

# ON THE REPRESENTATION OF INTEGERS BY POSITIVE QUADRATIC FORMS WITH FOUR AND MORE VARIABLES

Let

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**Abstract**

**Full Text**

**MATHEMATICS**

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**ON THE REPRESENTATION OF INTEGERS  
BY POSITIVE QUADRATIC FORMS WITH  
FOUR AND MORE VARIABLES**

*(Presented by Academician I. M. Vinogradov, 9 IV 1960)*

Let

$$f = f(x_1, \dots, x_n) = \sum_{\alpha, \beta=1}^n a_{\alpha\beta} x_\alpha x_\beta$$

be an integral positive quadratic form, where  $a_{\alpha\alpha}$  ( $\alpha = 1, \dots, n$ ) and  $a_{\alpha\beta} + a_{\beta\alpha} = 2a_{\alpha\beta}$  ( $\alpha, \beta = 1, \dots, n$ ;  $\alpha \neq \beta$ ) are integers;  $n \geq 4$ ;  $\det f \equiv \det(a_{\alpha\beta}) = d$ . Let  $m$  be a positive integer; in the  $n$ -dimensional Euclidean space  $\{x_1, \dots, x_n\}$  consider the ellipsoid  $f(x_1, \dots, x_n) = m$ . Let  $\Omega = \Omega_{f,m}$  be a region on the surface of this ellipsoid; by the  $f$ -elliptic angle  $\omega = \omega_f(\Omega)$  of the region  $\Omega$  we shall mean the ordinary  $n$ -dimensional solid angle under which, from the origin, one sees the region  $\Omega'$  obtained from  $\Omega$  by means of such a linear transformation as reduces the form  $f$  to  $f_0 = x_1^2 + \dots + x_n^2$ .

Suppose, in addition, that integers  $g > 0$  and  $b_1, \dots, b_n$  are given. Denote by  $R_{g;b_1, \dots, b_n}(\Omega_{f,m})$  the number of all integral points  $(x_1, \dots, x_n)$  lying in the elliptical region  $\Omega_{f,m}$  and congruent to  $(b_1, \dots, b_n)$  modulo  $g$ ; by  $r_{g;b_1, \dots, b_n}(\Omega_{f,m})$  denote the number of all such points with the additional condition  $\gcd(x_1, \dots, x_n) = 1$ . If  $\Omega_{f,m}$  coincides with the surface of the entire ellipsoid  $f(x_1, \dots, x_n) = m$ , then

$$R_{g;b_1, \dots, b_n}(\Omega_{f,m}) = R_{g;b_1, \dots, b_n}(f; m)$$

and

$$r_{g;b_1, \dots, b_n}(\Omega_{f,m}) = r_{g;b_1, \dots, b_n}(f; m)$$

are, respectively, the number of all integral and of all integral primitive representations  $(x_1, \dots, x_n)$  of the number  $m$  by the form  $f$  with the additional condition

$$(x_1, \dots, x_n) \equiv (b_1, \dots, b_n) \pmod{g}.$$

If, moreover,  $g = 1$ , then

$$R_{g;b_1, \dots, b_n}(f; m) = R(f; m)$$

and

$$r_{g;b_1,\dots,b_n}(f; m) = r(f; m)$$

are, respectively, the number of all integral and the number of all integral primitive representations of the number  $m$  by the form  $f$ .

In this note, developing the results of <sup>(1,2)</sup>, asymptotic formulas are obtained and investigated for

$$R_{g;b_1,\dots,b_n}(\Omega_{f,m}) \quad \text{and} \quad r_{g;b_1,\dots,b_n}(\Omega_{f,m}).$$

Let

$$H_{g;b_1,\dots,b_n}(f; m) = \sum_{q=1}^{\infty} \left\{ \sum_{h \pmod{q}}' q^{-n} S_{g;b_1,\dots,b_n}(hf; q) e^{-2\pi i \frac{mh}{q}} \right\} \quad (1)$$

be the singular series; here  $S_{g;b_1,\dots,b_n}(f; q)$  is the generalized Gauss sum defined and studied in <sup>(3)</sup>; the summation

$$\sum_{h \pmod{q}}'$$

is taken over a reduced system of residues  $(\text{mod } q)$ . The absolute convergence of the infinite series (1) for  $n \geq 4$  follows from Theorem 4 of the note <sup>(3)</sup>. As usual, the singular series

$$H_{g;b_1,\dots,b_n}(f; m)$$

can be represented in the form of an infinite product over prime numbers. The factors of this infinite product, by means of the formulas of <sup>(3)</sup>, can be expressed in finite form.

through the joint arithmetic invariants of the form  $f$  and of the residue class  $(b_1, \dots, b_n) \pmod{g}$ . We do not give the formulas, because of their bulkiness.

