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**Abstract**

**Full Text**

## **Reports of the Academy of Sciences of the USSR**

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**MATHEMATICS**

**G. S. BARKHIN and V. T. FOMENKO**

### **ON THE BENDING OF SURFACES OF POSITIVE CURVATURE UNDER CERTAIN BOUNDARY CONDITIONS**

*(Presented by Academician P. S. Aleksandrov, 18 V 1961)*

The question of the existence of surfaces isometric to a given one reduces, as is well known, to the problem of the existence of solutions of the system of Gauss and Peterson-Codazzi equations, where, for a prescribed metric, the role of the unknown functions is played by the coefficients of the second quadratic form. On a surface with boundary, by prescribing some characteristic of the contour (curvature, geodesic torsion), we subject the sought functions to an additional boundary condition. If the resulting boundary-value problem admits a solution with a known arbitrariness, then one may speak of a bending of the surface isometric to the original one. The latter, in this case, need not belong to a continuous family of isometric surfaces.

In the present note we consider certain conditions for the existence of solutions of the indicated boundary-value problem and the resulting criteria for the non-bendability of pieces of surfaces of positive curvature.

Let a piece of a surface  $S$  of positive Gaussian curvature, belonging to the class  $C_\alpha^3$  ( $\alpha < 1$ ), satisfy the following conditions:

- 1) An isometrically conjugate parametrization of  $S$  maps it homeomorphically onto a domain  $D$  (generally speaking,  $(m+1)$ -connected) with boundary  
$$L = L_1 + \dots + L_{m+1}$$
of the parametric plane.
- 2) The domain  $D$ , without loss of generality, will henceforth be assumed canonical.

Let  $\Delta L, \Delta M, \Delta N$  be the increments of the coefficients of the second form, and  $\Delta k_n, \Delta \tau_g$ , respectively, those of the normal curvature and the geodesic torsion

of the boundary under passage to an isometric surface. Introduce new unknown functions  $U, V, \Pi$ , related to  $\Delta L, \Delta M, \Delta N$  by the formulas

$$\Delta L = \Pi + V, \quad \Delta M = U, \quad \Delta N = \Pi - V. \quad (1)$$

The Codazzi equations will then be equivalent to the following system:

$$\begin{aligned} U_x - V_y &= \alpha U + \beta V + L_0 \left( \frac{\Pi}{L_0} \right)_y, \\ U_y + V_x &= \gamma U + \delta V + L_0 \left( \frac{\Pi}{L_0} \right)_x; \end{aligned} \quad (2)$$

where  $\alpha, \beta, \gamma, \delta$  are known functions, invariant under bending, and  $L_0$  is the coefficient of the second form of the original surface.

As a consequence of the Gauss equation, the functions  $U, V, \Pi$  must satisfy the algebraic relation

$$U^2 + V^2 - \Pi^2 = 2L_0\Pi. \quad (3)$$

Introduce on the contour two functions  $\lambda(r, \varphi)$  and  $\mu(r, \varphi)$ , belonging to the class  $C^1_\alpha(L)$ , with the aid of which, using the contour relation of the sought functions

with the quantities  $\Delta k_n$  and  $\Delta \tau_g$ , we obtain the following boundary condition:

$$\begin{aligned} &U \left( -\mu \frac{g}{e} + \lambda \sin 2\varphi + \mu \cos 2\varphi \right) + V \left( \mu \frac{f}{e} + \lambda \cos 2\varphi - \mu \sin 2\varphi \right) \\ &= \Pi \left( \mu \frac{f \cos 2\varphi - g \sin 2\varphi}{e} + \lambda \right) - p \left( \frac{\sqrt{a}}{e} \mu \Delta \tau_g + \lambda \Delta k_n \right). \end{aligned} \quad (4)$$

