

**ON BASES IN THE  
SPACE OF FUNCTIONS  
ANALYTIC IN THE  
CLOSED DISK  $\{|z| \leq e^{\mathbb{R}}\}$**

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**Abstract**

**Full Text**

**MATHEMATICS**

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## **ON BASES IN THE SPACE OF FUNCTIONS ANALYTIC IN THE CLOSED DISK $|z| \leq R$**

*(Presented by Academician A. N. Kolmogorov, 19 VII 1961)*

In recent papers <sup>(1,2)</sup> M. M. Dragilev proved a number of results for bases in  $A_R$ —the space of functions analytic in the disk  $|z| < R$ , considered with the topology of uniform convergence on every closed subset of the disk  $|z| < R$ . In <sup>(1)</sup> it is shown that every basis in  $A_R$  is a basis of regular convergence, i.e., if  $x(z) = \sum a_k x_k(z)$  is the basis expansion of an element, then

$$\sum |a_k| \max_{|z| \leq r} |x_k(z)| < \infty$$

for  $0 \leq r < R$ . In <sup>(2)</sup> it is shown that every basis in  $A_R$ , after suitable normalization and permutation of the elements, becomes the  $L$ -image of the power basis, i.e., is obtained from the power basis by applying a one-to-one and bicontinuous linear mapping of  $A_R$  onto itself.

In the present article analogous results are proved for  $\overline{A}_R$ —the space of functions analytic in the closed disk  $|z| \leq R$ , with the topology of the inductive limit

$$\overline{A}_R = \lim_{s \rightarrow \infty} \text{ind } A_{r_s} \quad (r_s > r_{s+1} > R, r_s \rightarrow R).$$

In this topology a countable sequence  $x_n(z)$  converges to  $x(z)$  in  $\overline{A}_R$  if and only if there exists an  $s$  such that  $x_n, x \in A_{r_s}$  and  $x_n(z) \rightarrow x(z)$  in  $A_{r_s}$ .

Up to isomorphism the relations  $\overline{A}_R^* = A_{1/R}$ ,  $A_{1/R}^* = \overline{A}_R$  hold exactly, i.e.  $\overline{A}_R$  is a reflexive space.

In what follows we shall need the following two propositions, proved by Newns <sup>(3)</sup>.

1°. Let  $E$  be a complete countably normed space with topology  $T$ . If every point  $x \in E$  is representable in the form of a series  $\sum f_k(x)x_k$ , convergent in a topology  $T'$  weaker than the original topology  $T$ , then  $\{f_k\}$  is a system of linear continuous functionals in  $E$ , biorthogonal to  $\{x_k\}$ .

2°. Let  $E = \lim_{s \rightarrow \infty} \text{ind } E_s$ , where  $E_s$  is a complete countably normed space and  $E_s \subset E_{s+1}$ . Suppose that for some  $s$  every point  $x \in E_s$  can be represented by

a series  $\sum a_k x_k$ , convergent in the topology of the space  $E$ . Then there exists  $\sigma(s) > s$  such that the series converges for every  $x \in E_s$  in the topology  $T_\sigma$  of the space  $E_\sigma$ .

**Lemma 1.** Let  $x_k$  be a basis in  $E = \lim_{s \rightarrow \infty} \text{ind } E_s$  and

$$x = \sum f_k(x) x_k \quad (1)$$

representation of any element  $x$  in this basis. Then  $\{f_k\}$  is a system biorthogonal to  $\{x_k\}$ .

It remains to show that the  $f_k$  are continuous functionals. By 2°, for every  $x \in E_s$  the series (1) converges in the topology  $T_\sigma$  of the space  $E_\sigma$ . The imbedding operation of  $E_s$  into  $E_\sigma$  is continuous; therefore the topology  $T_\sigma$  is weaker than the topology  $T_s$ . Applying 1°, we obtain that the  $f_k$  are continuous functionals in  $E_s$ . Since  $s$  is arbitrary, this means that the  $f_k$  are continuous functionals in  $E$ .

**Lemma 2.** Every basis in  $\overline{A}_R$  is a system biorthogonal to some basis in  $A_{1/R}$ , and conversely, every system biorthogonal to some basis in  $A_{1/R}$  is a basis in  $\overline{A}_R$ .

