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# MATHEMATICS

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**Abstract**

**Full Text**

## MATHEMATICS

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### STABLE GROUPS OF AUTOMORPHISMS

*(Presented by Academician A. I. Mal' tsev on 1 XII 1959)*

§ 1. In the work <sup>(1)</sup> L. A. Kaluzhnin proved the following theorem:  
*If a group  $G$  has a finite invariant series stable with respect to its group of automorphisms  $\Phi$ , then the group  $\Phi$  is nilpotent.*

In the case when the  $\Phi$ -stable series is normal, only the solvability of the group  $\Phi$  has been proved; the question of its nilpotency remains open for the time being. In the present note we consider some cases in which the group  $\Phi$  turns out to be nilpotent or locally nilpotent. The main result is the following theorem:

*An externally nilpotent group of automorphisms of a group with the maximality condition is nilpotent.*

We give some definitions and notation (see also <sup>(2-4)</sup>). A set of automorphisms  $\Sigma$  of a group  $G$  is called stable if in  $G$  there is an ascending normal  $\Sigma$ -stable series. If in  $G$  there is a local system of  $\Sigma$ -admissible subgroups in which  $\Sigma$  induces stable sets of automorphisms, then  $\Sigma$  is called a locally stable set of automorphisms. If the  $\Sigma$ -stable series is finite, then  $\Sigma$  is called an externally nilpotent set. In particular, the set  $\Sigma$  may consist of only one automorphism, or may be some group of automorphisms of the group  $G$ .

Let  $g$  and  $h$  be elements of the group  $G$ ;  $\varphi$  and  $\sigma$  elements of its group of automorphisms  $\Phi$ . We use the usual notation for commutators; analogously,  $[g, \varphi(0)] = g$ ,  $[g, \varphi(n)] = [[g, \varphi(n-1)], \varphi]$ , and so on.  $[G, \Phi]$  is the  $\Phi$ -commutant of the group  $G$ , i.e., the subgroup of the group  $G$  generated by all commutators  $[g, \varphi]$ . By  $\hat{g}$  we denote the inner automorphism induced by the element  $g$  in the group  $G$ . The identity of the group  $G$  is denoted by  $e$ , that of the group  $\Phi$  by  $\varepsilon$ .

§ 2. **Lemma 1.** *Let  $G$  be a group;  $\varphi$  and  $\sigma$  automorphisms of this group;  $E \subset H \subset G$  a normal series admissible with respect to these automorphisms, with  $\sigma$  inducing identity automorphisms in the factors of this series, and  $\varphi$  in the factor  $G/H$ . Let  $g \in G$ ,  $[g, \varphi] = h$ . Then the following relation holds:*

$$[g, [\sigma, \varphi(n)]] = [[g, \sigma]\varphi^{-1}\hat{h}(n)] \quad (n = 0, 1, 2, \dots). \quad (*)$$

**Proof.** Denote  $[\sigma, \varphi(n)] = \sigma_n$ ,  $[g, \sigma_n] = a_n$  ( $n = 0, 1, 2, \dots$ ). We have:  $[g, \sigma_n] = g^{-1}\sigma_n(g) = a_n$ , whence

$$\sigma_n(g) = ga_n.$$

On the other hand,

$$\begin{aligned} \sigma_n(g) &= [\sigma_{n-1}, \varphi](g) = \sigma_{n-1}^{-1} \varphi^{-1} \sigma_{n-1} \varphi(g) = \sigma_{n-1}^{-1} \varphi^{-1} \sigma_{n-1}(gh) \\ &= \sigma_{n-1}^{-1} \varphi^{-1}(ga_{n-1}h) = \sigma_{n-1}^{-1} \varphi^{-1}(gh \cdot h^{-1}a_{n-1}h) \\ &= \sigma_{n-1}^{-1}(g\varphi^{-1}(h^{-1}a_{n-1}h)) \\ &= ga_{n-1}^{-1} \varphi^{-1}(h^{-1}a_{n-1}h) = ga_{n-1}^{-1} \varphi^{-1} \hat{h}(a_{n-1}). \end{aligned}$$

Comparing the expressions for  $\sigma_n(g)$ , we obtain:

$$a_n = a_{n-1}^{-1} \varphi^{-1} \hat{h}(a_{n-1}). \quad (**)$$

If  $n = 0$ , then (\*) is obviously true. Now from (\*\*) it is easy to obtain the validity of (\*) for any  $n$ .