Here

$$a = EG - F^2, \quad p(r, \varphi) = E \sin^2 \varphi - 2F \sin \varphi \cos \varphi + G \cos^2 \varphi,$$

$$e = \frac{1}{2}(E + G), \quad g = \frac{1}{2}(E - G), \quad f = F.$$

**Theorem 1.** Let  $D$  be a canonical domain;  $L$  its boundary; let  $\lambda(r, \varphi), \mu(r, \varphi), \sigma(r, \varphi)$  be functions prescribed on  $L$ , belonging to  $C^1_\alpha(L)$  and satisfying the conditions:

$$\varkappa = \text{Ind}(\mu + i\lambda) > -(m - 1), \quad C^1_\alpha(\sigma) < \varepsilon$$

( $\varepsilon$  is a sufficiently small positive constant, the norm being defined as in <sup>(1)</sup>).

Then the system of equations (2), (3), (4) has precisely a  $(2\kappa - 3 + 3m)$ -parameter family of solutions belonging to  $\overline{C}_\alpha^1(D + L)$  such that

$$\frac{\sqrt{a}}{e} \mu(r, \varphi) \Delta \tau_g(r, \varphi) + \lambda(r, \varphi) \Delta k_n(r, \varphi) = \sigma(r, \varphi). \quad (5)$$

**Proof.** By the methods of <sup>(1)</sup> one can obtain the general solution

$$w(x, y, \Pi) = U(x, y, \Pi) + iV(x, y, \Pi)$$

of the system (2), (4), in which the function  $\Pi(x, y) \in C_\alpha^1(D + L)$  is regarded as given. The latter must be such that the integro-differential equation, obtained by substituting  $w(x, y)$  into (3), with respect to the now unknown function  $\Pi(x, y)$ ,

$$|w|^2 - \Pi^2 = 2L_0 \Pi \quad (6)$$

is solvable. The solvability of (6) is proved by the method of successive approximations under the assumption  $\Pi^0 = 0$ . The solution of (6) is unique.

**Corollary.** For a simply connected piece of a surface, under the conditions of Theorem 1, there exists a  $(2\kappa - 3)$ -parameter family of isometric surfaces satisfying the boundary condition (5).

**Theorem 2.** Let  $\lambda(r, \varphi), \mu(r, \varphi) \in C_\alpha^1(L)$  satisfy the condition

$$\text{Ind}(\mu, \lambda) < -2(m - 1).$$

For the piece  $S$  to be non-bendable, it is sufficient that the increment of some linear combination of  $k_n$  and  $\tau_g$ , prescribed on the contour, be equal to zero:

$$\frac{\sqrt{a}}{e} \mu(r, \varphi) \Delta \tau_g(r, \varphi) + \lambda(r, \varphi) \Delta k_n(r, \varphi) = 0.$$

The assertion of the theorem follows from the fact that, for

$$\kappa < -2(m - 1)$$

and  $\sigma(r, \varphi) = 0$ , equation (6) has the unique solution  $\Pi \equiv 0$ , and the system (2), (4) admits no solutions other than the trivial ones.

In particular, for  $m = 0$  one obtains sufficient conditions for non-bendability:

- a)  $\Delta \tau_g = 0$  (K. M. Belov <sup>(3)</sup>),
- b)  $\Delta k_n = \Delta \tau_g$ ,
- c)  $\Delta k_n = 0$ . The last case we formulate in the form of the following theorem:

**Theorem 3.** If between two pieces of surfaces of positive curvature of class  $C_\alpha^3$  an isometric correspondence is established, under which the curvature of corresponding boundary points is the same, then such surfaces are congruent or symmetric.

The condition  $\Delta k = 0$  can be realized by means of a construction consisting of two surfaces of positive curvature glued along a common edge in such a way that the gluing angle, different from zero at every point, does not change under continuous isometric deformations. Such a construction is uniquely determined. Moreover, the theorem on ova-

to people with a hemmed edge for  $m = 0$  <sup>(1)</sup> carries over to continuous bendings.

