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**Abstract**

**Full Text**

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**ON FUNCTIONALLY CLOSED SPACES**

*(Presented by Academician P. S. Aleksandrov on 20 VI 1957)*

A completely regular space  $P$  is called **functionally closed** if, for every proper extension  $\tilde{P}$  of the space  $P$ , there exists a continuous real-valued function defined on  $P$  that cannot be extended\* to  $\tilde{P}$ . Such spaces, under the name of  $Q$ -spaces, were first considered by Hewitt (1).

We shall call an extension  $\tilde{P}$  of the space\*\*  $P$  **regular** if every continuous function defined on  $P$  can be continuously extended to the extension  $\tilde{P}$ . We shall call the **zero-set of a function**  $f$ , defined on the space  $P$ , the complete preimage  $f^{-1}(0)$  of the number 0, and the **zero-set of the space**  $P$  a set that is the zero-set of some function.

**Lemma 1.** *Let  $\tilde{P}$  be a regular extension of the space  $P$ ; for any continuous function  $f$  defined on the space  $P$ , its extension has as its zero-set the closure in  $\tilde{P}$  of the zero-set of the function  $f$ .*

**Theorem 1.** *In order that an extension  $\tilde{P}$  of the space  $P$  be regular, it is necessary that the closure of the intersection of any countable sequence of zero-sets of the space  $P$  coincide with the intersection of their closures in  $\tilde{P}$ , and it is sufficient that, for any countable sequence of zero-sets with empty intersection, the sequence of closures of these sets in  $\tilde{P}$  also have empty intersection.*

**Proof. Necessity.** Let  $\{f_i\}$  be a countable set of continuous functions defined on  $P$ , and suppose that everywhere  $|f_i| \leq 1/2^i$ . Then the function

$$\varphi = \sum_{i=1}^{\infty} f_i$$

is continuous, and the intersection of the zero-sets  $N(f_i)$  of the functions  $f_i$  is its zero-set. From Lemma 1 we obtain

$$\tilde{P} \left[ \bigcap_i N(f_i) \right] = \bigcap_i \tilde{P}[N(f_i)],$$

as required.

**Sufficiency.** Let  $I$  be the number line. To each point  $x$  of  $\tilde{P} \setminus P$  we assign the family  $\mathfrak{B}(x)$  of all such zero-sets  $F$  of the space  $P$  that  $x \in \tilde{P}[F]$ . For any continuous function  $f$ , defined on  $P$ , and for any point  $x$  of  $\tilde{P} \setminus P$ , set

$$\tilde{f}(x) = \bigcap_{F \in \mathfrak{B}(x)} I[f(F)].$$

It turns out that the extension of the function  $f$  thus defined is continuous on  $P$ . The theorem is proved.

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\* One says that a function  $f$ , defined on the space  $P$ , is **continuously extendable to a space**  $S \supset P$  if there exists a continuous function  $\tilde{f}$ , defined on  $S$ , identically equal to  $f$  on  $P$ . The function  $\tilde{f}$  is called an **extension** of the function  $f$ .

\*\* By a space we shall always mean a completely regular space.

We shall call a nonempty maximal centered family of zero-sets of a space  $P$  **perfect** if the intersection of any of its countable subfamilies is nonempty. An extension  $\tilde{P}$  of a space  $P$  will be called a  $\vartheta$ -**extension** if: 1)  $\tilde{P}$  is a regular extension of the space  $P$ ; 2)  $\tilde{P}$  is functionally closed.

For every space  $P$ , denote by  $\Omega(P)$  the family of all perfect families of this space that have empty intersection. For every zero-set  $F$  of the space  $P$ , denote by  $\tau(F)$  the set consisting of all points of this set  $F$  and all perfect families from  $\Omega(P)$  that contain the set  $F$  as an element.

**Theorem 2.** *The set  $\vartheta P$ , consisting of all points of the space  $P$  and of all elements of the family  $\Omega(P)$ , is a  $\vartheta$ -extension of the space  $P$ , if one defines in it a topology by taking as closed sets all possible intersections of sets of the form  $\tau(F)$ .*

**Proof.** The fact that the extension  $\vartheta P$  of the space  $P$  so obtained is regular follows directly from Theorem 1. The functional closedness of the extension  $\vartheta P$  follows directly from the sufficiency of the following (Hewitt's <sup>(1)</sup>) condition for functional closedness:

**Theorem 3.** *In order that a space  $P$  be functionally closed, it is necessary and sufficient that every perfect family of the space  $P$  have nonempty intersection.*

**Proof.** The sufficiency of the stated condition again follows from Theorem 1, if one first observes that for every point  $x$  of  $\tilde{P} \setminus P$ , where  $\tilde{P}$  is an arbitrary regular extension of the space  $P$ , the family  $\mathfrak{B}(x)$  is a nonempty maximal centered family of zero-sets of the space  $P$ . The necessity of the condition follows directly from Theorem 2.

