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Abstract

Full Text

MATHEMATICS

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NORMAL SPACES AS IMAGES OF ZERO-DIMENSIONAL SPACES

(Presented by Academician P. S. Aleksandrov on 29 III 1960)

In this paper the following is proved.

Main theorem. *Every normal space X of weight τ is the image of a zero-dimensional (in the sense of small inductive dimension ind) set $D \subset D^\tau$ under some continuous closed, bicomact, irreducible mapping.*

Addendum. *Since $D \subset D^\tau$, the weight of the space D does not exceed τ ; since X is normal, D is also normal.*

If X is locally bicomact, respectively paracompact, countably paracompact, or strongly paracompact, then, by virtue of known results (see, for example, ⁽¹⁾), D will automatically possess the same property. Hence, in particular, it follows that in the case of a strongly paracompact X the set D will be zero-dimensional also in the sense of $\dim D = 0$.

1. We pass to the proof of the main theorem. A canonically closed set, as is known, is any set A which is the closure of some open set (for which one may always take the open kernel JA of the set A).

A **canonical covering** of a space is any finite covering whose elements are canonically closed sets with disjoint open kernels.

If a canonical covering α' **follows** a canonical covering α , i.e. is inscribed in it, then: a) each element of the covering α' is contained in a unique element of the covering α , and b) each element of the covering α is the sum of the elements of the covering α' contained in it. In other words, the covering α' is a **subdivision** of the covering α . Every set of canonical coverings of a given space we shall regard as partially ordered only in the sense of the just mentioned order relation. The set $\Sigma = \{\alpha\}$ of canonical coverings of the space X will be called **refining** if the following condition is satisfied: whatever the point $x \in X$ and its neighborhood Ox , there is a covering α such that the star of the point x in the covering α (i.e. the sum of the elements of the covering α containing the point x) is contained in Ox . A directed refining set of canonical coverings of the space X is called simply a **chain of subdivisions of the space X** .

* By D^τ is denoted the “generalized Cantor discontinuum of weight τ ,” i.e. a bicomcompact space which is the topological product of τ spaces D_α , each of which consists of a finite number of isolated points; moreover, it is usually assumed (which does not impair generality) that D_α consists of two isolated points; for us it is more convenient to abandon this restriction.

A mapping $f : D \rightarrow X$ is called **bicomcompact** if the preimage $f^{-1}x$ of every point $x \in X$ is bicomcompact. A mapping f of the space D onto the space X is called **irreducible** if under the mapping f no closed set $F \subset D$ distinct from D is mapped onto the whole of X .

We shall easily prove the following proposition:

Lemma 1. *In every normal space X of weight τ there exists a chain of decompositions of cardinality τ .*

First of all: into every open cover ω one can inscribe a canonical one (this is proved, for example, in (2), Ch. 6, § 4). Let \mathfrak{B} be a base of cardinality τ of the space X . Consider the system Ω of all possible “binary” covers of the form $\omega = \{U, X \setminus [V]\}$, where U and V , $[V] \subseteq U$, are elements of the base \mathfrak{B} . The cardinality of the system Ω is equal to τ . Into each $\omega \in \Omega$ we inscribe a canonical α_ω . It is easy to see that the resulting set $\Sigma_\Omega = \{\alpha_\omega\}$ of canonical covers is refining. In order to obtain from it a directed refining set of canonical covers (i.e., the chain of decompositions of cardinality τ which we need), it suffices to augment the system Σ_Ω by all possible finite products of the covers entering into it, understanding by the product $\alpha_1 \cdots \alpha_n$ of canonical covers $\alpha_1, \dots, \alpha_n$ the canonical cover α , whose elements are the closures of all possible nonempty sets of the form $\Gamma_1 \cap \cdots \cap \Gamma_n$, where Γ_i is the open kernel of some element of the cover α_i . Lemma 1 is proved.

2. Along with the space X of weight τ we shall consider its bicomcompact extension \bar{X} of the same weight τ . Let in \bar{X} there be given a chain of decompositions $\bar{\Sigma} = \{\bar{\alpha}\}$, $\bar{\alpha} = \{\bar{A}_1^\alpha, \dots, \bar{A}_{s_\alpha}^\alpha\}$, of cardinality τ . Then $\Sigma = \{\alpha\}$, where $\alpha = \{A_1^\alpha, \dots, A_{s_\alpha}^\alpha\}$, $A_i^\alpha = X \cap \bar{A}_i^\alpha$, is a chain of decompositions of the space X , and moreover $\bar{A}_i^\alpha = [A_i^\alpha]$ and the order $\alpha' > \alpha$ and $\bar{\alpha}' > \bar{\alpha}$ is one and the same.

