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Abstract

Full Text

MATHEMATICS

HELMUT KOCH

THE GALOIS GROUP OF A LOCAL FIELD

(Presented by Academician I. M. Vinogradov on 1 XII 1960)

Let k be an extension of finite degree m of the field R_p of rational p -adic numbers. The field k is called **regular** if it does not contain a p -th root of unity.

Let k be a regular field. In the work of I. R. Shafarevich ⁽¹⁾ it is shown that if K is the union of all normal finite p -extensions of the field k , then the group Φ of the field K over k is a free topological p -group with $m + 1$ generators.

Z. I. Borevich ⁽²⁾ considered the case where K is the maximal extension without simple branching of the field k . The result of his work is that Φ is a free group with another topology. But in doing so he did not notice that an unramified extension of a regular field is not always regular. For example, let $k = R_3(\sqrt{3})$; then $k(\sqrt{-1})$ is an unramified irregular extension of the regular field k . Therefore Borevich's result will be valid only in the case when K is a regular field.

Let us now consider the totality of fields K having the following property: every finite regular extension of the field k is contained in one of the fields K .

Let K_0 be a finite regular normal extension of the field k without higher ramification, having a solvable Galois group. K_0 has the representation

$$K_0 = k(\sqrt[e]{\pi}, \zeta), \quad e \equiv 0 \pmod{q^f - 1},$$

where π is a prime element of the field k ; ζ is a q^f -th root of unity; q is the number of elements of the residue class field of the field k .

Let K be the union of all finite normal p -extensions of the field K_0 ; F a free group with $m + 2$ generators s, t, a_1, \dots, a_m ; $W = (t^e, sts^{-1}s^{-q})$ and $F_0 = (W, s^f, a_1, \dots, a_m)$ normal divisors of the group F . Then the following holds:

Theorem 1. *The group Φ of the field K over k is isomorphic to the completion $(F/W)^*$ of the group F/W with respect to the topology defined by the system of neighborhoods of the identity consisting of the set of normal divisors N of the group F/W that satisfy the conditions $N \subseteq F_0/W$ and $[F_0/W : N]$ is a power of p .*

Proof. We construct the fields K_n inductively. Let K_{n+1} be the union of all normal extensions of degree p of the field K_n . Then K_{n+1} will be a normal

field over k and will be the class field of the field K_n for the group $(K_n^*)^p$ (K_n^* denotes the multiplicative group of the field K_n). Let Φ_n be the group of the field K_n over k . K is the union of all fields K_n .

The group Φ_0 is generated by two automorphisms:

$$\sigma = (\zeta \rightarrow \zeta^q, \sqrt[q]{\pi} \rightarrow \sqrt[q]{\pi}); \quad (1)$$

$$\tau = (\zeta \rightarrow \zeta, \sqrt[q]{\pi} \rightarrow \zeta^{\frac{q^f-1}{e}} \sqrt[q]{\pi}). \quad (2)$$

σ and τ satisfy the relations $\sigma^f = 1$, $\tau^e = 1$, $\sigma\tau\sigma^{-1} = \tau^q$. Our next aim is the computation of the group Φ_1 . Let $\eta \in \Phi_0$, and let η_1 be its extension to the field K_1 .

For the norm residue symbol $\left(\frac{K_1/K_0}{\alpha}\right)$ the following equality holds:

$$\left(\frac{K_1/K_0}{\alpha^\eta}\right) = \eta_1 \left(\frac{K_1/K_0}{\alpha}\right) \eta_1^{-1}, \quad \alpha \in K_0^*. \quad (3)$$

Krassner ⁽³⁾ showed that every $\alpha \in K_0^*$ has a representation

$$\alpha = \sqrt[q]{\pi}^a \zeta^b \prod_{\nu=1}^m \varepsilon_\nu^{a_\nu, \eta}, \quad (4)$$

where $\varepsilon_1, \dots, \varepsilon_m$ is a system of independent principal units of the field K_0 ; a and b are integral rational numbers, and a_ν, η is an integral p -adic number.

