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Fig. 1

Figure 1: Fig. 1

Abstract

Full Text

MATHEMATICS

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**AN EXAMPLE OF A ONE-DIMENSIONAL*
NORMAL SPACE NOT CONTAINED IN ANY
ONE-DIMENSIONAL BICOMPACTUM**

(Presented by Academician P. S. Aleksandrov on 21 VI 1957)

V. Hurewicz proved that every space with a countable base has a bicomcompact extension (with a countable base) of the same dimension ⁽¹⁾. This result was generalized by W. H. Dowker to arbitrary normal spaces for the dimension \dim , defined by means of coverings ⁽²⁾. N. B. Vedenisov obtained an analogous result for the large inductive dimension Ind ⁽³⁾. For the small inductive dimension ind an analogous proposition was obtained by N. B. Vedenisov only for zero-dimensional spaces ⁽⁴⁾. The example given here shows that already for one-dimensional normal spaces there need not exist one-dimensional bicomcompact extensions.

§ 1. The auxiliary space S^{} .**

A. Let c be the cardinality of the continuum, and let $\omega(c)$ be the least ordinal number of cardinality c . Let Γ be the ordered set of all possible pairs αx , where α is any ordinal number less than $\omega(c)$, and x is some number of the half-interval $[0; 1)$. The order in Γ is given as follows: we take $\alpha x < \alpha' x'$ if $\alpha < \alpha'$, or if $\alpha = \alpha'$ but $x < x'$. Each pair $\alpha 0$ will be identified with the number α .

Fig. 1

B. Let the compactum Φ consist of all points of the plane XOY of the form $(x, 0)$, where $0 \leq x \leq 1$, and of the form

$$\left(\frac{k}{2^n}, y \right),$$

where, for any $n = 0, 1, 2, \dots$ and any integer k , $0 \leq k \leq 2^n$, the quantity y takes all values from

$$-\frac{1}{2^n}$$

to

$$\frac{1}{2^n}$$

inclusive (see Fig. 1).

C. The required space S is a subset of the topological product $\Gamma \times Y$ of the set Γ , taken in the natural “interval” topology, and the interval $Y = [0, 1]$. For this, the points (x, y) of the plane XOY , for which $0 \leq x < 1$ and $0 \leq y \leq 1$, are numbered by all ordinal numbers α less than $\omega(c)$. The point numbered by the number α will be denoted by (x_α, y_α) . Further, in each square Q_α of the product $\Gamma \times Y$, consisting of points of the form $(\alpha x, y)$ and $(\alpha + 1, y)$, where α is fixed and $0 \leq x < 1$ and $0 \leq y \leq 1$, we take the compactum Φ_α , consisting of all such points that

$$(x, y - y_\alpha) \in \Phi$$

and at the same time, for $0 < x < 1$, necessarily

$$\frac{y_\alpha}{2} \leq y \leq \frac{y_\alpha + 1}{2}.$$

* In the expressions “one-dimensional,” “zero-dimensional,” dimension is understood only in the sense of the small inductive dimension ind , and by the small inductive dimension we mean the inductive dimension in the sense of Urysohn (induction is carried out over points); the inductive dimension Ind in the sense of Čech (induction is carried out over closed sets) we call large. Always $\text{ind } R \leq \text{Ind } R$.

** It is a modification of the well-known space of Lunc ⁽⁵⁾.

The sum of all the compacta Φ_α is the desired space S . It consists of the compacta Φ_α , shifted into the squares Q_α of the product $\Gamma \times Y$, in such a way that on each “horizontal line” $\Gamma \times y$, $0 < y < 1$, there is a continuum of intervals, and they are cut so that they intersect the “lines” $\Gamma \times 0$ and $\Gamma \times 1$ only at the interval points $(\alpha, 0)$ and, respectively, $(\alpha, 1)$.

D. *The space S is normal.*

Indeed, the product $\Gamma \times Y$ is normal (see ⁽⁶⁾, p. 166, Lemma 1), and the space S is closed in it, since it contains all the “vertical” intervals $\alpha \times Y$, $\alpha < \omega(c)$.

E. No continuum-sized subset A' of the set $A = \{(\alpha, 0)\}$ can be separated by any zero-dimensional set from the set $B = \{(\alpha, 1)\}$.

Proof. It is enough to prove that the boundary $|U|$ of any canonical** neighborhood U of the set A' , whose closure $[U]$ intersects B in a set of cardinality $< c$, contains at least one interval. We shall prove this. Let, for each point $(\alpha, 0) \in A' \subset U$, the number b_α be the greatest of all such numbers y that $\alpha \times [0; y) \subseteq U$. Then $0 \leq b_\alpha \leq 1$ and $(\alpha, b_\alpha) \in |U|$; moreover, since the intersection $[U] \cap B$ has cardinality $< c$, beginning with some α_0 , all $b_\alpha < 1$. The set Y' of all numbers b_α , $\alpha \geq \alpha_0$, is either a continuum or is not a continuum.