For each $\alpha = \{A_1^\alpha, \dots, A_{s_\alpha}^\alpha\}$ consider the finite set

$$D_\alpha = \{1^\alpha, 2^\alpha, \dots, s^\alpha\}$$

of natural numbers furnished with the index α , and for $\alpha' > \alpha$ in Σ define the projection $\mathfrak{D}_\alpha^{\alpha'} : D_{\alpha'} \rightarrow D_\alpha$, putting $\mathfrak{D}_\alpha^{\alpha'} j^{\alpha'} = i^\alpha$, where $i^\alpha = i$ is the number of the unique element $A_i^\alpha \in \alpha$ containing the given element $A_j^{\alpha'} \in \alpha'$.

Thus we obtain an inverse spectrum $\{D_\alpha, \mathfrak{D}_\alpha^{\alpha'}\}$ with limit space $\bar{D} = \lim(D_\alpha, \mathfrak{D}_\alpha^{\alpha'})$, which is a bicomcompactum lying in the topological product $D^\tau = \prod_{\alpha \in \Sigma} D_\alpha$. Therefore the weight of the bicomcompactum \bar{D} does not exceed

τ . Let $\xi = \{i_\alpha\} \in \bar{D}$. The system $\{\bar{A}_{i_\alpha}^\alpha\}$ is a centered system of closed sets of the bicompactum \bar{X} , and therefore their intersection is nonempty. Since the system $\bar{\Sigma} = \{\bar{\alpha}\}$ is refining, the intersection $\bigcap_\alpha \bar{A}_{i_\alpha}^\alpha$ consists of only one point $\bar{x} = \bigcap_\alpha \bar{A}_{i_\alpha}^\alpha \in \bar{X}$, and we put $\bar{f}\xi = \bar{x}$. This defines the mapping $\bar{f} : \bar{D} \rightarrow \bar{X}$.

Denote by $U_i^\alpha = \mathcal{E}(\xi \in \bar{D}, i_\alpha = i)$ the totality of those points $\xi \in \bar{D}$ for which $i_\alpha = i$. These \bar{U}_i^α , $i = 1, 2, \dots, s^\alpha$, are, for a given α , disjoint bicompacta forming the cover $\bar{\delta}_\alpha$ of the bicompactum \bar{D} . The totality of all \bar{U}_i^α (over all possible α) forms a base of the bicompactum \bar{D} . Moreover, obviously,

$$\bar{f}\bar{U}_i^\alpha \subseteq \bar{A}_i^\alpha. \quad (1)$$

Hence, and from the fact that the system $\bar{\Sigma} = \{\bar{\alpha}\}$ is refining, it follows immediately that the mapping $\bar{f} : \bar{D} \rightarrow \bar{X}$ is continuous.

3. Let us call a point $\xi = \{i_\alpha\} \in \bar{D}$ *marked* if $\bigcap_\alpha A_{i_\alpha}^\alpha \neq \Lambda$; then necessarily

$$\bigcap_\alpha A_{i_\alpha}^\alpha = \bigcap_\alpha \bar{A}_{i_\alpha}^\alpha = \bar{f}\xi \in X.$$

Denote by D the set of all marked points $\xi \in \bar{D}$, and put

$$U_i^\alpha \cap D = D\bar{U}_i^\alpha, \quad \delta_\alpha = \{U_1^\alpha, \dots, U_{s_\alpha}^\alpha\}.$$

We shall prove that for every point $x \in X$ we have $\bar{f}^{-1}x \subset D$. Indeed, if for some point $\xi = \{i_\alpha\} \in \bar{D}$ we have $\bar{f}\xi = \bigcap_\alpha \bar{A}_{i_\alpha}^\alpha = x \in X$, then

$$x = \bigcap_\alpha (X \cap \bar{A}_{i_\alpha}^\alpha) = \bigcap_\alpha A_{i_\alpha}^\alpha,$$

and this means precisely that ξ is a marked point, i.e. $\xi \in D$.

It follows at once that, denoting by $f : D \rightarrow X$ the mapping \bar{f} considered only on D , we obtain a continuous **compact** mapping of the space D into X .

We shall prove the equality

$$fU_i^\alpha = A_i^\alpha. \quad (2)$$

This will also prove that f is a mapping of the set D **onto** the whole space X (and, consequently, \bar{f} is a mapping of the space \bar{D} onto the whole \bar{X}); moreover, from (2) it follows that all U_i^α are nonempty and, hence, D is everywhere dense in \bar{D} .

