ux) = (zu)x \quad (1)$$

provided that the products exist at least in one part of each equality (1); multiplication by a scalar is normal: if ux exists in X , $|z| \leq |u|$, then zx also exists in X . Then we shall call X an M_z -module.

If λ is a real number, then by λx we mean $\lambda 1x$.

Definition 3. If Z is an absolute field (see above) and in an M_z -module X the product zx exists for all $z \in Z$, $x \in X$, then we shall call X an **absolute M_z -module**.

Definition 4. An absolute $M_{\tilde{Z}}$ -module $\tilde{X} \supset X$ will be called an M -**extension** of the M_z -module X , if the meaning of the product zx for elements $z \in Z$, $x \in X$ is the same in X and in \tilde{X} (provided that zx exists in X). Here, instead of an isomorphism, the inclusion $X \subset \tilde{X}$ is adopted also merely for simplicity of notation in what follows.

Theorem 1. For every M_z -module there exists its M -extension.

Construct \tilde{X} from all sequences $\tilde{x} = \{\tilde{x}_n\}$ ($n = 1, \dots, \infty$) of all possible “linear” combinations

$$\tilde{x}_n = \sum_{k=1}^m z_{kn} x_k,$$

where m is any non-fixed natural number; $x_k \in X$, $x_i \neq x_k$ for $i \neq k$; for each k , $\{z_{kn}\}$ is a (o) -convergent sequence in \tilde{Z} of finite-valued elements ⁽¹⁾. We shall consider elements \tilde{x} and $\tilde{y} = \{\tilde{y}_n\} \in \tilde{X}$ (where $\tilde{y}_n = \sum_{i=1}^p u_{in} y_i$) equal if $m = p$, $x_k = y_k$, $(o)\text{-}\lim_{n \rightarrow \infty} z_{kn} = (o)\text{-}\lim_{n \rightarrow \infty} u_{kn}$ ($k = 1, \dots, m$).

To an element $x \in X$ we put in correspondence the stationary sequence $\{x\} \in \tilde{X}$. Identifying x with $\{x\}$, we obtain the inclusion $X \subset \tilde{X}$. Addition in \tilde{X} is defined “coordinatewise”: $\tilde{x} + \tilde{y} = \{\tilde{x}_n + \tilde{y}_n\}$, by “combining like terms” so that all x_k, y_i are distinct. Define multiplication by a scalar:

$$zx = xz = \left\{ \sum_{k=1}^m z_n z_{kn} x_k \right\},$$

where the finite-valued $z_n \xrightarrow{(o)} z$ (the existence of such z_n is evident from lemma 2.17 of Chapter 4 in ⁽¹⁾). Using the (o) -continuity of addition and multiplication ⁽¹⁾ in \tilde{Z} , it is not difficult to verify that all conditions of definition 4 are fulfilled.

6. A module over a space of norms

Definition 5. Let to each element x of an M_z -module X there be assigned a norm—an element $\|x\| \in Z$, with: 1) if $\|x\| = 0$, then $x = 0$; 2) $\|x+y\| \leq \|x\| + \|y\|$; 3) $\|zx\| = |z|\|x\|$, if zx or $z\|x\|$ exists. Then we shall call X a B_z -module.

As usual, we establish that $\|0\| = 0$, $\|x\| \geq 0$. If $|z| \leq |u|$, ux exists in X , then $\|zx\| \leq \|ux\|$.

Definition 6. The **trace** e_x of an element x is the trace $e_{\|x\|}$ of its norm. If $e_x d e_y$, i.e. $\|x\| d \|y\|$, then x and y will be called **disjoint**: $x d y$. If $e_z d e_x$, i.e. $z d \|x\|$, then we shall call $z d x$.

If zdx , then $zx = 0$, and therefore $e_x x = x$. Indeed, $x = (e_x + Ce_x)x$, where $Ce_x = 1 - e_x$; $Ce_x dx$. If xdy , then $\|x \pm y\| = \|x\| + \|y\|$, since $\|x \pm y\| \geq \|x\| - \|y\| = \|x\| + \|y\|$ when $\|x\| \geq \|y\|$.

