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# MATHEMATICS

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1961

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**Abstract**

**Full Text**

*MATHEMATICS*

**A. S. SCHWARZ**

## HOMOTOPIC DUALITY FOR A SPACE WITH A GROUP OF OPERATORS

*(Presented by Academician P. S. Aleksandrov, July 7, 1960)*

The purpose of the present note is to generalize Spanier-Whitehead duality<sup>(1,2)</sup> to spaces with a group of operators.

Let  $G$  be a topological group. We shall call a topological space  $X$  a  $G$ -space if the group  $G$  acts in  $X$  without fixed points, giving rise to a principal fibration  $\pi : X \rightarrow X_G$  (by  $X_G$  we denote the space of trajectories of the group  $G$  acting in  $X$ ). A  $G$ -space  $X$  is called a  $G$ -polyhedron if the spaces  $X$  and  $X_G$  are finite polyhedra. The set of homotopy classes of admissible (i.e., commuting with the transformations of the group  $G$ ) mappings of a  $G$ -space  $X$  into a  $G$ -space  $Y$ , relative to admissible homotopies, will be denoted by  $[X, Y]$ .

The join  $X * Y$  of two  $G$ -spaces  $X$  and  $Y$  naturally becomes a  $G$ -space (the points of the join  $X * Y$  are represented by triples  $(x, y, t)$  with the identifications  $(x, y, 0) \sim (x, y', 0)$ ;  $(x, y, 1) \sim (x', y, 1)$ ; the group  $G$  acts on  $X * Y$  by the formula  $g(x, y, t) = (g(x), g(y), t)$ ; here  $x, x' \in X$ ,  $y, y' \in Y$ ,  $g \in G$ ,  $0 \leq t \leq 1$ ). If  $\varphi : X \rightarrow X'$ ,  $\psi : Y \rightarrow Y'$  are admissible mappings of  $G$ -spaces, then their join is naturally defined: the admissible mapping  $\varphi * \psi : X * Y \rightarrow X' * Y'$ .

By the homology groups  $H_i(X; A)$  (cohomology groups  $H^i(X; A)$ ) we shall always mean the reduced singular homology (cohomology) groups. We note that the group  $G$  acts in the homology and cohomology groups of a  $G$ -space.

We fix some  $G$ -space  $R$ . The join  $R * \dots * R$  of  $k$  copies of the  $G$ -space  $R$  will be denoted by  $R_k$ . By an  $R$ -mapping of a  $G$ -space  $X$  into a  $G$ -space  $Y$  we shall mean an admissible mapping  $\varphi : R_k * X \rightarrow R_k * Y$ , where  $k = 0, 1, 2, \dots$ . If  $\varphi$  is an admissible mapping of  $R_k * X$  into  $R_k * Y$ , then there is naturally defined an admissible mapping  $r_l(\varphi) : R_{k+l} * X \rightarrow R_{k+l} * Y$  as the join of the identity mapping of the space  $R_l$  and the mapping  $\varphi$  (here  $R_{k+l} * X$  and  $R_{k+l} * Y$  are considered respectively as  $R_l * (R_k * X)$  and  $R_l * (R_k * Y)$ ). Two  $R$ -mappings  $\varphi : R_k * X \rightarrow R_k * Y$  and  $\psi : R_l * X \rightarrow R_l * Y$  will be called  $R$ -homotopic if, for some  $n \geq k, l$ , the mappings  $r_{n-k}(\varphi)$  and  $r_{n-l}(\psi)$  are admissibly homotopic. The set of  $R$ -homotopy classes of  $R$ -mappings of  $X$  into  $Y$  will be denoted by  $\{X, Y\}$ . We note that the sets  $[R_k * X, R_k * Y]$ , in particular the set  $[X, Y]$ , are naturally mapped into the set  $\{X, Y\}$ ; the set  $\{X, Y\}$  may be regarded as the limit of the direct spectrum of the sets  $[R_k * X, R_k * Y]$  with respect to the

mappings

$$[R_k * X, R_k * Y] \rightarrow [R_{k+1} * X, R_{k+1} * Y].$$

If  $\alpha \in \{X, Y\}$  and  $\beta \in \{Y, Z\}$ , then, by means of composing the  $R$ -mappings defining the elements  $\alpha$  and  $\beta$ , a composition of these elements  $\beta \cdot \alpha \in \{X, Z\}$  can be defined.