The inclusion $fU_i^\alpha \subset A_i^\alpha$ follows from (1).

It remains to prove the more difficult assertion: for every point $x \in A_i^{\alpha_0}$ there is a point $\xi \in U_i^{\alpha_0}$ such that $f\xi = x$.

We turn to this proof. Write $\Sigma = \{\alpha\}$ as a well-ordered set whose first element is α_0 :

$$\Sigma = \{\alpha_0, \alpha_1, \dots, \alpha_\lambda, \dots\}, \quad \lambda < \omega(\tau).$$

For brevity write $A(0)$ instead of $A_i^{\alpha_0}$, and $A(\mu)$ instead of $A_i^{\alpha_\mu}$. Suppose that for all $\mu < \lambda$ the $A(\mu) \in \alpha_\mu$ have been chosen so that $x \in A(\mu)$, and that, whatever ordinal numbers $\mu_1, \dots, \mu_r < \lambda$, taken in a finite number, may be, there exists in some α_ν following all $\alpha_{\mu_1}, \dots, \alpha_{\mu_r}$ (i.e. inscribed in them) a set $A(\nu) \ni x$, contained in all $A(\mu_1), \dots, A(\mu_r)$; here it is not at all required that $\nu < \lambda$. We shall prove that among the elements of the covering α_λ containing the point x one can find such an $A(\lambda)$ that, whatever ordinal numbers μ_1, \dots, μ_r , smaller than $\lambda + 1$, may be, there again exists a covering α_ν following all $\alpha_{\mu_1}, \dots, \alpha_{\mu_r}$, and in it an element $A(\nu) \ni x$, contained in all $A(\mu_1), \dots, A(\mu_r)$. Suppose that no such $A(\nu)$ can be found. This means that for any

$$A_i \in \alpha_\lambda, \quad i = 1, 2, \dots, s^{\alpha_\lambda},$$

one can find such a finite collection of sets

$$(i) \quad A(\mu_1^i), \dots, A(\mu_{r(i)}^i), \quad \mu_1^i, \dots, \mu_{r(i)}^i < \lambda,$$

that there exists no $A(\nu) \ni x$ contained in all the sets (i) and, in addition, in A_i . The union of all the systems (i), $i = 1, 2, \dots, s^{\alpha_\lambda}$, is a finite system σ of sets $A(\mu)$, $\mu = \mu_1, \dots, \mu_r < \lambda$; consequently, for it there exists an $\alpha_{\nu'}$ following all $\alpha_{\mu_1}, \dots, \alpha_{\mu_r}$, and in it some $A(\nu') \ni x$, contained in all $A(\mu) \in \sigma$. Take a covering α_ν following $\alpha_{\nu'}$ in Σ and following α_λ . In α_ν there exists a set $A(\nu) \ni x$, contained in $A(\nu')$ and, hence, in all the sets of each of the systems (i), for $i = 1, 2, \dots, s^{\alpha_\lambda}$. Let $A_i^{\alpha_\lambda} = A(\lambda) \in \alpha_\lambda$ be the unique element of the covering α_λ containing $A(\nu)$. Then $A(\lambda)$ is contained in all the elements of the system (i) and in $A_i^{\alpha_\lambda}$ —contrary to the supposition.

Thus, by induction, in every α_λ we can choose such an element $A(\lambda) \ni x$ that for any finite number of the $A(\lambda_1), \dots, A(\lambda_r)$ chosen by us

there is an α_ν , inscribed in all $\alpha_{\lambda_1}, \dots, \alpha_{\lambda_r}$, and in it an element $A(\nu) \ni x$, contained in $A(\lambda_1), \dots, A(\lambda_r)$. Hence it follows: if $\alpha_{\lambda'} > \alpha_\lambda$ in Σ , then necessarily $A(\lambda') \subseteq A(\lambda)$. Indeed, for some α_ν following in Σ after $\alpha_{\lambda'}$ (and after α_λ), some $A(\nu) \in \alpha_\nu$ is contained in $A(\lambda')$ and in $A(\lambda)$, i.e. $A(\lambda')$, respectively $A(\lambda)$, is the unique element of the cover $\alpha_{\lambda'}$, respectively α_λ , containing the set $A(\nu)$, whence the assertion $A(\lambda) \supseteq A(\lambda')$ follows at once. For the given point x we have selected one element A_i^α containing it in each cover α in such a way that,

when $\alpha' > \alpha$ in Σ , necessarily $A_{i_{\alpha'}}^{\alpha'} \subseteq A_{i_{\alpha}}^{\alpha}$, and for $\alpha = \alpha_0$ the selected element is precisely $A_{i_0}^{\alpha_0}$. But then the point $\xi = \{i_{\alpha}\} \in \overline{D}$ is a distinguished point, with $\xi \in U_{i_0}^{\alpha_0}$ and $f\xi = x$. Equality (2) is proved.