Let  $p$  be a prime number. We introduce the quantities  $u_p, \tau_p, w_p, T_p, S_p$ .

- 1)  $p^{u_p} \parallel g$ .
- 2) To define  $\tau_p$  and  $w_p$ , we distinguish two cases:  $p > 2$  and  $p = 2$ .
  - a)  $p > 2$ . Let

$$f(x_1, \dots, x_n) \equiv \sum_{\beta=1}^n a_{\beta}^{(p)} p^{\varepsilon_{\beta}^{(p)}} x_{\beta}^2 \pmod{p^t},$$

where  $\varepsilon_{\beta}^{(p)} \geq 0$ ,  $a_1^{(p)}, \dots, a_n^{(p)}$  are relatively prime to  $p$ ; let  $(b_1, \dots, b_n) \equiv (b_1^{(p)}, \dots, b_n^{(p)}) \pmod{p^{u_p}}$ , where  $b_{\alpha}^{(p)} = 0$  if  $b_{\alpha} \equiv 0 \pmod{p^{u_p}}$ ; let  $p^{v_{\alpha}^{(p)}} \parallel b_{\alpha}^{(p)}$  ( $\alpha = 1, \dots, n$ ; if  $b_{\alpha}^{(p)} = 0$ , then  $v_{\alpha}^{(p)} = \infty$ ); by definition

$$\tau_p = \min_{\alpha} \{u_p + v_{\alpha}^{(p)} + \varepsilon_{\alpha}^{(p)}\},$$

$$p^{w_p} \parallel (m - f(b_1^{(p)}, \dots, b_n^{(p)})).$$

b)  $p = 2$ . Let

$$f(x_1, \dots, x_n) = \sum_{\beta=1}^{n'} a_{\beta} 2^{\varepsilon_{\beta}^{(1)}} x_{\beta}^2 + \sum_{\gamma=1}^{n''} 2^{\varepsilon_{\gamma}^{(2)}} \psi_{\gamma}(y_{\gamma}, z_{\gamma}) \pmod{2^t},$$

where  $n' + 2n'' = n$ ;  $\varepsilon_{\beta}^{(1)} \geq 0$ ,  $\varepsilon_{\gamma}^{(2)} \geq -1$ ;  $a_1, \dots, a_{n'}$  are odd;  $\psi_{\gamma}(y_{\gamma}, z_{\gamma}) = 2a'_{\gamma}y_{\gamma}^2 + 2a''_{\gamma}y_{\gamma}z_{\gamma} + 2a'''_{\gamma}z_{\gamma}^2$ ;  $a'_{\gamma}, a''_{\gamma}, a'''_{\gamma}$  are integers;  $a''_{\gamma}$  is odd ( $\gamma = 1, \dots, n''$ ); let  $(b_1, \dots, b_n) \equiv (b_1^{(2)}, \dots, b_n^{(2)}) \pmod{2^{u_2}}$ , where  $b_{\alpha}^{(2)} = 0$  if  $b_{\alpha} \equiv 0 \pmod{2^{u_2}}$ ; let  $2^{v_{\alpha}^{(2)}} \parallel b_{\alpha}^{(2)}$ ; we put

$$\tau' = \min_{\alpha \leq n, v_{\alpha}^{(2)} \neq u_2 - 1} \{u_2 + v_{\alpha}^{(2)} + \varepsilon_{\alpha}^{(1)} + 1\}$$