In the first case there exist two numbers b' and b'' , $b' < b''$, which are points of complete accumulation of the set Y' . Hence both the set of all numbers α'' , $\alpha'' \geq \alpha_0$, for which

$$b_{\alpha''} > \frac{b' + b''}{2},$$

and the set of all numbers α' , $\alpha' \geq \alpha_0$, for which

$$b_{\alpha'} < \frac{b' + b''}{2},$$

are continua, and therefore cofinal with the number $\omega(c)$. In this case necessarily $(\alpha', b_{\alpha'}) \in |V|$, where $V = S \setminus [U]$. Therefore, for every α , $\alpha < \omega(c)$, there exist such a β , $\alpha \leq \beta < \omega(c)$, such a dyadic-rational number x , $0 \leq x < 1$, $\alpha < \beta x$, and such rational numbers r' and r'' , $r' < r''$, of the interval Y , that

$$\beta x \times [r'; r''] \subseteq V.$$

The set of all rational intervals $[r'; r'']$ is countable; therefore there exists a continuum set of numbers α to which one and the same interval $[r'; r'']$ is assigned. Hence there is such a sequence of numbers

$$\alpha_1 < \beta_1 x_1 < \alpha_2 < \beta_2 x_2 < \dots,$$

that

$$b_{\alpha_i} > \frac{b' + b''}{2}$$

and

$$\beta_i x_i \times [r'; r''] \subseteq V,$$

where

$$r' < r'' < \frac{b' + b''}{2}.$$

Then for the limiting number $\gamma = \lim \alpha_i$ we have

$$\gamma \times \left[0; \frac{b' + b''}{2}\right] \subseteq [U]$$

and

$$\gamma \times [r'; r''] \subseteq [V].$$

Therefore the interval $\gamma \times [r'; r'']$ is contained in the boundary $|U|$, as was required to prove.

In the second case, when the set Y' is not a continuum, there will be a number α_1 , $\alpha_0 \leq \alpha_1 < \omega(c)$, such that $b_\alpha = b$ for all $\alpha > \alpha_1$. Analogously to the preceding case one can show that $(\alpha x, y) \in U$ as soon as $\alpha_1 \leq \alpha x < \omega(c)$ and $0 \leq y < b$. By construction, the set of all such numbers α that $y_\alpha = b$, and hence $[\alpha; \alpha+1] \times b \subseteq S$, is a continuum. Hence all these intervals $[\alpha; \alpha+1] \times b$ are contained in $|U|$. If $[\alpha; \alpha+1] \times b \subseteq |U|$ for at least one number α , $\alpha \leq \omega(c)$, then everything is proved. In the contrary case, by the construction of the compacta Φ_α , for every such number α that $y_\alpha = b$, there are such rational numbers x and r of the interval $[0; 1]$ that $r > b$ and

$$\alpha x \times [b; r] \subseteq U.$$

But then again there will be a continuum set of such numbers α for which the numbers r coincide with one another. Hence, analogously to the preceding case, one can choose such rational numbers r' and r'' of the interval $[b; r]$ and such a sequence

$$\alpha_1 x_1 < \beta_1 y_1 < \alpha_2 x_2 < \beta_2 y_2 < \dots,$$

that

$$\alpha_i x_i \times [b; r] \subseteq U,$$

but

$$\beta_i y_i \times [r'; r''] \subseteq V,$$

* The sets A and B of the space R are, by definition, *separable by a set C* , if the difference $R \setminus C$ is the sum of such open sets H and G that $A \subseteq H$ and $G \supseteq B$.

** That is, such a neighborhood U that $S \setminus U = [S \setminus [U]]$.

where $b < r' < r'' < r$. Hence, for the limit number $\gamma = \lim \alpha_i$ we obtain that $\gamma \times [r'; r''] \subseteq [U] \cap [V] = |U|$, which was required to be proved.

§ 2. The basic space Σ .

F. By linearly compressing, by means of the mapping

$$f_n(y) = \frac{y}{n} - \frac{y}{n+1} + \frac{1}{n+1},$$

the interval $Y = [0; 1]$ onto the interval $[\frac{1}{n+1}; \frac{1}{n}]$, $n = 1, 2, \dots$, we obtain a "compression along the OY -axis" of the product $\Gamma \times Y$, which thereby passes into the product

$$\Gamma \times \left[\frac{1}{n+1}; \frac{1}{n} \right].$$

Under this, the space S is mapped homeomorphically onto closed sets

$$S_n, \quad S_n \subseteq \Gamma \times \left[\frac{1}{n+1}; \frac{1}{n} \right] \subseteq \Gamma \times Y,$$

glued pairwise along the “bases”

$$A_n = \left\{ \left(\alpha; \frac{1}{n+1} \right) \right\}$$

and

$$B_{n+1} = \left\{ \left(\alpha, \frac{1}{n+1} \right) \right\}.$$

Adjoining to the set

$$\dot{\Sigma} = \bigcup_n S_n$$

one closed point ξ , with neighborhoods

$$U_n \xi = \xi \cup \left(\dot{\Sigma} \setminus \bigcup_{i \leq n} S_i \right), \quad n = 1, 2, \dots,$$

we obtain the desired space Σ .