One can find representatives σ_1, τ_1 of the automorphisms σ, τ which satisfy the relations

$$\sigma_1^f = \left(\frac{K'_0/K_0}{\sqrt[q]{\pi}^g \varepsilon}\right), \quad g \not\equiv 0 \pmod{p}, \quad \varepsilon \in K_0 \text{ a unit}; \quad (5)$$

$$\sigma_1 \tau_1 \sigma_1^{-1} = \tau_1^q, \quad \tau_1^e = 1. \quad (6)$$

From (3)–(6) it follows that the elements $\sigma_1, \tau_1, \gamma_{11} = \left(\frac{K_1/K_0}{\varepsilon_0}\right), \dots, \gamma_{m1} = \left(\frac{K_1/K_0}{\varepsilon_m}\right)$ will form a system of generators of the group Φ_1 . Therefore the mapping

$$s \rightarrow \sigma_1, \quad t \rightarrow \tau_1, \quad a_1 \rightarrow \gamma_{11}, \dots, \quad a_m \rightarrow \gamma_{m1}$$

defines a homomorphism \mathfrak{S} of the group F onto Φ_1 . Let F'_0 be the Frattini subgroup of the group F_0 , and let F_1 be the normal divisor of the group F generated by the groups F'_0 and W . Then F_1 is the kernel of the homomorphism \mathfrak{S} .

Next let $F_0^{(n+1)}$ be the Frattini subgroup of the group $F_0^{(n)}$, $n = 1, 2, \dots$, and let F_n be the normal divisor of the group F generated by the groups $F_0^{(n)}$ and W .

We choose inductively in Φ_n representatives $\sigma_n, \tau_n, \gamma_{1n}, \dots, \gamma_{mn}$ of the automorphisms $\sigma_{n-1}, \tau_{n-1}, \gamma_{1,n-1}, \dots, \gamma_{m,n-1}$ so that the relations

$$\tau_n^e = 1, \quad \sigma_n \tau_n \sigma_n^{-1} = \tau_n^q$$

are satisfied. The mapping

$$s \rightarrow \sigma_n, \quad t \rightarrow \tau_n, \quad a_1 \rightarrow \gamma_{1n}, \dots, a_m \rightarrow \gamma_{mn}$$

defines a homomorphism of the group F onto Φ_n , whose kernel coincides with F_n . The group Φ of the field K over k is the projective limit of the sequence

$$\Phi_0 \leftarrow \Phi_1 \leftarrow \dots \leftarrow \Phi_n \leftarrow \dots$$

Therefore Φ is isomorphic to the projective limit of the sequence

$$F/F_0 \leftarrow F/F_1 \leftarrow \dots \leftarrow F/F_n \leftarrow \dots$$

The intersection of all F_n is equal to W . The sequence $\{F_n/W, n = 0, 1, 2, \dots\}$ forms a system of neighborhoods of the identity of the group F/W , which consists of

all normal divisors N of the group F/W satisfying the conditions $N \subseteq F_0/W$, and $[F_0/W; N]$ is equal to a power of p . Theorem 1 follows from this.

An analogous result can be obtained in the case where k is any finite extension of the field R_p , and K is the algebraic closure of the field.

Let K_0 be the maximal extension of the field k without higher ramification. Over k the field K_0 is generated by means of the totality of pairs of elements

$$(\zeta_e, \sqrt[e]{\pi}),$$

where e runs through all natural numbers relatively prime to p , and ζ_e is a primitive e -th root of unity.

The group Φ_0 of the field K_0 over k is the total completion of the group Γ with two generators σ and τ , defined as follows:

$$\sigma = (\zeta_e \rightarrow \zeta_e^q, \sqrt[q]{\pi} \rightarrow \zeta_e \sqrt[q]{\pi}),$$

$$\tau = (\zeta_e \rightarrow \zeta_e, \sqrt[q]{\pi} \rightarrow \zeta_e \sqrt[q]{\pi});$$

σ and τ satisfy the single relation $\sigma\tau\sigma^{-1} = \tau^q$.

Iwasawa ⁽⁴⁾ showed that the group Ψ of the field K over K_0 is a free topological p -group with a countable number of generators, and that the group Φ of the field K over k is a semidirect extension of the group Φ_0 by means of Ψ .

Moreover, Iwasawa showed that there exists a sequence of fields k_n , $n = 1, 2, \dots, \infty$, with the following properties:

1. k_n is a finite normal extension of the field k with simple ramification.