**Lemma 2.** *Let  $H$  be a locally nilpotent group,  $\varphi$  its nil-automorphism, and  $h \in H$ . Then  $\varphi \hat{h}$  is also a nil-automorphism of the group  $H$ .*

**Proof.** Let  $\bar{H} = \{H, \bar{\varphi}\}$  be the cyclic extension of the group  $H$  by means of the element  $\bar{\varphi}$ , inducing in  $H$  the automorphism  $\varphi$ . Obviously,  $\bar{\varphi}$  will be a nil-element of the group  $\bar{H}$ , and therefore the group  $\bar{H}$  is locally nilpotent<sup>(5)</sup>. But then the element  $h\bar{\varphi}$  will be a nil-element, and the inner automorphism  $\widehat{h\bar{\varphi}}$  a nil-automorphism of the group  $\bar{H}$ . It remains to note that the automorphism  $\widehat{h\bar{\varphi}}$  in the group  $H$  induces the automorphism  $\varphi \hat{h}$ .

**Remark.** If in the hypothesis of the lemma  $H$  is a nilpotent  $M$ -group ( $M$ -groups are groups with the maximality condition), then  $\bar{H}$  is also a nilpotent  $M$ -group, whence it follows that the automorphism  $\varphi \hat{h}$  has finite nilpotency index, i.e., there exists an  $n \geq 0$  such that for every  $a \in H$  the equality  $[a, \varphi \hat{h}(n)] = e$  holds.

**Lemma 3.** *Let  $H$  be a nilpotent group, and let  $\Phi$  be its outer nilpotent group of automorphisms. Then the group  $\Phi$  is nilpotent.*

The proof of this lemma is not difficult to obtain by means of a theorem of L. A. Kaluzhnin.

**Theorem 1.** *Let  $G$  be an  $M$ -group, and let  $\Phi$  be its outer nilpotent group of automorphisms. Then the group  $\Phi$  is nilpotent.*

**Proof.** In<sup>(3)</sup>, B. I. Plotkin proved that, under the hypotheses of the theorem,  $[G, \Phi]$  is a nilpotent group. Denote by  $\Sigma$  the invariant subgroup of the group  $\Phi$  consisting of all automorphisms that induce the identity automorphisms in  $[G, \Phi]$ . The factor group  $\Phi/\Sigma$  is isomorphic to the group of automorphisms of the group  $[G, \Phi]$  induced in it by the group  $\Phi$ , and, by Lemma 3, is nilpotent.

We shall show that  $\Phi$  is a nil-group. Let  $\varphi$  and  $\sigma$  be arbitrary automorphisms from  $\Phi$ . Denote  $[\sigma, \varphi(i)] = \sigma_i$ . In view of the nilpotency of the group  $\Phi/\Sigma$ , there exists a  $k \geq 0$  such that  $\sigma_k \in \Sigma$ . Let  $g \in G$ ,  $[g, \sigma_k] = a$ ,  $[g, \varphi] = h$ . By the remark to Lemma 2, there exists an  $n \geq 0$ , independent of the choice of the element  $g$ , such that  $[a, \varphi^{-1}\hat{h}(n)] = e$ , whence, by Lemma 1, we have

$$[g, [\sigma^k, \varphi(n)]] = [g, [\sigma, \varphi(k+n)]] = e.$$

Since the last equality holds identically for all  $g \in G$ , it follows that  $[\sigma, \varphi(k+n)] = e$ , i.e.  $\Phi$  is a nil-group. But an outer nilpotent group of automorphisms of an  $M$ -group is itself an  $M$ -group <sup>(6)</sup>, and therefore the group  $\Phi$  is nilpotent <sup>(7)</sup>.

**Corollary.** The group of nil-automorphisms of an  $M$ -group is nilpotent <sup>(3)</sup>.

§ 3. In this section we consider groups of locally stable automorphisms. The following theorem clarifies the role of locally stable elements of a group, i.e., of those elements that induce inner locally stable automorphisms in the group.

**Theorem 2.** *In an arbitrary group, the radical coincides with the set of all locally stable elements.*

**Proof.** 1) We shall show that every element of the radical  $R(G)$  of the group  $G$  is locally stable. Let  $F$  be the subgroup generated by an arbitrary finite set of elements from  $G$  and by an element  $h \in R(G)$ . The radical  $R(F)$  is a countable locally nilpotent group; therefore in  $R(F)$  one can construct an ascending normal series beginning with the cyclic group  $\langle h \rangle$  and reaching  $R(F)$ . It follows that  $\langle h \rangle$  is subinvariant in  $F$ , i.e. the element  $h$  induces a stable automorphism in  $F$ .