In what follows we shall assume that the fixed  $G$ -space  $R$  is an  $r$ -dimensional  $G$ -polyhedron, homotopy equivalent to the  $r$ -dimensional sphere.

**Theorem 1.** Let  $Y$  be a  $G$ -space, aspherical in dimensions  $< n$  (i.e.,  $Y$  is connected and  $\pi_i(Y) = 0$  for  $1 \leq i < n$ ), and let  $X$  be a  $G$ -polyhedron with trajectory space  $X_G$  of dimension  $\leq 2n - 2$ . Then the natural mapping of the set  $[X, Y]$  into the set  $\{X, Y\}$  is one-to-one.

**Corollary.** If  $X$  and  $Y$  are  $G$ -polyhedra, then for sufficiently large  $k$  the natural mapping of the set  $[R_k * X, R_k * Y]$  into the set  $\{X, Y\}$  is one-to-one.

Every  $R$ -mapping of a  $G$ -space  $X$  into a  $G$ -space  $Y$ , by virtue of the relation  $H_i(X; A) = H_{i+(r+1)k}(R_k * X; A)$ , induces a homomorphism of the group  $H_i(X; A)$  into the group  $H_i(Y; A)$ , commuting with the transformations of the group  $G$  (here  $A$  is any abelian group).

Let  $X, Y, Z$  be  $G$ -spaces,  $f$  an  $R$ -mapping of the  $G$ -space  $X * Y$  into the  $G$ -space  $Z$ , and  $A$  some field. It is known <sup>(3)</sup> that the tensor product  $H_i(X; A) \otimes H_{s-i-1}(Y; A)$  is naturally embedded in  $H_s(X * Y, A)$ ; therefore the mapping  $f_*$  gives rise to a homomorphism of the group  $H_i(X; A) \otimes H_{s-i-1}(Y; A)$  into the group  $H_s(Z; A)$ , or, in other words, a pairing of the groups  $H_i(X; A)$  and  $H_{s-i-1}(Y; A)$  in the group  $H_s(Z; A)$ . If the space  $Z$  is homotopy equivalent to the  $s$ -dimensional sphere, then the pairing of the groups  $H_i(X; A)$  and  $H_{s-i-1}(Y; A)$  in the group  $H_s(Z; A) = A$  may be regarded as a scalar product (recall that the groups  $H_i(X; A)$  and  $H_{s-i-1}(Y; A)$  are endowed with the structure of vector spaces over the field  $A$ ).

**Definition.** We shall say that the  $G$ -polyhedron  $Y$  is  $n$ -dual to the  $G$ -polyhedron  $X$ , and write  $Y = D_{nX}$ , if there exists such an  $R$ -mapping of the  $G$ -space  $X * Y$  into  $R_n$  that the scalar product of the vector spaces  $H_i(X; A)$  and  $H_{n(r+1)-i-2}(Y; A)$ , defined by this  $R$ -mapping, is nondegenerate for every field  $A$  and every  $i \geq 0$ .

We note the following properties of the duality operator  $D_n$ :

1. If  $Y = D_{nX}$ , then  $X = D_{nY}$  (i.e.,  $D_n = D_n^{-1}$ ).
2.  $R_k * D_{nX} = D_{n+k}X$ ;  $D_n(R_k * X) = D_{n+k}X$ .
3.  $D_{nX} * D'_{mX} = D_{m+n}(X * X')$ .
4. If  $Y = D_{nX}$ , then there exists an isomorphism  $D_n^* : H_i(X) \rightarrow H^{n(r+1)-i-2}(Y)$ , commuting with the transformations of the group  $G$ .
5. If  $Y = D_{nX}$ , then the polyhedron  $Y$  is weakly  $(nr + n - 1)$ -dual to the polyhedron  $X$  in the sense of Spanier–Whitehead <sup>(1)</sup>.