4. The proof of the closedness of the mapping is preceded by

Lemma 2. *Let Φ be a bicompactum lying in D ; denote by $U_{\alpha}\Phi$ the star of this bicompactum in the cover δ_{α} . Whatever neighborhood $O\Phi$ of the bicompactum Φ in D may be, there exists an α such that $U_{\alpha}\Phi \subseteq O\Phi$.*

Proof. Let $\overline{O\Phi}$ be such a neighborhood of the bicompactum Φ in \overline{D} that $D \cap \overline{O\Phi} = O\Phi$. We shall prove that there exists an α such that the star $\overline{U_{\alpha}\Phi}$ of the bicompactum Φ in $\overline{\delta_{\alpha}}$ is contained in $\overline{O\Phi}$: since $D \cap \overline{U_{\alpha}\Phi} = U_{\alpha}\Phi$ and $D \cap \overline{O\Phi} = O\Phi$, Lemma 2 will thereby be proved.

Suppose that for every α the bicompactum $B_{\alpha} = \overline{U_{\alpha}\Phi} \setminus \overline{O\Phi} \neq \Lambda$. Then the system $\{B_{\alpha}\}$ is centered and, consequently, $\bigcap_{\alpha} B_{\alpha} \neq \Lambda$; take a point $\xi \in \bigcap_{\alpha} B_{\alpha}$; for every α it is contained in some $\overline{U_i^{\alpha}}$ intersecting Φ ; but the $\overline{U_i^{\alpha}}$ containing the point ξ form a base of this point in \overline{D} ; therefore $\xi \in [\Phi] = \Phi$. At the same time $\xi \in \overline{D} \setminus \overline{O\Phi}$ —we have arrived at a contradiction. Lemma 2 is proved.

5. **The mapping f of the space D onto the space X is closed.** It is required to prove: whatever open set $U \subseteq D$ may be, the set $V = X \setminus f(D \setminus U) = \mathcal{E}(x \in X, f^{-1}x \subseteq U)$ of all $x \in X$ for which $f^{-1}x \subseteq U$ is open in X . But if $\Phi = f^{-1}x \subseteq U$, then, by Lemma 2, there exists an α such that $U_{\alpha}\Phi \subseteq U$. Therefore V is the union of all possible sets of the form $V_{\alpha}^* = \mathcal{E}(x \in X, f^{-1}x \subseteq U_{\alpha}^*)$, where $U_{\alpha}^* \subseteq U$ is some set that is the union of certain elements of the cover δ_{α} , and α is arbitrary. Thus it is enough to prove that any set V_{α}^* is open, or that its complement

$$X \setminus V_{\alpha}^* = \mathcal{E}(x \in X, f^{-1}x \cap (D \setminus U_{\alpha}^*) \neq \Lambda) = f(D \setminus U_{\alpha}^*)$$

is closed. But $D \setminus U_{\alpha}^*$ is the union of those sets $U_i^{\alpha} \in \delta_{\alpha}$ which did not enter as summands into the union U_{α}^* , so that the set $f(D \setminus U_{\alpha}^*)$, as the union of a finite number of closed sets $fU_i^{\alpha} = A_i^{\alpha}$, is closed in X . The assertion is proved.

6. **The mapping $f : D \rightarrow X$ is irreducible.** Otherwise there would exist such a U_i^{α} that $f(D \setminus U_i^{\alpha}) = X$. But A_i^{α} is an element of the canonical cover α , hence there exists a point $x_0 \in A_i^{\alpha} \setminus \bigcup_{j \neq i} A_j^{\alpha}$. If $x_0 = f\xi_0$, $\xi_0 = \{i_{\alpha}^0\}$, then necessarily $i_{\alpha}^0 = i$, i.e. $\xi_0 \in U_i^{\alpha}$, so that $f(D \setminus U_i^{\alpha}) \neq X$. Our theorem is completely proved.

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CITED LITERATURE

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2. P. S. Aleksandrov, *Combinatorial Topology*, Moscow-Leningrad, 1947.

Note: Figure translations are in progress. See original paper for figures.

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