From Theorem 3 there follows directly:

**Theorem 4.** *For every space  $P$  there exists a unique (up to a topological mapping identical on  $P$ )  $\vartheta$ -extension.*

We next clarify the connection between the notion of functional closedness and **topological completeness** in the sense of Dieudonné <sup>(2)</sup>. The latter is based

on the notion of a **uniform space** and a **uniform structure** in the sense of A. Weil <sup>(3)</sup>. For our purposes it is more convenient to use the definition of a uniform structure as a certain system of coverings, equivalent to the original one <sup>(4)</sup>, since we shall need the following completeness criterion for a uniform space, found by Yu. M. Smirnov:

A system  $\xi$  of subsets of the space  $P_\Sigma$  with covering structure  $\Sigma$  is called a  $\Sigma$ -**system** if, for every covering  $\gamma$  of the structure  $\Sigma$ , there is in the system  $\xi$  a set  $A$  contained in some element of the covering  $\gamma$ . The space  $P$  is complete relative to the structure  $\Sigma$  (i.e. the uniform space  $P_\Sigma$  is complete) if and only if every centered closed  $\Sigma$ -system has nonempty intersection <sup>(5)</sup>, p. 431).

Consider the following systems of coverings of the space  $P$ :  $\sigma_0$ —the system of countable open normal\* locally finite coverings;  $\sigma'_0$ —the system of countable open normal coverings;  $\sigma_1$ —the system of open normal locally finite coverings. Each of the systems considered generates a system  $\Sigma_0$ , respectively  $\Sigma'_0$  and  $\Sigma_1$ , consisting by definition of all those coverings of the space  $P$  in each—

\* A covering  $\gamma$ , consisting of sets  $\Gamma_\lambda$  of the space  $P$ , is called **normal** if for each  $\Gamma_\lambda$  one can choose a set  $A_\lambda \subseteq \Gamma_\lambda$ , functionally separated from  $P \setminus \Gamma_\lambda$ , such that  $\bigcup_\lambda A_\lambda = P$ .

each of which contains some cover of the system under consideration.

**Lemma 2.** The systems  $\Sigma_0$ ,  $\Sigma'_0$ , and  $\Sigma_1$  are uniform structures of the space  $P$ , and  $\Sigma_0 = \Sigma'_0$ .

**Theorem 5.** Every functionally closed space  $P$  is complete with respect to the structure  $\Sigma_1$ .

The proof is based on the fact that, if the uniform space  $P_{\Sigma_1}$  is not complete, then the space of its completion turns out to be a proper extension of the space  $P$ , distinct from  $P$  itself.

**Theorem 6.** Every space complete with respect to the structure  $\Sigma_0$  is functionally closed.

**Proof.** Let  $\xi$  be a perfect family of the space  $P$ , complete with respect to the structure  $\Sigma_0$ . Since  $\xi$  turns out to be a centered closed  $\Sigma$ -system, its intersection is nonempty by the stated completeness criterion of Yu. M. Smirnov. By Theorem 3 the space  $P$  is functionally closed, as was required to prove.

**Theorem 7.** In order that a space be functionally closed, it is necessary and sufficient that it be complete with respect to the structure  $\Sigma_0$ .

We shall call a cardinal number  $\mathfrak{m}$   **$F$ -regular** if the discrete space of cardinality  $\mathfrak{m}$  is functionally closed.

**Theorem 8.** Every normal space of  $F$ -regular cardinality, complete with respect to the structure  $\Sigma_1$ , is functionally closed\*.

For normal spaces the structure  $\Sigma_1$  is the maximal\*\* structure.

Hence it follows:

**Theorem 9.** In order that a normal space of attainable cardinality\*\*\* be functionally closed, it is necessary and sufficient that it be topologically complete (i.e. complete with respect to the maximal uniform structure  $\Sigma_1$ ).

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## CITED LITERATURE

- <sup>1</sup> E. Hewitt, Trans. Am. Math. Soc., 64, 45 (1948).
- <sup>2</sup> J. Dieudonné, Ann. Sci. de l' école norm. sup., 56, 4, 277 (1939).
- <sup>3</sup> A. Weil, Actualités scientifiques et industrielles, 551, Sur les espaces à structure uniforme et sur la topologie générale, 1938.
- <sup>4</sup> Yu. M. Smirnov, Mat. sborn., 31, 563 (1952).
- <sup>5</sup> Yu. M. Smirnov, Tr. Mosk. matem. obshch., 4, 421 (1955).
- <sup>6</sup> M. Katetov, Fund. Math., 38, 73 (1951).

\* Cf. Katetov' s theorem ((<sup>6</sup>), p. 82).

\*\* A uniform structure of covers is **maximal** if it contains every other uniform structure of the space  $P$  as a substructure.

\*\*\* A cardinal number is attainable if it is less than the first unattainable cardinal number. A cardinal number  $n > \aleph$  is unattainable if it cannot be represented as a sum of powers  $2^{\aleph}$ , the number of which is less than  $n$ .

*Note: Figure translations are in progress. See original paper for figures.*

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