2) We shall show the converse, i.e. that every locally stable element  $h \in G$  belongs to the radical  $R(G)$ . Let  $[G^{(\alpha)}]$  be a local system of subgroups of the group  $G$ , in which  $\hat{h}$  induces stable automorphisms,

$$E = G_0^{(\alpha)} \subset G_1^{(\alpha)} \subset \dots \subset G_\beta^{(\alpha)} \subset \dots \subset G_\gamma^{(\alpha)} = G^{(\alpha)}$$

an  $\hat{h}$ -stable series of subgroups of  $G^{(\alpha)}$ . Denote, for all  $\beta$ ,  $\{G_\beta^{(\alpha)}, h\} = H_\beta^{(\alpha)}$ . Then the cyclic subgroup  $\langle h \rangle$  will be subinvariant in  $H_\gamma^{(\alpha)} = H^{(\alpha)}$ . But in this case  $\langle h \rangle \subset R(H^{(\alpha)})$ , and, since  $[H^{(\alpha)}]$  is a local system of the group  $G$ ,  $\langle h \rangle \subset R(G)$  <sup>(8)</sup>.

**Corollary.** If  $G$  is an  $LM$ -radical group, then every one of its nil-automorphisms  $\varphi$  is locally stable.

**Proof.** Let  $\overline{G} = \{G, \overline{\varphi}\}$  be the cyclic extension of the group  $G$  by means of the element  $\overline{\varphi}$ , inducing in the group  $G$  the automorphism  $\varphi$ . In the  $LM$ -radical group  $\overline{G}$ , the element  $\overline{\varphi}$  is a nil-element and therefore is contained in the radical  $R(\overline{G})$  <sup>(9)</sup>. But then, by Theorem 2,  $\overline{\varphi}$  is a locally stable element of the group  $\overline{G}$  and, evidently, induces in the group  $G$  a locally stable automorphism.

**Lemma 4.** Let  $\Phi$  be a periodic group of locally stable automorphisms of the group  $G$ . Then  $[G, \Phi]$  is a periodic locally nilpotent group.

**Proof.** It follows from (3) that, under the conditions of the lemma,  $[G, \Phi]$  is a locally nilpotent group. Let  $P$  be the periodic part of  $[G, \Phi]$  and  $\varphi(g) = gh$ , where  $\varphi \in \Phi$ ,  $g \in G$ ,  $h \in [G, \Phi] \setminus P$ . If  $\varphi^n = \varepsilon$ , then  $\varphi^n(g) = g = gh^n a$ , where  $a \in P$ . But the equality  $h^n a = e$  is impossible; therefore  $[G, \Phi] = P$ .

**Lemma 5.** A finite group of nil-automorphisms of a periodic locally nilpotent group is nilpotent.

**Theorem 3.** A finite group of locally stable automorphisms  $\Phi$  of an arbitrary group  $G$  is nilpotent.

**Proof.** We shall show that  $\Phi$  is a nil-group. Denote by  $\Sigma$  the set of automorphisms inducing identical automorphisms in  $[G, \Phi]$ . Then  $\Phi/\Sigma$  is a nilpotent group (Lemmas 4 and 5). Let  $\varphi \in \Phi$ ,  $\sigma \in \Phi$ ,  $[\sigma, \varphi(i)] = \sigma_i$ . For some  $k \geq 0$  we have  $\sigma_k \in \Sigma$ . Suppose that  $\sigma_i \neq \varepsilon$  for no  $i$ . Then, in view of the finiteness of the group  $\Sigma$ , there is an  $s > 0$  such that  $\sigma_{m+s} = \sigma_m$  ( $m \geq k$ ), and, since  $\sigma_m \neq \varepsilon$ , there is a  $g \in G$  such that  $[g, \sigma_m] = a \neq e$ , whence  $[g, \sigma_{m+j}] \neq e$  for no  $j \geq 0$ . Denote  $[g, \varphi] = h$ . By Lemma 2,  $\varphi^{-1}\hat{h}$  is a nil-automorphism; therefore for some  $n \geq 0$  we have  $[a, \varphi^{-1}\hat{h}(n)] = e$ . But then, by Lemma 1,  $[g, [\sigma_m, \varphi(n)]] = [g, \sigma_{m+n}] = e$ . The contradiction obtained proves the theorem, since a finite nil-group is nilpotent.

**Corollary 1.** A locally finite group of locally stable automorphisms is locally nilpotent.

**Corollary 2.** A locally finite group of nil-automorphisms of an *LM*-radical group is locally nilpotent.

**Remark.** Corollary 2 refines a result obtained earlier by the author (4).

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*Note: Figure translations are in progress. See original paper for figures.*

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