In X we define convergence: $x_n \rightarrow x$, if $\|x_n - x\| \rightarrow 0$ in Z in the sense of item 4 of the present work. It is not difficult to establish the usual properties of convergence in norm: uniqueness of the limit, continuity of the norm, etc.

If $x_i dx_k$, then for a finite sum or series

$$\left\| \sum_n x_n \right\| = \sum_n \|x_n\|.$$

This also holds for transfinite series, if convergence in Z is defined in the corresponding way.

Let us add the requirement of completeness of X with respect to convergence in norm.

Definition 7. A countably complete B_Z -module X will be called a **module of type B_Z** . If here convergence in Z is understood as (o)-convergence (or (bk)-convergence, see item 4), then X will be called a **module of type $B_{\bar{K}}$** (or, respectively, B_{BK}). A module of type B_K is a special case of a module of type B_{BK} (for $Z = W$, i.e. $\|z\| = |z|$, see item 4).*

Theorem 2. A module of type B_K is a space of type B_K .

Axiom 4 (¹) of a space of type B_K is fulfilled in any B_Z -module: let

$$\|x\| = z_1 + z_2, \quad z_i \geq 0;$$

take

$$x_i = \frac{z_i}{z_1 + z_2} x,$$

then

$$\|x_i\| = z_i(e_{z_1} \vee e_{z_2}) = z_i, \quad x_1 + x_2 = e_{xx} = x.$$

Definition 8. If a B_Z -module is an absolute M_Z -module, then we shall call it an **absolute B_Z -module**. Such a module of type B_Z will be called **absolute**.

Definition 9. An absolute $B_{\bar{Z}}$ -module \tilde{X} will be called a **B -extension** of the B_Z -module X , if \tilde{X} is an M -extension of the $M_{\bar{Z}}$ -module X and the meaning of the norm $\|x\|$ for $x \in X$ is the same in X and \tilde{X} .

Theorem 3. For every B_Z -module there exists its B -extension.

Define in the M -extension \tilde{X} the norm

$$\|\tilde{x}\| = (o)\text{-}\lim_{n \rightarrow \infty} \|\tilde{x}_n\|.$$

Then \tilde{X} satisfies all the requirements of Definition 9.

7. Module over a space of scalar products

Definition 10. Let to each pair x, y of elements of the M_Z -module X there correspond a scalar product $(x, y) \in z$, with: 1) $(x, x) \geq 0$; if $(x, x) = 0$, then $x = 0$; 2) $(x, y) = (y, x)$; 3) $(x + y, y') = (x, y') + (y, y')$; 4) $(zx, y) = z(x, y)$, if zx or $z(x, y)$ exists. We shall call X an H_Z -module.

Definition 11. If an H_Z -module is an absolute M_Z -module, then we shall call it an **absolute H_Z -module**. Such a module of type H_Z (see Definition 13) will be called **absolute**.

Definition 12. An absolute $H_{\tilde{Z}}$ -module \tilde{X} will be called an **H -extension** of the H_Z -module X , if \tilde{X} is an M -extension of the M_Z -module X and the meaning of (x, y) for $x, y \in X$ is the same in X and \tilde{X} .

Theorem 4. For every H_Z -module there exists its H -extension.

Define in the M -extension \tilde{X} the scalar product

$$(\tilde{x}, \tilde{y}) = (o)\text{-}\lim_{n \rightarrow \infty} (\tilde{x}_n, \tilde{y}_n).$$

All the conditions of Definition 12 are fulfilled.

Define in X , as usual, the norm

$$\|x\| = \sqrt{(x, x)}.$$

Then $e_x = e_{(x, x)}$. As before it is proved that $e_{xx} = x$. Therefore

$$(x, y)e_y = (x, e_{yy}) = (x, y).$$

Theorem 5. For an H_Z -module X relation (2) is fulfilled; X is a B_Z -module.