6. The space  $D_{nX}$  is determined up to  $R$ -homotopy type (in other words, if  $Y = D_{nX}$  and  $Y' = D_{nX}$ , then for some  $k \geq 0$  there is an admissible homotopy equivalence  $\varphi : R_k * Y \rightarrow R_k * Y'$ ).

Let  $X$  and  $Y$  be  $G$ -polyhedra,  $Y' = D_{nY}$ , and let  $u : R_k * Y * Y' \rightarrow R_k * R_n = R_{k+n}$  be an  $R$ -mapping of  $Y * Y'$  into  $R_n$ , giving the duality of  $Y$  and  $Y'$ . Define a mapping  $P_u$  of the set  $\{X, Y\}$  into the set  $\{X * Y', R_n\}$  by means of the following convention. If an element  $\alpha \in \{X, Y\}$  is defined by a mapping  $\varphi : R_l * X \rightarrow R_l * Y$ , then the element  $P_u(\alpha) \in \{X * Y', R_n\}$  is specified by the superposition  $\psi$  of the mappings  $\varphi * 1 : (R_l * X) * (R_k * Y') \rightarrow (R_l * Y) * (R_k * Y')$  and  $1 * u : R_l * (R_k * Y * Y') \rightarrow R_l * (R_k * R_n)$  (by  $1$  we denote the identity mapping; one may speak of the superposition of the mappings  $\varphi * 1$  and  $1 * u$ , since  $(R_l * Y) * (R_k * Y') = R_l * (R_k * Y * Y')$ ; the mapping  $\psi : (R_l * X) * (R_k * Y') \rightarrow R_l * (R_k * R_n)$ , by virtue of the relations  $(R_k * X) * (R_k * Y') = R_{k+l} * (X * Y')$ ,  $R_l * (R_k * R_n) = R_{k+l} * R_n$ , may be regarded as an  $R$ -mapping of  $X * Y'$  into  $R_n$ ).

**Main Lemma.** The mapping  $P_u$  is a one-to-one correspondence between the sets  $\{X, Y\}$  and  $\{X * Y', R_n\}$ .

**Definition 2.** Let  $X$  and  $Y$  be  $G$ -polyhedra,  $X' = D_{nX}$ ,  $Y' = D_{nY}$  their  $n$ -dual  $G$ -polyhedra;  $u$  and  $v$  be  $R$ -mappings

$X * X'$  in  $R_n$  and  $Y * Y'$  in  $R_n$ , generating these duality relations. We shall say that an element  $a \in \{X, Y\}$  is  $n$ -dual to an element  $\beta \in \{Y', X'\} = \{D_{nY}, D_{nX}\}$ , and write  $\beta = D_n(u, v)(a)$  (or simply  $\beta = D_n(a)$ ), if  $P_u(a) = P_v(\beta)$  (the element  $P_u(a)$  lies in the set  $\{X * Y', R_n\}$ , the element  $\beta$  in the set  $\{Y' * X, R_n\}$ , but  $X * Y' = Y' * X$ , and therefore the equality  $P_u(a) = P_v(\beta)$  makes sense).

From the fundamental lemma the following follows.

**Theorem 2.** The mapping  $D_n(u, v)$  is a one-to-one correspondence between the sets  $\{X, Y\}$  and  $\{D_{nY}, D_{nX}\}$ .

Let us indicate some properties of the mapping

$$D_n : \{X, Y\} \rightarrow \{D_{nY}, D_{nX}\} :$$

1. The mapping  $D_n$  takes the composition  $\beta \cdot a$  of elements  $a \in \{X, Y\}$ ,  $\beta \in \{Y, Z\}$  to the composition  $D_n(a) \cdot D_n(\beta)$  of the elements  $D_n(\beta) \in \{D_{nZ}, D_{nY}\}$ ,  $D_n(a) \in \{D_{nY}, D_{nX}\}$ .
2. The homomorphisms

$$H_i(X; A) \rightarrow H_i(Y; A)$$

and

$$H_{n(r+1)-i-2}(D_{nY}; A) \rightarrow H_{n(r+1)-i-2}(D_{nX}; A),$$

induced by the elements  $a \in \{X, Y\}$  and  $D_n(a) \in \{D_{nY}, D_{nX}\}$ , are, for any field, adjoint homomorphisms with respect to the scalar products generated by the mappings defining the duality.