(if there are no such  $\alpha$ , we assume that  $\tau' = \infty$ );

$$\tau'' = \min_{\alpha \leq n', v_{\alpha}^{(2)} = u_2 + 1} \{u_2 + v_{\alpha}^{(2)} + \varepsilon_{\alpha}^{(1)} + 2\};$$

$$\tau''' = \min_{\alpha > n'} \{u_2 + v_{\alpha}^{(2)} + \varepsilon_{\lfloor \frac{\alpha - n' + 1}{2} \rfloor}^{(2)} + 1\}; \quad \tau_2 = \min\{\tau', \tau'', \tau'''\};$$

we define

$$2^{w_2} \parallel (m - f(b_1^{(2)}, \dots, b_n^{(2)})).$$

3) Finally, we put

$$T_p = \min\{w_p + 1 + 1 + (-1)^p, \tau_p\}, \quad S_p = \max\{T_p, u_p\}.$$

To the quadratic form  $f$  we associate the set  $\mathfrak{P}_f$  of exceptional (herabsetzende) prime numbers (for their definition see (4)). If  $p \in \mathfrak{P}_f$ , then  $n = 4$  and  $p \nmid 2^{n+1}d$ .

**Theorem 1.** *If for every prime number  $p \nmid 2^{n+1}d$  the system of congruences*

$$\begin{aligned} f(x_1, \dots, x_n) &\equiv m \pmod{p^{S_p}}, \\ (x_1, \dots, x_n) &\equiv (b_1, \dots, b_n) \pmod{p^{u_p}}, \end{aligned} \quad (2)$$

then

$$H_{g;b_1,\dots,b_n}(f; m) \geq \chi_\varepsilon d^{-\frac{1}{2}(n-1)} g^{-(n-1)} m^{-\varepsilon} \prod_{p \in \mathfrak{P}_f} p^{-\left(\frac{1}{2}n-1\right)} \tau_p, \quad (3)$$

where  $\varepsilon > 0$  is arbitrary,  $\chi_\varepsilon > 0$  is a constant depending only on  $\varepsilon$ . In the contrary case

$$H_{g;b_1,\dots,b_n}(f; m) = 0. \quad (4)$$

**Theorem 2.** There is the asymptotic formula

$$R_{g;b_1,\dots,b_n}(f; m) = \frac{\pi^{\frac{n}{2}} m^{\frac{n}{2}-1}}{d^{\frac{1}{2}} \Gamma(n/2)} H_{g;b_1,\dots,b_n}(f; m) + O\left(d^{\frac{n}{4}+\frac{3}{2}} g^{\frac{3}{2}n+2} m^{\frac{n}{4}-\frac{1}{4}+\varepsilon}\right), \quad (5)$$

where the constants occurring in  $O$  depend only on  $n$  and  $\varepsilon > 0$ . Moreover, if for all primes  $p > 2^{n+1}dg$  the system of congruences (2) is solvable\* and if, for some fixed  $\theta > 0$ ,

$$d^{\frac{3}{4}n+\frac{3}{2}} g^{\frac{3}{2}n+1} \prod_{p \in \mathfrak{P}_f} p^{\left(\frac{1}{2}n-1\right)} \tau_p = O\left(m^{\frac{n}{4}-\frac{3}{4}-\theta}\right), \quad (6)$$

where the constants occurring in  $O$  depend only on  $n$  and  $\theta$ , then as  $m \rightarrow \infty$  the remainder term in formula (5) is infinitesimal in comparison with the main term.

**Theorem 3.** Let  $\Omega_{f,m}$  be a convex domain on the surface of the ellipsoid  $f(x_1, \dots, x_n) = m$  with  $f$ -elliptic solid angle  $\omega$ . Then

$$R_{g;b_1,\dots,b_n}(\Omega_{f,m}) = \frac{\omega}{\omega_0} \frac{\pi^{\frac{n}{2}} m^{\frac{n}{2}-1}}{d^{\frac{1}{2}} \Gamma(n/2)} H_{g;b_1,\dots,b_n}(f; m) + O\left(d^{\frac{n}{4}+\frac{5}{2}} g^{\frac{3}{2}n+7} m^{\frac{n}{2}-1-\frac{n-3}{4(3n-2)}+\varepsilon}\right), \quad (7)$$