2.

$$\bigcup_{n=1}^{\infty} k_n = K_0.$$

3. Every principal unit ε_n of the field k_n has a representation

$$\varepsilon_n = \varepsilon_{0n}^a \prod_{\substack{\nu=1 \\ \eta \in \varphi_n}}^m \varepsilon_{\nu n}^{a_{\nu n} \eta^i},$$

where $\varepsilon_{0n}, \varepsilon_{1n}, \dots, \varepsilon_{mn}$ is a system of principal units of the field k_n ; a and $a_{\nu n}$ are integral p -adic numbers; φ_n is the group of the field k_n over k .

4. Let p^K be the maximal p -power order of a root of unity ζ_K contained in K_0 . Then the representation (7) is unique up to p^K -th powers, and the congruences

$$\varepsilon_{0n}^{\sigma} \equiv \varepsilon_{0n}^g, \quad \varepsilon_{0n}^{\tau} \equiv \varepsilon_{0n}^h, \quad (\text{mod } p^K) \quad (\text{mod } p^K)$$

hold, where g and h are determined by the equalities

$$\zeta_K^{\sigma} = \zeta_K^g, \quad \zeta_K^{\tau} = \zeta_K^h.$$

5. $N_{k_l/k_n}(\varepsilon_{\nu n}) = \varepsilon_{\nu l}$.

Let K_{1n} (respectively, K_1) be the union of all normal cyclic extensions of degree p^K of the field k_n (respectively, K_0). As above, we can compute the group Φ_{1n} of the field K_{1n} over k and then pass to the projective limit of the sequence of groups $\Phi_{11} \leftarrow \Phi_{12} \leftarrow \dots \leftarrow \Phi_{1n} \leftarrow \dots$.

Thus we obtain that the group of the field K_1 over K_0 has a minimal basis of the form

$$\{\gamma'_0, \eta' \gamma'_\nu \eta a'^{-1}; \nu = 1, \dots, m; \eta \in \Gamma\},$$

where

$$\gamma'_\nu = \lim_{n \rightarrow \infty} \left(\frac{K_{1n}/k_n}{\varepsilon_{\nu n}} \right)$$

and η' is the extension of the automorphism η to K_1 . γ'_0 satisfies the relations

$$\sigma' \gamma'_0 \sigma a'^{-1} = \gamma_0^g, \quad \tau' \gamma'_0 \tau u'^{-1} = \gamma_0^h.$$

Choose in Φ representatives $\bar{\sigma}, \bar{\tau}, \gamma_0, \dots, \gamma_m$ of the automorphisms $\sigma', \tau', \gamma'_0, \dots, \gamma'_m$ so that the relations

$$\bar{\sigma} \bar{\tau} \bar{\sigma}^{-1} = \bar{\tau}^q, \quad \bar{\tau} \gamma_0 \bar{\sigma} \bar{\tau}^{-1} = \gamma_0^h$$

are satisfied.

The relation $\sigma' \gamma'_0 \sigma a'^{-1} = \gamma_0^g$ extends to the relation

$$\bar{\sigma} \gamma_0 \bar{\sigma}^{-1} = \rho \gamma_0^g,$$

where $\rho \in \Psi^{p^K}[\Psi, \Psi]$.

By the theorem on Burnside bases ⁽⁵⁾, the elements $\gamma_0, \bar{\eta} \gamma_\nu \bar{\eta}^{-1}, \nu = 1, \dots, m; \eta \in \Gamma$, form a minimal system of generators of the free topological p -group Ψ . Consequently, the following holds.

Theorem 2. The group Φ of the algebraic closure K of the field k is a semidirect extension of the group Φ_0 by means of the free topological p -group Ψ with minimal system of generators

$$\{\gamma_0, \bar{\eta} \gamma_\nu \bar{\eta}^{-1}; \nu = 1, \dots, m; \eta \in \Gamma\}.$$

The automorphism $\bar{\sigma}$ (respectively $\bar{\tau}$) sends γ_0 to $\rho \gamma_0^g$ (respectively γ_0^h), where $\rho \in \Psi^{p^K}[\Psi, \Psi]$.

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Note: Figure translations are in progress. See original paper for figures.

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