The previously indicated properties 1-5 of the duality operator  $D_n : X \rightarrow D_{nX}$  carry over (with the corresponding changes in the formulations) to the operator

$$D_n : \{X, Y\} \rightarrow \{D_{nY}, D_{nX}\}.$$

The description of the operator  $D_n$  given above can be simplified in the case when  $R = S^r$  and the transformations of the group  $G$  on  $R = S^r$  are orthogonal transformations. Then the space  $R_n$  can be identified with the sphere  $S^{nr+n-1}$ , and the transformations of the group  $G$  will also be orthogonal transformations on  $R_n = S^{nr+n-1}$ .

**Theorem 3.** Let  $X, Y$  be disjoint subsets of the sphere  $R_n = S^{nr+n-1}$ , invariant with respect to the group  $G$ . Suppose that  $X, Y$  are  $G$ -polyhedra with respect to the action of the group  $G$  defined in them;  $Y$  is a deformation retract of the set  $R_n \setminus X$ , and  $Y$  contains no points diametrically opposite to points of the set  $X$ . Then the  $G$ -polyhedron  $Y$  is  $n$ -dual to the  $G$ -polyhedron  $X$  (i.e.  $Y = D_{nX}$ ). If  $X', Y'$  are two other subsets of the sphere  $R_n$  satisfying the same conditions, and  $X \subset X', Y' \subset Y$ , then the inclusion mappings  $X \rightarrow X', Y' \rightarrow Y$  generate  $n$ -dual elements of the sets  $\{X, X'\}, \{Y', Y\}$ .

Let us indicate how, under the conditions of this theorem, one constructs the duality-generating mapping  $u : X * Y \rightarrow R_n$ . If a point  $a \in X * Y$  is determined by the triple  $(x, y, t)$  ( $x \in X, y \in Y, 0 \leq t \leq 1$ ), then the point  $u(a) \in R_n$  is defined as the point that divides the smaller of the arcs of the great circle joining the points  $x$  and  $y$ , in the ratio  $t : (1 - t)$ .

The construction of duality described in Theorem 3 can be applied, in particular, to the cyclic group  $Z_n$  (for it one may take  $R = S^1$ , and for  $n = 2$  also  $R = S^0$ ) and to the groups  $S^1 = U(1)$  of complex numbers of modulus 1, and  $S^3 = \text{Sp}(1)$  of quaternions of norm 1 (for these groups one may take for  $R$  the group space itself, in which the group acts by left translations).

**Example.** Let  $G = R = S^1$ , and let  $X = Y_{n,2}$  be the Stiefel manifold of orthonormal 2-frames, in which an element  $e^{i\varphi} \in S^1$  acts by rotating each 2-frame through the angle  $\varphi$  in the plane of this frame. The  $S^1$ -space  $Y$ ,  $n$ -dual to the  $S^1$ -space  $X$ , can be constructed as follows. The points of the space  $Y$  are pairs  $(a, b)$ , where  $a \in S^{n-1}, b \in S^1$ , with the identifications  $(a, b) \sim (-a, -b)$ ; the group  $S^1$  acts in  $Y$  by the formula  $g(a, b) = (a, gb)$ .

**Remark 1.** The  $G$ -space  $R$ , with the aid of which the duality was constructed, was assumed here to be an  $r$ -dimensional polyhedron homotopy equivalent to the  $r$ -dimensional sphere. However, at the cost of some complication of the definitions, formulations, and proofs, almost all of the above-listed ...

assertions can be carried over to the case where  $R$  is an arbitrary  $G$ -space homotopy equivalent to a sphere.

**Remark 2.** The above-indicated duality of  $G$ -spaces is closely connected with the duality of fiber spaces, the construction of which will be the subject of a separate note <sup>4</sup>.

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Received  
4 VII 1960

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*Note: Figure translations are in progress. See original paper for figures.*

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