Let $x, y \in X$. In \tilde{Z} there exists

$$\tilde{z} = (x, y)/(y, y).$$

Construct in the H -extension \tilde{X} the element $x - \tilde{z}y$. For the $H_{\tilde{Z}}$ -module \tilde{X} , in \tilde{Z} the relations

$$0 \leq (x - \tilde{z}y, x - \tilde{z}y) = (x, x) - (x, y)^2/(y, y)$$

are fulfilled. Multiply by (y, y) . Then

$$(x, x)(y, y) - (x, y)^2 e_y \geq 0, \quad (x, x)(y, y) \geq (x, y)^2 \geq 0.$$

Since extraction of the root is an increasing operation $(^1, ^2)$, it follows from this the comparability in Z

$$|(x, y)| \leq \|x\| \|y\|. \quad (2)$$

Verification of the axioms of a B_Z -module is carried out in the usual way. It is clear that an absolute H_Z -module is an absolute B_Z -module and that an H -extension is simultaneously also a B -extension, if in \tilde{X} one sets $\|\tilde{x}\| = \sqrt{(\tilde{x}, \tilde{x})}$ (taking into account the (o) -continuity of the root $(^1)$).

From comparability (2) it follows that $e_{(x,y)} \leq e_x \wedge e_y$; if xdy , then $x \perp y$.

Definition 13. A countably complete H_Z -module X will be called a **module of type H_Z** . If here convergence in Z is understood as (o) -convergence (or (bk) -convergence, see item 4), then X will be called a **module of type H_K** (or, respectively, H_{B_K}).

Theorem 6. A module of type H_Z (or respectively H_K, H_{B_K}) is a module of type B_Z (respectively B_K, B_{B_K}). A module of type H_K is a space of type B_K .

A module of type H_K is also a special case of a module of type H_{B_K} .

Definition 14. A countable system of elements e_n of an H_Z -module will be called **orthonormal** if $e_i \perp e_k, \|e_n\| = e_n$, where e_n are unit elements from the base (\cdot) of the K -space Z .

As usual, the system $\{e_n\}$ is called **complete** in X if from $x \perp e_n$ for all n it follows that $x = 0$.

Theorem 7. If in a module X of type H_K there is a complete orthonormal system $\{e_n\}$ ($n = 1, \dots, \infty$), then for every element $x \in X$ the expansion

$$x = \sum_{n=1}^{\infty} (x, e_n) e_n, \quad \|x\|^2 = \sum_{n=1}^{\infty} (x, e_n)^2$$

holds.

Let us note that here axiom 5 $(^1)$ of the K -space Z is used only for countable sets.

If the set of all norms $\|x\|$ coincides with Z , then from the completeness of $\{e_n\}$ in X follows the completeness of $\{e_n\}$ in Z , i.e. $\sup\{e_n\} = 1$. In particular, disjoint elements may be taken as the $\{e_n\}$, but the completeness condition of $\{e_n\}$ is still expressed through orthogonality.

8. Examples. Let X and Z consist of real bounded almost everywhere functions $x(s, t)$ and $z(s)$, square-summable (x on E, z on the projection S onto the s -axis of the set E). We naturally make X into a module over Z in the sense of Definition 2. Let m disjoint measurable sets S_i have union S ; the sets $T(s)$ are all measurable sections for $s = \text{const}$ of the set E . Put

$$(x, y) = \int_{T(s)} x(s, t) y(s, t) dt, \quad \|z\| = \left\{ \text{vrai sup}_{S_i} |z(s)| \right\} \quad (i = 1, \dots, m).$$

Then X becomes a module of type H_{B_K} , but not an absolute one. The proof of the countable completeness of X is similar to the proof of the countable completeness of the space L^2 .

If, however, X consists of functions $x(t)$ square-summable on the set E_1 , and Z consists of vectors $z = \{\zeta_1, \dots, \zeta_m\}$ (m fixed), e_i are measurable, $e_i \cap e_k = \Lambda$,

$$\bigcup_{i=1}^m e_i = E_1,$$

and the products zx and (x, y) are defined in the following way:

$$(zx)(t) = \zeta_k x(t) \quad \text{for } t \in e_k, \quad (x, y) = \left\{ \int_{e_k} xy \, dt \right\}_{k=1, \dots, m},$$

then X becomes an absolute module of type H_K (convergence in Z is coordinatewise).

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