By the method presented above, relying on Nitsche's theorem <sup>(4)</sup>, one can prove the following theorem:

**Theorem 4.** Let  $\lambda(\varphi), \mu(\varphi) \in C_\alpha^1(L)$  be prescribed on the contour, satisfying the condition  $\chi = \text{Ind}(\mu + i\lambda) \geq 2$ , and

$$h_k(\varphi) = 1, \cos \varphi, \sin \varphi, \cos 2\varphi, \sin 2\varphi, \dots$$

For a simply connected piece of a surface  $S$  of positive curvature of class  $C_\alpha^3$  to be rigid, it is necessary and sufficient that the following conditions be satisfied on its contour:

$$\Delta \left[ \frac{\sqrt{a}}{e} \mu(\varphi) \tau_g(\varphi) + \lambda(\varphi) k_n(\varphi) \right] = 0,$$

$$\int_0^{2\pi} \frac{\chi(\varphi)}{\sqrt{\lambda^2 + \mu^2}} \left[ \mu(\varphi) \Delta k_n(\varphi) - \lambda(\varphi) \frac{\sqrt{a}}{e} \Delta \tau_g(\varphi) \right] h_k(\varphi) d\varphi = 0$$

$$(k = 1, \dots, 2\chi - 3),$$

where

$$\chi(\varphi) = \frac{E \sin^2 \varphi - 2F \sin \varphi \cos \varphi + G \cos^2 \varphi}{[-\sin(\theta - 2\varphi) + \frac{a}{e} \sin \theta]^2 + [\cos(\theta - 2\varphi) - \frac{f}{e} \sin \theta]^2},$$

$$\sin \theta = \frac{\lambda}{\sqrt{\lambda^2 + \mu^2}}, \quad \cos \theta = \frac{\mu}{\sqrt{\lambda^2 + \mu^2}}.$$

Finally, let us consider the bending of a belt  $\tilde{S}$  of a surface of revolution of the second order of positive curvature, under the condition that the geodesic torsion is prescribed on the edge ( $\lambda = 0, \mu = 1$ ). The case of bending a

spherical belt into a surface with a prescribed constant normal curvature of the edge ( $\lambda = 1$ ,  $\mu = 0$ ) was investigated by Nitsche <sup>(5)</sup>.

**Theorem 5.** Let the belt  $\tilde{S}$ , mapped onto the annulus  $D$  with boundary  $L = L_1 + L_2$ , satisfy the following requirements:

$$\Delta\tau_g(\varphi) \in C'_\alpha(L), \quad \Delta k_{n_2}(\varphi) \in C'_\alpha(L_2),$$

$$\left| \int_{-\pi}^{\pi} \Delta k_{n_1}(\varphi) d\varphi \right| < \varepsilon, \quad C'_\alpha(\Delta\tau_g) < \varepsilon,$$

where  $\varepsilon$  is a sufficiently small positive number. For there to exist no more than a one-parameter family of surfaces, isometric to  $\tilde{S}$ , for which the geodesic torsion of the edge assumes a prescribed value  $\tau_g(r, \varphi)$ , it is necessary and sufficient that the contour condition be fulfilled

$$\sqrt{f_1(1)f_2(1)} \int_{-\pi}^{\pi} \sqrt[4]{K_1} \Delta\tau_{g_1}(\varphi) d\varphi = q^4 \sqrt{f_1(q)f_2(q)} \int_{-\pi}^{\pi} \sqrt[4]{K_2} \Delta\tau_{g_2}(\varphi) d\varphi,$$

where  $f_i(r)$  are known functions of  $r = \sqrt{x^2 + y^2}$ , invariant under bending,  $r_1 = 1$ ,  $r_2 = q < 1$ , and  $K_i$  is the Gaussian curvature of the surface along the corresponding contour.

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*Note: Figure translations are in progress. See original paper for figures.*

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