Let  $\{x_k\}$  be a basis in  $\overline{A}_R$ ; then, by Lemma 1,

$$x = \sum f_k(x) x_k,$$

where  $f_k \in \overline{A}_R^* = A_{1/R}$ . For every  $f \in A_{1/R}$  there is an expansion

$$f(x) = \sum f(x_k) f_k(x),$$

where the series converges for each  $x \in \overline{A}_R$ . By the reflexivity of  $\overline{A}_R$ , the two kinds of weak convergence of functionals in  $\overline{A}_R$  coincide. Moreover, weak and strong convergence in  $A_{1/R}$  coincide. Therefore  $\{x_k\}$  is a basis in  $A_{1/R}$ . The converse assertion is proved analogously.

We shall say that a basis in a linear topological space  $E$  is an **unconditional basis** if, after any permutation of its elements, it remains a basis in  $E$ .

**Theorem 1.** Every basis in  $\overline{A}_R$  is an unconditional basis.

Let  $\{x_k\}$  be a basis in  $\overline{A}_R$ ; then, by Lemma 2, the biorthogonal system  $\{f_k\}$  is a basis in  $A_{1/R}$ . But  $\{f_k\}$  is a basis of regular convergence in  $A_{1/R}$ , and, moreover, an unconditional basis. Therefore  $\{f_{k_j}\}$  is a basis in  $A_{1/R}$  for every permutation  $k_j$  of the natural numbers. But then, by Lemma 2,  $\{x_{k_j}\}$  is a basis in  $\overline{A}_R$ , as the system biorthogonal to a basis. This completes the proof.

**Theorem 2.** Every basis  $\{x_k\}$  in  $\overline{A}_R$  is the  $L$ -image of the basis obtained from the power basis by a certain permutation of the elements and by multiplying them by certain numbers.

Take in  $A_{1/R}$  a basis  $\{f_k\}$  biorthogonal to  $\{x_k\}$ . It is obtained from the power basis by means of the transformations indicated in the theorem. Let these transformations be, successively,

$$f'_k = z^{n_k}, \quad f''_k = t_k f'_k, \quad f_k = M f''_k,$$

where  $M$  is a one-to-one and bicontinuous linear mapping of  $A_{1/R}$  onto itself. The power basis  $\{z^n\}$  in  $A_{1/R}$  is biorthogonal to the same power basis  $\{z^n\}$  in  $\bar{A}_R$ . Now carry out over  $\{z^n\}$  in  $\bar{A}_R$  successively the following transformations:

$$x'_k = z^{n_k}, \quad x''_k = \frac{1}{t_k} x'_k, \quad x_k^{(0)} = (M^{-1})^* x''_k.$$

Obviously,  $\{x'_k\}$  and  $\{x''_k\}$  are biorthogonal respectively to  $\{f'_k\}$  and  $\{f''_k\}$ . Then  $\{x_k^{(0)}\}$  is biorthogonal to  $f_k$ . Indeed,

$$(f_k, x_j^{(0)}) = (f_k, (M^{-1})^* x''_j) = (M^{-1} f_k, x''_j) = (f_k, x''_j) = \delta_{k,j}.$$

By the uniqueness of the biorthogonal system, the basis  $\{x_k\}$  coincides with the basis  $\{x_k^{(0)}\}$ . The theorem is proved.

We shall call a basis  $\{x_k\}$  in  $\bar{A}_R$  a basis of **regular convergence** if for every  $x \in \bar{A}_R$  there exists  $r(x) > 1$  such that

$$\sum |f_k(x)| \max_{|z| \leq r(x)} |x_k(z)| < \infty.$$

**Theorem 3.** Every basis in  $\bar{A}_R$  is a basis of regular convergence. It suffices to prove the theorem for bases that are  $L$ -images of the power basis. Let  $x_k = M(z^k)$  be such a basis. Then, by (5), in view of the continuity of  $M$ , for every  $r > R$  there exist  $\rho(r) > R$  and  $C_r > 0$  such that

$$\max_{|z| \leq \rho(r)} |x_k(z)| \leq C_r \max_{|z| \leq r} |z^k| = C_r r^k \quad (k = 0, 1, \dots).$$

Now let  $x(z)$  be an arbitrary element of  $\bar{A}_R$ , and let  $\sum a_k z^k$  be the power-series expansion of the element  $y = M^{-1}x$ ; moreover, obviously,  $\sum |a_k| r^k < \infty$  for  $r < r_0$ , where  $r_0$  is the radius of convergence of the power series for  $y(z)$ . On the other hand,  $x = \sum a_k x_k$ . By (2) we obtain

$$\sum |a_k| \max_{|z| \leq \rho(r)} |x_k(z)| \leq C_r \sum |a_k| r^k < \infty.$$

This last inequality completes the proof of the theorem.

In conclusion, I consider it my pleasant duty to express my gratitude to my scientific adviser M. G. Khaplanov.

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*Note: Figure translations are in progress. See original paper for figures.*

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