where

$$\omega_0 = \frac{2\pi^{\frac{n}{2}}}{\Gamma(n/2)};$$

the constants occurring in  $O$  depend only on  $n$  and  $\varepsilon > 0$ . Moreover, if for all primes  $p > 2^{n+1}dg$  the system of congruences (2) is solvable and if, for  $\theta > 0$ ,

$$\omega^{-1} d^{\frac{3n}{4}+\frac{3}{2}} g^{\frac{5}{2}n+4} \prod_{p \in \mathfrak{P}_f} p^{\left(\frac{1}{2}n-1\right)} \tau_p = O\left(m^{\frac{n-3}{4(3n-2)}-\theta}\right), \quad (8)$$

where the constants occurring in  $O$  depend only on  $n$  and  $\theta$ , then as  $m \rightarrow \infty$  the remainder term in formula (7) is infinitesimal in comparison with the main term.

It is also possible to obtain the asymptotic formula (7) for nonconvex domains  $\Omega_{f,m}$ , assuming, however, that as  $m$  varies their shape does not change (then the constants occurring in  $O$  will depend on the shape of the domain  $\Omega_{f,m}$ ).

The asymptotic formulas (5) and (7) can be obtained by refining the arguments in (1, 2); here Theorem 4 of the note (3) is used essentially. The concluding parts of Theorems 2 and 3 are derived from the estimate (3).

From Theorem 3 one may derive:

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\* In the contrary case, obviously,  $R_{g;b_1, \dots, b_n}(f; m) = 0$ .

**Theorem 4.** Let  $\Omega_{f,m}$  be a convex domain on the surface of the ellipsoid  $f(x_1, \dots, x_n) = m$  with  $f$ -elliptic solid angle  $\omega$ . Then

$$r_{g;b_1, \dots, b_n}(\Omega_{f,m}) = \frac{\omega}{\omega_0} \frac{\pi^{n/2} m^{n/2-1}}{d^{1/2} \Gamma(n/2)} G_{g;b_1, \dots, b_n}(f; m) + O\left(d^{n/4+5/2} g^{3n/2+7} m^{n/2-1-\frac{n-3}{4(3n-2)}+\varepsilon}\right), \quad (9)$$

where

$$G_{g;b_1, \dots, b_n}(f; m) = \sum_{\substack{\delta^2 | m \\ \gcd(\delta, g)=1}} \frac{\mu(\delta)}{\delta^{n-2}} H_{g;b_1 \delta^{-1} \pmod{g}, \dots, b_n \delta^{-1} \pmod{g}}\left(f; \frac{m}{\delta^2}\right)$$

is a primitive singular series\*; the constants entering the  $O$ -term depend only on  $n$  and  $\varepsilon > 0$ . Moreover, if for all primes  $p > 2^{n+1}dg$  the system of congruences (2) is primitively solvable  $\pmod{p}$ \*\* and if, for  $\theta > 0$ ,

$$\omega^{-1} d^{7n/4+3/2} g^{7n/2+5} = O\left(m^{\frac{n-3}{4(3n-2)}-\theta}\right), \quad (10)$$

where the constants entering the  $O$ -term depend only on  $n$  and  $\theta$ , then as  $m \rightarrow \infty$  the remainder term in formula (9) is infinitely small in comparison with the main term.

**Theorem 5.** Let  $\gcd(m, g, 2d) = 1$ . Then, under the conditions of Theorem 4,

$$r_{g;b_1, \dots, b_n}(\Omega_{f,m}) \sim \frac{\omega}{\omega_0} \frac{1}{\nu_g(f, m)} r(f; m), \quad (11)$$

where  $\nu_g(f, m)$  is the number of primitive  $\pmod{g}$  solutions of the congruence

$$f(x_1, \dots, x_n) \equiv m \pmod{g}. \quad (12)$$

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  4. V. A. Tartakovskii, Izv. AN SSSR, Otd. fiz.-matem., **111**, 165 (1929).
- \* By  $a^{-1}(\text{mod } g)$  we denote the number  $a_1(\text{mod } g)$  for which  $aa_1 \equiv 1 \pmod{g}$ .
- \*\* Otherwise, obviously,  $r_{g;b_1,\dots,b_n}(\Omega_{f,m}) = 0$ .

*Note: Figure translations are in progress. See original paper for figures.*

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