G. *The space Σ is normal.*

Indeed, the set $\dot{\Sigma}$, being of type F_σ in the normal space $\Gamma \times Y$, is normal. Hence the space $\Sigma = \dot{\Sigma} \cup \xi$ is also normal, since

$$[U_{n+1} \xi] \subset U_n \xi, \quad n = 1, 2, \dots$$

H. *The space Σ is one-dimensional: $\text{ind } \Sigma = 1$.*

Proof. Since the space Σ contains the interval $0 \times (0; 1)$, we have $\text{ind } \Sigma \geq 1$. To prove the reverse inequality, we shall prove the inequality $\text{ind}_x \Sigma \leq 1$ for every $x \in \Sigma$.

1°. Since $|U_n \xi| = A_n$ and $\text{ind } A_n = 0$ for every n , it follows that $\text{ind}_\xi \Sigma \leq 1$.

2°. Let a point x , $x \neq \xi$, have the form (α, y) , where α is a limit number. Then, since the cardinality of the order type $\alpha + 1$ is less than the cardinality of the continuum, there exist numbers $y' \in (y - \varepsilon; y)$ and $y'' \in (y; y + \varepsilon)$ not coinciding with any of the numbers $f_n(y_\lambda)$, $\lambda < \alpha$, $n = 1, 2, \dots$, whatever ε , $0 < \varepsilon < y$, we take. Hence there exists an “arbitrarily small” neighborhood $(\beta t; \alpha t) \times (y'; y'')$ of the point (α, y) in the product $\Gamma \times Y$, where $\xi < \alpha$, and t is a dyadic-irrational number. Therefore the boundary of this neighborhood intersects Σ in a zero-dimensional set. Hence $\text{ind}_x \Sigma \leq 1$.

3°. For each of the remaining points x' of the space Σ , $x' \neq \xi$, in the product $\Gamma \times Y$ there is a neighborhood of the form $(\alpha, \alpha + 2) \times (0; 1]$, homeomorphic to an incomplete square. The intersection

$$\Sigma \cap ((\alpha; \alpha + 2] \times (0; 1])$$

is one-dimensional, since it is the sum of a countable number of compacta of the form Φ_α . Hence also $\text{ind}_{x'} \Sigma \leq 1$. Thus $\text{ind } \Sigma = 1$, which was required to be proved.

J. The space Σ is not contained in any one-dimensional bicom pactum.

Proof. Suppose that there exists a bicom pactum $\tilde{\Sigma}$ such that

$$\Sigma \subseteq \tilde{\Sigma}$$

and

$$\text{ind } \tilde{\Sigma} = 1.$$

Then, by Vedenisov' s theorem XV_1 (4), also

$$\text{Ind } \tilde{\Sigma} = 1.$$

Hence, for every point x of the bicom pactum $\tilde{\Sigma}$ and every neighborhood \tilde{U}_x of it, there exist neighborhoods \tilde{O}_{nx} such that

$$[\tilde{O}_{nx}] \subseteq \tilde{O}_{n+1,x} \subseteq \tilde{U}_x$$

and

$$\text{ind } |\tilde{O}_{nx}| \leq 0, \quad n = 1, 2, \dots$$

But then in the space Σ there would be such neighborhoods $O_n\xi$ of the point ξ that

$$[O_n\xi] \subseteq O_{n+1}\xi \subseteq U_1\xi$$

and

$$\text{ind } |O_n\xi| \leq 0.$$

We shall bring this assertion to a contradiction.

Choose such a number N that

$$[U_N\xi] \subseteq O_1\xi.$$

Then

$$A_N = \left\{ \left(\alpha, \frac{1}{n+1} \right) \right\} = |U_N\xi| \subseteq O_1\xi.$$

Since, by virtue of E, no continuum subset of the set A_{N-1} is separable from the set A_N by any zero-dimensional set, the intersection

$$[O_1\xi] \cap A_{N-1}$$

is a continuum; for otherwise the continuum set

$$A'_{N-1} = A_{N-1} \setminus [O_1\xi]$$

would be separable from A_N by a zero-dimensional set—the boundary of the neighborhood $O_1\xi$. Consequently, again by virtue of E, the set

$$[O_1\xi] \cap A_{N-1}$$

is not separable from A_{N-2} by any

zero-dimensional set. However, $[O_1\xi] \cap A_{N-1} \subseteq O_2\xi$ and $\text{ind } |O_2\xi| = 0$. Hence the intersection $[O_2\xi] \cap A_{N-2}$ is continual and therefore is not separated from A_{N-3} by a zero-dimensional set. Continuing this process, we arrive at the fact that $[O_{N-1}\xi] \cap A_1 \neq \Lambda$, and this contradicts the condition $[O_{N-1}\xi] \subset U_1\xi$, since $\{U_1\xi\} = A_1$, as was required to prove.

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